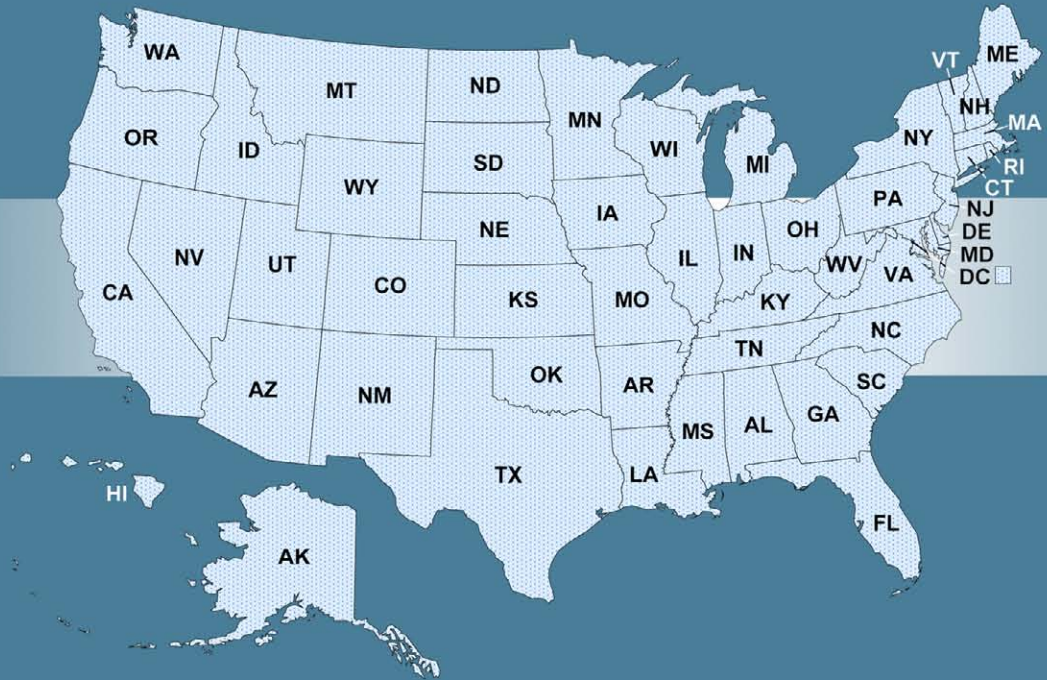


TENNESSEE STATE REPORT

Underage Drinking Prevention and Enforcement

2018



SAMHSA
Substance Abuse and Mental Health
Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

Time period covered by this State Report: The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

**Staff Chair, Interagency Agency Coordinating Committee for the
Prevention of Underage Drinking (ICCPUD) Point of Contact:**

Robert M. Vincent, MS.Ed

Public Health Analyst

SAMHSA’s Center for Substance Abuse Prevention

Division of Systems Development

Phone: (240) 276-1582

Email: Robert.Vincent@samhsa.hhs.gov

Tennessee Governor’s Designated Contact for STOP Act State Survey:

Sarah Cooper

Director

Division of Substance Abuse Services, Office of Prevention Services

Phone: (615) 532-7786

Email: sarah.cooper@tn.gov



Tennessee

State Population: 6,651,194

Population Ages 12–20: 784,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	131,000 (16.7%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (1.8%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	35,000 (13.0%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	92,000 (33.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21	
Alcohol-Attributable Deaths (under 21)	109
Years of Potential Life Lost (under 21)	6,551
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	15
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	11%

*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
• Is possession allowed if parent or guardian is present or consents?	
• Is possession allowed if spouse is present or consents?	
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
• Is consumption allowed if the parent or guardian is present or consents?	
• Is consumption allowed if the spouse is present or consents?	
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
• Is internal possession allowed if the parent or guardian is present or consents?	
• Is internal possession allowed if the spouse is present or consents?	
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	16
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Ages 17 and Under	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365
Note: In Tennessee, the driving privileges of an offender shall be suspended for 1 year, or until the offender reaches age 17, whichever is longer.	

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Ages 18–20	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365
Note: In Tennessee, the driving privileges of an offender shall be suspended for 1 year, or until the offender reaches age 17, whichever is longer.	

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger, unless passengers are household members being transported to school or accompanied by parent or licensed driver 21 or older
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18 (minors under 18 allowed only in extreme circumstances)
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, youthful appearance. Male: no facial hair
Does decoy carry ID during compliance check?	Not specified

May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	Minimum \$300 fine
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified
Note: Fines may be cut in half if licensee is in compliance with Responsible Vendor program. The mitigation provided cannot be used more than once per year.	

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-premises
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Ages for Sellers of Alcohol–Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18

Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders—On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (fact-finder must determine that retailer knew customer was a minor beyond a reasonable doubt)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No
Note: The Tennessee Supreme Court held that a property owner who does not furnish the alcohol may be held liable to third parties under common law if he/she knowingly allows minors to consume alcohol on his/her property and it is foreseeable that minors may then operate motor vehicles. In that case, the court held that the person who actually furnished the alcohol to the minor was shielded from liability.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge (host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Note: Social host liability in Tennessee is limited to an owner, occupant, or other person with a lawful right to the exclusive use and enjoyment of property to knowingly allow an "underage adult" to consume alcoholic beverages, wine or beer on the property. An "underage adult" is defined as a person who is at least 18 but less than 21.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	

Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.29
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.21
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	15.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	15.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6–14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$4.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	15.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	15.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15–50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before 10 PM)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Note: After 10 PM, on-premises retailers may not offer multiple servings at one time, reduced price drinks, or drinks with increased volume for the same price as regular-sized drinks.

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	Post and hold (360 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 10 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 10 days

Tennessee State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:
Tennessee Alcoholic Beverage Commission, State Law Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Tennessee Alcoholic Beverage Commission
Such laws are also enforced by local law enforcement agencies	No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession¹ by state law enforcement agencies 511

Number pertains to the 12 months ending 12/31/2016

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 4,800

Number of licensees checked for compliance by state agencies (including random checks) 2,623

Number of licensees that failed state compliance checks 511

Numbers pertain to the 12 months ending 12/31/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 2,275

Number of licensees that failed **random** state compliance checks 438

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 364

Total amount in fines across all licensees \$520,900

Smallest fine imposed \$1,000

Largest fine imposed \$7,000

Numbers pertain to the 12 months ending	12/31/2016
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	147
Total days of suspensions across all licensees	1,350
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	40
Numbers pertain to the 12 months ending	12/31/2016
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	1
Numbers pertain to the 12 months ending	12/31/2016

Additional Clarification

Part1, Section A, 1.A.2): Number of Retail Establishments:

We calculated our retail establishments based off the number of licenses that exist for our liquor by the drink establishments, package stores, grocery stores, and distilleries (which have some retail authority). We only track the number of current licensees and thus cannot confirm the number of licensees we had last year. We have 4,900 of such retail licensees currently and thus estimate that we had 4,800 such retail licensees for 2016.

Part 1, Section B, 1.B.1.e): Number of Random State Compliance Checks:

Our random operations are the initial minor compliance checks we do on our establishments throughout the year. While we do create target lists for each operation, the process of which our agents choose to select those targets is by random selection in a specific area of the state. In addition to the initial operations we conduct 90 day follow-ups with only the establishments that had sold previously. Because we target those locations solely on having a sale to minor within that time frame, we do not consider these to be randomly selected.

Part 1, Section C, 1.C.3: Number of License Suspensions:

There are 147 citations that are subject to suspensions in 2016. A total of 122 citations have been issued suspensions and an additional 25 are subject to suspension but those cases are still in progress so the exact number of days of the suspension is not yet know. For the 122 citations that have resulted in a definite number of days of suspension, we have had 1,243 total days of suspension. We estimate that with the additional 25 citations unresolved citations that we will have approximately 1,350 total days of suspension for 2016.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Tennessee Prevention Network

Program serves specific or general population	Specific population
Number of youth served	7,033
Number of parents served	123
Number of caregivers served	33
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The Tennessee Prevention Network is a statewide prevention program directed at providing primary prevention services to individuals who have not been determined to require treatment for substance

abuse. The array of services falls into two categories: (1) selective prevention services, including programs and practices that are delivered to subgroups of individuals identified on the basis of their membership in a group that has an elevated risk for developing substance abuse problems (an individual’s personal risk is not specifically assessed or identified and is based solely on a presumption given his or her membership in the at-risk subgroup); and (2) indicated prevention services, including programs that focus on populations identified on the basis of individual risk factors or initiation behaviors that put an individual at high risk for developing substance abuse problems (individuals targeted at this stage, although showing signs of early substance use, have not yet reached the point where a clinical diagnosis of substance abuse can be made).

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
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Description of collaboration: Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
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Description of program: The State of Tennessee Department of Mental Health and Substance Abuse Services; Division of Substance Abuse Services; Office of Prevention funds 43 community coalitions using Block Grant and dollars. These coalitions use environmental strategies intended to reduce or counter alcohol advertising or marketing.

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies):	No
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Agency(ies) within your state: Tennessee Department of Mental Health and Substance Abuse Services	Yes
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Nongovernmental agency(ies):	No
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Other: Tennessee Evidence-Based Practice Workgroup	Yes
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Best practice standards description: Tennessee's Evidence-Based Practice Workgroup has established standards for evidence-based best practices such that a practice must meet at least one of the following criteria:

- Inclusion in federal registries of evidence-based interventions.
- Reported, with positive effects on the primary targeted outcome, in peer-reviewed journals.
- Documented effectiveness supported by other information sources and the consensus of informed experts as described in the following set of guidelines, all of which must be met:

Guideline 1: The intervention is demonstrated to be similar in theory of change, general principles of effective prevention, or content and structure to the interventions that appear in registries, federal agency publications, and/or peer reviewed literature.

Guideline 2: The intervention is supported by documentation of effective implementation in the past, including at least one replication.

Guideline 3: The intervention is reviewed and deemed appropriate by six or more informed prevention experts, including well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review: local prevention practitioners and/or key community leaders as appropriate, such as officials from law enforcement and education sectors or elders in religious cultures.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Sarah Cooper
 Email: sarah.cooper@tn.gov
 Address: 500 Deaderick St, 5th Floor, Nashville, TN 37243
 Phone: 615-532-7786

Agencies/organizations represented on the committee:

Allies for Substance Abuse Prevention of Anderson County
 Blount County Substance Abuse Prevention Action Team
 Centerstone of Tennessee
 Coffee County Anti-Drug Coalition
 Council for Alcohol and Substance Abuse Services
 Frontier Health
 Hamilton County Coalition
 Lawrence County Substance Abuse Coalition
 Memphis Area Prevention Coalition
 Prevention Alliance of Lauderdale
 Putnam County Anti-Drug and Violence Coalition
 Scott County Anti-Drug Coalition
 Smith County Anti-Drug Coalition
 Stewart County Alliance for Substance Abuse Prevention
 University of Memphis

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes
 Prepared by: Tennessee State Epidemiological and Outcomes Workgroup
 Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$874,186
 Estimate based on the 12 months ending 06/30/2017

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$1,641,429
 Estimate based on the 12 months ending 06/30/2017

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$147,992
Estimate based on the 12 months ending	06/30/2017
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$249,886
Estimate based on the 12 months ending	06/30/2017
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$146,688
Estimate based on the 12 months ending	06/30/2017
<i>Other programs:</i>	
Programs or strategies included: Other programs target youth that are economically disadvantaged with educational programs.	
Estimate of state funds expended:	\$643,026
Estimate based on the 12 months ending:	06/30/2017

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:
Not applicable

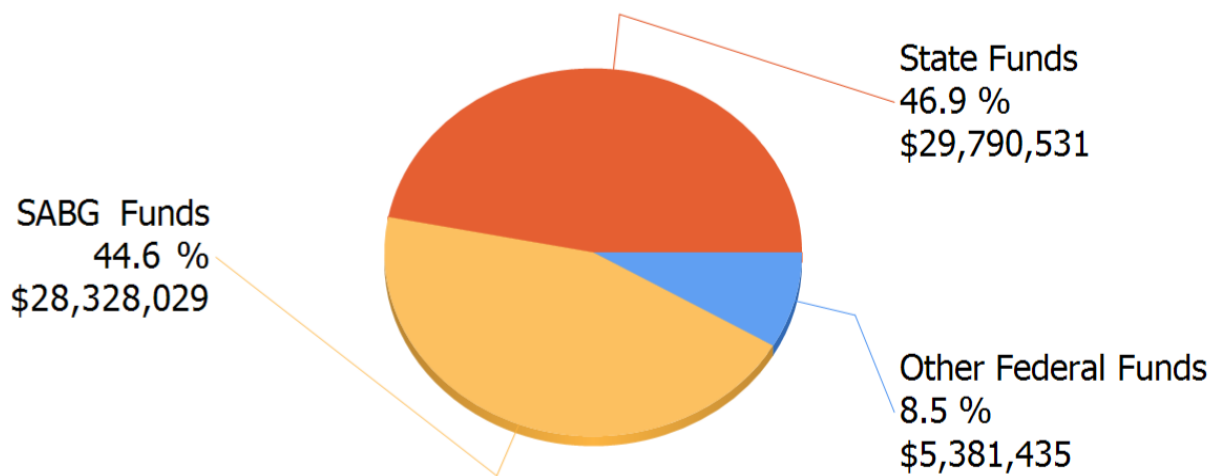
Additional Clarification

No data

In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Tennessee used for expenditures on substance abuse prevention and treatment in 2017. As indicated, state funds and SABG funds account for the largest sources (46.9 percent and 44.6 percent respectively).³¹

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, Tennessee did not specifically identify underage drinking as a prevention priority for use of SABG funds.³²

Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment



³¹ WebBGAS State Profile, 2017 SABG and MHBG Reports– Tennessee 2017

³² FY 2018/2019 – (Tennessee) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators



SAMHSA
Substance Abuse and Mental Health
Services Administration