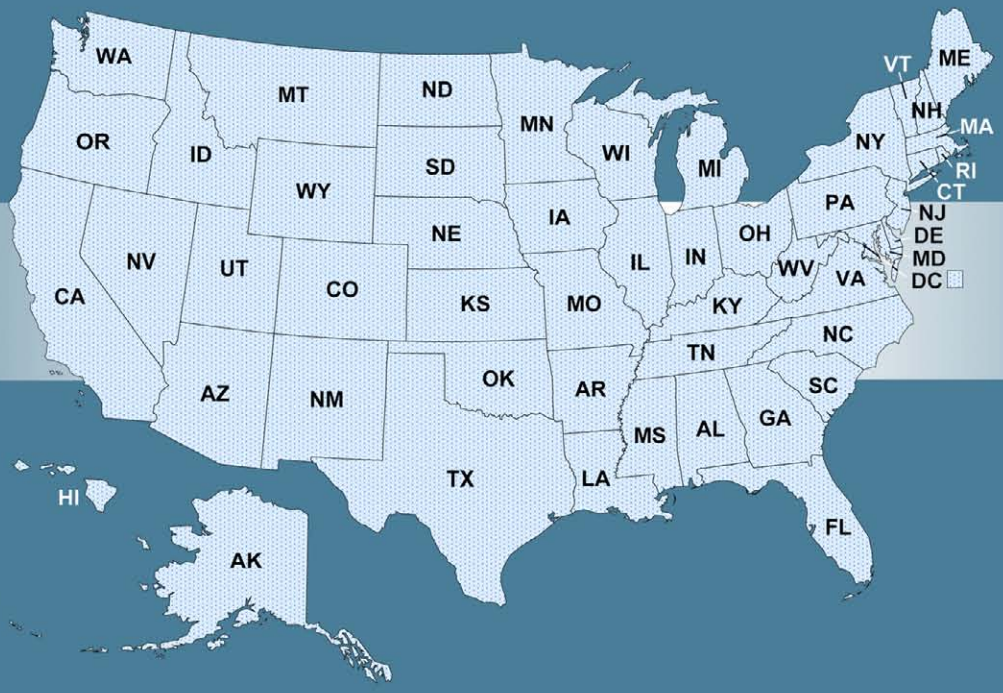
NORTH DAKOTA STATE REPORT

Underage Drinking Prevention and Enforcement

2018



SAMHSA
Substance Abuse and Mental Health Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report "on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking." As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

Time period covered by this State Report: The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

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North Dakota

State Population: 757,953

Population Ages 12–20: 91,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	29,000 (31.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (2.3%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	6,000 (22.6%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	22,000 (56.1%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21	
Alcohol-Attributable Deaths (under 21)	10
Years of Potential Life Lost (under 21)	611
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	41%

*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents?	 No No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents?	 No No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents?	 N/A N/A
Is there an exception based on location?	N/A
Note: North Dakota has a statutory provision that prohibits an individual under 21 from having "recently consumed" an alcoholic beverage. Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12, 6 months if driver is 16 or over
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15
For night driving, when does adult supervision requirement begin?	9 PM (later of sunset or 9 PM)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	N/A
License Stage	

What is the minimum age for full license privileges and lifting of restrictions?	16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships? • Is furnishing allowed if the parent or guardian supplies the alcohol? • Is furnishing allowed if the spouse supplies the alcohol?	 No No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Ages for Sellers of Alcohol–Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders–On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes
Note: Any person who is 18 or older but under 21 may be employed by the restaurant to serve and collect money for alcoholic beverages, if the person is under the direct supervision of a person age 21, but may not be engaged in mixing, dispensing, or consuming alcoholic beverages.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law dram shop liability exist?	No
Note: If a retail licensee is found liable and exemplary damages are sought, the finder of fact may consider as a mitigating factor that the licensee provided to an employee alcohol server training that addressed intoxication, drunk driving, and underage drinking.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No

Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	

Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
Additional taxes for 3.2–6% alcohol beer if applicable	
Note: Beer in bulk containers is taxed at $0.08 per gallon.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	$0.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%

If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Additional taxes for 6–14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Additional taxes for 15–50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

North Dakota State Survey Responses

State Agency Information	
Agency with primary responsibility for enforcing underage drinking laws:	
North Dakota does not have an Alcohol Beverage Control (ABC) agency. Responsibilities are shared across several state agencies.	
Enforcement Strategies	
State law enforcement agencies use:	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
Local law enforcement agencies use:	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes
State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
State collects data on the number of minors found in possession	Yes
Number of minors found in possession[1] by state law enforcement agencies	479
Number pertains to the 12 months ending	12/31/2016
Data include arrests/citations issued by local law enforcement agencies	Yes
State conducts underage compliance checks/decoy operations[2] to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state[3]	1,594
Number of licensees checked for compliance by state agencies **(including random checks)**	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
*State conducts **random** underage compliance checks/decoy operations*	Not applicable
Number of licensees subject to **random** state compliance checks/decoy operations	Not applicable
Number of licensees that failed **random** state compliance checks	Not applicable
Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	101
Number of licensees that failed local compliance checks	21
Numbers pertain to the 12 months ending	12/31/2016
Sanctions	
State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state[4]	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
State collects data on license suspensions imposed on retail establishments specifically for furnishing minors	No
Number of suspensions imposed by the state[5]	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
State collects data on license revocations imposed on retail establishments specifically for furnishing minors	Don't know
Number of license revocations imposed[6]	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

[1] Or having consumed or purchased per state statutes.
[2] Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
[3] Excluding special licenses such as temporary, seasonal, and common carrier licenses.
[4] Does not include fines imposed by local agencies.
[5] Does not include suspensions imposed by local agencies.
[6] Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Parents Lead

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes

URL for evaluation report:
http://www.parentslead.org/sites/default/files/Parents%20LEAD%20Evaluation%20Report%202015.pdf

URL for more program information:
www.parentslead.org

Program Description: *Parents Lead* is an evidence-based underage drinking prevention effort targeting parents through statewide, web-based, and traditional media communication. The program is designed to help parents initiate and/or continue conversations with their children about underage drinking and other difficult subjects at any age, role-model positive and healthy behaviors, monitor their children's whereabouts, and provide support and engagement. A key component of the program is its interactive website (www.parentslead.org) which features both parents and professional portals; each offering tips, tools, and resources.

Parents Lead maintains a mailing list where parents can sign up to receive monthly, age-specific emails and has a social media presence on Facebook and Twitter. Additionally, *Parents Lead* engages in various outreach efforts such as presenting at professional development conferences (e.g. mental health, addiction provider, school counselor, etc.), local events, and using traditional mass media (e.g. commercials, PSAs, etc.).

Juvenile Drug Court

Program serves specific or general population	Specific population
Number of youth served	100
Number of parents served	No data
Number of caregivers served	56
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Juvenile Drug Court Program is aimed at reducing alcohol and substance abuse and delinquent and unruly acts of North Dakota juveniles. I t is under the supervision of the North Dakota Supreme Court. There are six juvenile drug courts throughout the state. Each drug court has a team that consists of a judge, prosecutor, defense counsel, court officer, treatment provider, coordinator, school representative, and a law enforcement officer.

Responsible Beverage Service Training (RBS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information: http://www.ndsc.org/rbs/default.aspx	

Program Description: The North Dakota Safety Council (NDSC) partners with communities and law enforcement throughout the state to implement Responsible Beverage Server Training (RBS). RBS is designed to educate owners, managers, servers, and sellers at alcohol establishments about strategies to avoid illegally selling alcohol to underage youth and intoxicated customers. The program is comprised of three two-hour modules: Basic Server Course, Special Event Server Course, and Owners/Managers Course.

Alcohol Compliance Checks

Program serves specific or general population	General population
Number of youth served	15
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Law enforcement agencies working with underage youth visited liquor stores, restaurants, and bars to check for compliance with laws that prohibit alcohol sales to people under age 21.

Tribal Substance Abuse Prevention Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information: https://prevention.nd.gov/community/prevention-support-north-dakota-tribes	

Program Description: The ND Department of Human Services contracts with tribes and local tribal entities to provide culturally appropriate substance abuse prevention programs, policies, and practices. These programs follow the Strategic Prevention Framework (SPF) and work collaboratively with the Tribal Tobacco Prevention Programs. Substance abuse prevention programs are available on each reservation in North Dakota: Spirit Lake Nation, Standing Rock Sioux Tribe, Three Affiliated Tribes (MHA Nation), and Turtle Mountain Band of Chippewa Indians.

Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population	General population

Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Department of Human Services' Behavioral Health Division awarded funding to 21 local public health units and the four tribes across the state to support local substance abuse prevention efforts targeting underage drinking and adult binge drinking to the general public. This funding allowed each community grantee, in collaboration with their community partners, to assess their community, develop a plan, and implement evidence-based strategies tackling underage and adult binge drinking, all while building local-level prevention infrastructure. This grant ended in September 2016.

Strategic Prevention Framework Partnership for Success Grant (SPF PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Department of Human Services' Behavioral Health Division has awarded funding to eight local public health units and two tribes across the state to support local substance abuse prevention efforts targeting underage drinking to the general public. This funding allows each community grantee, in collaboration with their community partners, to assess their community, develop a plan, and implement evidence-based strategies tackling underage drinking and building local capacity.

Electronic CheckUp To Go (e-Chug) for 1st year students

Program serves specific or general population	Specific population
Number of youth served	4,162
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	
Katie.Fitzsimmons@ndus.edu; Director of Student Affairs at the ND University System	
URL for more program information:	
http://echeckuptogo.com/	

Program Description: *e-CheckUp* is a personalized, evidence-based, online prevention and intervention tool originally developed by the psychologists at San Diego State University. Drawing on Motivational Interviewing (Miller & Rollnick, 2002) and Social Norms Theory (Perkins & Berkowitz, 1986), the *e-CheckUp* program is designed to motivate individuals to reduce their consumption using personalized information about their own drinking and risk factors, and provide those who have not yet chosen to drink with valuable information so that if they do choose to drink in the future, they are able to make well informed decisions. It is also personalized to each campus, using the most recent alcohol and other drug survey information from that campus. The *e-CheckUp* takes approximately 15 minutes to complete (depending on the student). Students' personal feedback includes information that has shown to be particularly motivating to college-aged young adults, including: quantity and frequency of alcohol use, amount of alcohol consumed, normative comparisons, physical health information, amount and percent of income spent on alcohol, negative consequences feedback, explanation, advice and local referral information.

Live Real Mentor Program (NDSU)

Program serves specific or general population	Specific population
Number of youth served	200
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	
Katie.Fitzsimmons@ndus.edu	
URL for more program information:	
https://www.ndsu.edu/alcoholinfo/students/live_real_mentor_program/	

Program Description: The *LIVE REAL Mentor Program* is designed to increase knowledge of the largest campus (ND State University) community about the effects of high risk alcohol use, how to appropriately refer students to prevention and treatment services, how to communicate consistent messages that promote wellness and safety, and promote a culture of safe and responsible attitudes toward alcohol. The program provides education and training for NDSU students, faculty and staff, who choose to identify themselves as a resource for students looking for guidance for their issues with alcohol and other drug abuse and who will actively seek to educate students about the effects of high-risk alcohol and other drug use. The program began in 2009 with one, alcohol-focused session and has since been expanded to include a marijuana-focused session, as well as sessions related to family and genetic factors of addiction, the role of parents and caring adults in substance abuse prevention, alcohol and sexual violence, and an advanced session focused on brief motivational interviewing.

The original, one hour curriculum addresses the following:

1. Rates of use/misuse of alcohol and other drugs by NDSU students
2. Prevention strategies used to decrease high-risk alcohol and other drug use
3. Recognition of signs/symptoms of alcohol and/or other drug misuse
4. Signs of alcohol poisoning and intervention strategies
5. Campus, city, and state laws related to alcohol and other drugs
6. Effective referral strategies for students or colleagues that exhibit alcohol or other drug abuse problems

BASICS (Brief Alcohol Screening and Intervention for College Students)

Program serves specific or general population	Specific population
Number of youth served	52
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	
https://www.ndsu.edu/counseling/basics_alcohol_screening_and_intervention/	

Program Description: *Brief Alcohol Screening and Intervention for College Students (BASICS)* training and implementation assistance is provided to 12 campuses in North Dakota. BASICS is a prevention program for college students who drink alcohol heavily and have experienced or are at risk for alcohol-related problems. BASICS follows a harm reduction approach, and aims to motivate students to reduce alcohol use in order to decrease the negative consequences of drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description:

Campus Clarity: Think About It

Think About It is an online alcohol, drug, and sexual violence education program. The program includes robust modules that provide students with awareness and education of alcohol and drugs and their relation to sexual violence, and what a student should be aware of as a young adult new to the college setting. This program has

been identified as best practice as it pertains to alcohol and drugs, and the new sexual violence element is an addition that will likely also be identified as a best practice. The University of North Dakota adopted this program and implemented it in 2014, with a significant number of first-year students (97 percent of the first-year student population—2,349 students) who completed the program. This program may expand to other campuses depending on funding availability. In 2016, 2576 students completed the program.

CHOICES with high risk populations:

CHOICES is a brief alcohol abuse prevention and harm reduction program for college students involving interactive journaling. The *CHOICES* program involves a 90-minute class, facilitated by professional staff or peers. Throughout the course, students are presented with information and then they are able to reflect on what they have learned as it relates to their choices about drinking in their personal journals. The *CHOICES* program is presented to student-athletes (ND highest-risk college population subset) at four campuses and shared with first-year students in a classroom setting at three campuses. The *CHOICES* program is shared in a nonconfrontational manner that enables students to make their own decisions about alcohol consumption. Students served: 221 students in 2016.

Alcohol, Parties, and the Law by CL Lindsay

This one-time program was hosted by Williston State College and informs students about their rights and responsibilities in regards to the law when it comes to drinking or using drugs. This presentation addresses on campus, off campus, underage, of age, and other situations, and is offered on an annual basis to all the students. In 2016, 100 students participated.

http://www.cllindsay.com/

Don't Cancel Class

The *Don't Cancel Class* Initiative was developed in the spring of 2015 to support faculty who may need to be away from class due to a professional or personal conflict. Professional staff covers classes and presents on topics that may be related to the coursework or issues they may face while in college. Student organizations and departments on campus can also request a presentation on any of the topics available. Topics included: resume building; diversity awareness; safe zone; gender matters; archie bunker diversity activity; alcohol 101; tobacco 101; marijuana 101; sexual assault and violence; consent & sex; and working alcohol and drug free. Nine sessions in 2016 reached approximately 300 students.

https://www.ndscs.edu/current-students/wellness-safety/diversity-at-ndscs/ (Click on "Don't Cancel Class Initiative"; click on "Click here for a list of offered topics.")

Think Before Your Drink and *Speaks Volumes*

Minot State University partners with the First District Health Unit to promote the *Make a Difference, Think Before You Drink* campaign. This campaign defines binge drinking and addresses the consequences of underage drinking. A social media takeover campaign was delivered throughout the month of April, including custom profile photo filters, custom cover photos, and four drinking fact videos. This reached 2200 students in 2016, and was provided in concert with Minot State's *Speaks Volumes* poster and magnet campaign, which also reached 2200 students.

http://ndthinkbeforeyoudrink.com/

ND CORE Survey

The 2016 Alcohol, Tobacco, and Other Drug (ATOD) Survey was developed to identify high-risk drinking predictors for North Dakota college students and to develop evidence-based interventions specific to North Dakota and for each campus. The 2016 ATOD Survey was administered in an electronic format with email survey invitations using the Qualtrics survey software. All undergraduate students in the North Dakota University System were invited to participate in this study—invitations to the survey were sent to a total of 34,780 students through five email contacts sent October 17, 2016 through November 10, 2016. A total of 8,780 students (25.2 percent) answered one or more questions in the survey. To receive information about the results of this comprehensive survey, please contact Katie.Fitzsimmons@ndus.edu.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking	Yes

Description of collaboration: The ND Department of Human Services provides federal funds to the four federally recognized tribes in the state to support culturally appropriate, local substance abuse prevention infrastructure. The Department provides additional support in the form of training and technical assistance for these programs.

The ND Department of Human Services also funded the four tribes to implement the SPF SIG, which ended in September 2016 and currently funds two tribes to implement the SPF PFS.

The ND Department of Transportation involves tribal law enforcement in regional impaired driving prevention enforcement activities.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing	No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs	Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA; OJJDP; NHTSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other: Evidence-Based Practices Workgroup (EBPW) was established at the onset of the ND SPF SIG.	Yes

Best practice standards description: North Dakota follows evidence-based prevention through a focus on addressing risk and protective factors, following the Strategic Prevention Framework and the public health model, while emphasizing environmental strategies, such as enforcement. North Dakota prevention efforts are directed through a data-driven decision-making process.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities	Yes

Committee contact information:

Name: Pamela Sagness
Email: psagness@nd.gov
Address: 1237 West Divide Ave., Suite 1C, Bismarck, ND 58501
Phone: 701-328-8920

Agencies/organizations represented on the committee:

ND Department of Human Services
ND Department of Health
ND Department of Transportation
ND Department of Public Instruction
ND Office of the First Lady
ND Legislative Assembly Representatives
ND University System
ND Highway Patrol
ND Indian Affairs
Advocate Representative
ND Judiciary
Local Law Enforcement Representative
ND Addiction Counselor Representative

A website or other public source exists to describe committee activities	No
URL or other means of access: Not applicable	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: ND Department of Human Services	
Plan can be accessed via: No data	
State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: ND Department of Human Services	
Plan can be accessed via: https://www.prevention.nd.gov/data	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:	
Estimate of state funds expended	$0 - don't use state funds
Estimate based on the 12 months ending	12/31/2016
Checkpoints and saturation patrols:	
Estimate of state funds expended	$0 - no state funds expended
Estimate based on the 12 months ending	No data
Community-based programs to prevent underage drinking:	
Estimate of state funds expended	$130,000
Estimate based on the 12 months ending	12/31/2016
K–12 school-based programs to prevent underage drinking:	
Estimate of state funds expended	$0
Estimate based on the 12 months ending	12/31/2016
Programs targeted to institutes of higher learning:	
Estimate of state funds expended	$134,206
Estimate based on the 12 months ending	12/31/2016
Programs that target youth in the juvenile justice system:	
Estimate of state funds expended	$403,000
Estimate based on the 12 months ending	12/31/2016
Programs that target youth in the child welfare system:	
Estimate of state funds expended	$0
Estimate based on the 12 months ending	12/31/2016
Other programs:	
Programs or strategies included: No data	
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:	
Taxes	No
Fines	No
Fees	No
Other: No data	No data
Description of funding streams and how they are used:	
Not applicable	

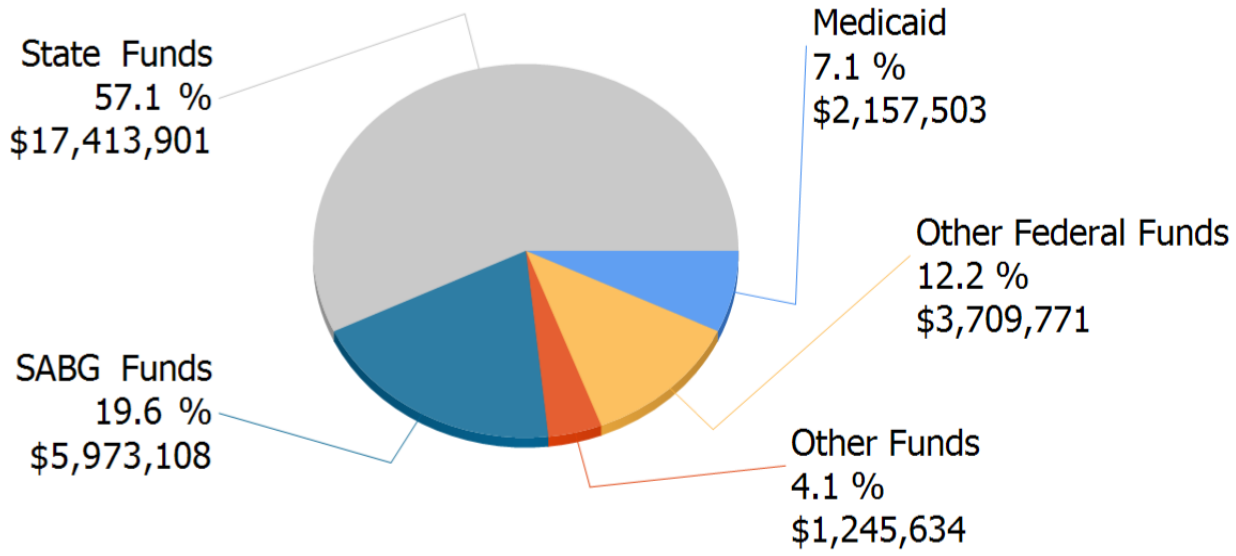
Additional Clarification

Don't use state funds on compliance check operations; only federal dollars.

In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that North Dakota used for expenditures on substance abuse prevention and treatment in 2017. As indicated, state funds and SABG funds account for the largest sources (57.1 percent and 19.6 percent respectively).[15]

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, North Dakota designated decreasing underage drinking as part of the number one priority for use of SABG funds.[16]

Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment



[15] WebBGAS State Profile, 2017 SABG and MHBG Reports– North Dakota 2017

[16] FY 2018/2019 – (North Dakota) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators

SAMHSA
Substance Abuse and Mental Health
Services Administration