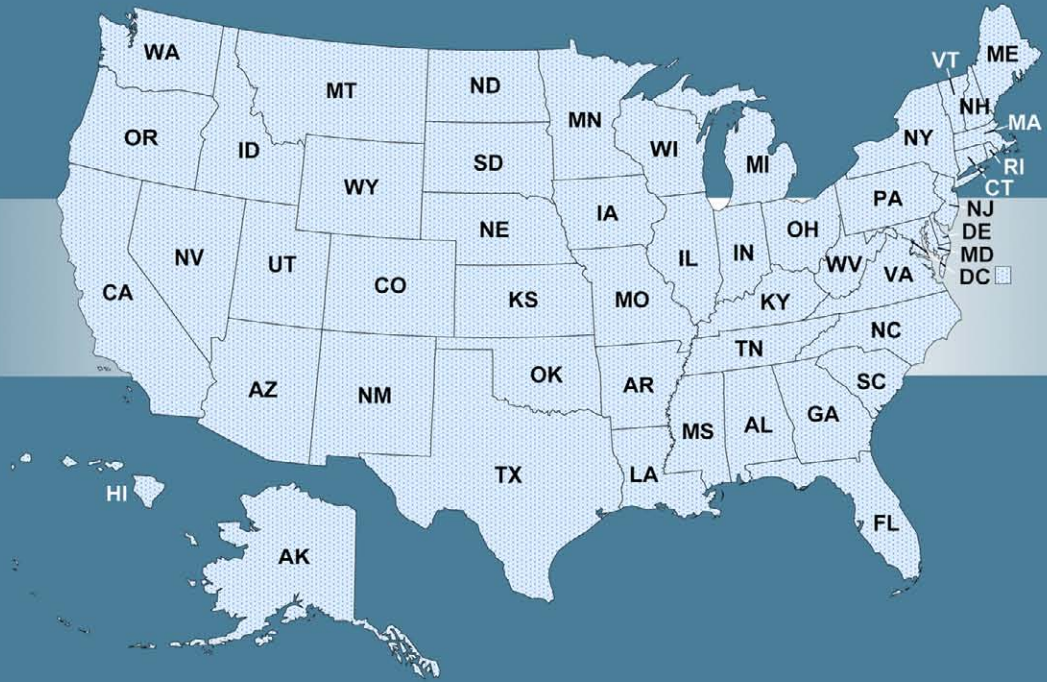


# INDIANA STATE REPORT

## Underage Drinking Prevention and Enforcement

2018



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

**Time period covered by this State Report:** The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

**Staff Chair, Interagency Agency Coordinating Committee for the  
Prevention of Underage Drinking (ICCPUD) Point of Contact:**

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**Indiana Governor’s Designated Contact for STOP Act State Survey:**

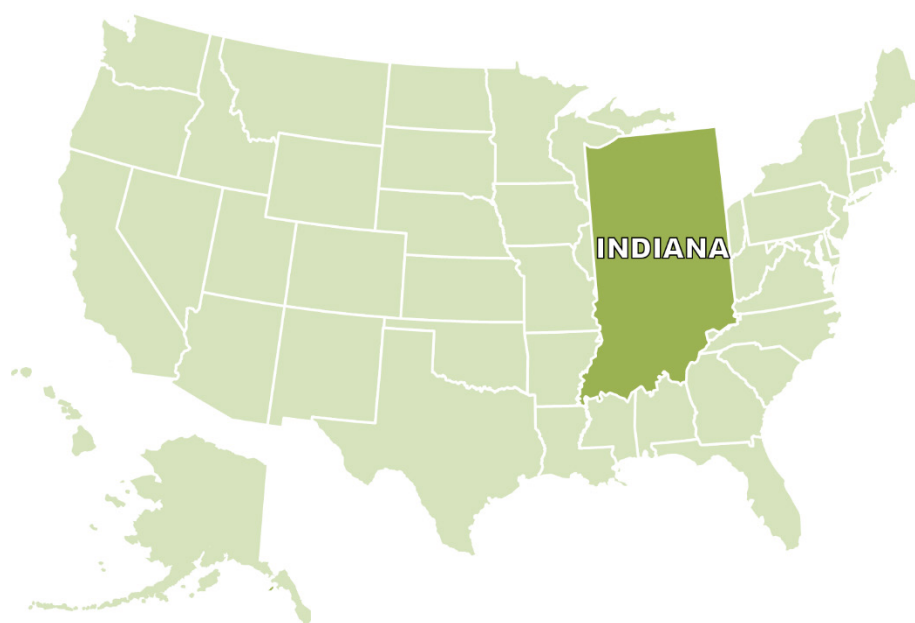
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## Indiana

**State Population: 6,633,053**

**Population Ages 12–20: 819,000**

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
<b>Ages 12–20*</b>	
Past-Month Alcohol Use – Number (Percentage)	176,000 (21.5%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	8,000 (2.9%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	45,000 (16.4%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	123,000 (44.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21	
<b>Alcohol-Attributable Deaths (under 21)</b>	92
<b>Years of Potential Life Lost (under 21)</b>	5,613
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
<b>Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC &gt; 0.01</b>	20
<b>Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver</b>	18%

\*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

## Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is possession allowed if parent or guardian is present or consents?</li> <li>Is possession allowed if spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is consumption allowed if the parent or guardian is present or consents?</li> <li>Is consumption allowed if the spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is internal possession allowed if the parent or guardian is present or consents?</li> <li>Is internal possession allowed if the spouse is present or consents?</li> </ul>	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A
Note: Indiana does not have a statute that specifically prohibits purchase, but it does prohibit purchasing or attempting to purchase alcohol in connection with making a false statement or using false evidence of majority or identity.	

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	No

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Note: A permittee may retain an apparently false ID card provided as proof of age, if the permittee has received alcohol server training.	

## Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 3 months (16 years, 9 months without driver education)
For night driving, when does adult supervision requirement begin?	10 PM (first 180 days, 10 PM; then, 11 PM Sunday through Friday and 1 AM on Saturday and Sunday)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation

Are there restrictions on passengers?	Yes, no passengers except immediate family, unless accompanied by parent or a licensed driver at least 21
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 180 days after issuance of intermediate license; unsupervised night driving restrictions remain until age 18)

## Laws Targeting Alcohol Suppliers

<b>Furnishing Alcohol to Minors</b>	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Compliance Check Protocols</b>	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20.75
Are there appearance requirements for the decoy?	Yes, age-appropriate dress and grooming
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

<b>Penalty Guidelines for Sales to Minors</b>	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

<b>Responsible Beverage Service (RBS)</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory

If training is mandatory, who must participate?	Licensees, Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

#### Minimum Ages for Sellers of Alcohol—Off-Premises (i.e., Liquor Stores)

What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	Yes

#### Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)

What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes
Notes:	

#### Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools

Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
<b>Primary and Secondary Schools</b>	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet. School has authority to override state prohibition for grocery store, drug store, restaurant, hotel, and catering halls.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet. School has authority to override state prohibition for grocery store, drug store, restaurant, hotel, and catering halls.
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: Exceptions are (1) restaurants in historic places or districts; (2) shopping malls and city markets.	

<b>Dram Shop Liability</b>	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of visible intoxication)
Does common law dram shop liability exist?	No

<b>Social Host Liability</b>	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of visible intoxication)
Does common law social host liability exist?	No

<b>Prohibitions Against Hosting Underage Drinking Parties</b>	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge (host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

<b>Retailer Interstate Shipments of Alcohol</b>	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited



Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Note: Brewers who manufacture not more than 90,000 barrels of beer in a single calendar year may ship up to one-half barrel of beer directly to Indiana consumers without being subject to the restrictions placed on wine shipments.	

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1000)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (6.75 to 15.75 gallons in a single transaction depending on the type of retail license)
Wine	Yes
Spirits	Yes (4 to 12 quarts in a single transaction depending on the type of retail license)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

## Laws Affecting Alcohol Pricing

Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.12
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.47
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 6–14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.68
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 15–50% alcohol spirits if applicable	\$0.47/gallon applies to an alcoholic beverage that contains 15% or less.

<b>Low-Price, High-Volume Drink Specials</b>	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes (full-day price reductions not banned)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

<b>Wholesaler Pricing Restrictions</b>	
<b>Beer</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	Post and hold (7 days)

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Wine</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	Post and hold (7 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
<b>Spirits</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	Post and hold (7 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
<p>Note: All prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a time period to insure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.</p>	

## Indiana State Survey Responses

### State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Indiana State Excise Police

### Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Indiana Alcohol Tobacco Commission/Excise Police

Such laws are also enforced by local law enforcement agencies No

### Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession<sup>1</sup> by state law enforcement agencies 2,348

Number pertains to the 12 months ending 12/31/2016

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations<sup>2</sup> to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state<sup>3</sup> 11,182

Number of licensees checked for compliance by state agencies (including random checks) 10,223

Number of licensees that failed state compliance checks 636

Numbers pertain to the 12 months ending 12/31/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 10,223

Number of licensees that failed **random** state compliance checks 636

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

### Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state<sup>4</sup> 592

Total amount in fines across all licensees \$378,825

Smallest fine imposed \$250

Largest fine imposed \$1,000

Numbers pertain to the 12 months ending	12/31/2016
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state <sup>5</sup>	0
Total days of suspensions across all licensees	0
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	0
Numbers pertain to the 12 months ending	12/31/2016
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed <sup>6</sup>	0
Numbers pertain to the 12 months ending	12/31/2016

**Additional Clarification**

No data

<sup>1</sup> Or having consumed or purchased per state statutes.

<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.

<sup>4</sup> Does not include fines imposed by local agencies.

<sup>5</sup> Does not include suspensions imposed by local agencies.

<sup>6</sup> Does not include revocations imposed by local agencies.

**Underage Drinking Prevention Programs Operated or Funded by the State**

**Indiana Coalition to Reduce Underage Drinking (ICRUD)**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information: <a href="http://www.icrud.org/">http://www.icrud.org/</a>	

**Program Description:** Indiana Coalition to Reduce Underage Drinking (ICRUD) is a statewide coalition of advocates concerned about the impact of underage drinking on individuals, community, and the state. The goal of ICRUD is to reduce youth access to alcohol through education, awareness, and evidence based public policies. ICRUD provides training and technical assistance to communities to build the information base and skills needed to prevent and reduce underage drinking. ICRUD is the leader in the state on underage drinking prevention policy and advocacy.

**Partnership for Success (PFS)**

Program serves specific or general population	Specific population
Number of youth served	4,061
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information: <a href="https://www.samhsa.gov/grants/grant-announcements/sp-14-004">https://www.samhsa.gov/grants/grant-announcements/sp-14-004</a>	

**Program Description:** Partnership for Success (PFS) grant programs aim to reduce substance misuse and strengthen prevention capacity at the state, tribe, and jurisdiction levels. They do this by helping grantees leverage and realign statewide funding streams for prevention. PFS is based on the premise that changes at the

community level will lead to measurable changes at the state level. Through collaboration, states and their PFS-funded communities of high need can overcome challenges associated with substance misuse. PFS programs also aim to bring SAMHSA's Strategic Prevention Framework (SPF) to a national scale, giving grant recipients the chance to acquire more resources to implement the SPF.

#### Additional Underage Drinking Prevention Programs Operated or Funded by the State

**Program description:** No data

#### Additional Clarification

No data

#### Additional Information Related to Underage Drinking Prevention Programs

*State collaborates with federally recognized tribal governments in the prevention of underage drinking* No recognized tribal governments

Description of collaboration: Not applicable

*State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing* No

Description of program: Not applicable

*State has adopted or developed best practice standards for underage drinking prevention programs* Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMSHA National Registry of Evidenced-based Programs Yes

Agency(ies) within your state: Evidence Based Work-group Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Indiana relies on guidance from NREPP, CSAP, the EBP Work-group, and DMHA-funded communities based on community assessments that relate to EBP strategies to address these needs.

#### Additional Clarification

- Indiana uses the annual epidemiology report on consumption and consequences of alcohol, tobacco and other drugs in Indiana to assess underage drinking and alcohol misuse rates for the state. ([https://secure.in.gov/fssa/dmha/files/Indianas\\_Evidence\\_Based\\_Practice\\_Guide\\_Feb\\_16.pdf](https://secure.in.gov/fssa/dmha/files/Indianas_Evidence_Based_Practice_Guide_Feb_16.pdf))
- DMHA collaborates with Indiana Prevention Resource Center (IPRC) to collect and disseminate an annual youth ATOD survey which outlines underage alcohol use rates in Indiana. ([http://inys.indiana.edu/docs/survey/indianaYouthSurvey\\_2016.pdf](http://inys.indiana.edu/docs/survey/indianaYouthSurvey_2016.pdf))

#### State Interagency Collaboration

*A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities* Yes

*Committee contact information:*

Name: Julie Gries

Email: [Julie.Gries@fssa.IN.gov](mailto:Julie.Gries@fssa.IN.gov)

Address: 402 W. Washington Street, Room W353, Indianapolis, IN 46204

Phone: 317-232-7894

*Agencies/organizations represented on the committee:*

Indiana State Department of Education

Indiana State Department of Children Services

Indiana Criminal Justice Institute

Indiana State Department of Health Tobacco Prevention

Marion County Public Health Department of Chronic Diseases

Indiana Prevention Resource Center

Community Representatives

*A website or other public source exists to describe committee activities* No

URL or other means of access: Not applicable

**Underage Drinking Reports**

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Indiana Prevention Resource Center	
Plan can be accessed via: <a href="http://inys.indiana.edu/docs/survey/indianaYouthSurvey_2016.pdf">http://inys.indiana.edu/docs/survey/indianaYouthSurvey_2016.pdf</a>	

**Additional Clarification**

<http://drugs.indiana.edu/indiana-college-survey/substance-use-survey> This report will serve as additional data on underage drinking for college aged individuals.

**State Expenditures for the Prevention of Underage Drinking**

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$70,030.38
Estimate based on the 12 months ending	12/31/2016
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$67,754.85
Estimate based on the 12 months ending	12/31/2016
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$7,233.96
Estimate based on the 12 months ending	12/31/2016
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Other programs:</i>	
Programs or strategies included: Compliance checks in alcohol retail outlets	
Estimate of state funds expended:	\$225,000
Estimate based on the 12 months ending:	06/30/2017

**Funds Dedicated to Underage Drinking**

*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	Yes
Fees	Yes
Other: Not applicable	No

*Description of funding streams and how they are used:*

The fees and fines collected are disseminated to the local coordinating councils (LCC's) in all 92 counties in Indiana. The LCC's use community assessments to address underage drinking and other substance abuse, misuse, prevention, and treatment.

Compliance checks are from the Gallonage Fund which are tax-based.

**Additional Clarification**

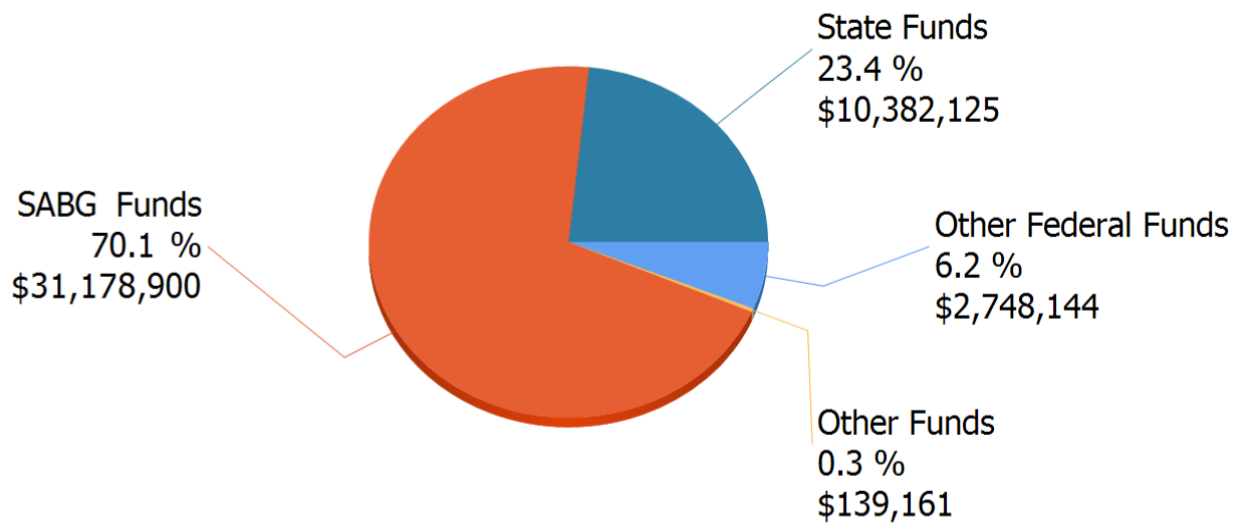
All other underage drinking prevention initiatives are funded with Federal Block Grant (SAPT) funds.



In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Indiana used for expenditures on substance abuse prevention and treatment in 2017. As indicated, SABG funds and state funds account for the largest sources (70.1 percent and 23.4 percent respectively).<sup>29</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, Indiana designated preventing substance abuse among college students and others as part of the number one priority for use of SABG funds.<sup>30</sup>

**Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment**



<sup>29</sup> WebBGAS State Profile, 2017 SABG and MHBG Reports– Indiana 2017

<sup>30</sup> FY 2018/2019 – (Indiana) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators



***SAMHSA***  
Substance Abuse and Mental Health  
Services Administration