

REPORT TO CONGRESS ON THE PREVENTION AND REDUCTION OF UNDERAGE DRINKING

State Reports: Nebraska—Wyoming
Appendices

2017



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Substance Abuse and Mental Health Services Administration
www.samhsa.gov

Time period covered by this version of the Report to Congress: The 2017 version of the Report to Congress on the Prevention and Reduction of Underage Drinking primarily includes data from calendar year 2016. Epidemiological data in Chapters 1 and 2 draw from the most recently available federal survey data as of 2016. Chapter 3 includes data on ICCPUD member agency underage drinking activities in calendar year 2016. The state legal data reported in Chapter 4 reflects the state of the law as of January 1, 2016. The state survey data presented in Chapter 4 was collected in 2016, and is drawn from the most recent 12-month period in which the states maintained the data. Chapter 5 describes 2016 activities conducted by the Underage Drinking Prevention National Media Campaign.

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State Reports: Nebraska—Wyoming

Appendices

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STATE REPORTS: Nebraska—Wyoming



Nebraska

State Population: 1,896,190

Population Ages 12–20: 233,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	49,000 (21.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (1.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	13,000 (16.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	36,000 (43.3%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	26
Years of Potential Life Lost (under 21)	1,567
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	5
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	11%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in parent/guardian's home only
Notes: Nebraska makes an exception for persons who are at least 16 years old to carry alcohol from licensed establishments when they are accompanied by any person who is not a minor.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in parent/guardian's home only

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A
Notes: Nebraska law provides that "...no minor may...consume, or have in his or her possession or physical control any alcoholic liquor..." "Consume" is defined as "knowingly and intentionally drinking or otherwise ingesting alcoholic liquor." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for	Yes

information digitally encoded on valid IDs?	
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	No
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0 (with driver education; 50 hours without [10 of which must be at night])
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM

Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 19 who is not an immediate family member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 (Passenger restrictions expire 6 months after issuance of intermediate license; unsupervised night driving restrictions remain until age 17)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, minors shall, in their dress and appearance, be within the range of normal for minors in that geographic area. Hats or caps, if worn, should be worn in a manner that is normal for their age and shall not be pulled down over their eyes.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Recommended

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	4 years
What is the penalty for the first offense?	10 to 20 days (see note below for fine equivalent); with guilty plea 5 days upon written certification from licenses that person making sale had completed training program approved by Commission within the past 3 years.
What is the penalty for the second offense?	Occurring within 4 years: 2 days of license suspension plus 20 days (fine equivalent) ; within 3 years: 2 days of license suspension plus 30 days (fine equivalent); within 2 years: 2 days of license suspension plus 40 days (fine equivalent); within 1 year -- 2 days of license suspension plus 50 days (fine equivalent)
What is the penalty for the third offense?	5 days of license suspension plus 20 days (fine equivalent)
What is the penalty for the fourth offense?	Cancellation of license
Notes: 1st suspension is \$50 per day. All subsequent suspensions are \$100 per day. If previous violation is 10 years or longer, penalty would be half (e.g., \$50 instead of \$100).	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Notes: Although Nebraska law states a 300 foot limit, the Commission may waive it. If outlet is surrounded by or adjacent on two sides to University/College, then University/College must approve.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 150 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 150 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Injury or property damage must be a proximate result of the negligence of an intoxicated minor.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No
Notes: Injury or property damage must be a proximate result of the negligence of an intoxicated minor.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 / 3 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 / 3 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes

Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.31
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.95

Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.75
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No

Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Nebraska State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Nebraska State Patrol

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Nebraska State Patrol Investigative Services
Such laws are also enforced by local law enforcement agencies	No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 855

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 5,753

Number of licensees checked for compliance by state agencies (including random checks) 575

Number of licensees that failed state compliance checks 40

Numbers pertain to the 12 months ending 4/1/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 2,602

Number of licensees that failed local compliance checks 176

Numbers pertain to the 12 months ending 4/1/2016

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 178

Total amount in fines across all licensees No data

Smallest fine imposed \$50

Largest fine imposed \$5,200

Numbers pertain to the 12 months ending 12/30/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	254
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	65
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Communities Mobilizing for Change on Alcohol (CMCA)

Program serves specific or general population	General population
Number of youth served	33,230
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.epi.umn.edu/alcohol/cmca/index.shtm

Program Description: Communities Mobilizing for Change on Alcohol (CMCA) is a community-organizing effort designed to change policies and practices of major community institutions in ways that reduce teenagers' access to alcohol (target ages includes 13- to 20-year-olds) by using environmental strategies.

Nebraska's Enforcing Underage Drinking Laws (EUDL)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Nebraska's Enforcing Underage Drinking Laws (EUDL) program is led by the Nebraska Office of Highway Safety (NOHS). The NOHS was established in 1967 to coordinate, develop, and implement Nebraska's annual traffic safety plan in accordance with the Federal Highway Safety Act of 1966. Alcohol awareness activities are coordinated through the NOHS to insure continuity, uniformity, and comprehensiveness in this area. The reduction of fatal and injury crashes requires the continued combined efforts of an informed public and dedicated government officials willing to address alcohol issues. A good working relationship, including resources and support for local officials, businesses, and others in the community, between the NOHS staff and its partners, is essential for improved compliance with impaired driving and underage age drinking laws. This coordination and

assistance provides an essential element in a successful alcohol awareness program for our state.

Alcohol: True Stories

Program serves specific or general population	Specific population
Number of youth served	706
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=232

Program Description: Alcohol: True Stories is a multimedia intervention designed to prevent or reduce alcohol use among young people in grades 5-12 by positively changing the attitudes of youth and their parents and other caregivers in regard to youth drinking. The intervention features a 20-minute video, hosted by Matt Damon, which tells the stories of four adolescents' experiences with alcohol. Story topics include drinking and driving, lost opportunities, addiction, alcohol-related violence, and the effects of alcohol use on relationships. Through the four stories, the video addresses reasons that motivate young people to drink: to fit in, to ease social interaction, to relieve stress, to have fun, and because they are addicted. The young people profiled describe the consequences of underage drinking and the benefits of waiting to drink alcohol until after reaching legal age, and they offer healthy coping strategies for stressful life events as well as methods to avoid drinking alcohol. The video is accompanied by a discussion guide, which is designed to provoke candid conversation regarding alcohol use and to help young people internalize anti-underage drinking messages and think critically about their own decision-making regarding alcohol use.

All Stars

Program serves specific or general population	Specific population
Number of youth served	1,077
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.allstarprevention.com

Program Description: All Stars programs are designed to prevent, reduce, and eliminate negative behaviors and promote positive behaviors. Each All Stars program, and every session and activity within All Stars, achieves these goals by changing reasons why young people engage in negative behaviors. The various All Stars programs address the following concepts to some degree:

- Beliefs about consequences
- Bonding
- Commitment to not use or reduce use
- Decision making and impulsivity control
- Goal setting
- Idealism
- Norms
- Parental attentiveness
- Resistance skills training
- Self-management

Brief Alcohol Screening and Intervention for College Students (BASICS)

Program serves specific or general population	Specific population
Number of youth served	406

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://depts.washington.edu/abrc/basics.htm

Program Description: Brief Alcohol Screening and Intervention for College Students (BASICS) is a prevention program for college students who drink alcohol heavily and have experienced or are at-risk for alcohol-related problems. Following a harm reduction approach, BASICS aims to motivate students to reduce alcohol use in order to decrease the negative consequences of drinking. It is delivered over the course of two 1-hour interviews with a brief online assessment survey taken by the student after the first session. The first interview gathers information about the student's recent alcohol consumption patterns, personal beliefs about alcohol, and drinking history, while providing instructions for self-monitoring any drinking between sessions and preparing the student for the online assessment survey. Information from the online assessment survey is used to develop a customized feedback profile for use in the second interview, which compares personal alcohol use with alcohol use norms, reviews individualized negative consequences and risk factors, clarifies perceived risks and benefits of drinking, and provides options to assist in making changes to decrease or abstain from alcohol use. Based on principles of motivational interviewing, BASICS is delivered in an empathetic, nonconfrontational, and nonjudgmental manner and is aimed at revealing the discrepancy between the student's risky drinking behavior and his or her goals and values. The intervention is delivered by trained personnel proficient in motivational interviewing and may be tailored for use with young adults in settings other than colleges.

Class Action

Program serves specific or general population	Special population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Class Action is the second phase of the Project Northland alcohol-use prevention curriculum series. Class Action (for grades 11-12) and Project Northland (for grades 6-8) are designed to delay the onset of alcohol use, reduce use among youths who have already tried alcohol, and limit the number of alcohol-related problems experienced by young drinkers. Class Action draws upon the social influence theory of behavior change, using interactive, peer-led sessions to explore the real-world legal and social consequences of substance abuse. The curriculum consists of 8-10 group sessions in which students divide into teams to research, prepare, and present mock civil cases involving hypothetical persons harmed as a result of underage drinking. Using a casebook along with audiotaped affidavits and depositions, teens review relevant statutes and case law to build legal cases they then present to a jury of their peers. Case topics include drinking and driving, fetal alcohol syndrome, drinking and violence, date rape, drinking and vandalism, and school alcohol policies. Students also research community issues around alcohol use and become involved in local events to support community awareness of the problem of underage drinking. Class Action can be used as a booster session for the Project Northland series or as a stand-alone program.

HALO

Program serves specific or general population	Special population
Number of youth served	377
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable

URL for more program information:

<http://legacy.nreppadmin.net/ViewIntervention.aspx?id=175>

Program Description: Healthy Alternatives for Little Ones (HALO) is a 12-unit holistic health and substance abuse prevention curriculum for children ages 3-6 in childcare settings. HALO is designed to address risk and protective factors for substance abuse and other health behaviors by providing children with information on healthy choices. The program aims to help children understand the complexities of health and healthy choices by putting these abstract concepts into concrete terms they can understand. In HALO, health is defined as "growing bigger, stronger, and better able to think." The curriculum encourages healthy eating, exercise, and emotion recognition and educates children about the harmful effects of alcohol, tobacco, and other drugs (ATOD) on the body.

Project Northland

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	216
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Project Northland is a multilevel intervention involving students, peers, parents, and the community in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered to adolescents in grades 6-8 on a weekly basis, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use utilizing student-parent homework assignments, in-class group discussions, and a community-wide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use, and is implemented through discussions, games, problem-solving tasks, and role-plays. During the first half of the 8th-grade, through the Powerlines peer-led program, students learn about community dynamics related to alcohol use prevention through small group and classroom interactive activities. During the second half, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Lead & Seed

Program serves specific or general population	Specific population
Number of youth served	1,426
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://www.alutiiq.com/capabilities/lead-seed/

Program Description: Lead & Seed is an environmental drug prevention program for schools or communities that is youth-driven and adult-supported. It has been replicated in all regions of the United States. The program focuses on underage alcohol consumption, prescription drug misuse, drunk driving, and marijuana and illicit drug use. It involves an interactive, two-day training with youth leaders from middle and/or high school and adults who will work with the youth to capture the desired outcomes. The team develops a logic model using compelling data from their community assessment to drive the process for innovative solutions and sustainability of positive outcomes. The empowered team promotes community mobilization, social marketing techniques, leadership skills, and other advocacy measures to make necessary changes in their physical, socio-cultural, economic, and legal environments.

The Strengthening Families Program (SFP)

Program serves specific or general population	Specific population
Number of youth served	312
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.strengtheningfamiliesprogram.org/

Program Description: The Strengthening Families Program (SFP) is a nationally and internationally recognized parenting and family strengthening program for high-risk and general population families. SFP is an evidence-based family skills training program found to significantly improve parenting skills and family relationships; reduce problem behaviors, delinquency, and alcohol and drug abuse in children; and improve social competencies and school performance. Child maltreatment also decreases as parents strengthen bonds with their children and learn more effective parenting skills.

Additional Underage Drinking Prevention Programs Operated or Funded by the State**Program Description:**

- DARE to be You (DTBY) is a multilevel prevention program serving high-risk families with children 2 to 5 years old (<http://legacy.nreppadmin.net/ViewIntervention.aspx?id=65>). Program objectives focus on children's developmental attainments and aspects of parenting that contribute to youth resilience to later substance abuse, including parental self-efficacy, effective child rearing, social support, and problem-solving skills.
- PRIME For Life (PFL) is a motivational intervention used in group settings to prevent alcohol and drug problems or provide early intervention (<http://legacy.nreppadmin.net/ViewIntervention.aspx?id=12>).
- Creating Lasting Family Connections (CLFC) is a family-focused program that aims to build the resiliency of youth aged 9 to 17 years and reduce the frequency of their alcohol and other drug (AOD) use (<http://legacy.nreppadmin.net/ViewIntervention.aspx?id=82>).

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: Partnership through various initiatives occurs through the work of the Nebraska Prevention Advisory Council whose membership includes tribal representation.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Program Description: Yes, many of the locally established community coalitions that are targeting underage drinking prevention initiatives have included efforts aimed at youth exposure to alcohol advertising, promotions and marketing, including some that are initiated by youth members themselves.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): USDOJ/OJJDP, NHTSA, SAMHSA, NREPP	Yes
Agency(ies) within your state: NE Department of Health and Human Services	Yes
Nongovernmental agency(ies):	No
Other: PIRE, CAPT, CADCA	Yes
Best practice standards description: <i>The Surgeon General's Call to Action To Prevent and Reduce Underage Drinking</i> (HHS, 2007) provides the framework for our underage drinking prevention initiatives. Additional guidance is utilized from the both of the following sources: <i>Interagency Coordinating Committee on the Prevention of Underage Drinking (ICCPUD)</i>	

2015 Report to Congress (RTC) on the Prevention and Reduction of Underage Drinking

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Fred E. Zwonechek (Nebraska Underage Drinking Task Force)
 Email: fred.zwonechek@nebraska.gov
 Address: P.O. Box 94612, Lincoln, NE 68509-4612
 Phone: 402-471-2515

Agencies/organizations represented on the committee:

- Department of Health and Human Services
- Project Extra Mile
- Nebraska State Patrol
- Nebraska Crime Commission
- Nebraska Liquor Control Commission
- Nebraska Attorney General
- Nebraska Department of Motor Vehicles
- University of Nebraska-Lincoln and UNL Police Department
- Lincoln Police Department
- State Probation Office
- Nebraska Medical Association
- Nebraska MADD
- Nebraska Public Health Association
- Nebraska Sheriff's Association

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes
 Prepared by: Department of Health and Human Services, Prevention Advisory Council
 Plan can be accessed via: http://dhhs.ne.gov/behavioral_health/Documents/DBH-PreventionStrategicPlan2013-17.pdf

State has prepared a report on preventing underage drinking in the last 3 years Yes
 Prepared by: Department of Health and Human Services
 Plan can be accessed via: No data

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended \$15,000
 Estimate based on the 12 months ending 12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended \$22,000
 Estimate based on the 12 months ending 12/31/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$198,546
 Estimate based on the 12 months ending 12/31/2015

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$52,977
 Estimate based on the 12 months ending 12/31/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$32,791
Estimate based on the 12 months ending	12/31/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	

Additionally, Nebraska allocates a significant amount of federal dollars to fund underage drinking prevention initiatives in high risk communities. The efforts are supported through the state's Substance Abuse Prevention and Treatment Block Grant and 2013 Strategic Prevention Framework Partnership for Success Grant.



Nevada

State Population: 2,890,845

Population Ages 12–20: 337,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	84,000 (24.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	7,000 (6.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	24,000 (21.4%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	53,000 (45.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	42
Years of Potential Life Lost (under 21)	2,543
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	14%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in any private location

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	730

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no passengers under 18 unless they are immediate family members
Can law enforcement stop driver for violation of passenger	No, officer must stop driver for

restrictions as a primary offense?	another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (Passenger restrictions expire after 6 months; unsupervised night driving restrictions remain until age 18)
Notes: Driver's education course requirement for persons under 18, Exception: If a drivers education course is not offered within a 30-mile radius of a person's residence, the person may instead complete an additional 50 hours of supervised driving.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Mandatory
If training is mandatory, who must participate?	Servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A

• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both
Notes: The applicability of Nevada's "alcoholic beverage awareness program" to on-sale retailers is limited to establishments located in a jurisdiction that: (a) is located in a county whose population is 100,000 or more; or (b) is located in a county whose population is less than 100,000, if the governing body of the jurisdiction has, by the affirmative vote of a majority of its members, agreed to be bound by the provisions of the law." In addition, the program applies to off-sale retail establishments in counties whose populations are 700,000 or more.	

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	16
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowingly furnishing a minor or knowingly allowing a minor to consume alcohol on premises that social host controls
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain
Notes: A person may import from another state one gallon or less of alcohol per month for personal use. It is uncertain whether an out-of-state retailer may ship alcohol directly to the consumer for personal use.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	

Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law
Notes: Regulated by county and city governments.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 80 percent)
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.70
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.60
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	Distilled spirits over 14% and up to 22% are taxed at \$1.30 per gallon.
Notes: An additional excise tax of \$1.50 per gallon may be imposed on all liquor containing an alcohol content of more than 22%, but only if the federal gallonage tax imposed by 26 U.S.C. § 5001 is reduced to \$9 per gallon. This additional tax is not collected.	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 41 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 41 days

Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 41 days

Nevada State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Nevada Department of Health and Human Services, Juvenile Justice Programs Office

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state ³	No data
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts random underage compliance checks/decoy operations	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Nevada does not have a state-level Alcohol Beverage Control agency. The Enforcing Underage Drinking Laws (EUDL) funds provided by the Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP), awarded to the Nevada Department of Health and Human Services were granted to local law enforcement agencies to conduct EUDL operations including: Alcohol Sale to Minors Compliance Checks, Third Party Purchaser (shoulder tap operations), Juvenile Party Dispersals, Fake ID Intervention, Saturation Patrols, and Juvenile DUI Prevention. These funds are no longer available to Nevada. However, the prevention coalitions have focused on changing local policies to combat underage drinking. Since 2010, the following have been enacted in Clark County, home to Las Vegas: 1) tavern limited licenses to require all alcohol owners/sellers to obtain a work card confirming underage alcohol management and control training and background check clearance; 2) mandatory alcohol server training; 3) ban on any new package stores on Fremont Street (high density area); 4) restrictions on alcohol advertising in windows; 5) ban on open glass and aluminum liquor containers; 6) ban on the sale of hard liquor minis; 7) ban on beer with alcohol content of 11 percent or more; 8) ban on the sale of malt liquor or beer in 32-ounce containers; 9) prohibiting the opening of any packaged liquor on the Fremont Street Experience mall; 10) ban on those under age 21 from entering lounge areas unless accompanied by adult 21 years or older; 11) enactment of curfew law for youth under age 21; and 12) stricter enforcement of liquor sale violations.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Responsible Beverage Server Training

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not Applicable

Program Description: Nevada's Responsible Beverage Server Training law requires all servers and sellers of alcohol in counties of more than 400,000 people to complete a training with established curriculum and standards related to responsible beverage server practices. This includes avoiding the provision of alcohol to minors and to customers clearly impaired from alcohol consumption. The curriculum includes denying sales, local alcohol ordinances, and fake ID identifications. Two counties have more than 400,000 residents: Clark County (Las Vegas area) with more than 2 million residents (70% of Nevada's population), and Washoe County (Reno area) with just over 400,000 (20% of Nevada's population). The remaining 15 counties all have under 55,000 residents. Local law

enforcement officer’s check for server training cards when they conduct alcohol sale to minors compliance operations. A notice of infraction is issued to the business if an employee does not have a current card, and a copy is sent to the Nevada Department of Taxation. The Department then sends the notice of fine to the business. Revenue from the fines is divided in half: 50 percent to the Victims Restitution Fund and 50 percent to EUDL. The EUDL funds from these fines are used to purchase equipment needed by law enforcement officers to conduct EUDL operations.

Peace Officer Enforcing Underage Drinking Laws Accredited Training Programs

Program serves specific or general population	Specific population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: Working with Nevada's EUDL program, Nevada's Peace Officer Standards and Training (POST) has approved training for peace officers for continuing education units in the following EUDL strategic operations: alcohol sale to minors, compliance checks, third-party purchaser operations, special events, alcohol control, DUI prevention and interventions, fake ID identification, and intervention controlled juvenile party dispersal. Nevada uses trainers available through the Underage Drinking Enforcement and Training Center (UDETTC) and has a UDETTC trainer in Nevada located within the Las Vegas Metropolitan Police Department. Three other local trainers serving the northern and rural parts of the state are approved to conduct these training sessions as well.

University of Nevada, Reno (UNR) Student Conduct Underage Drinking Intervention Program

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The University of Nevada, Reno (UNR), in collaboration with the Nevada EUDL project, received a discretionary grant from OJJDP to create effective responses to alcohol violations on campus that would incorporate violations off campus as well. This effort has been successful, as there is currently a coordinated effort both on and off campus. The responses are:

1. Brief Alcohol Screening and Intervention for College Students (BASICS), including an education conference, personal drinking behavior documentation, and follow up conference.
2. Substance Abuse Therapeutic Education to Ensure Personal Student Success (STEPS), including weekly psychoeducational classes led by a substance abuse counselor and addressing behaviors and choices, personalized assessment, drug testing, attending drug court and case management meetings.
3. Treatment, Responsibility, Accountability on Campus (onTRAC), including a personalized program of alcohol testing, individual and group counseling, case management meetings, student development activities, and wellness experiences. Random drug testing may also be used at this level. This program system has achieved a seven percent recidivism rate.

In addition to personal interventions, UNR changed policies on alcohol sales at sporting events, limiting sales to one drink per person at a time, stopping sales of alcohol at half-time, restricting tailgating areas, and screening at game entry to prohibit bringing in alcohol or admitting people who are clearly intoxicated. Friday night alternative activities are provided for all campus residents. These strategies began as part of the grant mentioned above, but have since been embedded into UNR policy, thus ensuring sustainability.

Nellis Air Force Base Initiative: Life of a Warrior

Program serves specific or general population	Specific population
Number of youth served	5,000
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Life of a Warrior is a program originally adapted from the Life of an Athlete program created by John Underwood. The idea of the program is to approach the young airmen as the ultimate 'team' as they should be living the 'Life of a Warrior.' Nellis Air Force Base usually has 10,000 airmen at any given time. This program reaches all of them with a presentation outlining the life of a warrior, including diet, sleep, central nervous system fatigue, workout preparation and recovery, and the effects of alcohol on overall and long-term performance. By embedding alcohol information within the context of ultimate performance and not as a separate 'don't drink' program, initial response has been positive. The message is reinforced with motivational posters, diet tips, exercise tips, an on-base 'extreme activity' group and individual competitions, and other alternative activity clubs. This program has recently been expanded to include after-school programming for the children of deployed airmen, a Color Run for spouses and children of deployed airmen, and social meetings for the spouses to support health while their loved one is deployed. Local law enforcement has set up DUI checkpoints on the road leading into Las Vegas from the base. Violations by airmen are reported to the base mental health services unit.

Nevada Interscholastic Activities Association (NIAA) Drug and Alcohol Policy

Program serves specific or general population	Specific population
Number of youth served	15,600
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Nevada Interscholastic Activities Association (NIAA) crafted an alcohol and drug policy that must be implemented by every high school sports program (including cheerleaders) to participate in NIAA sports. This program currently operates in Washoe County, Nye County, Carson City, Fallon, and at Nellis and Creech Air Force Bases in Clark County. The policy uses a three-step graduated sanctions approach. At the beginning of the season, every athlete and a parent must attend a presentation of the policy and sign their agreement to the three-level sanctions: 1st offense—2 week suspension from play (but athlete must still attend practices) and 10 hours of community service; 2nd offense—3 month suspension from play, and athlete must attend mandatory alcohol and other drug assessment and comply with assessment recommendations; 3rd offense—athlete is suspended from high school sports participation for the remainder of his/her high school career. This third offense may seem harsh, but at this point it is assumed the student has an alcohol or drug problem that participation in sports is not successfully preventing or deterring, and the student is in need of a more targeted treatment response. John Underwood, Director of the American Athletic Association, conducts presentations to athletes and parents to encourage a drug-free lifestyle. Topics include: nutrition, having fun without drugs, and drugs' effect on your health. All presentations are delivered using a 'fact perspective' rather than scare tactics. Facts are presented in a manner as to be relevant to the athlete (1 quart of beer = 1.5 quarts of hydration to make up for fluid loss).

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: The Nevada EUDL initiative collaborates with the Statewide Native American Coalition (SNAC) in the following ways: The SNAC Director is a member of the Statewide Nevada Coalition Partnership, providing direction and guidance to the EUDL project. The EUDL program has presented at statewide SNAC conferences, trained tribal law enforcement in EUDL operations, and purchased equipment needed for conducting these operations.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: There are 27 Native American tribes in Nevada. Substance use prevention work is the focus of the Statewide Native American Coalition (SNAC). The Nevada EUDL initiative collaborates with SNAC in the following ways: The SNAC Director is a member of the Nevada Statewide Coalition Partnership, providing direction and guidance to the EUDL project. The EUDL program has presented at statewide SNAC conferences, trained tribal law enforcement in EUDL operations, and purchased equipment needed for conducting these operations.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: Nevada Department of Health and Human Services, Juvenile Justice Programs Office Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Best practice standards are reviewed by the UDETC and the Pacific Institute of Research and Evaluation (PIRE). Standards approved by these two institutions are adopted by the Nevada Juvenile justice Programs Office. The EUDL project develops goals and objectives and creates related work plans for approval by UDETC and PIRE, and ultimately OJJDP.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Linda Lang
 Email: lindalang1628@gmail.com
 Address: 1711 North Roop Street, Carson City, NV 89706
 Phone: 775-841-4730 x201

Agencies/organizations represented on the committee:

- CARE Coalition
- Partnership Carson City
- Partnership of Community Resources
- Nevada Community Prevention Coalition
- Partners Allied for Community Excellence Coalition
- Healthy Communities Coalition of Lyon and Storey Counties
- Frontier Community Coalition
- Join Together Northern Nevada
- PACT Coalition
- Nye Communities Coalition
- Inter-Tribal Council of Nevada, Statewide Native American Coalition
- Churchill Community Coalition
- University of Nevada Center for the Application of Substance Abuse Technologies (CASAT) Clearinghouse
- Mothers Against Drunk Driving

A website or other public source exists to describe committee activities No

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Nevada Juvenile Justice Programs Office

Plan can be accessed via: Plan can be accessed via: Contact Kathy Bartosz: 775-841-4730 x206

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included: State funds are pass-through dollars to the 12 coalitions to fund direct prevention providers for alcohol and drug prevention evidence-based programs. There is no targeted funding specific to underage drinking.

Estimate of state funds expended: Data not available

Estimate based on the 12 months ending: Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No

Fines Yes

Fees No

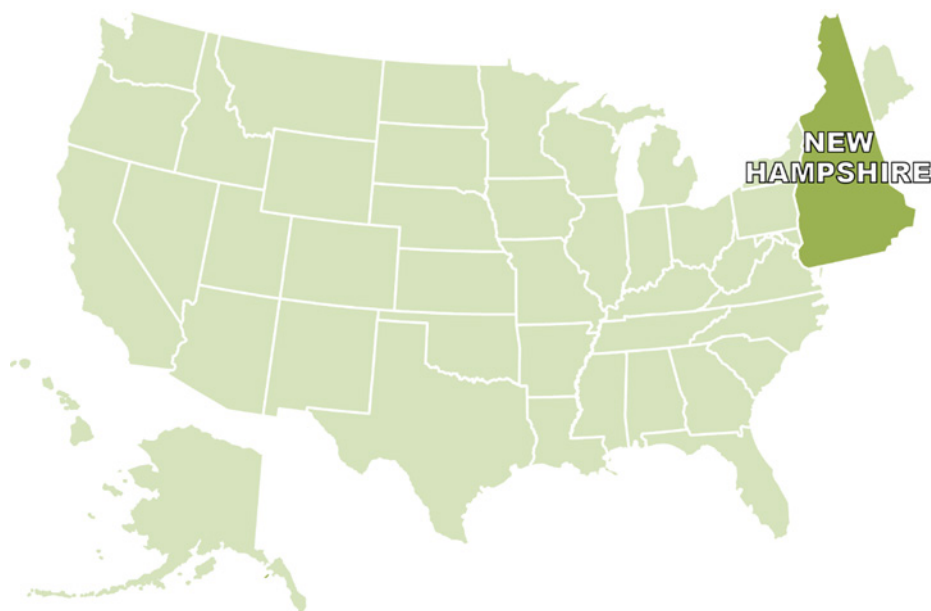
Other: Allocation from the Nevada State Legislature Yes

Description of funding streams and how they are used:

The Department of Public Safety, Office of Traffic Safety, receives both federal dollars and fines from traffic violations for media campaigns that include underage drinking issues. The Office also grants dollars to many of the coalitions for education and outreach in local communities on underage drinking and impaired driving.

Additional Clarification

The Department of Taxation collects fines for businesses not complying with the mandatory server training law. Half of the fines collected go into a fund for the EUDL program. No alcohol taxes collected go to prevention, but they are used for treatment, including treatment of underage drinking. The Behavioral Health Prevention and Treatment Agency receives state general funds for alcohol and drug prevention that are passed through to local coalitions and treatment providers. Coalitions conduct needs assessments and use local and state data to determine the priority needs/gaps to fund. They each submit a Comprehensive Community Prevention Plan (CCPP) to the state and fund evidence-based alcohol and drug prevention programs within their service areas that target specific age groups and populations of high need, as determined by their CCPP.



New Hampshire

State Population: 1,330,608

Population Ages 12–20: 165,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	55,000 (33.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	2,000 (4.2%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	12,000 (23.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	41,000 (62.3%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	9
Years of Potential Life Lost (under 21)	543
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	2
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	20%

*Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	365
Notes: Although New Hampshire does not authorize a use / lose penalty for all underage consumption, the law imposes a discretionary license sanction on minors who are intoxicated by consumption of an alcoholic beverage, and provides that an alcohol concentration of .02 or more shall be prima facie evidence of intoxication.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	0
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	1:00 AM

Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one non-family passenger under 25, unless accompanied by driver over 25.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (Passenger restrictions expire after 6 months; unsupervised night driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, age assessment panel. Casual attire; average height and build. If decoy is 20 years of age, must appear to be between 17-19. Male: no facial hair. Female: minimal makeup.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	2 years

What is the penalty for the first offense?	\$500 fine, 4 license points, 3-day suspension (for non-compliance check violations)
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified
Notes: Mitigating and aggravating factors considered. Only one compliance check annually shall incur license points.	

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Manager
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons?	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	New

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	16
Does a manager or supervisor have to be present?	Yes
Notes: To act as a cashier in a selling capacity, a minor is required to be at least 16 years of age, providing a person at least 18 years of age is in attendance and is designated in charge of the employees and business.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: New Hampshire law provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/consumption/ intention to possess or consume
Property type covered by the law?	Residential/outdoor/other

What level of knowledge by the host is required?	Overt act: Host must have actual knowledge and commit act that contributes to party's occurrence
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes, family members
Notes: In New Hampshire, an "underage alcohol house party" means a gathering of five or more people under the age of 21 at any occupied structure, dwelling, or curtilage, where at least one person under the age of 21 unlawfully possesses or consumes an alcoholic beverage. A person is guilty of a misdemeanor if he or she owns or has control of the occupied structure, dwelling, or curtilage where an underage alcohol house party is held and he or she knowingly commits an overt act in furtherance of the occurrence of the underage alcohol house party knowing persons under the age of 21 possess or intend to consume alcoholic beverages. The "preventive action" provision in New Hampshire allows the defendant to avoid criminal liability by establishing, as an affirmative defense, that he or she took preventive action with respect to the underage alcohol house party.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000)

Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, New Hampshire is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 10 days
Wine	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Notes: Wholesalers are required to make their current beer prices available to the commission in writing.	

New Hampshire State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
New Hampshire Liquor Commission Division of Enforcement	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	NH Liquor Commission Division of Enforcement
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	98
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	5,214
Number of licensees checked for compliance by state agencies (including random checks)	152
Number of licensees that failed state compliance checks	23
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	152
Number of licensees that failed random state compliance checks	23
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Don't know/No answer
Data are collected on these activities	Don't know/No answer
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	171
Total amount in fines across all licensees	\$95,250
Smallest fine imposed	\$500
Largest fine imposed	\$1,600
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	45
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Regional Public Health Networks Substance Misuse Prevention (calendar year 2015)

Program serves specific or general population	General population
Number of youth served	1,367,615 (duplicated numbers)
Number of parents served	No data
Number of caregivers served	1,496,633 (duplicated numbers)
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.dhhs.nh.gov/dcbcs/bdas/prevention.htm

Program Description: As of July 2013, the Bureau of Drug and Alcohol Services and the Division of Public Health Services combined our regionalizing structures into 13 Regional Public Health Networks that encompass every community in New Hampshire. The Network System is the state’s primary prevention delivery system for substance misuse prevention efforts. Each network has Public Health Advisory Councils representing the communities, cultures, and sectors of a defined region, including key organizations involved in public health activities that assess needs, guide decisionmaking, and encourage shared resources and investments in positive health outcomes. For alcohol and other drug prevention efforts, each regional network has one full-time, certified prevention specialist who is responsible for substance misuse prevention utilizing evidence-based practices, policies, and programs. Each network conducts primary prevention initiatives and has a three-year substance misuse prevention plan that includes underage drinking initiatives.

Life of an Athlete (calendar year 2015)	
Program serves specific or general population	General population
Number of youth served	88 middle schools and high schools and 1,336 youth
Number of parents served	Not collected
Number of caregivers served	Not collected
Program has been evaluated	Yes

Evaluation report is available	No
URL for evaluation report:	Report is not posted to the website
URL for more program information:	http://www.ioanh.org/
Program Description: “Life of an Athlete” (LOA) is a statewide approach targeting high schools in collaboration with the 13 Regional Public Health Networks. New Hampshire Interscholastic Athletic Association implements LOA, a comprehensive prevention program developed by Olympic Trainer John Underwood, that identifies and works with all aspects of high school athletics, including coaches, athletic directors, administrators, parents, communities, prevention professionals, and athletes themselves with a proactive approach to athletic participation. The program blends prevention and athletics together, focusing on the immediate impact that lifestyle choices have on athletic performance. It highlights alcohol, tobacco and other drugs, and has five sections including pre-season meetings, assessing codes of conduct, training for coaches, youth leadership, and stakeholder unity.	

Student Assistance Program (calendar year 2015)

Program serves specific or general population	General population
Number of youth served	25 schools, 8354 youth
Number of parents served	No data
Number of caregivers served	3,036
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Report is not posted to a website
URL for more program information:	Not posted

Program Description:

- NH Student Assistance Program—based on the Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students) model. The Project Success Model includes multiple components that target universal, selected, and indicated youth.
- Universal/Environmental Programs—School-wide awareness activities with student participation conducted on a monthly basis to influence attitudes and norms about substances and related high risk behaviors.
- Prevention Education Series (PES)—classroom-based 8-session prevention education course taught to students in seventh and ninth grades during the regular school day. It provides information on the risks and harm associated with alcohol and other drugs as well as specific resiliency skills and attributes that can support students in a decision not to use alcohol or other drugs. The main goal of the PES is to introduce the student assistance counselor to the students and to provide introductory preventive information on substance abuse/misuse.
- Group Sessions—are offered to students at higher risk for using alcohol or other drugs and are facilitated by a trained professional. These small groups are typically held 6 to 12 times throughout a school year during free blocks, after school, or during regular class periods and are based on a common risk factor, for example currently using substances or children of alcoholics, among others.
- Individual Sessions—are available for indicated youth who are referred to attend one-on-one sessions with a trained counselor.
- Parent Consultation and Parent Education Programs—Parents or caregivers can have an individual session(s) with the student assistance program counselor to receive feedback on their child’s involvement with the program and/or advice on addressing situations with their child. The program also provides a variety of educational materials on alcohol and other drugs which are available to all parents or caregivers. An example may be understanding the effects of substances on the adolescent brain.
- Referral—Students, parents, or family members who require treatment, more intensive counseling, or other services are referred to appropriate community-based agencies or practitioners.

Launch Youth Entrepreneurship Directed Service Prevention Program (calendar year 2015)

Program serves specific or general population	Specific population
---	---------------------

Number of youth served	50
Number of parents served	Not collected
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://cadyinc.org/program/youthentre.html

Program Description: Launch targets 14 to 18-year-olds and provides workforce development training and substance abuse prevention strategies for at least 50 isolated youth from low-income families at risk for or demonstrating high-risk behaviors such as but not limited to school delinquency, disrupted school behavior, falling grades, poor peer relationships and other behaviors that demonstrate risk behaviors. The program helps at risk youth develop concrete skills and will provide opportunities to explore new environments, promote smart decision-making and goal-setting, allow healthy risk-taking, and offers chances to learn and exercise leadership. Launch empowers youth by educating and engaging them in entrepreneurship, enriching alternatives to risk behavior, and community development through the preparation and launch of summer business(es) and risk-based learning.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

NH has limited state funds dedicated to prevention. This may change over the next year or two due to the growing heroin epidemic.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA	Yes
Agency(ies) within your state: Bureau of Drug and Alcohol Services	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description:	

Selecting Evidence-Informed Substance Misuse Prevention Strategies: Resources for the New Hampshire Prevention System. The NH Bureau of Drug and Alcohol Services is committed to using evidence-informed approaches to substance misuse prevention to ensure the best outcomes for our communities. “Evidence-informed decision making” involves not only the use of research evidence but also considers community expertise, existing public health resources, knowledge about community health issues, the local context and community, and the political climate. There are many factors to consider in the development of evidence-informed strategies to address any public health issue. After prioritizing issues and related conditions, communities are challenged with the task of determining what solutions will be most feasible and at the same time most effective. To accomplish this, communities can start by researching best practices that have been found to impact the problem and local conditions they wish to change. This research can serve as a basis for strategy recommendations.

Researching and Developing Strategy Recommendations: Strategy recommendations can be developed by reviewing the researched best practices relevant to the problem and local conditions that have been identified.

Sources of information for evidence-informed substance misuse prevention strategies include but are not limited to:

- Compendium of Best Practices in Five Sectors (http://www.nhcenterforexcellence.org/images/Provider_Resources/New_Hampshire_Compendium_6-29-12_FINAL_1.pdf)
- NREPP (<http://www.nrepp.samhsa.gov/>)
- OJJDP Model Programs Guide (<http://www2.dsgonline.com/mpg/>)
- Exemplary and Promising Safe, Disciplined and Drug-Free Schools Programs (www.ed.gov/admins/lead/safety/exemplary01/exemplary01.pdf)
- Guide To Clinical Preventive Services (www.ahrq.gov/clinic/cps3dix.htm)
- The Community Guide (<http://www.thecommunityguide.org/index.html>)
- Strategies and Intervention for Reducing Non-Medical Use of Prescription Drugs Strategies/Interventions for Reducing Non-Medical Use of Prescription Drugs (SAMHSA/CAPT publication)
- Student Alcohol and Drug Use Webinar (<https://centerforexcellence.wufoo.com/forms/zzswnnf108kjk6/>)
- Alcohol and Drug Use in Patients Webinar (<https://centerforexcellence.wufoo.com/forms/zwaoev314fjzsd/>)

The following resources are available for NH prevention professionals with an eStudio account. Email: nhcenterforexcellence@jsi.com to find out more about an eStudio account.

- CAPT Tools Target Youth Marijuana Use (http://captus.samhsa.gov/access-resources/new-suite-capt-tools-target-youth-marijuana-use?utm_source=NCSSLE+Vol+2%2C+Issue+23&utm_campaign=e-Digest+Vol+2+Issue+23&utm_medium=email&utm_source=CPS+Feb13&utm_campaign=252b5133aa-CPS_8_19_148_18_2014&utm_medium=email&utm_term=0_f415ceb912-252b5133aa-67994097)
- Marijuana Strategies: Literature Review (<https://nh.same-page.com/studio/v7/files/#>)
- Binge Drinking Strategies: Literature Review (<https://nh.same-page.com/studio/v7/files/#>)

Peer-reviewed literature can also be found using search engines and databases such as:

- Google Scholar (<http://scholar.google.com/>)
- Ebsco Host (<http://www.ebscohost.com/>)
- PubMed (<http://www.ncbi.nlm.nih.gov/pubmed>)

Literature Reviews can be requested through the NH Center for Excellence Technical Assistance Request Form: (<https://centerforexcellence.wufoo.com/forms/z13xk1h804a8bim/>)

Selecting Strategies: The next step is to determine best practices for the community based on the research. Using the strategy recommendations as a foundation, questions communities can consider to determine strategies that are the most realistic and feasible for their community include:

1. What assets already exist that can be built upon to implement the recommended strategies?
2. What are the gaps and challenges to implementing the recommended strategies?
3. Based on exists assets and gaps, what strategies can be implemented with success?

Evidence-informed strategies are most effective when implemented with fidelity. Research shows that multi-sector, multi-strategy community-based approaches to substance abuse prevention that include strategies within the entire spectrum of CSAP categories and across the categories of prevention defined by the Institute of Medicine (universal, selective, indicated) reduce alcohol and other drug use significantly. Based on the socio-ecological framework, environmental prevention strategies (e.g., public information and policy development and enforcement) are most effective for impacting population-level change.

Additional Clarification

The above information is posted to the Bureau of Drug and Alcohol Services Website: <http://www.dhhs.nh.gov/dcbcs/bdas/prevention.htm>

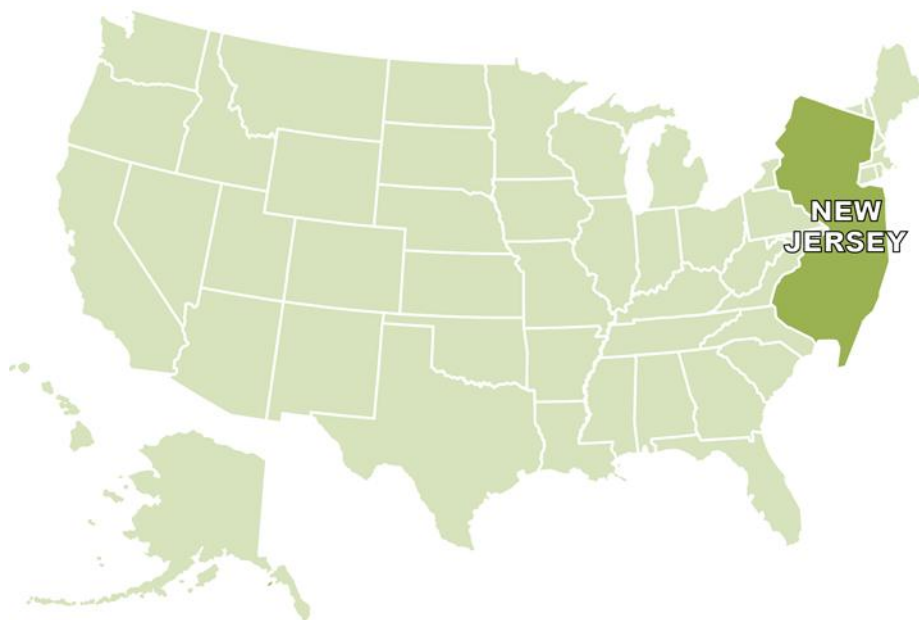
The language 'using evidence-based or evidence-informed practices' is part of every service provider contract. This provides state staff a platform for holding contracted service providers accountable and to demonstrate the strategies or programs being implemented are evidence-informed.

State Interagency Collaboration	
A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities	No
Committee contact information:	
Not applicable	
Agencies/organizations represented on the committee:	
Not applicable	
A website or other public source exists to describe committee activities	Not applicable
URL or other means of access:	Not applicable
Underage Drinking Reports	
State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: NH Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment, and Recovery.	
Plan can be accessed via: http://www.dhhs.nh.gov/dcbcs/bdas/commission.htm	
State has prepared a report on preventing underage drinking in the last 3 years	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
Compliance checks in retail outlets:	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
Checkpoints and saturation patrols:	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
Community-based programs to prevent underage drinking:	
Estimate of state funds expended	\$250,000
Estimate based on the 12 months ending	12/31/2015
K-12 school-based programs to prevent underage drinking:	
Estimate of state funds expended	\$250,000
Estimate based on the 12 months ending	12/31/2015
Programs targeted to institutes of higher learning:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the juvenile justice system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the child welfare system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Other programs:	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
State derives funds dedicated to underage drinking from the following revenue streams:	
Taxes	No
Fines	No
Fees	No
Other: percentage of state alcohol sales	Yes
Description of funding streams and how they are used:	

New Hampshire's alcohol fund is part of a state statute legislated in 2000. It directs that five percent of the state's revenues from liquor sales be directed to prevention, treatment, and recovery support services not otherwise covered under public or private insurance financing. This percentage rate is waived each year, significantly reducing the funds that support these programs.

Additional Clarification

No data



New Jersey

State Population: 8,958,013
Population Ages 12–20: 1,046,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	273,000 (26.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	21,000 (6.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	86,000 (23.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	166,000 (48.1%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	82
Years of Potential Life Lost (under 21)	4,945
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	11
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	18%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in any private location

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in any private location

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.01
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	17
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger unless accompanied by parent or guardian, unless additional passengers are dependents of

	the driver.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	For sales to 18-20 year olds: 15-day suspension For sales to anyone under 18 years old: 30-day suspension
What is the penalty for the second offense?	For sales to 18-20 year olds: 30-day suspension For sales to anyone under 18 years old: 60-day suspension
What is the penalty for the third offense?	For sales to 18-20 year olds: 45-day suspension For sales to anyone under 18 years old: 90-day suspension
What is the penalty for the fourth offense?	Revocation

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, managers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-premises
Does the RBS law apply to new or existing licensees?	New

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet. School has authority to override state prohibition.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet. School has authority to override state prohibition.
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Unclear
Notes: A New Jersey court case held that a social host who furnishes excessive amounts of alcoholic beverages to a visibly intoxicated minor, knowing the minor is about drive a car on the public highways, may be liable to a third party injured in an automobile accident.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Overt act: Host must have actual knowledge and commit act that contributes to party's occurrence
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No

State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No
Notes: Licensees cannot produce more than 250,000 gallons of wine per year.	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: Delivery vehicles must display a Transit Insignia to identify the vehicle as having authority to transport alcohol.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.12
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.88
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers may not sell below cost.	

New Jersey State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

New Jersey Office of the Attorney General, Department of Law & Public Safety, Division of Alcoholic Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Office of the Attorney General, Department of Law & Public Safety, Division of Alcoholic Beverage Control

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	94
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of retail licensees in state³ 9,035

Number of licensees checked for compliance by state agencies (including random checks) Not applicable

Number of licensees that failed state compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Not applicable

State conducts **random** underage compliance checks/decoy operations Not applicable

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 102

Total amount in fines across all licensees	No data
Smallest fine imposed	\$500
Largest fine imposed	\$80,000
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes
Number of suspensions imposed by the state ⁵	102
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	15 days
Longest period of suspension imposed (in days)	150 days
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

All administrative charges involving underage drinking are filed with the penalty of either suspension or revocation of the alcoholic beverage license. State law allows the Division of Alcoholic Beverage Control (ABC) to convert the suspension into a monetary fine. The conversion is based on the total annual alcohol sales divided by 365, times the number of suspension days. Note that the number of suspensions recorded above (102) does not distinguish between those suspensions actually served and those converted into monetary fines; the Division of ABC does not record these separately.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Regional Prevention Coalitions

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Available from Donald Hallcom, Director of Prevention: donald.hallcom@dhs.state.nj.us
URL for more program information:	http://www.nj.gov/human-services/dmhas/resources/services/prevention/coalitions.html

Program Description: Effective January 1, 2012, the Division of Mental Health and Addiction Services (DMHAS) selected 17 coalition regions in New Jersey based on the “Prevention Needs Assessment Using Social Indicators: State of New Jersey Substance Abuse Prevention County Level Needs Assessment, 2011.” The needs assessment used archival data of social indicators to develop composite indices of risks to estimate the need for prevention services among New Jersey’s 21 counties. Criteria including population, substance abuse treatment admissions, and rates within the region. Prevalence of alcohol and prescription drug misuse among middle and high school students were also considered in identifying the 17 regions. Additional criteria used to determine the regions were

that each region (1) must comprise at least one county and (2) must have reported a minimum of 2,000 treatment admissions (according to the latest available data) for the previous year.

All coalitions are required to utilize environmental strategies to address underage drinking in their region. Coalitions have followed the Strategic Prevention Framework as a planning model and have submitted strategic plans describing their needs assessments, capacity analyses, and program plans. Strategic plans were approved by DMHAS. Coalitions have identified these root causes related to underage drinking: availability/access, social access, retail access, medical access, community norms, low enforcement, low perception of risk, parental attitudes favorable to use, peer influence, price promotion, and social norms. Additionally, coalitions are using the following interventions and strategies in their regions:

- Parents Who Host Lose the Most Campaign
- Responsible Beverage Services
- Restricted Sales of Alcohol at Public Events
- Promote State Social Host Laws Promote/Adopt Private Property Ordinances
- Sticker Shock Campaign

In early 2014, DMHAS was awarded a Partnerships for Success (PFS) cooperative agreement from CSAP. PFS community-level funding was awarded to the 17 existing regional coalitions. One of the prevention priorities specified for PFS communities is underage drinking, an issue the 17 regional coalitions have been focusing on since their inception. The additional funding allowed coalitions to expand the scope of their work related to underage drinking to include intensive outreach and education to parents.

Governor's Council on Alcoholism and Drug Abuse—Municipal Alliance Programs

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://gcada.nj.gov/alliance

Program Description: The Council administers the state's \$10 million Alliance to Prevent Alcoholism and Drug Abuse Program, the largest network of community-based antidrug coalitions in the nation with thousands of stakeholders serving on nearly 400 alliances encompassing more than 530 municipalities throughout New Jersey. Municipal Alliances are established by municipal ordinance and engage residents, local government, and law enforcement officials, schools, nonprofit organizations, the faith community, parents, youth, and other allies in efforts to prevent alcoholism and drug abuse in communities throughout New Jersey. In 2015, the Municipal Alliances revised their planning process and each alliance aligned its prevention priorities with those identified by the regional coalitions in their area. As such, throughout New Jersey, the regional coalitions and alliances are coordinating their efforts in addressing underage drinking.

15 Minute Child Break

Program serves specific or general population	Specific population
Number of youth served	7,500
Number of parents served	7,500
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.drugfreej.org/child-break/15-minute-child-break/

Program Description: The 15-Minute Child Break is a free, interactive, one-hour presentation for parents, grandparents, and caregivers who are concerned about children (of any age) and substance abuse.

Representatives from the Partnership for a Drug Free New Jersey meet with groups on location to deliver this informative, engaging, and educational presentation. Participants receive age-specific information and communication skills concerning substance abuse. Parents are empowered and supported with the assurance that, even in today's society, they are still the strongest influence in their children's lives. The presentation is supported by research (2000 PDFNJ Middle School Study on Substance Use) that demonstrates that kids who communicate regularly with their parents about their daily activities are 67 percent less likely to be involved in substance abuse than children who have little or no communication. The 15-Minute Child Break covers topics such as:

- Talking to your kids about drugs and alcohol
- Influence of media and pop culture
- Effects of specific drugs
- Keeping your kids drug-free
- Strengthening parenting skills
- Utilizing teachable moments

Strengthening Families Program (SFP)

Program serves specific or general population	Specific population
Number of youth served	2,000
Number of parents served	1,200
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.state.nj.us/humanservices/dmhas/home/hotlines/Prevention_Directory.pdf

Program Description: DMHAS provides funding for delivery of the Strengthening Families Program (SFP) in all 21 New Jersey counties. The SFP is a nationally and internationally recognized parenting and family strengthening program for high-risk and regular families. It is an evidence-based family skills training program found to significantly reduce problem behaviors, delinquency, and alcohol and drug abuse in children and to improve social competencies and school performance. Child maltreatment also decreases as parents strengthen bonds with their children and learn more effective parenting skills.

Military Family Nights

Program serves specific or general population	Specific population
Number of youth served	140
Number of parents served	85
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.njpn.org/initiatives/military/military-family-nights/

Program Description: Family communication and structure are strained with each deployment. The Military Family Nights series, offered by the New Jersey Prevention Network, is based on the Strengthening Families Program, in which families practice communication skills, family meetings, effective discipline, therapeutic child play, reinforcing positive behaviors in each other, and jointly planning family activities. The program assists parents in effectively communicating with each other and in achieving desired behaviors in children by using attention and rewards, clear communication, effective discipline, substance use education, problem solving, and limit setting. Children learn stress management, social skills, problem solving, resisting peer pressure, consequences of substance use, compliance with parental rules, understanding feelings, and coping with anger.

The Military Family Night program is:

- For military families: pre-deployed, deployed, or post-deployment
- Based on the nationally researched program, Strengthening Families
- For families with children 6–11 years old: 14 session program
- For families with children 10–14 years old: 7 session program

The program is free of charge and includes:

- Family dinner at each session
- Gifts for participating
- Babysitting services available for children too young to participate in the program
- Skills-building sessions for parents and youth
- Family activities at each session

This project is funded by the NJ Department of Human Services, Division of Mental Health Addiction Services, and is being coordinated by the NJ Prevention Network and its member agencies in cooperation with the NJ National Guard’s Family Assistance Centers.

Sticker Shock

Program serves specific or general population	General population
Number of youth served	1,250
Number of parents served	475
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Sticker Shock campaign is an initiative delivered by numerous DMHAS-funded agencies and coalitions, as well as municipal alliances and DFC coalitions in New Jersey. Sticker Shock brings awareness of underage drinking, one of New Jersey’s four prevention priorities. Stickers and hangers placed on the products throughout a store act as “warning” labels, informing the public of the consequences they can face if they provide or purchase alcohol for minors. The message comes across loud and clear when minors are the ones spreading the word and taking action. Parents, older siblings, older friends, etc. who buy alcohol for minors are often unaware that they themselves can get into trouble along with the individual who is not of age.

Parents Who Host Lose the Most Campaign

Program serves specific or general population	Special population
Number of youth served	No data
Number of parents served	37,500
Number of caregivers served	15,000
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Numerous agencies and coalitions throughout New Jersey work with parents using the Parents Who Host Lose the Most campaign. These organizations work to encourage and support awareness of social host liability laws. The campaign functions to create a statewide message to parents that underage drinking will not be tolerated. Parents also learn that serving alcohol to minors (other than their children) in their home is illegal.

Private Property Ordinances

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No

Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.drugfreej.org/private-property-ordinance-database/
Program Description: A state law passed in 2000 (N.J.S.A. 40:48-1.2) permits municipalities in New Jersey to enact an ordinance making it unlawful for any underage person to possess or consume an alcoholic beverage on private property. Currently, 67 percent of all municipalities in New Jersey have enacted such ordinances.	

Training for Intervention Procedures (TIPS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Training for Intervention Procedures (TIPS) is the global leader in education and training for responsible service, sale, and consumption of alcohol. Proven effective by third-party studies, TIPS is a skill-based training program designed to prevent intoxication, underage drinking, and drunk driving. The training is provided throughout the state by numerous DMHAS-funded agencies and coalitions.

Evidence-Based Individual and Family Curricula

Program serves specific or general population	Specific population
Number of youth served	8,200
Number of parents served	1,300
Number of caregivers served	350
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.state.nj.us/humanservices/dmhas/home/hotlines/Prevention_Directory.pdf

Program Description: DMHAS funds agencies in all 21 New Jersey counties to deliver evidence-based prevention curricula to children, adolescents, and parents/caregivers. The intent of many of the programs is, specifically, to prevent underage drinking. Some of the programs provided include LifeSkills, Project Towards No Drug Abuse, All Stars, BABES, Positive Action, I Can Problem Solve, and numerous others.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized Tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Description of program: State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing	
The Partnership for a Drug-Free New Jersey (PDFNJ) uses any and all media and	

formats to spread its message. PDFNJ communicates with the public through television, radio, and print; billboards; Port Authority (PATH) and New Jersey Transit signs; ads donated by Bell Atlantic in the Yellow Pages; and a host of other nontraditional communication opportunities. Working with New Jersey media to “unsell” drugs to the people – especially young people – continues to be PDFNJ’s central responsibility. It receives most of its creative work from the Partnership for a Drug-Free America, which works with the top national advertising agencies. PDFNJ does not pay for any advertising time and is grateful for support from the New Jersey media.

Additionally, youth from numerous coalitions and agencies conduct "environmental scans" at local stores and events, where they document (through photos), how the alcohol and tobacco "ID" process is handled, as well as what types, placement, and amount of advertising for alcohol and tobacco is being used. Alcohol placement and pricing is also observed. Data gathered by the youth are then compiled and shared with local government and authorities, often bringing about change in policy.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA, CSAP, OJJDP, Department of Education, NIDA, NIAAA Yes

Agency(ies) within your state: NCADD-Middlesex, Center of Alcohol Studies (Rutgers University), Rutgers University School of Social Work, Robert Wood Johnson Medical School Yes

Nongovernmental agency(ies): New Jersey Prevention Network Yes

Other: No

Best practice standards description: Both DMHAS and the Governor’s Council on Alcoholism and Drug Abuse (GCADA) fund only programs and strategies that have an evidence-based record of effectiveness in preventing underage drinking. Additionally, the 17 regional coalitions and more than 400 municipal alliances use a risk and protective factor framework in the development and delivery of community-based coalition activities.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No

Committee contact information:

Not applicable

Agencies/organizations represented on the committee:

Not applicable

A website or other public source exists to describe committee activities Not applicable

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: DMHAS Prevention Strategic Planning Workgroup

Plan can be accessed via: Contact: donald.hallcom@dhs.state.nj.us

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: DMHAS Office of Research, Planning, Evaluation, and Prevention

Plan can be accessed via:

http://www.state.nj.us/humanservices/das/news/reports/surveys/NJPride%20Repts_2012_Middle%20School/Alcohol%2030%20Day.pdf

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$6,500,000
Estimate based on the 12 months ending	6/30/2016
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$600,000
Estimate based on the 12 months ending	6/30/2016
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$150,000
Estimate based on the 12 months ending	6/30/2016
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$125,000
Estimate based on the 12 months ending	6/30/2016
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	Yes
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Fines collected through the Drug Enforcement Demand Reduction (DEDR) program are used to fund programs delivered by the GCADA municipal alliances.	
Additional Clarification	
No data	



New Mexico

State Population: 2,085,109

Population Ages 12–20: 249,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	50,000 (20.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (3.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	15,000 (19.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	32,000 (38.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	46
Years of Potential Life Lost (under 21)	2,794
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	7
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	21%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes, in specified locations (see below) Yes, in specified locations (see below)
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: In New Mexico, possession of alcoholic beverages by a person under 21 is specifically allowed when "a parent, legal guardian, or adult spouse of a minor serves alcoholic beverages to that minor on real property, other than licensed premises, under the control of the parent, legal guardian, or adult spouse."	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage	No

alcohol sales specifically prohibited?	
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)

Intermediate Stage	
What is the minimum age for driving without adult supervision?	15 years, 6 months
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21 who is not an immediate family member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is furnishing allowed if the parent or guardian supplies the alcohol? 	Yes, in specified locations
<ul style="list-style-type: none"> Is furnishing allowed if the spouse supplies the alcohol? 	Yes, in specified locations
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: In New Mexico, furnishing of alcoholic beverages to a person under 21 is specifically allowed when "a parent, legal guardian, or adult spouse of a minor serves alcoholic beverages to that minor on real property, other than licensed premises, under the control of the parent, legal guardian, or adult spouse," or when "alcoholic beverages are used in the practice of religious beliefs."	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, age-appropriate appearance; no sunglasses or caps; no facial hair (e.g., beard or mustache), and no excessive makeup.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited

Is decoy training mandated, recommended, prohibited, or not specified?	Not specified
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Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	\$1,000 - \$2,000 fine and 1 day license suspension
What is the penalty for the second offense?	\$2,000 - \$3,000 fine and 7 day license suspension
What is the penalty for the third offense?	\$10,000 fine and license revocation
What is the penalty for the fourth offense?	Not specified
Notes: Affirmative defenses provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21

Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet; local government has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet; local government has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Statutory limitations on damages were held unconstitutional by the New Mexico Supreme Court.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, alcohol must be furnished recklessly in disregard of the rights of others, including the social guest
Does common law social host liability exist?	No
Notes: Statutory limitations on damages were held unconstitutional by the New Mexico Supreme Court.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
Notes: An individual or licensee, except for a person holding a winery license, in a state which affords New Mexico licensees or individuals an equal reciprocal shipping privilege, may ship, for personal use and not for resale, no more than two cases of wine (no more than nine liters each case) per month to any adult resident of the state.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes

Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.41
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.70
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol	

wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$6.06
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes

Increased volume without increase in price	No
Notes: On-premises retailers may offer a free drink on a case-by-case basis.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

New Mexico State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:
New Mexico State Police/ Investigations Bureau/ Special Investigations Unit

Enforcement Strategies

<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
State has a program to investigate and enforce direct sales/shipment laws	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	Yes
Number of minors found in possession by state law enforcement agencies	Approximately 275 (NMSP citations only)
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	2,593
Number of licensees checked for compliance by state agencies (including random checks)	1,200
Number of licensees that failed state compliance checks	80
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
State conducts random underage compliance checks/decoy operations	Yes
Number of licensees subject to random state compliance checks/decoy operations	Majority of checks are random. Data not collected separately.
Number of licensees that failed random state compliance checks	Not applicable
Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Don't know/No answer
Data are collected on these activities	Don't know/No answer
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	No data
Total amount in fines across all licensees	No data
Smallest fine imposed	No data

Largest fine imposed	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	No data
Numbers pertain to the 12 months ending	No data

Additional Clarification

Some of the requested data exists, but the New Mexico State Police/ Investigations Bureau/ Special Investigations Unit is not responsible for the tracking/oversight. In those instances, no information was filled in or it was indicated that the information is not available to the person requested to fill out this survey.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Santa Fe Prevention Alliance (SFPA)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request
URL for more program information:	http://santafepreventionalliance.com/

Program Description: Santa Fe Prevention Alliance (SFPA) is a city-based coalition dedicated to reducing underage drinking. The vision of the SFPA is that Santa Fe County is a place where no one drinks alcohol before age 21, where adults model low-risk use, and where no one drives while impaired and no one abuses drugs. The mission of the Prevention Alliance is to collaborate to prevent and delay the onset of underage drinking and prevent the abuse of prescription drugs by leveraging resources to implement culturally competent, evidence-based best practices in education, prevention, and policy to change community norms around drug and alcohol use. The coalition focuses on changing community norms accepting of underage drinking, reducing retail access to alcohol, increasing enforcement of minimum legal drinking age (MLDA) and driving while intoxicated (DWI) laws and sanctions, increasing perceived risk of arrest for breaking alcohol-related laws, and educating the public and legislators about the benefits to increases in alcohol pricing and taxes.

Colfax County Youth Empowerment Services (CCYES)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes

Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request
URL for more program information:	No data
Program Description: Colfax County Youth Empowerment Services (CCYES), based in Raton, New Mexico, is a countywide agency focusing on decreasing easy retail and social access to alcohol; increasing enforcement of alcohol, tobacco, and drug laws; increasing enforcement of school substance abuse policies; and increasing perceived risk among youth and adults of arrest for breaking those laws.	

Five Sandoval Indian Pueblos (FSIP)

Program serves specific or general population	Specific population
Number of youth served	22
Number of parents served	22
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request
URL for more program information:	#PreventionProgram

Program Description: Five Sandoval Indian Pueblos (FSIP) is a Native American behavioral health provider in Bernalillo, New Mexico, that delivers Project Venture to 8th graders in Cochiti Pueblo and focuses on strengthening enforcement of school alcohol, tobacco, and other drug policies; increasing enforcement activities such as shoulder taps, sobriety checkpoints, and party patrols; decreasing social access to alcohol; and increasing perceived risk among youth of arrest for breaking alcohol, tobacco, and drug laws.

Hands Across Cultures Corporation (HACC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy provided upon request
URL for more program information:	http://www.handsacrosscultures.org

Program Description: Hands Across Cultures Corporation (HACC) is a community agency serving southern Rio Arriba County and the City of Espanola. HACC focuses on strengthening enforcement of school alcohol, tobacco, and other drug policies; reducing retail access to alcohol; increasing enforcement of alcohol, tobacco, and drugs laws and efforts; and increasing perceived risk of arrest for breaking alcohol-related laws.

North Central Community Based Services (NCCBS)

Program serves specific or general population	Specific population
Number of youth served	60
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy provided upon request
URL for more program information:	http://nccbs.org/

Program Description: North Central Community Based Services (NCCBS) is a Northern Rio Arriba County-based

behavioral health provider in Chama, New Mexico, that delivers Too Good for Drugs to 6th-grade students in the Chama Valley, Mesa Vista, and Jemez Mountain School Districts. NCCBS also employs the following environmental strategies: strengthening school alcohol, tobacco, and other drug policies; decreasing easy retail and social access to alcohol; increasing enforcement of alcohol, tobacco, and drug laws and activities; increasing perceived risk among youth of arrest for breaking those laws; and educating the public and legislators about the benefits to increases in alcohol pricing and taxes.

Rocky Mountain Youth Corps (RMYC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request
URL for more program information:	http://www.youthcorps.org

Program Description: Rocky Mountain Youth Corps (RMYC) is a youth development organization in Taos, New Mexico, that focuses on strengthening school alcohol, tobacco, and other drug policies; increasing enforcement of alcohol, tobacco, and drug laws and activities; reducing retail and social access to alcohol; increasing perceived risk among youth of arrest for breaking those laws; and educating the public and legislators about the benefits to increases in alcohol pricing and taxes.

Youth Substance Abuse Prevention Coalition (YSAPC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request
URL for more program information:	http://www.gcchc.org/gcchc-blog/2-general/61-ysapc

Program Description: Youth Substance Abuse Prevention Coalition (YSAPC) is a youth-focused countywide coalition based in Silver City, New Mexico, focusing on prevention of underage drinking among 12- to 20-year-olds and prescription drug abuse/misuse among 12- to 25-year-olds. Alcohol prevention targets strengthening enforcement of school and college alcohol, tobacco, and other drug policies; increased enforcement of alcohol-related laws and activities; and increasing perception of risk of arrest for breaking alcohol-related laws. Prescription drug abuse strategies focus on reducing social access through secure storage and disposal, reduced sharing, and increasing community awareness of prescription drug abuse harm.

Strategic Network of Advocates for the Prevention of Suicide & Substance Abuse (SNAPSSA)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Electronic copy available upon request

URL for more program information:

<http://www.co.mckinley.nm.us/jsacc.htm>

Program Description: Strategic Network of Advocates for the Prevention of Suicide & Substance Abuse (SNAPSSA) is a county-wide coalition based in Gallup, New Mexico, focusing on prevention of underage drinking among 12- to 20-year-olds and prescription drug abuse/misuse among 12- to 25-year-olds. Alcohol prevention targets strengthening enforcement of school alcohol, tobacco, and other drug policies; increased enforcement of alcohol-related laws and activities; and increased perception of risk of arrest for breaking alcohol-related laws.

Bootheel Youth Association (BYA)

Program serves specific or general population
 Number of youth served
 Number of parents served
 Number of caregivers served
 Program has been evaluated
 Evaluation report is available
 URL for evaluation report:

Specific population
 32
 No data
 No data
 Yes
 Yes
 Electronic copy available upon request
<http://www.bootheelyouth.com/#!news/c1bw3>

URL for more program information:

Program Description: Bootheel Youth Association (BYA) is a nonprofit organization that serves the youth of Hidalgo County, New Mexico. BYA provides Project Venture to 32 students in the 7th and 8th grades. Environmental strategy efforts include strengthening enforcement of alcohol-related laws and activities; increasing the perceived risk of arrest for breaking these laws; and strengthening school alcohol, tobacco, and other drug policies.

Youth Development, Incorporated (YDI)

Program serves specific or general population
 Number of youth served
 Number of parents served
 Number of caregivers served
 Program has been evaluated
 Evaluation report is available
 URL for evaluation report:

General population
 Not applicable
 Not applicable
 Not applicable
 Yes
 Yes
 Electronic copy available upon request
<http://www.ydinm.org/>

URL for more program information:

Program Description: Youth Development, Incorporated (YDI) is a youth development organization in Valencia County, New Mexico, that focuses on strengthening enforcement of school alcohol, tobacco, and other drug policies; increasing enforcement of alcohol-related laws and activities; increasing perception of risk of arrest for breaking alcohol-related laws; reducing retail access to alcohol through store placement; and educating the public and legislators about the benefits to increases in alcohol pricing and taxes.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description:

Sandoval County DWI Prevention Program (SCDWIPP)—is one of the 33 local DWI programs administered by the New Mexico Department of Finance and Administration (DFA) in Bernalillo, New Mexico. SCDWIPP delivers Dare To Be You to 6th through 8th grades and focuses on strengthening enforcement of school alcohol, tobacco, and other drug policies; increasing enforcement of alcohol, tobacco, and other drug laws and efforts; increasing perception of the risk of arrest for breaking those laws; reducing retail and social access of alcohol to youth; and educating the public and legislators about the benefits to increases in alcohol pricing and taxes. (*Website:* <http://www.sandovalcounty.com/departments/community-services/dwi-and-prevention/prevention-program/dwi-prevention-services>)

San Juan County Partnership (SJCP)—is a county-wide coalition based in Farmington, New Mexico, that focuses on reducing binge drinking and drinking and driving among youth and adults in San Juan County, and on reducing prescription drug abuse and painkiller misuse among youth and adults. Environmental strategies strengthen

school alcohol, tobacco, and other drug policies; decrease easy retail and social access to alcohol; increase enforcement of alcohol, tobacco, and drug laws and activities; restrict social access to prescription drugs through the elderly; educate the public about the dangers of prescription drug misuse; and increase perceived risk among youth of arrest for breaking alcohol, tobacco, and other drug laws.

(Website: <http://www.sjcpartnership.org/services.php>)

Unified Prevention! (UP!) Coalition for a Drug Free Doña Ana County—is a county-wide coalition based in Las Cruces, New Mexico, focusing on prevention of underage drinking among 12- to 20-year-olds and prescription drug abuse/misuse among 12- to 25-year-olds. Alcohol prevention targets increased enforcement of alcohol-related laws and activities, reducing easy retail and social access of alcohol, increasing perception of risk of arrest for breaking alcohol-related laws, and reducing social access to prescription drugs by increasing safe storage and disposal. (Website: <http://www.unifiedprevention.com>)

Luna County Health Council—is a county-wide health council in Deming, New Mexico, focusing on prevention of underage drinking among 12- to 20-year-olds and prescription drug abuse/misuse among 12- to 25-year-olds. Alcohol prevention targets decreased social access, increased enforcement of alcohol-related laws and activities, and increased perception of risk of arrest for breaking alcohol-related laws. Prescription drug abuse prevention focuses on increasing community awareness of the harms, and reducing social access through safe disposal and secure storage. (Website: <http://www.lunacountynm.us/Health%20Council.html>)

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Office of Substance Abuse Prevention (OSAP) contracts with Five Sandoval Indian Pueblos (Cochiti, Jemez, Sandia, Santa Ana, and Zia) in New Mexico to provide substance abuse prevention services including prevention of UAD. Additionally, OSAP has continued new contracts with the Pueblos of Tesuque and Santo Domingo, and the Mescalero Apache Tribe. All three entities are completing the Strategic Prevention Framework in FY 2015/16. They will begin implementation of substance abuse prevention services in the first quarter of FY2016/2017.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: State Epidemiological Outcomes Workgroup Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: OSAP funds only evidence-based programs (EBPs) shown to be effective on lists such as the National Registry of Evidence-Based Programs and Practices (NREPP) that have been peer-reviewed or show evidence of effectiveness in New Mexico. OSAP works with the State Epidemiological and Outcomes Workgroup (SEOW) to identify and select EBPs eligible for substance abuse prevention including UAD.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Karen Cheman

Email: karen.cheman@state.nm.us

Address: Office of Substance Abuse Prevention, Behavioral Health Services Division, Human Services

Department 37 Plaza la Prensa, Santa Fe, NM 87507
 Phone: (505) 476-9270

Agencies/organizations represented on the committee:

- Office of Substance Abuse Prevention, Behavioral Health Services Division, Human Services Department
- Epidemiology & Response Division, Department of Health
- DWI Program, Department of Finance Administration
- Children's Behavioral Health Services Division, Children, Youth & Families Department
- Traffic Safety Division, Department of Transportation
- Pacific Institute for Research & Evaluation
- Office of School & Adolescent Health, Department of Health
- Tobacco Use Prevention and Control Program, Department of Health

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended No data
 Estimate based on the 12 months ending No data

Checkpoints and saturation patrols:

Estimate of state funds expended No data
 Estimate based on the 12 months ending No data

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included: Data not available
 Estimate of state funds expended: Data not available
 Estimate based on the 12 months ending: Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:
 Taxes Yes

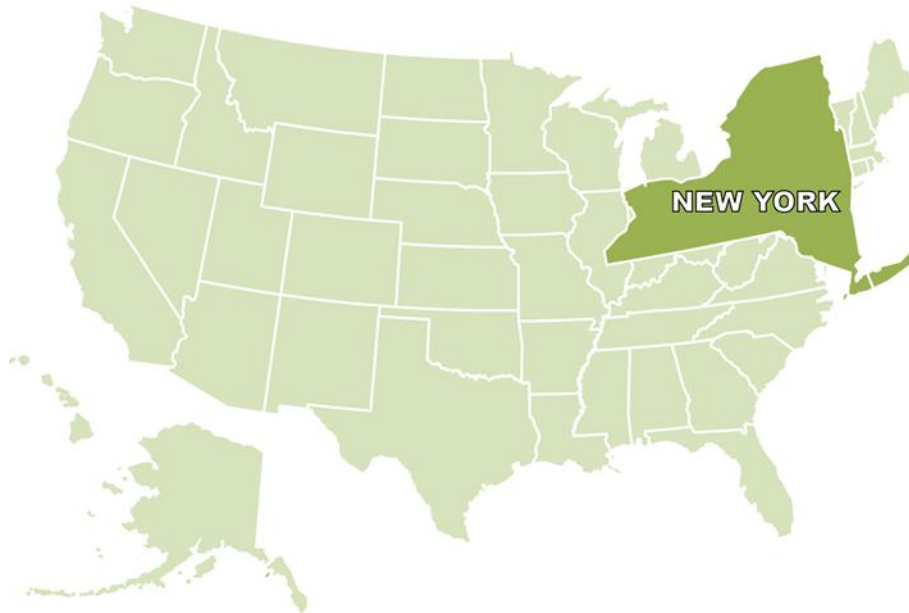
Fines	No
Fees	No
Other: No data	No data

Description of funding streams and how they are used:

A portion (approximately 41 percent) of annual state alcohol excise tax revenue is allocated by statute to county-level local DWI prevention programs. These county programs allocate these funds, in turn, to treatment, prevention, law enforcement, compliance monitoring, and other activities, of which prevention is a substantial portion. Of the funds allocated for prevention, roughly 60 percent are allocated for underage drinking (UAD) prevention.

Additional Clarification

No data



New York

State Population: 19,795,791

Population Ages 12–20: 2,244,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	587,000 (26.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	22,000 (3.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	183,000 (24.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	382,000 (47.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	181
Years of Potential Life Lost (under 21)	10,916
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	25
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	23%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A
Notes: New York does not have a statute that specifically prohibits purchase, but it does prohibit purchasing or attempting to purchase alcohol by using false evidence of age.	

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (15 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	9:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21 who is not an immediate family member, unless accompanied by parent

	or instructor
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17
Notes: New York has certain regional restrictions that apply to the five boroughs of New York City and Nassau, Suffolk, Westchester, Rockland & Putnam counties. These restrictions are not provided here. The New York DMV will issue a limited-use junior license to a junior driver (under 18) who passes a road test during the first six months (i.e., within the mandatory six-month holding period) after the learner permit was issued. A limited-use junior license allows the junior driver to drive without supervision between 5 am and 9 pm and within specific geographical boundaries for purposes related to school, employment, medical care, or child care. This would then convert to an intermediate stage license at the end of the mandatory six-month holding period.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	18
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet (applies only to on-premises licenses which sell spirits)
To which alcohol products does requirement apply?	Wine, spirits

Notes: Exceptions are 1) club affiliated with such school, if school has no objection; 2) certain sections in county of Ulster, borough of Manhattan, and town of Bainbridge; 3) special retail liquor licenses for theaters where availability of alcohol is not advertised in manner visible from street.

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	

Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Notes: Direct sales/shipments permitted only for wineries in States that afford New York wineries a reciprocal shipping privilege.	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes, beer deliveries limited to five gallons; delivery vehicles must be clearly marked
Wine	Yes, delivery vehicles must be clearly marked
Spirits	Yes, delivery vehicles must be clearly marked

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.14
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where	Not relevant

there is an exemption from the general sales tax)	
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$6.44
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Additional taxes for 15 – 50% alcohol spirits if applicable	\$2.54 per gallon for alcohol content of 24% or less
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Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 25 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Payment is required within 25 days from certain retail beer and wine licensees (i.e., those who purchase beer and/or wine for resale for on and off premises consumption but not including licensees who sell liquor and/or wine for off premises consumption).	

New York State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

New York State Police, New York State Liquor Authority Enforcement Bureau. Local agencies may also perform prevention/enforcement activities.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	New York State (NYS) Liquor Authority
Such laws are also enforced by local law enforcement agencies	Yes

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	50,000
Number of licensees checked for compliance by state agencies (including random checks)	4,076
Number of licensees that failed state compliance checks	1,291
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts random underage compliance checks/decoy operations	Yes
Number of licensees subject to random state compliance checks/decoy operations	Data not available
Number of licensees that failed random state compliance checks	Data not available

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Don't know
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	1,646
Total amount in fines across all licensees	\$6,522,525
Smallest fine imposed	\$100

Largest fine imposed	\$100,000
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	Data not available
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Checkpoints are multi-age based and look to identify and apprehend all impaired drivers, not just underage drinkers. The NYS Police and NYS Liquor Authority answered all questions in Part 1, as that is their jurisdiction. The state and local law enforcement maintain separate databases from NYS OASAS.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Project Northland

Program serves specific or general population	Specific population
Number of youth served	186
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.sam.hsa.gov
URL for more program information:	http://www.nrepp.sam.hsa.gov

Program Description: Project Northland is a multilevel intervention involving students, peers, parents, and community in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered weekly to adolescents in grades 6–8, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use utilizing student-parent homework assignments, in-class group discussions, and a community-wide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use, and is implemented through discussions, games, problem-solving tasks, and role-plays. During the first half of the 8th-grade, through the peer-led program Powerlines, students learn about community dynamics related to alcohol use prevention through small-group and classroom interactive activities. During the second half of the year, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Life Skills Training (LST)

Program serves specific or general population	Specific population
Number of youth served	54,264
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Life Skills Training (LST) is a school-based program that aims to prevent alcohol, tobacco, and marijuana use and violence by targeting major social and psychological factors that promote the initiation of substance use and other risky behaviors.

Too Good For Drugs (TGFD)

Program serves specific or general population	Specific population
Number of youth served	45,980
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Too Good For Drugs (TGFD) is a school-based prevention program for kindergarten through 12th grade that builds on students' resiliency by teaching them how to be socially competent and autonomous problem solvers.

Project SUCCESS

Program serves specific or general population	Specific population
Number of youth served	10,838
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students) is designed to prevent and reduce substance use among students ages 12–18. The program was originally developed for students attending alternative high schools who are at high risk for substance use and abuse due to poor academic performance, truancy, discipline problems, negative attitudes toward school, and parental substance abuse.

Project ALERT

Program serves specific or general population	Specific population
Number of youth served	4,626
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Project ALERT is a school-based prevention program for middle or junior high school students that focuses on alcohol, tobacco, and marijuana use. It seeks to prevent adolescent nonusers from experimenting with these drugs, and to prevent youths who are already experimenting from becoming more regular users or abusers.

Project Towards No Drug Abuse (TND)

Program serves specific or general population	Special population
Number of youth served	4,616
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Project Towards No Drug Abuse (TND) is a drug use prevention program for high school youth. The current version of the curriculum is designed to help students develop self-control and communication skills, acquire resources that help them resist drug use, improve decision making strategies, and develop the motivation to not use drugs.

Class Action

Program serves specific or general population	Special population
Number of youth served	1,124
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: Class Action is the second phase of the Project Northland alcohol-use prevention curriculum series. Class Action (for grades 11–12) and Project Northland (for grades 6–8) are designed to delay the onset of alcohol use, reduce use among youths who have already tried alcohol, and limit the number of alcohol-related problems experienced by young drinkers.

All Stars

Program serves specific or general population	Specific population
Number of youth served	787
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=28
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=28

Program Description: All Stars is a school-based program for middle school students (11–14 years old) designed to prevent and delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity.

Teen Intervene

Program serves specific or general population	Specific population
Number of youth served	1,104

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/SearchResultsNew.aspx?s=b&q=Teen Intervene
URL for more program information:	http://www.nrepp.samhsa.gov/SearchResultsNew.aspx?s=b&q=Teen Intervene

Program Description: Teen Intervene is a brief, early intervention program for 12- to 19-year-olds who display the early stages of alcohol or drug involvement. Integrating stages of change theory, motivational enhancement, and cognitive-behavioral therapy, the intervention aims to help teens reduce and ultimately eliminate their substance use.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data available

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: St. Regis Mohawk Tribe and Health Services provides prevention services on and off the reservation. The provider delivers Too Good for Drugs and performs social marketing and coalition development. Alternatives Counseling Services Inc. provides services to the Shinnecock Indian Nation in the town of Southampton in Suffolk County. This provider delivers Too Good For Drugs, Too Good For Violence, and Project Venture.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The Youth Development Survey was conducted in Fall of 2014 with questions related to youth exposure. Many NYS Office of Alcoholism and Substance Abuse Services (OASAS)-funded providers and coalitions have been performing annual surveys that also measure youth exposure. The OASAS 2014 YDS is posted on the OASAS website at: http://www.oasas.ny.gov/prevention/documents/NYSYDS_2014_FINAL51116.pdf

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: OASAS Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: *Prevention Guidelines:* The purpose of the 2014 Prevention Guidelines is to define and describe acceptable levels of prevention services, strategies, and activities necessary to reduce underage drinking, alcohol misuse and abuse, illegal drug abuse, medication misuse, and problem gambling within the framework prescribed by OASAS. Environmental substance abuse prevention strategies were designed to impact the community, social, and economic contexts in which people access and consume alcohol, tobacco, or other drugs. These strategies are grounded in the field of public health and emphasize changing the broader physical, social, cultural, and institutional forces that contribute to health problems in the general population. In New York, environmental strategies primarily target underage drinking and research supports the effectiveness of this approach with preventing/reducing underage alcohol consumption.

The most effective environmental strategies employ a three-pronged approach: 1) enacting or improving laws, regulations, and policies, 2) enhancing enforcement of laws, regulations, or policy, and 3) use of the media to raise community awareness and support for the policy and enforcement activities. Community mobilization and media support are essential both to generate community support for the environmental changes and to promote their sustainability. These relatively new and effective EBP prevention strategies, like all effective prevention, must be based on a community needs assessment for the specific environmental factors that lead to substance-related negative consequences. To be successful, multiple and reinforcing strategies must be planned carefully.

Additional Clarification

The Prevention Guidelines (PG) explain the process for developing and implementing evidence-based environmental prevention strategies. Guidelines were updated in 2014 and can be found at website below: <http://www.oasas.ny.gov/prevention/index.cfm#> (click on Prevention Guidelines)

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Doug Paquette
 Email: Douglas.Paquette@Troopers.NY.Gov
 Address: 1220 Washington Avenue, Albany, NY 12226
 Phone: 518-457-7504

Agencies/organizations represented on the committee:

NYS OASAS
 NYS Office of Mental Hygiene
 NYS Liquor Authority
 Majority Leader of Senate
 Attorney General
 Speaker of the Assembly
 Representatives from statewide communities

A website or other public source exists to describe committee activities Yes

URL or other means of access:
<http://www.oasas.ny.gov/prevention/documents/2011AdvisoryCouncilonUnderageAlcoholConsumptionDraftAnnualReport.pdf>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Advisory Council on Underage Alcohol Consumption and Youth Substance Abuse.
 OASAS is required by the Mental Hygiene Law to produce a Statewide Comprehensive Plan every October 1 and an Interim Report on the Plan on February 15. Developed in accordance with Section 5.07 of the Mental Hygiene Law, the Statewide Comprehensive Plan 2011-2015 informs counties, providers, people in recovery, their families, other state agencies, the Federal Government, and other interested parties about major priorities and future directions. Although planning documents are produced and released on regular cycles, as set by Mental Hygiene Law, OASAS views planning as a year-round process that informs policy development, budgeting, and the development and delivery of services at the state, local, and provider levels. Our collaborative planning efforts with counties, providers, state, and federal agencies will guide future efforts and have the flexibility to respond to changing conditions. OASAS seeks feedback on the use and usefulness of the Statewide Comprehensive Plan. Click on link below to access NYS OASAS Statewide Comprehensive Plan: <http://www.oasas.ny.gov/pio/commissioner/documents/5YPlan2011-2015.pdf>
 Plan can be accessed via:
<http://www.oasas.ny.gov/prevention/documents/2011AdvisoryCouncilonUnderageAlcoholConsumptionDraftAnnualReport.pdf>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Advisory Council on Underage Alcohol Consumption and Youth Substance Abuse. The SPF-SIG Prevention First NY developed a strategic report. This document has not been posted, but is available on request.

Plan can be accessed via: No data

Additional Clarification

The 2012 Annual Report of the Advisory Council on Underage Alcohol Consumption and Youth Substance Abuse is still in the process of being approved. The 2011 Annual Report is available on the website below. This report contains an underage drinking prevention plan and report.

<http://www.oasas.ny.gov/prevention/documents/2011AdvisoryCouncilonUnderageAlcoholConsumptionDraftAnnualReport.pdf>

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$43,563,744
Estimate based on the 12 months ending	12/31/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No data
Fines	No data
Fees	No data
Other: No data	No data

Description of funding streams and how they are used:

No data

Additional Clarification

The State Police do not use state funds for compliance checks and checkpoints and saturation patrols. Funding for these activities comes from federal grants.



North Carolina

State Population: 10,042,802

Population Ages 12–20: 1,126,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	185,000 (16.4%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	12,000 (3.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	61,000 (15.9%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	112,000 (31.6%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	145
Years of Potential Life Lost (under 21)	8,786
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	37
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	21%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	60 (10 of which must be at night; to obtain full license, driver must log 12 hours of driving in intermediate stage, 6 of which is at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	9:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21 who is not a member of immediate family or household; however, if there is a passenger

	under 21 who is an immediate family or household member, then no unrelated passengers under 21
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, must look under 21
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	\$500 or license suspension
What is the penalty for the second offense?	Up to \$750 or license suspension
What is the penalty for the third offense?	Up to \$1,000 or license suspension
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes

• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, no permits on campus.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, no permits on campus.
To which alcohol products does requirement apply?	Beer, wine
Notes: Exceptions are 1) regional sports and entertainment facilities for public use, except for public school or college function; 2) performing arts centers; 3) hotels; 4) nonprofit alumni organizations; and 5) restaurants, eating establishments, food businesses, or retail businesses on the property.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, no permits on campus.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, no permits on campus.
To which alcohol products does requirement apply?	Beer, wine
Notes: Exceptions are 1) regional sports and entertainment facilities for public use, except for public	

school or college function; 2) performing arts centers; 3) hotels; 4) nonprofit alumni organizations; and 5) restaurants, eating establishments, food businesses, or retail businesses on the property.

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$500,000 total award to all injured parties per occurrence.)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (Injury must be a proximate result of the negligence of an underage driver's negligent operation of a vehicle while intoxicated.)
Does common law dram shop liability exist?	No
Notes: Although North Carolina courts may recognize third party common law liability under certain fact patterns where a retailer furnishes an intoxicated minor, they do not recognize a distinct cause of action for furnishing alcohol to minors without regard to the minor's intoxication at the time of sale. North Carolina law provides a responsible beverage server defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No
Notes: Although North Carolina courts may recognize third party liability under certain fact patterns where an intoxicated minor is furnished by a social host, they do not recognize a distinct cause of action for furnishing alcohol to minors without regard to the minor's intoxication at the time of sale.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (discretionary fine / 45 days)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes, however, North Carolina is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	Yes, more than 75.5%

Are there exceptions to restrictions?	No
Notes: The State of North Carolina Alcoholic Beverage Control Commission has issued a written statement that the highest proof liquor sold in North Carolina ABC stores will be 151 proof, which is equivalent to 75.5 percent alcohol by volume.	

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.62
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.00
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	

Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	Yes
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes, full day price reductions not banned
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for	No law

each product sold to retailers?	
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

North Carolina State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:
North Carolina Alcohol Law Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	10,338
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	19,934
Number of licensees checked for compliance by state agencies (including random checks)	26
Number of licensees that failed state compliance checks	11
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state ⁴	646
Total amount in fines across all licensees	\$1,036,450
Smallest fine imposed	\$1,000
Largest fine imposed	\$5,000
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	95
Total days of suspensions across all licensees	1,869
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

NC Preventing Underage Drinking Initiative (NC-PUDi)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	www.ncpud.org

Program Description: North Carolina Preventing Underage Drinking Initiative (NC-PUDi) focuses on community-based approaches that emphasize environmental management strategies to prevent underage drinking. As the Congressional National Academy of Sciences/Institute of Medicine report, *Reducing Underage Drinking: A Collective Responsibility*, states, “Underage drinking cannot be addressed by focusing on youth alone. Youth drink within the context of a society in which alcohol use is normative behavior, and images about alcohol are pervasive. They usually obtain alcohol—either directly or indirectly—from adults. Efforts to reduce underage drinking, therefore, need to focus on adults and must engage the society at large” (National Academy of Sciences, 2003). NC-PUDi offers technical assistance to community collaboratives addressing the issue of underage alcohol use. These collaboratives work within their communities to implement strategies that prevent underage drinking and create a sustainable movement to stop practices that make underage drinking both easy and acceptable. The collaboratives’ primary strategies focus on decreasing underage access to alcohol; changing community norms that promote underage and excessive alcohol consumption; and addressing policies pertaining to underage drinking. NC-PUDi is administered by the North Carolina Department of Health and Human Services/Division of Mental Health, Developmental Disabilities and Substance Abuse Services, and supported by SAMSHA. NC-PUDi continues the initiatives funded by the OJJDP Enforcing Underage Drinking Laws program, which supported and enhanced efforts by states and local jurisdictions to prohibit the sale, purchase, and consumption of alcoholic beverages to and by minors (minors are defined as individuals under 21 years old).

Talk It Out: Start the conversation. Stop underage drinking.	
Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable

Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.talkitoutnc.org

Program Description: Talk It Out is a statewide campaign administered by the North Carolina Alcoholic Beverage Control Commission to reduce underage drinking through TV ads and social media, a website to help parents talk to youth, and a series of school assemblies with Lt. Gov. Dan Forest. Talk It Out has developed resources that provide parents with information to talk with their children about underage drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

Preventing and reducing underage drinking through retail compliance is one of the most effective strategies available (PIRE, 1999). Alcohol purchase surveys, unlike compliance checks, can be performed without the assistance of law enforcement as long as the youth attempting to purchase are at least 21 years old. Purchase surveys are designed to facilitate a dialogue between the community and its retailers. Any strong prevention program incorporates citizens, retailers, the media, and law enforcement—alcohol purchase surveys accomplish all four tasks. Specifically, alcohol purchase surveys are used to:

1. Assess community needs and collect data on which retailers in the community are potentially selling to underage youth.
2. Raise community awareness and build support for efforts to prevent sales to minors.
3. Inform merchants that they are being monitored and motivate them to change non-compliant practices.
4. Inform law enforcement officials with important information.
5. Measure the impact of prevention strategies so that communities can assess the effectiveness of the strategies they implement (PIRE, 1999b).

Community collaboratives return to every retail establishment surveyed to inform store management that the community cares about the issue of underage drinking and is conducting alcohol purchase surveys as a way to monitor alcohol sales practices. At that time, store management is also informed about how their store performed in the alcohol purchase survey and where they can get responsible alcohol sales training for their employees. Following each round of purchase surveys, funded community collaboratives submit a press release to local media and a detailed statistical report/complaint to law enforcement. Several studies have shown that generating publicity around underage alcohol sale surveys is an effective way to increase the success of local law enforcement efforts (PIRE, 1999). Therefore, results of the surveys are used for educational purposes including warning letters, congratulatory letters, and use in the media. Community collaboratives also provide a summary report to local law enforcement. For the community collaboratives to effectively serve their “community watch” role, collected data from alcohol purchase surveys must be shared with local law enforcement agencies. Community collaboratives disseminate the report summarizing the purchase survey findings after each round of surveys. From July 2009 through June 2014 the collective alcohol purchase survey failure rate has decreased by 59 percent. From October 1, 2014 to September 30, 2015, the state conducted 1,553 alcohol purchase surveys. Of those surveys, which included targeting problem establishments, 77.7 percent asked for the mock buyer’s identification and passed the survey. Community collaboratives involve youth in their efforts to prevent underage drinking and foster the development, or encourage the expansion, of an underage drinking prevention youth empowerment movement in their community.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Community Preventive Services Task Force, OJJDP; NREPP; CDC; National Institute of Medicine/National Academy of Sciences; U.S. Dept. of HHS/Surgeon General Agency(ies)	Yes
Agency(ies) within your state: North Carolina Practice Improvement Collaborative; North Carolina Institute of Medicine North Carolina Dept. of Health and Human Services	Yes
Nongovernmental agency(ies):	No
Other:	No

Best practice standards description: The North Carolina Preventing Underage Drinking Initiative (NC-PUDI) uses and continues to develop innovative strategies to help achieve the long-term goal of preventing underage drinking. This continuing effort is designed to further support and develop community collaboratives working to implement environmental management strategies to prevent underage drinking. This objective is responsive to the recommendations outlined in OJJDP’s publication, *Strategies to Reduce Underage Alcohol Use* (PIRE, 1999); the National Institute of Medicine/National Academy of Sciences (IOM/NAS) report, *Reducing Underage Drinking: A Collective Responsibility*; the Surgeon General’s *Call to Action to Prevent and Reduce Underage Drinking*; *Guide to Community Preventive Services*; *National Registry of Evidence-based Programs and Practices* (NREPP); and the *North Carolina Institute of Medicine Substance Abuse Services Task Force Report*. Although many of the recommended components of the IOM/NAS report and the *North Carolina Institute of Medicine Substance Abuse Services Task Force Report* require significant action at the national and/or state level, the reports specify several areas in which local communities can play significant complementary and reinforcing roles. Not surprisingly, several of the recommendations are consistent with strategies recommended by OJJDP in their 1999 publication, *Strategies to Reduce Underage Alcohol Use* (PIRE, 1999). According to the IOM report, two evidence-based strategic actions that can occur at the community level are community mobilization and restricting access. Community collaboratives aimed at curbing underage drinking are valuable adjuncts to state and local government interventions. Such collaboratives, which include people with diverse perspectives, interests, and responsibilities, can provide the political will and organizational support for implementing strategies that have proven effective at preventing underage drinking. They also place emphasis on a local culture in which underage drinking is considered a serious and unacceptable problem. Such local norms lend support to heightened enforcement of laws against underage drinking. By providing a context that supports recommended interventions, community mobilization efforts increase the overall likelihood that such interventions will meet success. To effectively implement this comprehensive approach, the IOM committee recommended the following three strategies:

1. Community leaders assess their community’s particular problems and resources and—using effective approaches including community organizing, building community collaboratives, and strategic use of the mass media to support policy changes and enforcement—tailor their efforts to combat underage drinking accordingly.
2. Include colleges and universities in collaboration and implementation efforts for a range of interventions.
3. Elementary, secondary, and high school education programs should be evidence-based and should avoid interventions that rely on provision of information alone or fear tactics.

Listed below are the critical elements of effective interventions as summarized in the report:

- Be multicomponent and integrated
- Be sufficient in “dose” and follow-up
- Establish norms that support non-use
- Stress parental monitoring and supervision
- Be interactive
- Be implemented with fidelity
- Include limitations in access
- Be institutionalized
- Avoid an exclusive focus on information
- Avoid congregating high-risk youth
- Promote social and emotional skill development among elementary school students

By urging greater emphasis on restricted access, the report offers a wake-up call for adults from whom youth generally obtain alcohol (parents who allow drinking parties in their homes, adults who have alcohol in the

home that is not monitored and secured, strangers who buy alcohol for teenagers waiting outside stores, or sales clerks and bartenders who sell alcohol to minors). State and local communities can work to not only create and enforce laws, but also to explain the reasons why compliance is important and elicit public support for limiting access. Recommended strategy urges that states and localities, working with law enforcement as appropriate, restrict youth access by:

1. Targeting servers and sellers, by:
 - Increasing compliance checks, supported by media campaigns and license revocation to increase deterrence
 - Implementing responsible beverage service programs as a condition of retail outlet licensing
 - Developing new or strengthened server and seller liability laws
 - Regulating Internet sales and home delivery of alcohol to prevent/reduce underage purchases
2. Targeting parents and other adults to promote compliance with youth access restrictions through:
 - Keg registration laws
 - “Shoulder tap” or other prevention programs targeting adults who purchase alcohol for minors
 - Stronger anti-loitering measures
 - Measures to hold retailers accountable for loitering
 - Securing and monitoring alcohol in the home
3. Targeting youth through:
 - Sobriety checkpoints with swift and certain sanctions for young drunk drivers
 - Graduated license programs
 - Modified laws to allow passive breath testing, streamlined administrative procedures, and administrative penalties, such as immediate driver’s license revocation
 - Media campaigns to publicize enforcement and encourage compliance
 - Identifying and breaking up teen drinking parties and holding relevant adults and youth accountable
 - Making it more difficult to use false identification (ID) by issuing scannable IDs, allowing retailers to confiscate licenses, and implementing administrative penalties for false ID use
 - Increasing access to treatment services for young drinkers who need clinical treatment

NC-PUDi has the overall goal of focusing on: community mobilization centered on implementation of environmental management strategies, and restricting access through increased collaboration with law enforcement agencies. Strengthening the bridges that the community collaboratives have built with law enforcement in previous rounds of funding remains a top priority. With an emphasis on alcohol purchase surveys, followed by the dissemination of survey results to law enforcement, retailers, and local media, community collaboratives directly assess and influence community norms and retail practices related to alcohol access in their communities.

Additional Clarification

No data.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: S. Jeff Strickland, Jr., Manager, Governor’s Substance Abuse and Underage Drinking Prevention and Treatment Task Force
 Email: stuart.strickland@abc.nc.gov
 Address: NC ABC Commission, 4307 Mail Service Center, Raleigh, NC 27699-4307
 Phone: 919-779-8351

Agencies/organizations represented on the committee:

- The Chair of the ABC Commission-Co-chair
- The Secretary of The Department of Public Safety-Co-chair
- Local Law Enforcement Agency
- Alcohol or Substance Abuse Treatment Organization
- Alcohol Treatment Organization/Youth Treatment
- NC Department of Transportation, Division of Motor Vehicles
- Wholesale Alcohol Industry

Administrative Office of the Courts
 Individual in Recovery
 Two current students, at least one of whom is under age 21
 Alcohol Law Enforcement
 UNC General Administration
 NC Independent Colleges and Universities
 Office of the Governor
 NC DHHS
 Private, Non-profit College or University in NC
 UNC System Campuses
 NC State Board of Education
 NC Community Colleges System Office

A website or other public source exists to describe committee activities	No
URL or other means of access: Not applicable	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	

State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: NC Institute of Medicine; NC DHHS/Enforcing Underage Drinking Laws Program Report	
Plan can be accessed via: http://www.nciom.org/publications/prevention	

Additional Clarification

The state has prepared a plan for substance abuse prevention that is not specific to underage drinking, but is inclusive of it.

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>Other programs:</i>	
Programs or strategies included: Talk It Out NC.	
The ABC Commission campaign is funded by revenue from state controlled liquor stores.	
Estimate of state funds expended:	\$2.5 million
Estimate based on the 12 months ending:	12/31/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

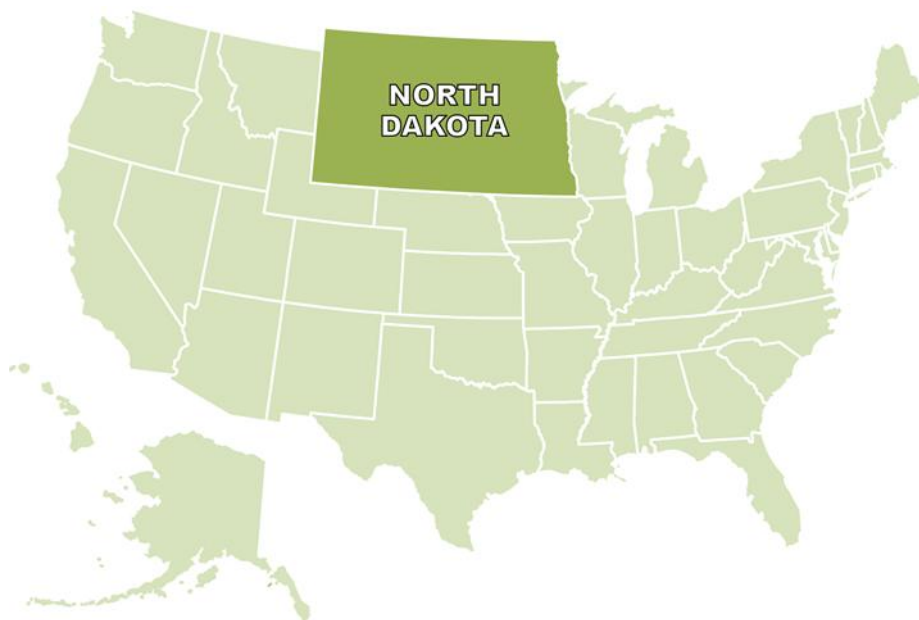
Taxes	No
Fines	No
Fees	No
Other: 1) Revenue from state-controlled liquor store (Talk It Out NC campaign); 2) Seven percent of sales from distilled spirits sold at the ABC stores	Yes

Description of funding streams and how they are used:

1. Talk It Out NC—The Alcoholic Beverage Control (ABC) Commission campaign is funded by revenue from state-controlled liquor stores. “Talk it Out” is the theme of the North Carolina ABC Commission campaign developed for broadcast, print, and social media markets across North Carolina. Advertising materials provide links to a web site (Talkitoutnc.org) that provides information and resources geared to helping parents and teens have important conversations about alcohol and why children should not drink until they are adults. The campaign is part of the larger ABC Commission's Initiative to Reduce Underage Drinking that includes outreach with the public safety and public health communities as well as partnership with the alcohol industry to address underage drinking issue head-on. It also fits within the broader mission of the Governor’s Task Force to Combat Substance Abuse and Underage Drinking.
2. Seven percent of sales from distilled spirits sold at the ABC stores are dedicated to training and education and are determined locally through ABC boards and/or county commissioners.

Additional Clarification

The North Carolina Department of Human Services Preventing Underage Drinking Initiative (NC-PUDi) and additional complementary efforts are federally funded. No state funds are specifically dedicated to the prevention of underage alcohol use.



North Dakota

State Population: 756,928

Population Ages 12–20: 90,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	30,000 (33.0%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (3.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	6,000 (22.1%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	23,000 (59.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	10
Years of Potential Life Lost (under 21)	611
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	32%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Notes: North Dakota has a statutory provision that prohibits an individual under 21 from having "recently consumed" an alcoholic beverage. Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12, 6 months if driver is 16 or over
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15
For night driving, when does adult supervision requirement begin?	9:00 PM (or sunset, whichever is later)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	N/A

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as	Not specified

bars and restaurants) or off-premises establishments (such as liquor stores)?	
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes
Notes: Any person who is 18 years of age or older but under 21 years of age may be employed by the restaurant to serve and collect money for alcoholic beverages, if the person is under the direct supervision of a person 21 or more years of age, but may not be engaged in mixing, dispensing, or consuming alcoholic beverages.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status

Does common law dram shop liability exist?	No
Notes: If a retail licensee is found liable and exemplary damages are sought, the finder of fact may consider as a mitigating factor that the licensee provided to an employee alcohol server training that addressed intoxication, drunk driving, and underage drinking.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	

Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes

• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: Beer in bulk containers is taxed at \$0.08 per gallon.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	7.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.00%
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

North Dakota State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

North Dakota does not have an Alcohol Beverage Control (ABC) agency. Responsibilities are shared across several state agencies.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 512

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of retail licensees in state³ 1,560

Number of licensees checked for compliance by state agencies (including random checks) Not applicable

Number of licensees that failed state compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Not applicable

State conducts random underage compliance checks/decoy operations Not applicable

Number of licensees subject to random state compliance checks/decoy operations Not applicable

Number of licensees that failed random state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 250

Number of licensees that failed local compliance checks 30

Numbers pertain to the 12 months ending 12/31/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors No

Number of fines imposed by the state⁴ Not applicable

Total amount in fines across all licensees Not applicable

Smallest fine imposed Not applicable

Largest fine imposed Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

The state does not use funds on compliance check operations, only federal dollars.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Parents Lead

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	www.parentslead.org

Program Description: Parents Lead is an evidence-based underage drinking prevention effort targeting parents through statewide, web-based, and traditional media communication. The program is designed to help parents initiate and/or continue conversations with their children about underage drinking and other difficult subjects at any age, role-model positive and healthy behaviors, monitor their children's whereabouts, and provide support and engagement. A key component of the program is its interactive website (www.parentslead.org) which features both parents and professional portals; each offering tips, tools, and resources. Parents Lead maintains a mailing list where parents can sign up to receive monthly, age-specific emails and has a social media presence on Facebook and Twitter. Additionally, Parents Lead engages in various outreach efforts such as presenting at professional development conferences (e.g. mental health, addiction provider, school counselor, etc.), and local events. It also uses traditional mass media (e.g. commercials, PSAs, etc.).

Juvenile Drug Court

Program serves specific or general population	Specific population
Number of youth served	95
Number of parents served	190
Number of caregivers served	56
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Juvenile Drug Court Program is aimed at reducing alcohol and substance abuse and delinquent and unruly acts of North Dakota juveniles. It is under the supervision of the North Dakota Supreme

Court. There are six juvenile drug courts throughout the state. Each drug court has a team that consists of a judge, prosecutor, defense counsel, court officer, treatment provider, coordinator, school representative, and a law enforcement officer.

Responsible Beverage Service Training

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	www.ndsc.org/rbs/default.aspx

Program Description: The North Dakota Safety Council (NDSC) is partnering with communities and law enforcement throughout the state to implement Responsible Beverage Server Training (RBS). RBS is designed to educate owners, managers, servers, and sellers at alcohol establishments about strategies to avoid illegally selling alcohol to underage youth and intoxicated customers. The program is comprised of three 2-hour modules: Basic Server Course, Special Event Server Course, and Owners/Managers Course.

Alcohol Compliance Checks

Program serves specific or general population	General population
Number of youth served	30
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Law enforcement agencies working with underage youth visited liquor stores, restaurants, and bars to check for compliance with laws that prohibit alcohol sales to people under age 21.

Tribal Substance Abuse Prevention Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Department of Human Services contracts with tribes and local tribal entities to provide culturally appropriate substance abuse prevention programs, policies, and practices. These programs follow the Strategic Prevention Framework (SPF), and work collaboratively with the Tribal Tobacco Prevention Programs. Substance abuse prevention programs are available on each reservation in North Dakota: Spirit Lake Nation, Standing Rock Sioux Tribe, Three Affiliated Tribes (MHA Nation), and Turtle Mountain Band of Chippewa Indians.

Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable

URL for more program information:	ndspfsig.wikispaces.com
Program Description: The Department of Human Services' Division of Mental Health and Substance Abuse has awarded funding to 21 local public health units and the four federally recognized tribes across the state to support local substance abuse prevention efforts targeting underage drinking and adult binge drinking. This funding will allow each community grantee, in collaboration with their community partners, to assess their community, develop a plan, and implement evidence-based strategies tackling underage and adult binge drinking, all while building a local-level prevention infrastructure.	
Additional Underage Drinking Prevention Programs Operated or Funded by the State	
Program Description: No data	
Additional Clarification	
No data	
Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: The ND Department of Human Services provides federal funds to the four federally recognized tribes in the state to support culturally appropriate, local substance abuse prevention infrastructure. The Department provides additional support in the form of training and technical assistance for these programs. The ND Department of Human Services also funds the four tribes to implement the SPF SIG. The ND Department of Transportation involves tribal law enforcement in regional impaired driving prevention enforcement activities.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program Description: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA, OJJDP, NHTSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other: Evidence-Based Practices Workgroup (EBPW) - established at the onset of ND's SPF SIG	Yes
Best practice standards description: North Dakota follows evidence-based prevention through a focus on addressing risk and protective factors, following the SPF and the public health model, while emphasizing environmental strategies, such as enforcement. North Dakota prevention efforts are directed through a data-driven decision-making process.	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information:	
Name: Pamela Sagness	
Email: psagness@nd.gov	
Address: 1237 West Divide Ave., Suite 1C, Bismarck, ND 58501	
Phone: 701-328-8920	
Agencies/organizations represented on the committee:	
ND Department of Human Services	
ND Department of Health	
ND Department of Transportation	
ND Department of Public Instruction	
ND Governor's Office	

ND Office of the First Lady
 ND Legislative Assembly Representatives
 ND University System
 ND Highway Patrol
 ND Indian Affairs Commission
 ND Students Against Destructive Decisions/Northern Lights Youth Services
 ND Teen Challenge
 ND Judiciary
 Local Law Enforcement Representative
 ND Addiction Counselor Representative

<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: ND Department of Human Services	
Plan can be accessed via: No data	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: ND Department of Human Services	
Plan can be accessed via: https://www.prevention.nd.gov/data	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$21,890
Estimate based on the 12 months ending	12/31/2015

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$130,000
Estimate based on the 12 months ending	12/31/2015

<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$56,811
Estimate based on the 12 months ending	12/31/2013

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$403,000
Estimate based on the 12 months ending	12/31/2015

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data

<i>Other programs:</i>	
Programs or strategies included: No data	
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data

Funds Dedicated to Underage Drinking

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No

Fees

Other: No data

No

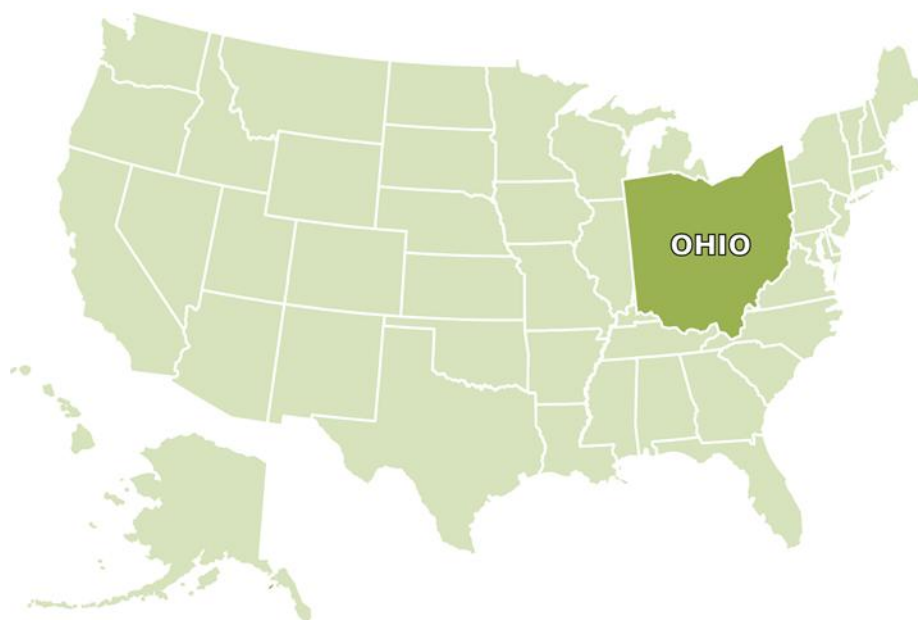
No data

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Ohio

State Population: 11,613,423

Population Ages 12–20: 1,402,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	328,000 (23.4%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	17,000 (3.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	83,000 (18.1%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	227,000 (47.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	142
Years of Potential Life Lost (under 21)	8,667
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	27
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was	Yes

valid after examining it)?	
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM (1:00 AM if license has been held for 12 months)
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no more than one non-family passenger, unless accompanied by parent or

	guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (Passenger restrictions are lifted if license has been held for 12 months; unsupervised night driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, age-appropriate appearance; hairstyle and clothing consistent with underage persons in target area; minimal jewelry. Male: no facial hair. Female: minimal makeup and jewelry.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A

What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	19
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although employees must be at least 21 years old in order to sell spirits, wine or mixed beverages across a bar, employees of any permit holder may sell beer across a bar if they are at least 19 years old.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties—Law Applicable to Possession/Consumption	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host’s preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members
Notes: In addition to the restrictions imposed on owners or occupants of public or private places, Ohio's provision regarding property states that no person shall engage or use accommodations at a hotel, inn, cabin, campground, or restaurant when the person knows or has reason to know that beer or intoxicating liquor will be consumed by an underage person on the premises. Owners or occupants of public or private places are held to a knowledge standard, while those who engage or use accommodations at a hotel, inn, cabin, campground, or restaurant are held to a negligence standard.	

Prohibitions Against Hosting Underage Drinking Parties—Law Applicable to Consumption	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Other
What level of knowledge by the host is required?	Negligence: Host knew or should have known of the party
Does host’s preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members
Notes: In addition to the restrictions imposed on owners or occupants of public or private places,	

Ohio's provision regarding property states that no person shall engage or use accommodations at a hotel, inn, cabin, campground, or restaurant when the person knows or has reason to know that beer or intoxicating liquor will be consumed by an underage person on the premises. Owners or occupants of public or private places are held to a knowledge standard, while those who engage or use accommodations at a hotel, inn, cabin, campground, or restaurant are held to a negligence standard.

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited
Notes: An S class permit allows an out of state beer or wine brand owner or United States importer to sell beer or wine directly to personal consumers (residents) in Ohio by receiving and filling orders that the personal consumer submits to the permit holder.	

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes, prior to sending a shipment of beer or wine, the shipper must make a "bona fide" effort to ensure that the purchaser is at least 21 years of age
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	No

Keg Registration

How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law

What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Ohio is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.18
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.32
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before 9:00 PM)

Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 90 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Notes: Wine wholesalers are to include a 33.3% minimum markup	

Ohio State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Ohio Investigative Unit

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	Yes
Number of minors found in possession by state law enforcement agencies	3,493
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	24,000
Number of licensees checked for compliance by state agencies (including random checks)	938
Number of licensees that failed state compliance checks	192
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
State conducts random underage compliance checks/decoy operations	Yes
Number of licensees subject to random state compliance checks/decoy operations	938
Number of licensees that failed random state compliance checks	192
Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	600
Total amount in fines across all licensees	\$772,700
Smallest fine imposed	\$400
Largest fine imposed	\$50,000

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	83
Total days of suspensions across all licensees	6,086
Shortest period of suspension imposed (in days)	4
Longest period of suspension imposed (in days)	40
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	18
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Our agency (Ohio Investigative Unit) does not keep records of fines, suspensions, and/or revocations of permit premises throughout the state. The Liquor Control Commission and the Ohio Attorney General's Office have records on revocations and fines/suspensions when they impose their penalties against the permit holders for Ohio administrative violations which includes sales to minors. We work throughout the state and do not keep records for fines and penalties imposed by the regulating courts. We conduct compliance checks as our regular duties and it is not conducted on overtime or as a special enforcement activity. Because of that, we do not keep records of money spent or used to conduct compliance checks. Also, we are an undercover unit and do not regularly work in the capacity of checkpoints or saturation details involving traffic enforcement.

¹ Or having consumed or purchased per state statutes.
² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.
⁴ Does not include fines imposed by local agencies.
⁵ Does not include suspensions imposed by local agencies.
⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Ohio College Initiative to Enhance Student Wellness

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	www.drugfreeactionalliance.org

Program Description: The Ohio College Initiative to Enhance Student Wellness seeks to enhance student wellness by promoting the creation of campus/community coalitions that implement community-based process and environmental strategies to strengthen the campus culture by focusing on the following areas: restricting marketing and promotion, improving social and recreational options, limiting availability, increasing enforcement of policies, and creating a health-promotion environment. DFAA works in partnership with the Higher Ed Center at the Ohio State University to carry out this important work. This college intervention seeks to change the alcohol-related culture of students on college campuses by building campus/community coalitions that identify and implement environmental strategies. This model provides training and technical support to campus faculty and top administration officials to encourage and assist in the implementation of evidence-based prevention strategies. Fifty-four, 4-year institutions and some community colleges are engaged in the College Initiative at this time.

BUZZKILL: Serve Under 21 and the Party's Over	
Program serves specific or general population	General population

Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	www.drugfreeactionalliance.org

Program Description: BUZZKILL: Serve Under 21 and the Party's Over, educates of-age college students about the serious health and safety problems associated with underage drinking. In addition to the program's messaging, it supports enforcement of underage drinking laws at the local level by supporting communities to partner with law enforcement. The program takes place on a local and statewide level and runs primarily September to May, when college-hosted underage drinking house parties are prevalent and campuses can provide community education. BUZZKILL: Serve Under 21 and the Party's Over is implemented by nine other states: California, Georgia, Maryland, Michigan, New Jersey, New York, Pennsylvania, Texas, and Wisconsin.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: Ohio does not operate or fund any additional underage drinking programs.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of Collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program Description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMSHA Yes

Agency(ies) within your state: Ohio Department of Mental Health and Addiction Services Yes

Services

Nongovernmental agency(ies): No

Other: No

Best Practice Standards Description: The social norm campaign, BUZZKILL: Serve Under 21 and the Party's Over, which Ohio created and others are now implementing, is seen as a best practice program for the State of Ohio.

The other program is the Ohio College Initiative to Enhance Student Wellness, which uses evidence-based practices, policies, and procedures.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No

Committee contact information:

Not applicable

Agencies/organizations represented on the committee:

Not applicable

A website or other public source exists to describe committee activities Not applicable

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included: Data not available

Estimate of state funds expended: Data not available

Estimate based on the 12 months ending: Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No data

Fines No data

Fees No data

Other: No data No data

Description of funding streams and how they are used:

No data

Additional Clarification

No data



Oklahoma

State Population: 3,911,338

Population Ages 12–20: 476,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	104,000 (21.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (2.5%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	30,000 (18.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	71,000 (42.3%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	71
Years of Potential Life Lost (under 21)	4,326
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	18
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes
Notes: In Oklahoma, persons under 21 may purchase or attempt to purchase low-point beer (defined as beer or malt beverages not more than 3.2 percent ABW) if under the direct supervision of their parent or guardian.	

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No

Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: Oklahoma provides retailers a defense in criminal prosecutions for furnishing minors with "low-point beer" (defined as all beverages containing more than 0.5 percent alcohol by volume and not more than 3.2 percent alcohol by weight). The defense takes the form of a rebuttable presumption that the retailer reasonably relied upon proof of age if (1) the minor presented what a reasonable person would have believed was a driver license or other government-issued photo identification purporting to establish that the individual was 21 years of age or older; or (2) the retailer confirmed the validity of the driver license or other government-issued photo identification presented by the individual by using a transaction scan device; and (3) if the retailer exercised reasonable diligence to determine whether the physical description and picture on the driver license or other government-issued photo ID was that of the individual who presented it.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180
Notes: The denial of driving privileges is a consequence imposed on those under 18 years who have purchased, possessed or consumed low-point beer (defined as containing not more than 3.2 percent ABW) or any intoxicating beverage.	

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger who is not a household member, unless accompanied by driver at least 21
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	21
Are there appearance requirements for the decoy?	Yes, should not be deceptively mature in appearance
Does decoy carry ID during compliance check?	Required

May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet; college or university located within an improvement district may override state restriction
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet; college or university located within an improvement district may override state restriction
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, residents of household

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited

Wine	Prohibited
Spirits	Prohibited
Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500 / 6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.40
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.72
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.56
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	13.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	13.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 60 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 60 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Oklahoma State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Oklahoma Alcoholic Beverage Laws Enforcement Commission

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors OK Alcoholic Beverage Laws Enforcement Commission

Such laws are also enforced by local law enforcement agencies Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 7

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 3,036

Number of licensees checked for compliance by state agencies (including random checks) 366

Number of licensees that failed state compliance checks 88

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 1,156

Number of licensees that failed local compliance checks 211

Numbers pertain to the 12 months ending 12/31/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 21

Total amount in fines across all licensees \$23,500

Smallest fine imposed \$1,000

Largest fine imposed \$1,500

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	3
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

The Oklahoma Alcoholic Beverage Laws Enforcement (ABLE) Commission regulates and has criminal and administrative jurisdiction over alcoholic beverages. It has no authority over low-point beer. Under specific, narrow circumstances, ABLE has taken action against some low-point beer violations. These violations are included in the data. Generally, low-point beer is the responsibility of local authorities. The ABLE Commission may conduct Cops in Shops, Shoulder Tap Operations, and Party Patrols if the opportunity presents itself and often in conjunction with local authorities. The ABLE Commission does not compile data from local authorities. The number of retail licensees in state includes ABLE licensees only; excludes low-point beer establishments. The numbers of state compliance checks and failures includes those sites in which the ABLE Commission assisted local authorities at low-point beer establishments. The sanctions data do not reflect criminal convictions and dismissals.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Too Much To Lose (2M2L) Youth Leadership Initiative

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Too Much To Lose (2M2L) Youth Leadership Initiative encompasses school-level clubs and youth leadership development. 2M2L clubs are youth-led groups at the local level that implement environmental strategies to change the way their community perceives alcohol advertising, youth access to alcohol, and social norms that contribute to underage drinking. With guidance from adult allies, youth leaders educate and inform their peers, adults, and community members about social attitudes pertaining to underage drinking. In addition, youth often partner with local law enforcement in conducting alcohol compliance checks and other activities. 2M2L clubs helps lead and plan an annual 2M2L Youth Leadership Academy and 2M2L Youth Leadership Kickoff. The Academy helps develop leadership skills and increase knowledge about underage drinking prevention and is designed to train club leaders on the environmental prevention model, leadership/team building, and action planning.

2M2L Regional Coordinators	
Program serves specific or general population	General population

Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: 2M2L regional coordinators conduct trainings aimed at communities, alcohol retailers, and law enforcement agencies that provide an in-depth look at Oklahoma’s alcohol laws and environmental prevention strategies to reduce underage drinking as well as specialized tactical instruction in controlled party dispersals, compliance checks, and shoulder taps. The coordinators serve as liaisons between communities and law enforcement agencies and promote cross-agency collaboration and strategic partnership development while providing technical assistance to coalitions, youth clubs, and law enforcement agencies committed to addressing the problem of underage drinking. Coordinators promote the 2M2L initiative and educate the public through earned media outputs and other information dissemination efforts.

Social Host Media Campaign

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.oklahomasocialhost.com

Program Description: The Social Host Media Campaign highlights the issues related to underage drinking at home parties in particular. Oklahoma’s social host law holds adults responsible for parties where alcohol is served to underage people. The adult who owns or rents the property is responsible, no matter who provides the alcohol. The media campaign aims to make the public aware of the social host law and the responsibility/liability regarding underage drinking with regard to home parties. Media outputs have included billboards, public transit signs, PSAs at movie theaters, posters, and ads in print publications.

Regional Prevention Coordinators (RPCs)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	http://ok.gov/odmhsas/Prevention_/Prevention_Initiatives/Regional_Prevention_Coordinators_(RPC)/index.html

Program Description: The primary purpose of the RPCs is to provide regional prevention services by engaging community members, local organizations, public agencies, youth, and the media to change community conditions that contribute to alcohol-, tobacco-, and drug-related problems. A major goal of the RPCs is to prevent the onset and reduce the problems associated with the use of alcohol by those under age 21. All contracted RPCs are minimally required to ensure alcohol compliance checks are completed each year, conduct Responsible Beverage Sales and Service (RBSS) training, and complete alcohol outlet risk assessments in their service regions.

AlcoholEdu for Oklahoma High Schools

Program serves specific or general population	Specific population
Number of youth served	1,555
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.everfi.com

Program Description: AlcoholEdu is an online alcohol prevention course designed to impact entire student populations by engaging today’s teens using the tools they love: the Internet, videos, and project-based learning. AlcoholEdu is currently available free of charge to all Oklahoma high schools.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

An evaluation report is available for Regional Prevention Coordinator (RPC) services, but the report is not a comprehensive evaluation of RPC services.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The state has active collaborations with several tribal nations, including Cherokee Nation, Osage Tribe, Choctaw Nation, and the Cheyenne-Arapaho Tribes. Collaborations include tribal representatives serving as RBSS trainers, facilitators for the statewide 2M2L Youth Leadership Academy, and members of state advisory groups and workgroups. In addition, tribal representatives participate in and host 2M2L youth and adult training opportunities throughout the year, and Cherokee Nation is a prevention service provider under Oklahoma’s Substance Abuse Prevention Block Grant and SPF SIG. At least three tribes work with state-funded Regional Prevention Coordinators to require mandatory RBSS training for all casino employees.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program Description: The Regional Prevention Coordinators are contractually required to measure the percentage of alcohol storefront signage on a randomly selected sample of retailers each year.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: Oklahoma Evidence-Based Practices Workgroup through the Oklahoma Department of Mental Health and Substance Abuse Services Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Oklahoma’s priority is to fund and implement evidence-based environmental strategies for the prevention of underage drinking. A strategy is considered a best practice if it meets one of the following three criteria:

Definition 1: It is included on federal lists or registries of evidence-based strategies and has documented positive outcomes.

Definition 2: It is reported (with positive effects) in peer-reviewed journals.

Definition 3: Documented effectiveness is supported by other sources of information and the consensus judgment of informed experts as specified by the Oklahoma Evidence-based Workgroup.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address Yes

*underage drinking prevention activities***Committee contact information:**

Name: Jessica Hawkins
 Email: jhawkins@odmhsas.org
 Address: 1200 NE 13th, Oklahoma City, OK 73152
 Phone: 405) -522-5952

Agencies/organizations represented on the committee:

Oklahoma Alcoholic Beverage Laws Enforcement (ABLE) Commission
 Oklahoma Turning Point
 Oklahoma State Department of Education
 Oklahoma Department of Mental Health and Substance Abuse Services
 Oklahoma Highway Safety Office
 Oklahoma Department of Public Safety
 Oklahoma State Department of Health
 Oklahoma Commission on Children and Youth
 Oklahoma Institute for Child Advocacy
 University of Oklahoma
 Oklahoma State Legislature
 Oklahoma Prevention Policy Alliance

A website or other public source exists to describe committee activities Yes

URL or other means of access:

http://www.ok.gov/odmhsas/Prevention_Programs/Initiatives/Underage_Drinking_Prevention_Initiative/index.html

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Oklahoma Department of Mental Health and Substance Abuse Services

Plan can be accessed via: <http://ok.gov/odmhsas>

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended \$8,457
 Estimate based on the 12 months ending 6/30/2015

Checkpoints and saturation patrols:

Estimate of state funds expended \$527
 Estimate based on the 12 months ending 6/30/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$16,086
 Estimate based on the 12 months ending 6/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

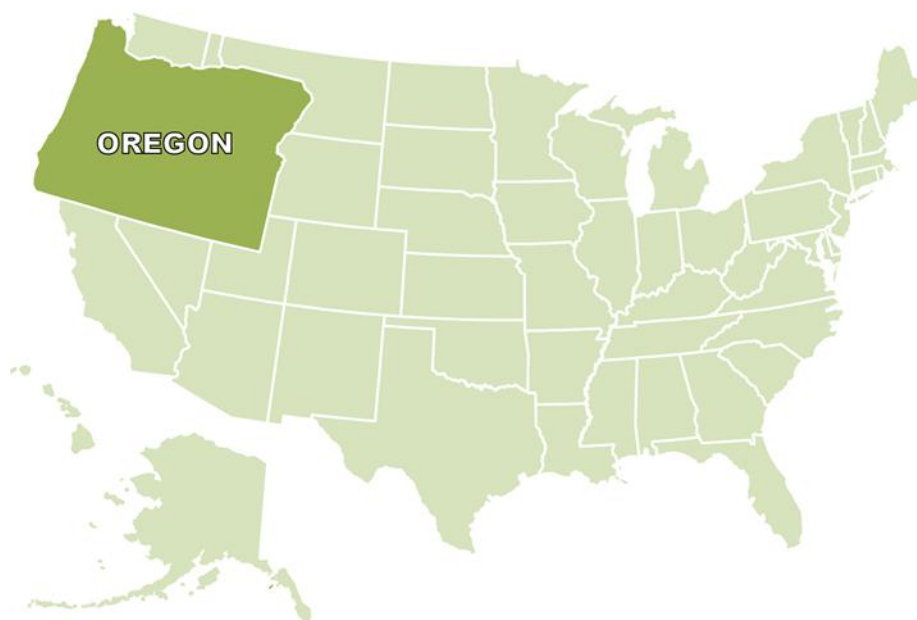
State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

<i>Description of funding streams and how they are used:</i>
Not applicable

Additional Clarification

No data



Oregon

State Population: 4,028,977

Population Ages 12–20: 449,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	110,000 (24.4%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	5,000 (3.5%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	30,000 (18.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	75,000 (47.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	38
Years of Potential Life Lost (under 21)	2,311
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	11
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	21%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes, in specified locations (see below) No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations (see below) No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A
Is there an exception based on location?	N/A
Notes: Oregon law prohibits "personal possession" of an alcoholic beverage. "Personal possession" includes the "consumption of a bottle of such beverages, or any portion thereof or a drink of such beverages." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol	No

sales specifically prohibited?	
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365
Notes: Whenever a person who is 13 through 17 years of age is convicted of any offense involving the use or abuse of alcohol, the person's license is suspended for one year, or until the person reaches 17 years of age, whichever is longer.	

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold	6

learner permit before advancing to intermediate stage?	
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (with driver education; 100 hours without)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, for first six months, no passengers under the age of 20 who are not immediate family members unless accompanied by parent or instructor. For second 6 months, not more than 3 passengers under age 20 who are not immediate family members unless accompanied by parent or instructor
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private residence if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, must look under the age of 26 years

Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	10 days or \$1,650 fine
What is the penalty for the second offense?	30 days or \$4,950 fine
What is the penalty for the third offense?	30 days
What is the penalty for the fourth offense?	Cancellation
Notes: If a licensee is a member of the Responsible Vendor Program, they may be eligible for lesser penalties.	

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both
Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	

Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition	General

against permitting underage drinking on the property?	
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members
Notes: The prohibitions apply only to a person who is present and in control of the location at the time underage consumption occurs.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Prohibited
Notes: An out-of-state direct shipper may deliver malt beverages to Oregon residents only if that state allows Oregon licensees to deliver malt beverages directly to a resident of that state.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Notes: An out-of-state direct shipper may deliver malt beverages to Oregon residents only if that state allows Oregon licensees to deliver malt beverages directly to a resident of that state.	

Keg Registration	
How is a keg defined (in gallons)?	More than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$6250 / 1 year)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes

Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (If the licensee ships via a for-hire carrier, the carrier must have been approved by the Commission prior to delivering malt beverages, wine or cider to any resident of Oregon)
Wine	Yes (If the licensee ships via a for-hire carrier, the carrier must have been approved by the Commission prior to delivering malt beverages, wine or cider to any resident of Oregon)
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Oregon is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	

Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before midnight.)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 14 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Notes: Licensees must make beer price lists available for Commission inspection.	

Oregon State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:
Oregon Liquor Control Commission and local law enforcement agencies

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of retail licensees in state ³	12,622
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts random underage compliance checks/decoy operations	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Underage compliance checks in retail outlets are conducted not only by the Oregon Liquor Control Commission (OLCC), but also by local law enforcement agencies all across the state. There is no mechanism to collect data at the state level for all of these localized efforts. Estimating the state OLCC expenditure and then local efforts is too unreliable. The OLCC does collect data/maintain records on the number and/or total amount of fines, license suspensions imposed on retail establishments, and license revocations imposed on retail establishments, but the data are not specifically sorted for furnishing minors. Saturation patrols involve multiple agencies on state highways, county roads, and city streets, but these data are not rolled up to the state level. In Oregon, checkpoints are illegal under the Oregon State Constitution. There has been a decrease in underage compliance checks/decoy operations conducted by the state due to the increased responsibility the agency has been given regulating retail marijuana.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Positive Community Norms (PCN) Media Campaign

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.oregonmore.org

Program Description: The Health Promotion and Chronic Disease Prevention Program and the Center for Health and Safety Culture/MOST of Us[®] of Montana State University offer resources to Oregon communities to support a statewide Positive Community Norms (PCN) effort to reduce teenage alcohol use. The goal of the program is to reveal concern and hope about underage drinking in order to promote meaningful change and transformation regarding the attitudes and misperceptions of underage drinking for the general population, parents, and young people.

The Strategic Prevention Framework Partnership for Success (SPF PFS)	
Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable

Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Internal document, no URL
URL for more program information:	Not applicable

Program Description: The Strategic Prevention Framework Partnership for Success (SPF PFS) is a cooperative agreement with the Substance Abuse and Mental Health Service Administration (SAMHSA). It ensures that the state, counties, and tribes work together to use data-driven decisionmaking processes to develop effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons aged 12-20, high-risk drinking among persons aged 18-25, and prescription drug misuse/abuse among persons aged 12-25.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

Currently the State of Oregon does not endorse any other programs on a statewide basis. The SAMHSA Block Grant funds received are disseminated to each county and tribe in Oregon. Each county and tribe then creates a plan that is based on a local assessment and local conditions.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
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Description of collaboration: Each of Oregon's nine federally recognized tribes are funded by the SAPT Block Grant for substance abuse prevention. In addition to funding, a staff member of the *Health Promotion and Chronic Disease Prevention (HPCDP)* Section of the Public Health Division is assigned as the tribal liaison to promote collaboration and understanding between the state and tribal governments. The State of Oregon, its tribes, and its counties host and coordinate state-integrated meetings and training on a regular basis. Reducing underage drinking is a priority for the tribes in Oregon, and many have goals of reducing 30-day use of alcohol and increasing the age of first-use. Tribes are utilizing a variety of strategies to address these issues. Tribal Best Practices, including Tribal Family activities and Positive Indian Parenting, are used to support the whole family in providing education, skills, and opportunities to support communication around alcohol use. The Healing of the Canoe Project addresses substance abuse prevention through a community-based, culturally grounded prevention and intervention life skills curriculum that builds on community strengths and resources. Community coalitions are used to mobilize communities and address underage drinking and alcohol use.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): Center for Disease Control, Substance Abuse Mental Health Service Administration	Yes
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Agency(ies) within your state: Oregon Health Authority, Health Promotion and Chronic Disease Prevention	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: Policy, program or practices that have scientific study that has demonstrated predictable outcomes under certain conditions. Evidence-Based Practice Levels:

- I. A prevention or treatment practice, regimen, or service that is grounded in consistent scientific evidence showing that it improves client/participant outcomes in both clinically controlled and real-world settings. The practice is sufficiently documented through research to permit the assessment of fidelity. This means elements of the practice are standardized, replicable, and effective within a given setting and for

particular populations. As a result, the degree of successful implementation of the service can be measured by the use of a fidelity tool that operationally defines the essential elements of the practice.

Key points:

- Supported by scientifically sound randomized controlled studies that have shown consistently positive outcomes.
- Positive outcomes have been achieved in scientifically controlled and routine care settings.

II. A treatment or prevention service that is sufficiently documented through research studies (randomized controlled studies or rigorously conducted and designed evaluations). It is not necessary that research has been conducted in both a controlled setting and a routine care setting. The elements of the practice are standardized and have been demonstrated to be replicable and effective within given settings and for particular populations. As a result, the degree of successful implementation of the service can be measured by the use of a fidelity tool or some other means, such as a quality review based on a manual definition of the practice that defines the essential elements of the practice.

Key points:

- Supported by scientifically sound experimental studies that have demonstrated consistently positive outcomes.
- Positive outcomes have been achieved in scientifically controlled settings or routine care settings.

III. A practice or prevention service based on elements derived from Level I or II practices. The practice has been modified or adapted for a population or setting that is different from the one in which it was formally developed and documented. Based on the results of the outcomes, elements of the service are continually adapted or modified to achieve outcomes similar to those derived from the original practice. Practices difficult to study in rigorously controlled studies for cultural and/or other practical reasons but have been standardized, replicated, and achieved consistent positive outcomes will also be considered for Level III. Given these conditions, research published in an appropriate peer reviewed journal is still required.

Key points:

- Modified from Level I or II practice and applied in a setting or for a population that differs from the original practice.
- Practice may be difficult to study in a controlled setting.

Additional Clarification

Evidence-Based & The Indian Way

Scientifically validated refers to those approaches based upon social science or behavioral science theories (science-based) which were designed for non-native communities. Some of these have been adapted to meet some of the cultural needs of American Indian-Alaska Native (AI-AN) communities. These programs were also evaluated using scientific methods preferred by the accountability systems described in the government resource documents.

Scientifically replicated refers to those science-based programs that have been implemented more than one time in native communities or in non-native communities.

Culturally validated refers to those approaches that are based upon principles, laws, and values of specific AI-AN communities. These historical/traditional “teachings” form the basis for the programs. They are culturally relevant, culturally appropriate, and designed according to the “Indian Way.” They have been implemented according to culturally accepted practices and have been accepted as valid by the community itself. They have not been evaluated using the scientific method. However, their effectiveness has been demonstrated

Culturally replicated refers to those programs that have been developed and implemented according to the “Indian Way” (see definition below) and have been passed on to others and continue to be implemented and utilized. From this perspective, the proof of the effectiveness of the program becomes; “If it is useful, it is used.” If it is not useful, if people find little results according to their standards, they will not attend, and the program (whether it is scientifically or culturally based) will simply be ignored.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Luci Longoria
 Email: Luci.longoria@state.or.us
 Address: 800 NE Oregon St., Suite 730, Portland, OR 97232
 Phone: (971) 673-1064

Agencies/organizations represented on the committee:

Health Promotion and Chronic Disease Prevention
 Oregon Liquor Control Commission
 Oregon Department of Transportation
 Clackamas County
 Hood River County
 Confederated Tribes of Grand Ronde
 Confederated Tribes of Warm Springs
 Public Health Department
 Oregon Nurse's Foundation
 Occasional attendance by law enforcement

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Underage Drinking Enforcement Training Center, Center for Substance Abuse Prevention, and Stop Act Survey only
 Plan can be accessed via: Not applicable

Additional Clarification

No additional clarification.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$3,591,500
 Estimate based on the 12 months ending 06/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$401,280
 Estimate based on the 12 months ending 06/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended \$20,000.00
 Estimate based on the 12 months ending 06/30/2015

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included: Data not available
 Estimate of state funds expended: Data not available

Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Beer and Wine taxes fund a portion of the prevention programs in Oregon. Activities may include but are not limited to Minor Decoy operations, Controlled Party Dispersal, and Social Host Ordinance or Event restriction policy development.	
Additional Clarification	
No additional clarification	



Pennsylvania

State Population: 12,802,503

Population Ages 12–20: 1,476,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	376,000 (25.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	19,000 (4.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	99,000 (21.0%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	258,000 (48.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	180
Years of Potential Life Lost (under 21)	10,808
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	28
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	19%

*Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> • Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	65 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, first 6 months, no more than one passenger under the age of 18 not in the immediate family, and after 6 months, no more than 3 unrelated passengers under age

	18, unless accompanied by a parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, age-appropriate dress and appearance
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	4 years
What is the penalty for the first offense?	\$50-\$1,000 fine and/or license suspension/revocation
What is the penalty for the second offense?	\$1,000 - \$5,000 fine and/or license suspension/revocation
What is the penalty for the third offense?	License suspension or revocation
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS) - Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Manager

If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Responsible Beverage Service (RBS) - Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
Notes: In Pennsylvania, a minor who is 17 years of age who is a high school graduate or who is declared to have attained his or her academic potential by the chief administrator of his or her school district is deemed to be a minor of 18 years of age for purposes of the laws relating to the employment of minors by retail licensees.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Notes: In Pennsylvania, a minor who is 17 years of age who is a high school graduate or who is declared to have attained his or her academic potential by the chief administrator of his or her school district is deemed to be a minor of 18 years of age for purposes of the laws relating to the employment of minors by retail licensees.

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools

Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability

Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability

Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A
Notes: Limited wineries (wineries with a maximum output of 200,000 gallons per year) and limited distilleries (distilleries that do not produce more than 100,000 gallons of distilled liquor per year) may ship wine and distilled liquor to retail customers via a transporter-for-hire or in a vehicle properly registered with the Board.	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes. In addition, Pennsylvania is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	Yes, 95 percent or more
Are there exceptions to restrictions?	Yes, limited distillery licenses
Notes: State liquor stores may not stock 190 proof or more, which is equivalent to 95 percent alcohol by volume.	

LAWS AFFECTING ALCOHOL PRICING

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before midnight; maximum of 4 hours per day and/or 14 hours per week.)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Notes: Although current law suggests that there is a 120-day hold on reducing prices posted by the wholesaler for distribution of beer, that rule is no longer legal nor being enforced as a result of a court order.	

Pennsylvania State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

PA State Police Bureau of Liquor Control Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors PA State Police Bureau of Liquor Control Enforcement

Such laws are also enforced by local law enforcement agencies Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 16,499

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 14,000

Number of licensees checked for compliance by state agencies (including random checks) 1,704

Number of licensees that failed state compliance checks 608

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 1,133

Number of licensees that failed **random** state compliance checks 428

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 2,326

Total amount in fines across all licensees \$1,919,411

Smallest fine imposed \$250

Largest fine imposed \$7,000

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	39
Total days of suspensions across all licensees	119
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	20
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	46
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Project Northland

Program serves specific or general population	Specific population
Number of youth served	948
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=25

Program Description: Project Northland is a multilevel intervention involving students, peers, parents, and the community in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered weekly to adolescents in grades 6–8, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use utilizing student-parent homework assignments, in-class group discussions, and a community-wide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use, and is implemented through discussions, games, problem-solving tasks, and role-plays. During the first half of the 8th-grade, through the peer-led Powerlines program, students learn about community dynamics related to alcohol use prevention through small-group and classroom interactive activities. During the second half of the year, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Class Action	
Program serves specific or general population	Specific population
Number of youth served	610
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=145

Program Description: Class Action is the second phase of the Project Northland alcohol-use prevention curriculum series. Class Action (for grades 11–12) and Project Northland (for grades 6–8) are designed to delay the onset of alcohol use, reduce use among youths who have already tried alcohol, and limit the number of alcohol-related problems experienced by young drinkers. Class Action draws on the social influence theory of behavior change, using interactive, peer-led sessions to explore the real-world legal and social consequences of substance abuse. The curriculum consists of 8 to 10 group sessions in which students divide into teams to research, prepare, and present mock civil cases involving hypothetical persons harmed as a result of underage drinking. Using a casebook along with audiotaped affidavits and depositions, teens review relevant statutes and case law to build legal cases they then present to a jury of their peers. Case topics include drinking and driving, fetal alcohol syndrome, drinking and violence, date rape, drinking and vandalism, and school alcohol policies. Students also research community issues around alcohol use and become involved in local events to support community awareness of the problem of underage drinking.

Underage Drinking Programs

Program serves specific or general population	Specific population
Number of youth served	796
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: These are generic programs used to raise awareness and educate individuals who have been convicted of underage drinking and to provide intervention services when needed.

Communities Mobilizing for Change on Alcohol (CMCA)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=117

Program Description: Communities Mobilizing for Change on Alcohol (CMCA) is a community-organizing program designed to reduce teens (ages 13–20) access to alcohol by changing community policies and practices. CMCA seeks to limit youth access to alcohol and to communicate a clear message to the community that underage drinking is inappropriate and unacceptable. It employs a range of social organizing techniques to address legal, institutional, social, and health issues related to underage drinking. The goals of these organizing efforts are to eliminate illegal alcohol sales to minors, obstruct the provision of alcohol to youth, and ultimately reduce alcohol use by teens. The program involves community members in seeking and achieving changes in local public policies and the practices of community institutions that can affect youth access to alcohol.

Alcohol: True Stories Hosted by Matt Damon

Program serves specific or general population	Specific population
Number of youth served	4,818
Number of parents served	No data
Number of caregivers served	No data

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=232

Program Description: Alcohol: True Stories, hosted by Matt Damon, is a multimedia intervention designed to prevent or reduce alcohol use among young people in grades 5–12 by positively changing the attitudes of youth and their parents and other caregivers in regard to youth drinking. The intervention features a 20-minute video that tells the stories of four adolescents' experiences with alcohol. Story topics include drinking and driving, lost opportunities, addiction, alcohol-related violence, and the effects of alcohol use on relationships. Through the four stories, the video addresses reasons that motivate young people to drink: to fit in, ease social interaction, relieve stress, have fun, and because they are addicted. The young people profiled describe the consequences of underage drinking and the benefits of waiting to drink alcohol until after reaching legal age, and they offer healthy coping strategies for stressful life events as well as methods to avoid drinking alcohol. The video is accompanied by a discussion guide designed to provoke candid conversation regarding alcohol use and to help young people internalize anti-underage drinking messages and think critically about their own decision-making regarding alcohol use.

Protecting You/Protecting Me (PY/PM)

Program serves specific or general population	Special population
Number of youth served	3,011
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=95

Program Description: Protecting You/Protecting Me (PY/PM) is a five-year classroom-based alcohol use prevention and vehicle safety program for elementary school students in grades 1-5 (ages 6-11) and high school students in grades 11 and 12. The program aims to reduce alcohol-related injuries and death among children and youth due to underage alcohol use and riding in vehicles with drivers who are not alcohol-free. PY/PM lessons and activities focus on teaching children about (1) how the brain continues to develop throughout childhood and adolescence, what alcohol does to the developing brain, and why it is important for children to protect their brains; (2) vehicle safety, particularly what children can do to protect themselves if they have to ride with someone who is not alcohol-free; and (3) life skills, including decision-making, stress management, media awareness, resistance strategies, and communication. Parent take-home activities are offered for all 40 lessons. PY/PM's interactive and affective teaching processes include role-playing, small group and classroom discussions, reading, writing, storytelling, art, and music.

Project ALERT

Program serves specific or general population	Special population
Number of youth served	9,497
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=62

Program Description: Project ALERT is a school-based prevention program for middle or junior high school students that focuses on alcohol, tobacco, and marijuana use. It seeks to prevent adolescent non-users from experimenting with these drugs, and to prevent youths who are already experimenting from becoming more regular users or abusers. Based on the social influence model of prevention, the program is designed to help motivate young people to avoid using drugs and teach them the skills they need to understand and resist pro-drug social influences. The curriculum comprises 11 lessons in the first year and 3 lessons in the second year. Lessons involve small-group activities, question-and-answer sessions, role-playing, and the rehearsal of new skills to stimulate students' interest and participation. The content focuses on helping students understand the consequences of drug use, recognize the benefits of nonuse, build norms against use, and identify and resist prodrug pressures.

LifeSkills Training (LST)

Program serves specific or general population	Specific population
Number of youth served	15,217
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=109

Program Description: LifeSkills Training (LST) is a school-based program that aims to prevent alcohol, tobacco, and marijuana use and violence by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors. LST is based on both the social influence and the competence enhancement model of prevention. Consistent with this theoretical framework, LST addresses multiple risk and protective factors and teaches personal and social skills that build resilience and help youth navigate developmental tasks, including the skills necessary to understand and resist prodrug influences. LST is designed to provide information relevant to the important life transitions that adolescents and young teens face, using culturally sensitive and developmentally and age-appropriate language and content. Facilitated discussion, structured small-group activities, and role-playing scenarios are used to stimulate participation and promote the acquisition of skills.

Strengthening Families Program: For Parents and Youth 10-14 (SFP 10-14)

Program serves specific or general population	Specific population
Number of youth served	783
Number of parents served	743
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=63

Program Description: Strengthening Families Program: For Parents and Youth 10-14 (SFP 10-14) is a family skills training intervention designed to enhance school success and reduce youth substance use and aggression among 10- to 14-year-olds. The program includes seven, 2-hour sessions and four optional booster sessions in which parents and youth meet separately for instruction during the first hour and together for family activities during the second hour. Sessions provide instruction for parents on understanding the risk factors for substance use, enhancing parent-child bonding, monitoring compliance with parental guidelines and imposing appropriate consequences, managing anger and family conflict, and fostering positive child involvement in family tasks. Children receive instruction on resisting peer influences to use substances.

Power of Parents

Program serves specific or general population	Specific population
Number of youth served	464
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicalbe
URL for more program information:	http://www.madd.org/Underage drinking/the-power-of-parents/

Program Description: The Power of Parents program targets parents of school-aged children. Parent workshops provide an opportunity to talk to parents and provide them with the Parent Handbook, a resource for continuing the conversation with their children. The workshops last less than 30 minutes, and objectives are to talk about: the problem of teen drinking; the role of peers in the problem of teen drinking; the role of adults in the problem of teen drinking; the role of parents and MADD in preventing teen drinking; the handbook itself and what parents can do today, tomorrow, and in the future to prevent teen drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description:

Guiding Good Choices (GGC)—is a drug use prevention program that provides parents of children in grades 4–8 (9–14 years old) with the knowledge and skills needed to guide their children through early adolescence. It seeks to strengthen and clarify family expectations for behavior, enhance conditions that promote bonding within the family, and teach skills that allow children to resist drug use successfully. GGC is based on research showing that consistent, positive parental involvement is important to helping children resist substance use and other antisocial behaviors. The current intervention is a five-session curriculum that addresses preventing substance abuse in the family, setting clear family expectations about drugs and alcohol, avoiding trouble, managing family conflict, and strengthening family bonds.

URL for more program information: <http://legacy.nreppadmin.net/ViewIntervention.aspx?id=302>

Too Good for Drugs (TGFDF)—is a school-based prevention program for kindergarten through 12th grade that builds on students' resiliency by teaching them how to be socially competent and autonomous problem solvers. The program is designed to benefit everyone in the school by providing needed education in social and emotional competencies and by reducing risk factors and building protective factors that affect students in these age groups. TGFDF focuses on developing personal and interpersonal skills to resist peer pressures, goal setting, decision-making, bonding with others, having respect for self and others, managing emotions, effective communication, and social interactions. The program also provides information about the negative consequences of drug use and the benefits of a nonviolent, drug-free lifestyle.

URL for more program information:<http://legacy.nreppadmin.net/ViewIntervention.aspx?id=75>

Stay On Track—Stay on Track is a school-based substance abuse prevention curriculum conducted over a three-year period with students in grades 6–8. The intervention is designed to help students assess the risks associated with substance abuse; enhance decision-making, goal-setting, communication, and resistance strategies; improve anti-drug normative beliefs and attitudes; and reduce substance use. The program empowers youth by providing knowledge and life skills relevant to health-promoting behavior.

URL for more program information: <http://legacy.nreppadmin.net/ViewIntervention.aspx?id=167>

Keepin' It REAL—is a multicultural, school-based substance use prevention program for students 12-14 years old. It uses a 10-lesson curriculum taught by trained classroom teachers in 45-minute sessions over 10 weeks, with booster sessions delivered in the following school year. The curriculum is designed to help students assess the risks associated with substance abuse, enhance decision-making and resistance strategies, improve anti-drug

normative beliefs and attitudes, and reduce substance use. Special emphasis is placed on resistance strategies represented in the acronym REAL: **R**efuse offers to use substances, **E**xplain why you do not want to use substances, **A**void situations in which substances are used, and **L**eave situations in which substances are used.

URL for more program information: <http://legacy.nreppadmin.net/ViewIntervention.aspx?id=133>

All Stars—is a school-based program for middle school students (11–14 years old) designed to prevent and delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity. The program focuses on five topics important to preventing high-risk behaviors: (1) developing positive ideals that do not fit with high-risk behavior; (2) creating a belief in conventional norms; (3) building strong personal commitments to avoid high-risk behaviors; (4) bonding with school, prosocial institutions, and family; and (5) increasing positive parental attentiveness such as positive communication and parental monitoring. The All Stars curriculum includes highly interactive group activities, games and art projects, small-group discussions, one-on-one sessions, a parent component, optional online activities and worksheets, and a celebration ceremony.

URL for more program information: <http://legacy.nreppadmin.net/ViewIntervention.aspx?id=28>

Positive Action—is an integrated and comprehensive program designed to improve academic achievement, school attendance, and problem behaviors such as substance use, violence, suspensions, disruptive behaviors, dropping out, and sexual behavior. It is also designed to improve parent-child bonding, family cohesion, and family conflict. Positive Action has materials for schools, homes, and community agencies. All materials are based on one unifying broad concept (one feels good about oneself when taking positive actions) with six explanatory subconcepts (positive actions for the physical, intellectual, social, and emotional areas) that elaborate on the overall theme.

URL for more program information: <http://legacy.nreppadmin.net/ViewIntervention.aspx?id=400>

Responsible Alcohol Management Program (RAMP)—The goal of RAMP is to help licensees and their employees serve alcohol responsibly. RAMP was created by the Pennsylvania Liquor Control Board and offers practical advice for licensees operating restaurants, hotels, clubs, distributors, and even special-occasion permit holders. RAMP explains how to: detect signs of impairment and intoxication and effectively cut off service to a customer who has had too much to drink; identify underage individuals; detect altered, counterfeit, and borrowed identification; avoid unnecessary liability; and help reduce alcohol-related problems in the community.

URL for more program information: www.lcb.state.pa.us/PLCB/Education/RAMP/index.htm

Parents Who Host Lose the Most—This public awareness program was developed by the Drug-Free Action Alliance and is supported by DDAP and PLCB grants. It educates communities and parents about the financial as well as health and safety risks of serving alcohol at teen parties. The program is implemented at state and local levels, concentrating on celebratory times for youth, such as homecoming, holidays, prom, graduation and other times when underage drinking parties are prevalent. This program encourages parents and the entire community to send a unified message that teen alcohol consumption is unhealthy, unsafe and unacceptable.

URL for more program information: <https://www.drugfreeactionalliance.org/parents-who-host>

Additional Clarification

Program data are from state FY 7/1/2014 through 6/30/2015.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
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Description of collaboration: Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
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Agencies/organizations that established best practices standards:

Federal agency(ies):	Not applicable
Agency(ies) within your state:	Not applicable
Nongovernmental agency(ies):	Not applicable
Other:	Not applicable
Best practice standards description: Not applicable	

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No

Committee contact information:
Not applicable

Agencies/organizations represented on the committee:
Not applicable

A website or other public source exists to describe committee activities Not applicable
URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No
Prepared by: Not applicable
Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes
Prepared by: Pennsylvania Liquor Control Board, Act 85 Biennial Report to the Pennsylvania General Assembly on High-Risk and Underage Drinking
Plan can be accessed via:
<http://www.lcb.state.pa.us/cons/groups/externalaffairs/documents/form/002552.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:
Estimate of state funds expended \$162,437
Estimate based on the 12 months ending 12/31/2015

Checkpoints and saturation patrols:
Estimate of state funds expended \$190,608
Estimate based on the 12 months ending 12/31/2015

Community-based programs to prevent underage drinking:
Estimate of state funds expended Data not available
Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:
Estimate of state funds expended Data not available
Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:
Estimate of state funds expended Data not available
Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:
Estimate of state funds expended Data not available
Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:
Estimate of state funds expended Data not available
Estimate based on the 12 months ending Data not available

Other programs:
Programs or strategies included: Data not available
Estimate of state funds expended: Data not available

Estimate based on the 12 months ending:

Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

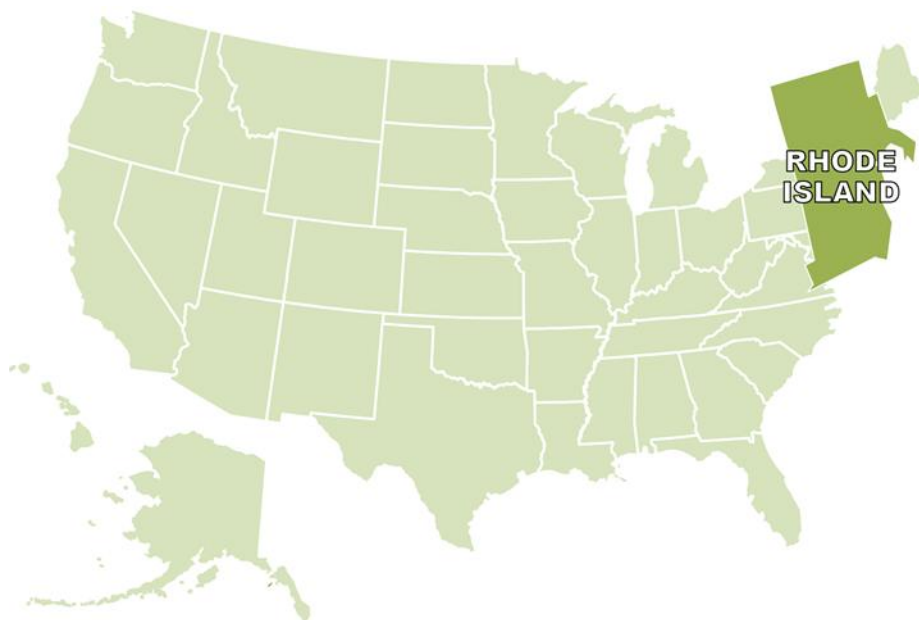
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Rhode Island

State Population: 1,056,298

Population Ages 12–20: 129,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	40,000 (30.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (3.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	9,000 (22.4%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	29,000 (55.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	10
Years of Potential Life Lost (under 21)	575
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	2
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	21%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)–For Possession	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	60
Maximum number of days	60

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)–For Purchase	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Laws Targeting Alcohol Suppliers

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	1:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21, unless an immediate family or household member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 6 months

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Existing

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: There are numerous exceptions for specific locations within cities and towns.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Rhode Island provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Unclear

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition	General

against permitting underage drinking on the property?	
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration

How is a keg defined (in gallons)?	Undefined
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)

Is a deposit required?	No
Does law cover disposable kegs?	No
Notes: No particular volume or range of volumes is specified for kegs in Rhode Island.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

LAWS AFFECTING ALCOHOL PRICING

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.40
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant

there is an exemption from the general sales tax)	
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law

Rhode Island State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

There is no single state agency responsible for enforcing laws designed to prevent underage drinking. The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) has primary responsibility for administering programs designed to prevent/reduce underage drinking. Enforcement actions are conducted by 38 municipal police departments, the RI State Police, and the RI Attorney General in accordance with RI General Laws.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

The RI Attorney General, in conjunction with the RI State Police and municipal police departments, is responsible for enforcing such laws

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 63

Number pertains to the 12 months ending 12/31/2016

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 1,865

Number of licensees checked for compliance by state agencies (including random checks) 10

Number of licensees that failed state compliance checks 0

Numbers pertain to the 12 months ending 12/31/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments On-sale establishments only

State conducts random underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations 275

Number of licensees that failed random state compliance checks 26

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Rhode Island does not have a state alcoholic beverage control agency. RI Dept. of BHDDH utilizes federal funding to support conducting a statewide Alcohol Purchase Survey (APS) of a random sample of retail on- and off-premises licensed alcohol retailers. The APS is conducted by municipal police departments. The most recent APS, conducted in 2015, surveyed 275 of 1865 licensed outlets statewide. Of the 275 sites surveyed, 26 were found to be in violation of the state law prohibiting the sale of alcoholic beverages to individuals under the age of 21, resulting in a violation rate of 9.5 percent. BHDDH also provides some federal funding to municipal prevention coalitions to implement underage sale prevention strategies.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State**Rhode Island Substance Abuse Prevention Act (RISAPA)**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Rhode Island Substance Abuse Prevention Act (RISAPA) is legislation that enables the funding of municipal substance abuse prevention task forces/coalitions. Thirty-four coalitions represent almost all of the state's 39 cities and towns. Each coalition produces an annual program plan and logic model. For the past few years, all coalitions have implemented strategies to reduce underage drinking. Included among these strategies are local policy initiatives (an environmental strategy) and public education. The number of individuals touched by these activities were not routinely collected for this fiscal year. An enhancement has been made to our prevention data collection system for FY16 that will provide this information in coming years.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: RI uses Substance Abuse Prevention and Treatment Block Grant (SAPTBG) funding for Student Assistance Programs (SAPs) in 45 middle and high schools and has a SAPTBG-funded school-based prevention initiative. SAPs and the school-based initiatives work to prevent underage drinking, but are not funded expressly for this purpose. RI uses other federal dollars to support alcohol compliance surveys and activities; these are carried out by local law enforcement.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: There is one specific Rhode Island Substance Abuse Prevention Act (RISAPA) coalition in the southern part of the state. The Chariho Prevention Coalition serves three communities—Charlestown, Richmond, and Hopkinton. This coalition, funded by BHDDH, has been working the Narragansett Tribe, whose land is within their service area. It took many years to build a relationship with this tribe, and now several members of the tribe sit on their coalition, one of which is a current and active board member. Members participate in planning prevention initiatives, including underage drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: BHDDH Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: BHDDH requires the use of evidence-based programs and practices by all funded prevention providers, and the Strategic Planning Framework (SPF) is the planning model. RI has also promulgated rules and regulations for the certification of prevention programs which are incorporated into contract management. A workforce development training and technical assistance plan is in place for providers to reach a minimum level of certification.

Additional Clarification

BHDDH has recently developed a Prevention Specialist Certification Study Guide to assist our workforce in obtaining the minimum level of certification. The link to this Guide is: <http://www.riprc.org/wp-content/uploads/2016/03/PreventionCertificationStudyGuide.pdf>

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Gabrielle Abbate

Email: gabrielle.abbate@dot.ri.gov

Address: 2 Capitol Hill, Providence, RI 02903

Phone: No data

Agencies/organizations represented on the committee:

Tobacco- Free RI

RI Department of Health

RI Department of Education

RI Department of Behavioral Healthcare, Developmental Disabilities and Hospitals

RI Department of Corrections

RI Department of Children Youth and Families

Mother's Against Drunk Driving

RI Substance Abuse Prevention Act Coalitions
 RI State Police
 RI Student Assistance Services
 Brown University
 University of Rhode Island
 Ocean State Prevention Alliance

<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: BHDDH

Plan can be accessed via: RIPRC.org

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: The State Epidemiological Outcomes Workgroup created State and Community Epidemiological Profiles funded under BHDDH. These profiles contain information regarding prevalence rates for all substances—not just alcohol.

Plan can be accessed via: RIPRC.org

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$889,710

Estimate based on the 12 months ending 6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 6/30/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 6/30/2014

Other programs:

Programs or strategies included: No data

Estimate of state funds expended: \$0

Estimate based on the 12 months ending: 6/30/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No

Fines No

Fees No

Other: Not applicable No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

The state uses Substance Abuse Prevention and Treatment Block Grant (SAPTBG) funding for Student Assistance Programs (SAPs)/Project Success in a number of middle/junior and high schools and has a SAPTBG-funded school-based prevention initiative. SAPs and the school-based initiatives work to prevent underage drinking, but are not funded expressly for this purpose. RI uses other federal dollars to support alcohol compliance surveys and activities which are carried out by local law enforcement.



South Carolina

State Population: 4,896,146

Population Ages 12–20: 544,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	115,000 (21.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	7,000 (4.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	29,000 (16.1%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	79,000 (43.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	83
Years of Potential Life Lost (under 21)	4,999
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	22
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	18%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	120
Maximum number of days	120

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15 years, 6 months
For night driving, when does adult supervision requirement begin?	6:00 PM (may only drive unsupervised during daylight hours; nighttime is defined as starting at 6:00 PM EST or 8:00

	PM EDT)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than 2 nonfamily passengers under 21 unless supervised by driver at least 21 years (unless transporting students to school)
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes, in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	Yes
Notes: South Carolina's Parent / Guardian and Spouse exceptions apply when the alcohol is furnished in the home of the spouse or in the home of the parent or guardian.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, no facial hair, no heavy make-up, no inappropriate clothing
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although no minimum age is specified to sell beer and wine at off-sale establishments licensed to sell beer and wine, an employee of a retail liquor establishment must be at least 21 years of age.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet if school is within a municipality; within 500 feet if school is outside of a municipality
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet if school is within a municipality; within 500 feet if school is outside of a municipality
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Other
What level of knowledge by the host is required?	Overt act: Host must have actual knowledge and commit act that contributes to party's occurrence
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.16
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$500 / 30 days)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500 / 30 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.77
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.08
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.96
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	5.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	5.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Notes: Base tax rate is \$2.72 per gallon plus a 9 percent surtax of \$0.24 added to the base rate, for a total of \$2.96 per gallon.	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted 4:00 PM – 8:00 PM)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No
Notes: Licensee may offer a free drink on a case-by-case basis.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount	No law

for each product sold to retailers?	
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

South Carolina State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

South Carolina State Law Enforcement Division; however, local law enforcement agencies do enforce many of the state underage drinking laws (all but what laws are considered administrative violations or violations that affect the alcohol license status).

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

SC Department of Revenue issues license to ship alcohol (in-state or out-of-state), South Carolina State Law Enforcement Division enforces law

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

15,012

Number of licensees checked for compliance by state agencies

7,084

(including random checks)

Number of licensees that failed state compliance checks

1,010

Numbers pertain to the 12 months ending

6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations*

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

No data

Number of licensees that failed **random** state compliance checks

No data

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

8,499

Number of licensees that failed local compliance checks

977

Numbers pertain to the 12 months ending

6/30/2015

Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	875
Total amount in fines across all licensees	\$492,900
Smallest fine imposed	\$400
Largest fine imposed	unknown
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	29 (suspensions and revocations)
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	45
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	29 (suspensions and revocations)
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

South Carolina Alcohol Enforcement Teams (AET)- Enforcement Efforts

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://ncweb.pire.org/sdocuments/
URL for more program information:	www.scoutoftheirhands.org

Program Description: The South Carolina Alcohol Enforcement Teams (AETs) are a statewide network of local and state law enforcement agencies that have built a partnership with prevention agencies. Each of the 16 judicial circuits has an AET that conducts best-practice law enforcement operations while offering prevention activities and raising community awareness. Typical enforcement strategies include compliance checks, public safety checkpoints, and controlled party dispersals. The program is separated into two parts (enforcement and education) for the purposes of this report to distinguish the numbers served by each type of effort. Given the population-level impact of strategies conducted by AETs (with no individual directly "served"), the state considers the affected population to be almost its entire population of 14 to 20-year-olds.

South Carolina Alcohol Enforcement Teams- Education Efforts	
Program serves specific or general population	General population
Number of youth served	Not applicable

Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://ncweb.pire.org/sdocuments/
URL for more program information:	http://www.scoutofthehands.org/index.html

Program Description: Typical education efforts include community presentations on underage drinking and youth alcohol trends, casual contacts with young people who educate them about ongoing enforcement, visits with alcohol retailers to discuss policy enhancements, and ongoing enforcement and media engagement. In FY '15, 22 training sessions were conducted in 12 counties in South Carolina. Sessions were attended by 294 individuals, including 242 law enforcement officers. Among those participants attending were 39 youth who participated as roleplayers in mock party dispersal training. This training module was combined with primary training topics such as the two-day AET Training, AET Activities Training, and Fake ID Training.

Substance Abuse Curricula Programs

Program serves specific or general population	Specific population
Number of youth served	2,357
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://ncweb.pire.org/sdocuments/
URL for more program information:	No data

Program Description: Local prevention providers deliver one or more substance abuse curriculum programs to youth, mostly for middle school youth, throughout the state. Across the provider network, nine different programs were implemented in state Fiscal Year 2015 (7/1/2014-6/30/2015).

All Stars is a comprehensive evidence-based ATOD prevention curriculum. This program was used by three sites with a total of 303 matched participants. There were four desired changes among the risk factors and two changes among the substance use behaviors.

Girls Circle (formerly G.I.R.L. Power Series) is a single-county prevention program. *G.I.R.L. (Gifted, Intelligent, Responsible Ladies) Circle* is a 7-session program assisting young girls with the development of positive social skills, emphasizing respect for self and others, handling peer pressure, manners, and being comfortable in your own skin. This program had 28 matched participants. The results showed no changes in any of the risk factors or substance use behaviors.

Keepin' It Real, an evidence-based, video-enhanced intervention for youth 10 to 17 that uses a culturally-grounded resiliency model that incorporates traditional ethnic values and practices that protect against drug use, was used by one site with a total of 119 matched participants. The results show desired changes in perceived risk and parental attitudes, but no changes among the substance use behaviors.

Life Skills Training, a skill-based, evidence-based ATOD prevention curriculum, was the most commonly implemented program with 10 sites and 1337 matched participants. There were desired changes on 4 of the 5 risk factors (perceived risk, decision making, disapproval of use, and perceived peer norms). There were significant decreases in other tobacco, alcohol, marijuana, and inhalant use.

Project Alert, a comprehensive evidence-based ATOD prevention curriculum for middle-school students, was delivered at three sites to 261 matched participants. The results showed a desired change for perceived risk and decision-making, and an undesired change in perceived parental attitudes. There were significant decreases in other tobacco and alcohol use.

Project Toward No Tobacco Use (TNT), a prevention curriculum intended for high school students, was used by one site with 98 total matched participants. The results showed desirable changes for all risk factor measures. There was also a significant decrease in the use of other tobacco. Project TNT, a comprehensive, evidence-based

tobacco prevention program for middle school youth was used by one site, with 32 matched participants. The results showed desirable changes for three risk factors and no changes in any of the substance use behaviors. *Tobacco Education Program* is offered as an alternative to penalties for students who face suspension for violation of a school tobacco-use policy. This program was used by one site, with 119 matched participants. The results showed desirable changes in four of the risk factors and a decrease in non-medical prescription drug use. *Why Try* is a comprehensive evidence-based ATOD prevention curriculum, which was implemented at one site with 60 participants. There were desirable changes in four of the risk factors and a decrease in marijuana and other illegal drug use.

Palmetto Retailer Education Program (PREP)	
Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://ncweb.pire.org/sdocuments/
URL for more program information:	http://www.daodas.state.sc.us/prep_main.asp
Program Description: Efforts to enforce laws regarding underage purchase of alcohol are strengthened by efforts to help educate and train those who sell alcohol products with appropriate information and proper techniques. There are a number of these merchant education curricula used nationally and in South Carolina, though the county authorities are now exclusively using the PREP curriculum. County authorities were each required to implement merchant education programming in FY '15 and collectively served 2180 retail staff. There is a standardized PREP post-test used across the system that allows standardization of outcomes. Primarily, the test is graded as pass/fail. Among those who passed in FY '15, the average score was 95.4 percent.	

Alcohol Education Program (AEP)	
Program serves specific or general population	Specific population
Number of youth served	551
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://ncweb.pire.org/sdocuments/
URL for more program information:	No data
Program Description: County authorities often play a role in the post-arrest process for youth violators of alcohol laws. Related to alcohol, county providers often offer programming as part of their solicitor's Alcohol Education Program (AEP), a program many first-time offenders will be offered in lieu of a conviction. There were 551 youth served in AEP in FY '15.	

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No

Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): Office of Juvenile Justice and Delinquency Prevention (OJJDP)	Yes
Agency(ies) within your state: South Carolina Department of Alcohol and Other Drug Abuse Services (DAODAS)	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: The state relies heavily on OJJDP's Strategies to Reduce Underage Alcohol Use: Typology and Brief Overview (PIRE). SC's Department of Alcohol and Other Drug Abuse Services (DAODAS) has a Toolkit for Evidence-Based Programs and Strategies, with an environmental prevention section on underage drinking prevention that defines the effectiveness of a range of strategies.	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Michael George	
Email: mgeorge@daodas.sc.gov	
Address: Post Office Box 1948, Columbia, SC 29202	
Phone: 803-351-5862	
<i>Agencies/organizations represented on the committee:</i>	
SC Department of Alcohol and Other Drug Abuse Services	
SC State Law Enforcement Division	
Greenville County Sheriff's Office	
Phoenix Center	
University of South Carolina	
Clemson University	
Department of Mental Health Suicide Prevention	
SC Department of Revenue	
SC Department of Highway Safety	
Lexington/Richland Alcohol and Drug Abuses Commission	
Pacific Institute for Research and Evaluation (PIRE)	
Behavioral Health Services Association	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: SC Department of Alcohol and Other Drug Abuse Services in conjunction with the Governor's Council on Substance Abuse Prevention and Treatment	
Plan can be accessed via: No data	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
Although SC does not produce a report specific to underage drinking, the state does produce an annual prevention outcomes report for the state that does include information on underage drinking prevention efforts.	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available

Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	Yes
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
A portion of the alcohol license fee goes to the State Law Enforcement Division for enforcement of those licenses, which includes alcohol compliance checks.	
Additional Clarification	
No data	



South Dakota

State Population: 858,469

Population Ages 12–20: 104,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	25,000 (24.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	<1,000 (1.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	6,000 (18.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	19,000 (48.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	15
Years of Potential Life Lost (under 21)	883
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	2
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes Yes
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	Yes Yes
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> • Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	Yes

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	3, with driver education; 6 months without
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	14 years, 3 months
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	N/A
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, no license on campus.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, no license on campus.
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Notes: The "preventive action" provision in South Dakota allows the defendant to avoid criminal liability by establishing, as an affirmative defense, that immediately upon learning of the illegal consumption, he or she took action to stop the illegal consumption and to secure the contraband alcoholic beverages.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	8.00 or 16.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	

Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No
Notes: A "keg" is defined as an eight or sixteen gallon reusable plastic or metal container.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.27
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.93
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	

Wholesale tax rate (if applicable)	2.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	2.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.93
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	2.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	2.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers may not sell below cost. South Dakota requires a 10 day minimum hold period only if price of spirits is amended to match a competitor's post down.	

South Dakota State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Department of Revenue	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	SD Department of Revenue
Such laws are also enforced by local law enforcement agencies	Don't know
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	4,355
Number pertains to the 12 months ending	6/30/2014
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	No
Data are collected on these activities	No
Number of retail licensees in state ³	3,500
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
<i>State conducts random underage compliance checks/decoy operations</i>	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,001
Number of licensees that failed local compliance checks	114
Numbers pertain to the 12 months ending	6/30/2015
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	114
Total amount in fines across all licensees	\$89,425
Smallest fine imposed	\$500
Largest fine imposed	\$2,000
Numbers pertain to the 12 months ending	6/30/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	1
Total days of suspensions across all licensees	14
Shortest period of suspension imposed (in days)	14
Longest period of suspension imposed (in days)	14
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

No underage drinking prevention programs in the state are funded with general state funds

Program serves specific or general population	Not applicable
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Not applicable
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: Not applicable

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

All underage drinking programs in the state are funded with federal dollars. This would include 23 community coalitions that focus on underage drinking.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: The State uses federal dollars to fund underage drinking programs on two reservations in the state.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program Description: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): National Registry of Evidence Based Programs and Practices	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other:	No

Best practice standards description: The State has identified 26 evidence-based programs that are relevant to the cultural and racial make up of the state's youth and young adult population. The state provides funding to implement these evidence-based programs in communities and reservation communities in South Dakota.

Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Gib Sudbeck	
Email: gib.sudbeck@state.sd.us	
Address: Kneip Building, Pierre, SD 57501	
Phone: 605-773-4828	
<i>Agencies/organizations represented on the committee:</i>	
Community Coalitions	
National Guard	
Suicide Prevention Coalition	
Tribal Representative	
Department of Health	
Prevention Resource Center	
SAMHSA Project Officer	
Division of Behavioral Health	
Community Mental Health Provider	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Partner for Success Advisory Committee	
Plan can be accessed via: No data	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Division of Behavioral Health Prevention Program	
Plan can be accessed via: No data	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2015
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$18,881
Estimate based on the 12 months ending	12/31/2015
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0

Estimate based on the 12 months ending	6/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	\$0
Estimate based on the 12 months ending:	6/30/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Tennessee

State Population: 6,600,299

Population Ages 12–20: 769,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	121,000 (15.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	5,000 (2.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	36,000 (13.4%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	81,000 (30.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	109
Years of Potential Life Lost (under 21)	6,551
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	16
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	14%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was	Yes

valid after examining it)?	
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	16
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Ages Under 18	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365
Notes: In Tennessee, the driving privileges of an offender shall be suspended for one year, or until the offender reaches 17 years of age, whichever is longer.	

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Ages 18-20	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger, unless accompanied by driver over 21 or passengers are household members being transported to school
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18 (minors under 18 allowed only in extreme circumstances.)
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, youthful appearance. Male: No facial hair.
Does decoy carry ID during compliance check?	Not specified

May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	Minimum \$300 fine
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified
Notes: Fines may be cut in half if licensee is in compliance with Responsible Vendor program. The mitigation provided cannot be used more than once per year.	

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-premises
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, fact finder must determine that retailer knew customer was a minor beyond a reasonable doubt
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No
Notes: The Tennessee Supreme Court held that a \property owner who does not furnish the alcohol	

may be held liable to third parties under common law if he/she knowingly allows minors to consume alcohol on his/her property and it is foreseeable that minors may then operate motor vehicles. In that case, the court held that the person who actually furnished the alcohol to the minor was shielded from liability.

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Notes: Social host liability in Tennessee is limited to an owner, occupant or other person having a lawful right to the exclusive use and enjoyment of property to knowingly allow an "underage adult" to consume alcoholic beverages, wine or beer on the property . An "underage adult" is defined as a person who is at least 18 years of age but less than 21 years of age.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.29
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.21
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	15.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	15.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$4.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	15.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	15.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	Yes

Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Restricted (Permitted before 10:00 PM)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes
Notes: After 10:00 PM, on-premises retailers may not offer multiple servings at one time, reduced price drinks, or drinks with increased volume for the same price as regular-sized drinks.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 360 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 10 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 10 days

Tennessee State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Tennessee Alcoholic Beverage Commission State Law Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	TN Alcoholic Beverage Commission
Such laws are also enforced by local law enforcement agencies	No

Enforcement Statistics

State collects data on the number of minors found in possession	Yes
Number of minors found in possession by state law enforcement agencies	609
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	4,500
Number of licensees checked for compliance by state agencies (including random checks)	2,314
Number of licensees that failed state compliance checks	502
Numbers pertain to the 12 months ending	No data
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts random underage compliance checks/decoy operations	Yes
Number of licensees subject to random state compliance checks/decoy operations	Data unavailable
Number of licensees that failed random state compliance checks	Data unavailable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	558
Total amount in fines across all licensees	\$892,750
Smallest fine imposed	\$1,000
Largest fine imposed	\$1,500

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	143
Total days of suspensions across all licensees	1,543
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	60
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Part 1. Section A: Underage persons in possession—Information collected from both criminal and regulatory citation documents, including both compliance checks/ decoy operations and information from routine inspections.

Part 1. Section 1.B.1.b): Compliance checks, State level—Data collected solely from information regarding compliance checks/ decoy operations.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Tennessee Prevention Network

Program serves specific or general population	Specific population
Number of youth served	7,730
Number of parents served	245
Number of caregivers served	80
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Tennessee Prevention Network is a statewide prevention program directed at providing primary prevention services to individuals who have not been determined to require treatment for substance abuse. The array of services falls into two categories: The first is selective prevention services, including programs and practices that are delivered to subgroups of individuals identified on the basis of their membership in a group that has an elevated risk for developing substance abuse problems. An individual's personal risk is not specifically assessed or identified and is based solely on a presumption given his or her membership in the at-risk subgroup. The second category is indicated prevention services, including programs that focus on populations identified on the basis of individual risk factors or initiation behaviors that put an individual at high risk for developing substance abuse problems. Individuals targeted at this stage, although showing signs of early substance use, have not yet reached the point where a clinical diagnosis of substance abuse can be made.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Program Description: Tennessee funds 44 community coalitions using Partnership for Success and Block Grant funds. These coalitions use environmental strategies intended to reduce or counter alcohol advertising or marketing.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No
Agency(ies) within your state: TN Dept of Mental Health and Substance Abuse Services: Division of Substance Abuse Services	Yes
Nongovernmental agency(ies):	No
Other: Tennessee Evidence-Based Practice Workgroup	Yes
Best practice standards description: Tennessee's Evidence-Based Practice Workgroup has established standards for evidence-based best practices such that a practice must meet at least one of the following criteria:	
<ol style="list-style-type: none"> 1. Inclusion in federal registries of evidence-based interventions. 2. Reported, with positive effects on the primary targeted outcome, in peer-reviewed journals. 3. Documented effectiveness supported by other information sources and the consensus of informed experts as described in the following set of guidelines, all of which must be met: <ul style="list-style-type: none"> — <i>Guideline 1:</i> The intervention is demonstrated to be similar in theory of change, general principles of effective prevention, or content and structure to the interventions that appear in registries, federal agency publications, and/or peer reviewed literature. — <i>Guideline 2:</i> The intervention is supported by documentation of effective implementation in the past, including at least one replication. — <i>Guideline 3:</i> The intervention is reviewed and deemed appropriate by six or more informed prevention experts (including well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review and local prevention practitioners and/or key community leaders as appropriate, such as officials from law enforcement and education sectors or elders in religious cultures). 	

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:
Name: Sarah Cooper, State of Tennessee Director of Prevention Services
Email: sarah.cooper@tn.gov
Address: Andrew Jackson Building, 5th floor, 500 Deaderick St., Nashville, TN 37243
Phone: (615) 532-7786

Agencies/organizations represented on the committee:
Alliance of Citizens Together Improving Our Neighborhoods Coalition
Boys and Girls Clubs of the Tennessee Valley
Centerstone Community Mental Health Center
Community Anti-Drug Coalition of Jackson County
Community Anti-Drug Coalition of Rutherford County
Council for Alcohol and Drug Abuse Services
Franklin County Prevention Coalition
Frontier Health
Grundy Safe Communities Coalition
Memphis/Shelby County Anti-Drug Coalition
Power of Putnam
Professional Care Services of West Tennessee

Schools Together Allowing No Drugs Coalition
 Students Taking A Right Stand-Nashville
 University of Memphis
 Weakley County Alliance for a Safe and Drug Free Tennessee

A website or other public source exists to describe committee activities URL or other means of access: Not applicable	No
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Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Prepared by: No data Plan can be accessed via: No data	Yes
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State has prepared a report on preventing underage drinking in the last 3 years Prepared by: No data Plan can be accessed via: No data	Yes
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Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$664,235
Estimate based on the 12 months ending	6/30/2016

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$1,888,180
Estimate based on the 12 months ending	6/30/2016

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$147,992
Estimate based on the 12 months ending	6/30/2016

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$245,359
Estimate based on the 12 months ending	6/30/2016

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$95,648
Estimate based on the 12 months ending	6/30/2015

<i>Other programs:</i>	
Programs or strategies included: Other programs target youth that are economically disadvantaged with educational programs.	
Estimate of state funds expended:	\$552,392
Estimate based on the 12 months ending:	6/30/2016

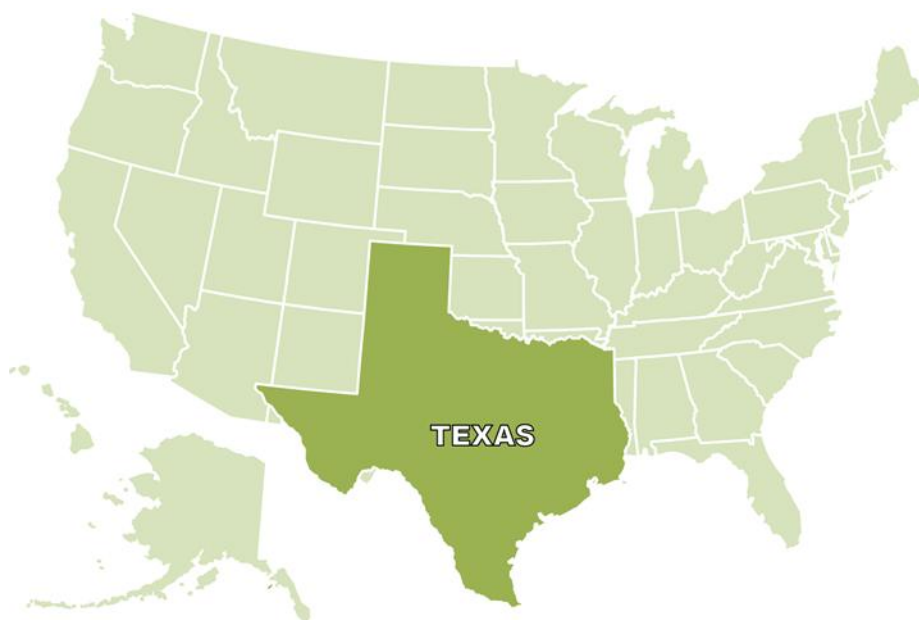
Funds Dedicated to Underage Drinking

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

<i>Description of funding streams and how they are used:</i>	
Not applicable	

Additional Clarification

No data



Texas

State Population: 27,469,114

Population Ages 12–20: 3,490,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	709,000 (20.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	41,000 (3.5%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	208,000 (17.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	460,000 (39.6%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	372
Years of Potential Life Lost (under 21)	22,574
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	170
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	33%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No
Notes: In Texas, a minor may possess an alcoholic beverage if the minor is in the visible presence of his adult parent, guardian, or spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	No
Notes: In Texas, a minor may consume an alcoholic beverage if in the visible presence of the minor's adult parent, guardian or spouse.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No

Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	30 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no more than one non-family passenger under 21
Can law enforcement stop driver for violation of passenger	No, officer must stop driver

restrictions as a primary offense?	for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: In Texas, a person may purchase an alcoholic beverage for or give an alcoholic beverage to a minor if he is the minor's adult parent, guardian, or spouse, or an adult in whose custody the minor has been committed by a court, and he is visibly present when the minor possesses or consumes the alcoholic beverage.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	18
Are there appearance requirements for the decoy?	Yes, must appear youthful and have no facial hair; must be age appropriately dressed
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	3 years
What is the penalty for the first offense?	8-12 day suspension or \$300 per day
What is the penalty for the second offense?	16-24 day suspension or \$300 per day
What is the penalty for the third offense?	48 day suspension up through license cancellation or \$300 per day
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes, retailers may be held liable if they are 21 or over and furnish alcohol to a minor under age 18
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law dram shop liability exist?	Unclear
Notes: Any retailer may be held liable for furnishing alcohol to individuals 18 or older that are obviously intoxicated to the extent that they present a clear danger to themselves or others at the time of furnishing. There is no common law liability when underage person is 18 or over. It is unclear whether there is common law liability when drinker is under age 18. Licensees (but not their employees) are shielded from liability if the licensee requires all employees to attend Responsible Beverage Service training; the employee who furnished the minor attended the training; and the licensee did not directly or indirectly encourage the employee to violate the law.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes, social hosts may be held liable if they are 21 or over and furnish alcohol to a minor under age 18
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law social host liability exist?	Unclear
Notes: There is no common law liability when underage person is 18 or over. It is unclear whether there is common law liability when drinker is under age 18.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: Yes (Package store permittees must have a Cartage Permit. Vehicles used to transport alcoholic beverages must be clearly marked.)	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.19
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	14.95%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.25%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	8.70%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	\$0.20 per gallon for alcohol content of more than 5%
Notes: In Texas, holders of a wine and beer retailer's permit or a beer retail dealer's license are subject to the State sales tax rate of 6.25% rather than the Ad Valorem Excise Tax On-Premises rates.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	14.95%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.25%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	8.70%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant

Additional taxes for 6 – 14% alcohol wine if applicable	
Notes: In Texas, the holder of a wine and beer retailer's permit is subject to the State sales tax rate of 6.25% rather than the Ad Valorem Excise Tax On-Premises rates.	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	14.95%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.25%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	8.70%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before 11:00 PM)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 25 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 25 days

Texas State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Texas Alcoholic Beverage Commission is responsible for enforcing prevention at the licensed location; the local law enforcement agencies enforce minor in possession, furnishing (non-licensed/permitted) and social host laws.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

TX Alcoholic Beverage Commission

Such laws are also enforced by local law enforcement agencies

Don't know

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

49,437

Number of licensees checked for compliance by state agencies

10,151

(including random checks)

Number of licensees that failed state compliance checks

1,126

Numbers pertain to the 12 months ending

12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations*

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

Unknown

Number of licensees that failed **random** state compliance checks

Unknown

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

446

Total amount in fines across all licensees

\$1,037,400

Smallest fine imposed	\$300
Largest fine imposed	\$7,200
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	202
Total days of suspensions across all licensees	1,754
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	60
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	2
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

State agency data provided from TABC only. Other state agencies could conduct operations, but would not report to TABC.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Enhanced Visibility Enforcement Campaign to Reduce Underage Social Access to Alcohol and DUI

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://dontgivetominors.org/

Program Description: Using controlled party dispersal and media advocacy, this campaign focuses on holding adults who provide alcohol to youth accountable and increasing awareness of social host and zero tolerance laws.

Law Enforcement Training to Reduce Impaired Driving by People Under 21

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: This program will increase the enforcement of laws related to underage drinking and impaired driving through increased law enforcement training and local coalition building.

Focus on Reducing Impaired Driving Among Youth (FRIDAY)—is a statewide training program funded since 2010 through a grant received from the Texas Department of Transportation (TxDOT). Through this grant, law enforcement officers across the state have the opportunity to receive free training related to the Texas Alcoholic

Beverage Code, underage drinking, and investigative techniques. These courses are taught by officers who are committed to reducing underage drinking and underage impaired driving in their communities. The officers also establish community coalitions because increasing community involvement is a necessary component in ending underage alcohol abuse.

Alcohol and Drug Abuse Prevention (ADAPT)—The purpose of the ADAPT program is to provide university law enforcement officers with all of the tools necessary in the prevention of alcohol and drug abuse among college students. The ADAPT program has been developed under the guidance of law enforcement officers, TxDOT, campus law enforcement officers, and staff from TMPA to address the unique challenges that officers working on and around post-secondary campuses encounter.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description:

Texas Alcoholic Beverage Commission Public Safety Project—To enhance training for local law enforcement on alcoholic beverage laws and increase the number of inspections at licensed retailers thereby increasing public safety and voluntary compliance.

Project Celebration

Texas A&M AgriLife Extension Service Watch UR BAC Alcohol Awareness Program—Education and awareness program on the dangers of impaired driving to reduce alcohol and other drug related crashes and fatalities. Emphasis is on youth, especially in rural counties.

Texas Municipal Police Association Alcohol Drug And Safety Training Education Program "AD-A-STEP" for Life—Up to a two-hour alcohol and drug education course for adults identified as under-educated by federal guidelines, Boys & Girls Club, YMCA, and K-12 students, college freshman, and parents in identified programs.

Texas Standing Tall Zero Alcohol for Youth Campaign and Statewide Youth Leadership Council to Reduce Impaired Driving—Zero Alcohol for Youth Campaign and Youth Leadership Council are youth-led programs to reduce impaired driving and increase awareness and enforcement of Texas zero tolerance laws on youth alcohol use.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Collaboration occurs when attempting to draft Memorandums of Understanding (MOU) with the Ysleta Del Sur, Kickapoo Traditional Tribe of Texas, and the Alabama Coushatta. Currently, there is an MOU with Ysleta Del Sur on file and an attempt to draft MOUs with the Kickapoo and Alabama Coushatta is occurring at this time. Discussions and collaborations continue with local substance abuse and mental health providers and tribal leaders. The Department of State Health Services funds the Ysleta Del Sur tribe to provide a selective program to the youth. The comprehensive program includes the six Center for Substance Abuse Prevention (CSAP) prevention strategies: prevention education, information dissemination, alternative activities, problem identification and referral, community-based process, and environmental strategies. The core strategy—prevention education—consists of an evidence-based curriculum approved on the National Registry of Evidence Based Programs and Practices. Ysleta Del Sur delivers the Positive Action structured curriculum to youth ages 6-18, in grades 1st-3rd and 4th-12th.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No data

Program Description: No data

State has adopted or developed best practice standards for underage drinking prevention programs No data

Agencies/organizations that established best practices standards:

Federal agency(ies): No data

Agency(ies) within your state: No data

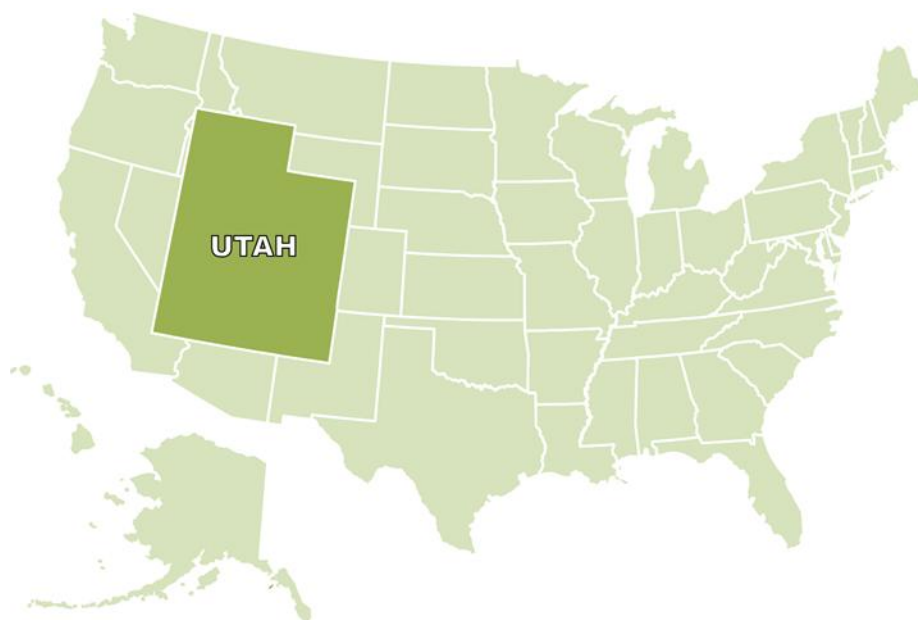
Nongovernmental agency(ies): No data

Other: No data

Best practice standards description: No data

Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Gabrielle Richard	
Email: Gabrielle.Richard@dshs.state.tx.us	
Address: 909 W. 45th Street, Bldg. 634, Austin, TX 78705	
Phone: 512-206-5942	
<i>Agencies/organizations represented on the committee:</i>	
Texas Department of Criminal Justice	
Texas State Student Health Center	
Texas A&M Transportation	
Texans Standing Tall	
Texas A&M Transportation Institute	
Texas Department of Family and Protective Services	
Texas Association Against Sexual Assault	
Texas Education Agency	
University of Texas at Austin-Texas Institute for Excellence in Mental Health	
The Council on Alcohol & Drug Abuse	
Bay Area Council on Drugs and Alcohol	
Texas Department of State Health Services, Office of Title V & Family Health	
Texas Department of State Health Services, Mental Health & Substance Abuse	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$5,807,227
Estimate based on the 12 months ending	8/31/2015
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$31,495,217
Estimate based on the 12 months ending	8/31/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other:	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Utah

State Population: 2,995,919

Population Ages 12–20: 414,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	48,000 (11.7%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (1.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	13,000 (9.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	32,000 (24.6%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	32
Years of Potential Life Lost (under 21)	1,954
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	14%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	Yes

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no passengers who are not immediate family members, unless accompanied by driver over 21
Can law enforcement stop driver for violation of passenger	No, officer must stop driver for

restrictions as a primary offense?	another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 (Passenger restrictions are lifted at age 16 years, 6 months; unsupervised night driving restrictions remain until age 17)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes: -Age-appropriate appearance with no age enhancements -Males will be clean shaven or have facial hair that is groomed and not excessive in length or appearance -Females will not wear excessive makeup or provocative attire -No jewelry on hands -Hats and clothing consistent with casual attire commonly worn by peer group
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third	3 years

and subsequent offenses?	
What is the penalty for the first offense?	5-30 day suspension and/or \$500 - \$3,000 fine
What is the penalty for the second offense?	10-90 day suspension and/or \$1,000 - \$9,000 fine
What is the penalty for the third offense?	15-120 day suspension up to revocation and/or \$9,000 - \$25,000 fine
What is the penalty for the fourth offense?	Not specified
Notes: List of mitigating and aggravating factors provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both
Notes: In Utah, the off-premises establishments subject to mandatory training are "off-premise beer retailers." "Off-premise beer retailers" are licensed to sell "beer," which in Utah is any product that contains not more than 3.2 percent alcohol by weight (ABW) and is obtained by fermentation, infusion, or decoction of any malted grain.	

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although employees must be at least 21 years of age to sell "liquor" at off-sale establishments in Utah, persons between 16 and 21 years of age may sell "beer" (defined as containing not more than 4% ABV or 3.2% ABW) on the premises of a beer retailer for off- premise consumption if under the supervision of a person 21 years of age or older who is on the premises.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21

Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet.
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$1,000,000 limit for one person and \$2,000,000 limit for all injured parties per occurrence.)
Does the statute limit who may be sued?	Yes (Retailers that furnish beer only for off-premises consumption are exempt.)
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Liability is strictly imposed for furnishing alcohol to an underage drinker. Evidence of retailer's negligence is not required.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$1,000,000 limit for one person and \$2,000,000 limit for all injured parties per occurrence.)
Does the statute limit who may be sued?	Yes, social host must be 21 years of age or older
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Not specified
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have

	actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Notes: In Utah, an individual may not knowingly conduct, aid, or allow an "underage drinking gathering." An "underage drinking gathering" means a gathering of two or more individuals: (a) at which an individual knowingly serves, aids in the service of, or allows the service of an alcoholic beverage to an underage person; and (b) to which an emergency response provider is required to respond, except for a response related solely to providing medical care at the location of the gathering. The definition does not otherwise specify a property type or an action by underage guest.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	Keg sales prohibited
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No

Must warning information be given to purchaser?	No
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Utah is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes (The status of full day price reductions is uncertain)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Utah State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Utah Department of Public Safety/State Bureau of Investigation

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

The Utah Department of Alcohol and Beverage Control is responsible for addressing sales and shipment. These responsibilities are conducted according to Utah Code <https://le.utah.gov/xcode/Title32B/Chapter4/32B-4-S401.html>

Such laws are also enforced by local law enforcement agencies

Yes

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

10,511

Number pertains to the 12 months ending

12/31/2015

Data include arrests/citations issued by local law enforcement agencies

Don't know

State conducts underage compliance

checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

1,849

Number of licensees checked for compliance by state agencies

1,849

(including random checks)

Number of licensees that failed state compliance checks

195

Numbers pertain to the 12 months ending

6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Numbers are for on-sale retail licensees only. All compliance checks were random.

<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	1,849
Number of licensees that failed random state compliance checks	195
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,742 of 2,750 local agencies were randomly checked
Number of licensees that failed local compliance checks	185
Numbers pertain to the 12 months ending	6/30/2015

Sanctions

<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	98
Total amount in fines across all licensees	\$177,100
Smallest fine imposed	\$500
Largest fine imposed	\$6,000
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	73
Total days of suspensions across all licensees	565
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	20
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Funding for Utah's underage alcohol enforcement system was provided through a grant from the Federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) in the form of an Enforcing Underage Drinking Laws (EUDL) Block Grant. The Utah Department of Public Safety, Highway Safety Office (UHSO) administered the grant with local and state law enforcement agencies. Funding was used to create 25 multiagency task forces throughout the state that conducted party patrols, enforcement of social host laws, shoulder-tap operations, parking lot surveillance, sobriety checkpoints with a focus on youth, fake ID enforcement, and source investigations. Funding was also used to support youth court programs/conferences, purchase equipment for law enforcement (e.g., passive breath testers, surveillance cameras/equipment), provide training geared toward youth alcohol enforcement, and provide public information and education for the community as well as students in colleges and universities. With the remainder of the funding, the Utah Highway Safety Office created a "UHSO Youth Alcohol Enforcement Challenge." The challenge was a competition between law enforcement agencies that recognized and rewarded the best overall youth alcohol enforcement programs in Utah. Areas of concentration were party

patrol/enforcement of social host laws, shoulder-tap operations, parking lot surveillance, sobriety checkpoints with a focus on youth, fake ID enforcement, and source investigations. Many of these programs, strategies, and partnerships remain as evidenced in this report. Our prevention system is set up with 13 local substance abuse authorities (LSAAs) that represent all 29 counties. The LSAA's, their community partners, and state agencies use the Strategic Prevention Framework (SPF) to assess for local needs using a data-driven process and implementing evidence-based programs to address underage drinking. Agencies also participated in the EASY (Eliminate Alcohol Sales to Youth) statewide compliance check program. Departments were required to submit an application that documented and/or summarized their agency's efforts and effectiveness in these areas. Winning programs were those that combined officer training, public information, and enforcement to reduce underage drinking within their respective jurisdictions. As noted, this program was financed with remaining funding awarded through the OJJDP EUDL block grant. The challenge hoped to increase state and local community effectiveness in their efforts to enforce underage drinking laws, prevent underage drinking, and eliminate the devastating consequences associated with alcohol use by underage youth. The competition was also a way for departments to increase their attention on youth alcohol enforcement. It provided an incentive for continuing youth alcohol enforcement activities and documented agency effectiveness that can be used for accountability to community leaders. In addition, the competition also reinforced the value of having a strong department that prioritizes and makes a personal commitment to ensuring the success and future of its youth.

The Division of Substance Abuse and Mental Health collaborated with one of our LSAA's, the Southwest Behavior Health Center, to host the second annual Utah Prevention Coalition Association Summit on June 22-24, 2016. This summit is designed to sustain many of the above-mentioned practices. Over 150 prevention professionals, including coalition members, law enforcement, legislators, school counselors, and teachers received annual training on: (1) the importance of involving coalitions in efforts to prevent underage drinking and increase the capacity of human, technical, programming, and funding resources; (2) underage drinking and other risks associated with early onset for youth and families, and (3) how to be advocates for prevention research in policy, laws, and norms that limit youth access to alcohol.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Parents Empowered (PE)

Program serves specific or general population	Specific population
Number of youth served	283,095
Number of parents served	70,774
Number of caregivers served	70,774 (same as caregivers)
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.parentsempowered.org

Program Description: Parents Empowered (PE) is a statewide underage drinking prevention media program that targets parents with children ages 10–18 and (1) educates them on how to talk to their teens about drinking; (2) provides talking points for parent/teen conversations about how to prevent and resist alcohol, and (3) describes how to recognize behaviors associated with alcohol use. Media for dissemination include a website, newspaper, prevention bulletins, billboards, radio ads, and community events in English and Spanish. Press releases are sent out on a quarterly basis to various media outlets. Collateral information is distributed at each event.

Prevention Dimensions (PD) Education to Students

Program serves specific or general population	Specific population
Number of youth served	197,020
Number of parents served	No data
Number of caregivers served	46,143 (teachers)
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.utahpd.org

Program Description: Prevention Dimensions (PD) Education to Students is a statewide, classroom-based curriculum delivered by trained teachers to teach knowledge and skill-based practices for preventing and resisting alcohol, tobacco, and other drug use. The curriculum is designed to reach K-6th grade students, but adaptations have been made to educate junior and high school youth as well.

Communities That Care (CTC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=392
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=392

Program Description: Communities That Care (CTC) employs a proven, community-change process for reducing youth violence, alcohol and tobacco use, and delinquency through tested and effective programs and policies. CTC uses prevention science to promote healthy youth development. It guides local coalitions through a tested five-phase process. CTC promotes the well-being of youth using a developmental strategy that provides meaningful opportunities to develop skills and be recognized for that effort. A rigorous scientific trial demonstrates that CTC shows reductions in rates of youth violence, crime, alcohol, and tobacco use. Utah has more than 10 CTC coalitions strategically developed and functioning throughout the local authority network and associated counties.

Prime For Life Under 21 (PFL)

Program serves specific or general population	General population
Number of youth served	557
Number of parents served	No data
Number of caregivers served	139
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.primeforlife.org/

Program Description: Prime For Life Under 21 (PFL) is designed to address and reduce underage drinking in at-risk youth who have been referred for a drinking offense. The program focuses on education and skills-building while helping participants understand the impact of risk factors (e.g., having favorable attitudes toward drug use and alcohol availability). The program also allows for parents to engage with the prevention system, and some PFL programs provide opportunities for parents to participate and provide support on a limited basis.

Personal Empowerment Program (PEP)

Program serves specific or general population	Specific population
Number of youth served	300

Number of parents served	No data
Number of caregivers served	12 teachers and instructors
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.southwestprevention.com/educators/pep/

Program Description: The Personal Empowerment Program (PEP) is an education and skill-based program that focuses on the risk of academic failure, low commitment to school, and early initiation of antisocial behavior to reduce substance abuse and other associated behavior. Middle- and high-school students from 11 schools in four school districts participate in the program each year.

Strengthening Families (SFP)

Program serves specific or general population	Specific population
Number of youth served	280
Number of parents served	32
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=44
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=44

Program Description: Strengthening Families (SFP) is a family skills training program designed to increase resilience and reduce risk factors for problem behavior associated with emotional, academic, and social problems and address the risk associated with drug use. Parents and children interact during specific segments to practice new skills of communication and problemsolving. SFP has three developmental segments for parents with children in three age groups: 5-9, 10-14, and 15-17 years old.

Strengthening Families Program (SFP)

Program serves specific or general population	Specific population
Number of youth served	61
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=28
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=28

Program Description: All Stars is a school-based program for middle school students (11-14 years old) designed to prevent and delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity. The program focuses on five topics important to preventing high-risk behaviors: (1) developing positive ideals that do not fit with high-risk behavior; (2) creating a belief in conventional norms; (3) building strong personal commitments to avoid high-risk behaviors; (4) bonding with school, pro-social institutions, and family; and (5) increasing positive parental attentiveness such as positive communication and parental monitoring. The All Stars

curriculum includes highly interactive group activities, games and art projects, small group discussions, one-on-one sessions, a parent component, optional online activities and worksheets.

Guiding Good Choices (GGC)

Program serves specific or general population	Specific population
Number of youth served	493
Number of parents served	111
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=302
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=302

Program Description: Guiding Good Choices (GGC) is a drug use prevention program that provides parents of children in grades 4-8 (9 to 14 years old) with the knowledge and skills needed to guide their children through early adolescence. It seeks to strengthen and clarify family expectations for behavior, enhance the conditions that promote bonding within the family, and teach skills that allow children to resist drug use successfully. GGC is based on research that shows that consistent, positive parental involvement is important to helping children resist substance use and other antisocial behaviors. Formerly known as Preparing for the Drug-Free Years, this program was revised in 2003 with more family activities and exercises. The current intervention has a five-session curriculum that addresses preventing substance abuse in the family, setting clear family expectations regarding drugs and alcohol, avoiding trouble, managing family conflict, and strengthening family bonds. Sessions are interactive and skill based, with opportunities for parents to practice new skills and receive feedback. They also use video-based vignettes to demonstrate parenting skills. Parents receive a family guide containing family activities, discussion topics, skill-building exercises, and information on positive parenting.

Eliminate Alcohol Sales To Youth (EASY)

Program serves specific or general population	General population
Number of youth served	27
Number of parents served	No data
Number of caregivers served	175 clerks
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Eliminate Alcohol Sales To Youth (EASY) is a universal alcohol compliance program that incorporates two phases of an intervention that: (1) trains clerks and cashiers in off-premise alcohol retail outlets throughout Utah in the laws regarding alcohol sales and in preventing alcohol sales to youth; and (2) coordinates and monitors a Covert Underage Buyers (CUBS) program, where CUBS are allowed to go into stores and attempt to purchase alcohol without manipulation. Violators are fined and prosecuted according to local laws. The focus of this program is to eliminate alcohol sales to youth, thereby reducing alcohol availability to youth.

Community/School Presentations

Program serves specific or general population	General population
Number of youth served	450,000
Number of parents served	No data
Number of caregivers served	80 (presenters)
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Local prevention staff and coalitions establish a speaker's bureau through schools and other community agencies to offer presentations on a variety of prevention topics that provide evidence-based prevention information and strategies using NIDA prevention principles and other sources of prevention science. The purpose is to educate the community that the local substance abuse authority designated to address prevention issues uses a science and data-driven process to prioritize risks associated with substance abuse.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description:

Protecting You Protecting Me (PYPM)—is an education-based alcohol use prevention program for children in grades 1-5 designed to reduce alcohol use. The program is suited for a classroom environment and taught for 40-55 minutes once a week for 8 weeks. (Website: <http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=95>)

Parenting with Love & Logic—is designed for parents and focuses on reducing risk factors such as poor family management and family conflict. The program is education- and skill-based for a classroom environment. Classes can be taught in elementary schools, local substance abuse authorities, and other locations as needed.

Governing Youth Council (GYC)—is a peer leadership program targeting youth ages 12-18 from regional secondary schools in nearly a third of the 29 counties in Utah. GYC focuses on developing leadership skills in youth so they can mentor and guide peers in prevention science, present and/or speak to peers about substance abuse-related issues, and develop and implement alcohol, tobacco, and other drugs prevention activities through GYC groups.

Additional Clarification

No data or clarification necessary at this time.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Utah collaborates with federally recognized tribal governments in the prevention of underage drinking through the Division of Substance Abuse and Mental Health (DSAMH). The DSAMH meets bimonthly with the Tribal Indian Issues Committee (TIIC) to communicate how DSAMH can best serve their needs related to reducing substance abuse while preserving cultural traditions. The DSAMH also discusses the challenges related to limited resources based on access to the tribes and coordinating efforts. Collaboration with the state's nine tribes allows DSAMH to participate in their prevention efforts to reduce the risk of substance abuse, particularly underage drinking and mental disparities. The DSAMH is also engaged in planning and discussing ways to develop a shared purpose and mission for TIIC and participates in several Native American conferences and celebrations each year, including the annual Native American Summit. DSAMH also offered scholarships to the TIIC for the Utah Substance Abuse Fall Conference, which has been an ongoing partnership to help maintain prevention, treatment, and justice tracks for traditional Native American breakouts and presentations. Vital prevention information on underage drinking and family conflict regarding alcohol use and abuse is made available during these breakouts. DSAMH maintains a willingness and commitment to work with the nine Utah Tribes in collaborating on resources to reduce substance abuse issues within Native American culture and to increase community resources. These tribes are identified as sovereign nations; therefore, our collaborative efforts are limited to the extent we are allowed. We continue to seek additional opportunities to participate in the Governor's Native American Summit and other Native American conferences, and have a limited partnership with Indian Health Services in San Juan, Utah.

DSAMH's Local Substance Abuse Authority (LSAA) network provides trainings, resources and statistics on risk related to underage drinking. Some underage drinking prevention objectives are also addressed during annual site visits and monitoring of Utah's 13 LSAs, which collaborate on prevention and treatment resources where necessary.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program Description: Parents Empowered (PE) Media Campaign—is a statewide media and education campaign funded by the Utah legislature and is in its 10th year of operation. PE is designed to prevent and reduce underage drinking in Utah by providing parents and guardians with information and education about the harmful

effects of alcohol on the developing teen brain. It also provides proven skills for preventing underage alcohol use. The Utah Department of Alcoholic Beverage Control is the lead agency for the campaign and is working in partnership with other state agencies and organizations including the following: Attorney General's Office, Department of Health, Department of Public Safety/Highway Patrol and Highway Safety Office, Division of Substance Abuse and Mental Health, Juvenile Court, State Office of Education, Mothers Against Drunk Driving (MADD)/Utah Chapter, Utah Prevention Network, and Utah Substance Abuse and Anti-Violence Advisory Coordinating Council.

PE was formed in 2006 in response to the alarming new research compiled by the National Institutes of Health on how alcohol affects the developing teen brain (causing early addiction and brain impairment). In response to this research, the U.S. Department of Health and Human Services (HHS) began an underage drinking initiative. HHS asked each state to form an eight-person underage drinking prevention team with representatives from each state agency affected by the problems caused by underage drinking (e.g., the Juvenile Courts, schools, Department of Health, Department of Alcoholic Beverage Control). The president of MADD-Utah joined this team when they were summoned to Washington, DC for a round-table discussion.

Teams from each of the 50 states met to discuss the problem of underage drinking and come up with solutions. Their first task was to hold town hall meetings in schools and community centers across their states, talk about the problems of underage drinking, and try to come up with strategies to prevent it. Utah held more town hall meetings than any other state, and had more attendees.

Utah has since combined the strategies of the town hall meetings and the PE campaign to reach more parents and community leaders through its LSAA coalitions. Futher, DSAMH is working on an additional collaboration with the Utah State Office of Education and the Department of Alcohol and Beverage Control to increase the reach and impact of Prevention Dimensions, one of the programs mentioned in this report. This collaboration is designed to get more teachers and parents involved in the campaign to eliminate underage drinking.

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): The Substance Abuse and Mental Health Services Administration, The Center for Substance Abuse Prevention and Center of Application Prevention Technology	Yes
Agency(ies) within your state: Division of Substance Abuse and Mental Health, Department of Alcohol and Beverage Control and Local Substance Abuse Authority Network through the Utah Association of Counties	Yes
Nongovernmental agency(ies): R & R Partners. The advertising firm that manages the legislative portion of the funds to implement PE on an annual basis. The staff collaborates with the Underage Drinking Prevention Committee comprised of government agencies.	Yes
Other:	No
Best practice standards description: Utah adopted the NIDA Guiding Principles to create the Utah Guiding Principles, a document that outlines principles and guidelines for substance abuse prevention programs, strategies, and policies in Utah. It is designed to ensure that prevention resources are effective and appropriate. It was created in partnership with the Division of Substance Abuse and Mental Health, the Utah Behavioral Healthcare Committee's Prevention Network, and the Utah State Office of Education, and includes research cited by SAMHSA and the NIDA publication <i>Preventing Drug Abuse among Children and Adolescents</i> .	

Before implementing any prevention program or activity, the substance abuse prevention coordinator in one of the 13 LSAs in a county-aligned area must be contacted and consulted to help make sure an appropriate, effective, and consistent message is delivered in the participating community. Utah also follows CSAP evidence-based guidelines for implementing evidence-based policies, programs, and strategies: To ensure that all interventions are evidence-based, the Division of Substance Abuse and Mental Health has convened an evidence-based workgroup to review proposed interventions. CSAP has created a guidance document with criteria for considering an intervention to be evidence-based, which include:

- *Definition 1:* It is included on Division of Substance Abuse and Mental Health-approved federal lists or registries of evidence-based interventions.
- *Definition 2:* It is reported (with positive effects) in peer-reviewed journals.
- *Definition 3:* It has documented effectiveness supported by other sources of information and the consensus judgment of informed experts, as described in the following set of guidelines, all four of which must be met:
 1. The intervention is based on a theory of change that is documented in a clear logic or conceptual model.
 2. The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
 3. The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to scientific standards of evidence and with results that show a consistent pattern or credible and positive effects.
 4. The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes: well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review; local prevention practitioners; and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders within indigenous cultures.

The evidence-based workgroup will serve as the informed experts for Utah. Also, Utah collaborates with the Center of Application Prevention Technologies (CAPT) for technical assistance to ensure we are using the latest technology and web systems to track all prevention information related to prevention of underage drinking, DUI, alcohol consumption, and other related issues with alcohol use.

Additional Clarification

No clarification needed at this time.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Doug Murakami
 Email: dmurakami@utah.gov
 Address: Utah Department of Alcoholic Beverage Control
 1625 South 900 West
 P.O. Box 30408
 Salt Lake City, UT 84130-0408
 Phone: (801) 977-6820

Agencies/organizations represented on the committee:

The Utah Substance Abuse Advisory (USAAV) Council's Prevention Advisory Committee oversees the Underage Drinking Prevention Workgroup.

Attorney General's Office
 Department of Alcoholic Beverage Control
 Department of Health
 Department of Public Safety/Highway Safety Office
 Division of Substance Abuse and Mental Health
 Juvenile Court

Law Enforcement (State Level)
 Utah State Office of Education
 Local Substance Abuse Authority Network (Prevention Coordinator Representative)
 University of Utah Addiction Center
 Utah Poison Control Center

A website or other public source exists to describe committee activities	No
URL or other means of access: Not applicable	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: Utah Department of Alcoholic and Beverage Control, Utah Prevention Advisory Council	
Plan can be accessed via: None available	

State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: Department of Alcoholic and Beverage Control	
Plan can be accessed via: The report is not posted on the site, but a copy can be requested by contacting Doug Murakami at dmurakami@utah.gov	

Additional Clarification

No clarification needed at this time.

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2015

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2015

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$66,490
Estimate based on the 12 months ending	6/30/2015

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$600,000
Estimate based on the 12 months ending	6/30/2015

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

<i>Other programs:</i>	
Programs or strategies included: Truancy Program and Communities Empowering Parents	
Estimate of state funds expended:	\$15,000
Estimate based on the 12 months ending:	6/30/2015

Funds Dedicated to Underage Drinking

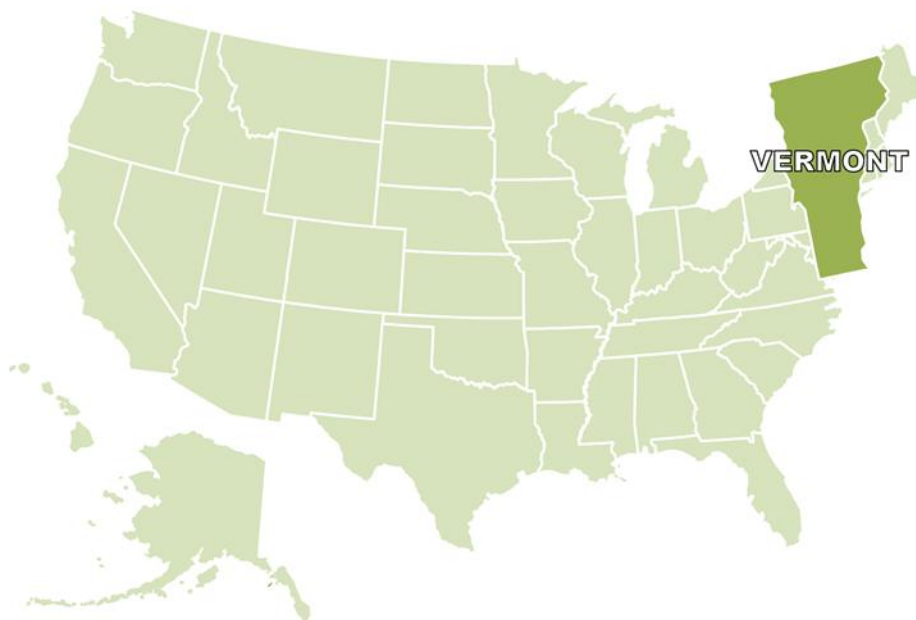
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	Yes
Fees	Yes
Other: Please see description below.	Yes

Description of funding streams and how they are used:
 Beer tax money distributed annually to municipalities and counties is to be used in part to “promote the reduction of alcohol consumption by minors.” Some fees are used to fund limited programs at the Utah

State Office of Education. Sales of alcoholic beverages generate approximately a 0.06 percent income that is used to help fund the PE underage drinking prevention campaign. Income from sales of alcoholic beverages is also used to fund compliance checks of establishments that serve alcoholic beverages to ensure minors are not served.

Additional Clarification

No clarification needed at this time.



Vermont

State Population: 626,042

Population Ages 12–20: 71,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	24,000 (33.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (5.8%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	6,000 (24.6%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	17,000 (62.0%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	6
Years of Potential Life Lost (under 21)	382
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	1
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Notes: Vermont has a statutory provision that makes it unlawful for a minor to, "consume malt or vinous beverages or spirituous liquors. A violation of this subdivision may be prosecuted in a jurisdiction where the minor has consumed malt or vinous beverages or spirituous liquors, or in a jurisdiction where the indicators of consumption are observed." Laws that punish minors for displaying "indicators of consumption" or for "exhibiting the effects" of having consumed alcohol, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from	Yes

licenses for persons 21 and over?	
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<p>Notes: Vermont has two statutes regarding affirmative defenses. First, an employee of a licensee or of a state-contracted liquor agency charged with underage furnishing may plead as an affirmative defense that the employee carefully viewed specified photographic identification, that an ordinary prudent person would believe the purchaser to be of legal age to make the purchase, and that the sale was made in good faith, based upon the reasonable belief that the purchaser was of legal age to purchase alcoholic beverages. A second statute provides that selling or furnishing to a person exhibiting "a valid authorized form of identification," which means a valid photographic operator's license, enhanced driver's license, or valid photographic nondriver identification card issued by Vermont or another state or foreign jurisdiction, a United States military identification card, or a valid passport or passport card bearing the photograph and signature of the individual is prima facie evidence of the licensee's compliance with the law prohibiting the sale or furnishing of alcoholic beverages to minors. The first provision amounts to a specific affirmative defense for state store employees and employees of retail licensees. The second provision applies to licensees and appears to provide them at least limited protection from prosecution, although the statutory language is unclear regarding how the provision is to be applied.</p>	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	N/A
<ul style="list-style-type: none"> Possession of alcohol 	N/A
<ul style="list-style-type: none"> Consumption of alcohol 	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A

What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	
Are there restrictions on passengers?	Yes, during first 3 months, restricted to driving alone or with a licensed parent, instructor or person at least 25 years old. During next 3 months, may also transport family members.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes

What is the minimum age a decoy may be to participate in a compliance check?	18, director's permission required for 17 year olds
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, exhibit a young adult appearance. Males: No facial hair. Females: No excessive makeup.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	Letter of warning
What is the penalty for the second offense?	\$300.00 fine or 3-day suspension
What is the penalty for the third offense?	\$500 fine or 5-day suspension
What is the penalty for the fourth offense?	Hearing before the Liquor Control Board
Notes: These penalty guidelines apply only to sales to minor violations that occurred during compliance checks.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Licenseses, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licenseses?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16

Spirits	None
Does a manager or supervisor have to be present?	No
Notes: Vermont statutes and regulations are silent regarding the minimum age of seller for distilled spirits sold for off-premises consumption, which occur only in state-controlled outlets. Vermont's Liquor Control Board establishes minimum age of seller in its outlets as a matter of internal board policy.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	Unclear
Notes: Vermont law provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1000 / 2 years)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No

Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$25
Does law cover disposable kegs?	No
Notes: Although Vermont does not require a retailer to record the number of a keg purchaser's ID, it does require that the purchaser's name, address, and date of birth be recorded as they appear on the purchaser's identification.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes, in addition, Vermont is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	No
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.27
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.55
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.00%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	4.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No

Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes, full day price reductions not banned
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 14 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Notes: Each licensee in a wholesale dealer's territory must receive at least one opportunity to buy beer at the changed price.	

Vermont State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Vermont Department of Liquor Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 2,438

Number of licensees checked for compliance by state agencies (including random checks) 547

Number of licensees that failed state compliance checks 65

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 547

Number of licensees that failed **random** state compliance checks 65

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 6

Total amount in fines across all licensees \$2,000

Smallest fine imposed \$300

Largest fine imposed \$500

Numbers pertain to the 12 months ending 12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	19
Total days of suspensions across all licensees	19
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

School-Based Substance Abuse Services (SBSAS) grant program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://healthvermont.gov/adap/sap/StudentAssistanceProgram.aspx

Program Description: The School-Based Substance Abuse Services (SBSAS) grant provides and enhances substance abuse prevention and early intervention services in Vermont schools, leading to reductions in students' alcohol and other drug use. Twenty-one awards, up to \$40,000 each (totaling approximately \$800,000), were made based on a competitive process that considered need, readiness, strength of proposed plan, budget, and number of students to be served. A 10 percent match in funds or in-kind services was required. For the funded services, required activities include (1) support of coordinated school health initiatives (all 21 grantees) and (2) screening and referral to substance abuse and mental health services (all 21 grantees). Optional activities include:

- Support of classroom health curricula
- Advising and training of youth empowerment groups
- Delivery of parent information and educational programs
- Delivery of teacher and support staff training
- Delivery of educational support groups

ParentUp

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Only hard-copy report

URL for more program information: available
ParentUpVT.org

Program Description: In 2010, the Health Department’s Prevention Unit of the Alcohol and Drug Abuse Programs (ADAP) created the ParentUp campaign to educate parents about their roles and responsibilities related to the dangers of underage drinking. The campaign addresses parents of middle- and high-school students with age-specific information. In Spring 2015, ADAP redesigned the website to include all substance use, not just alcohol. The overall program goals are to (1) increase parents’ awareness of their influence over whether or not their child uses alcohol or other drugs and (2) increase the number of parents who talk with their children about substance use. ADAP partners were provided a social media toolkit to use to promote ParentUp.

Partnership for Success (PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Partnership for Success (PFS) grant is a 3-year (10/1/12–9/30/15) cooperative agreement with SAMHSA. Its purpose is to reduce underage drinking and prescription drug misuse and abuse. In collaboration with multiple state and local community partners, the Vermont Department of Health (VDH) supports regional prevention strategies in the six (out of 12) VDH Districts identified through an analysis of prevalence data, size of target population, and socioeconomic disparities. Each region must employ evidence-based prevention strategies. The grant also supports training and communications activities aimed at strengthening the prevention infrastructure in all 12 districts of the state.

Combined Community Grants (CCGs)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Combined Community Grants (CCGs) represent an initiative that braids tobacco and substance abuse prevention funding to communities to integrate a Health in All Policies perspective in town-level planning and decisionmaking. Utilizing evidence-based strategies, communities are required to address the environmental layers of the Vermont Prevention Model (policy/systems and community) to prevent underage drinking (ages 12–20), binge drinking (ages 18–25), and marijuana use (12–17). Research literature supports the implementation of a comprehensive mix of evidence-based strategies as the best approach to prevent underage and binge drinking and marijuana use.

Annual College Symposium

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: On October 9th, 2015, Vermont Technical College hosted the 4th Annual College

Symposium sponsored by VDH, Division of Alcohol & Drug Abuse Programs. A keynote presentation by Dr. Amelia Arria, Ph.D., from the University of Maryland focused on "Substance Use & Its Impact on Academic Success." Dr. Arria described patterns of illicit and nonmedical use of drugs among college students and the impact on academic outcomes (including skipping class, GPAs, and time to graduation). Kristen Murray, Public Health Analyst at the VDH, shared the results of the 2014 College Health Survey. A presentation on "Supporting Students in Recovery" was provided by Amy Boyd-Austin, Founding Director of the Catamount Recovery Program at UVM, and Austin Brown, Coordinator of the program. The presentation, "Approaches to Supporting A Healthy Campus Environment" was delivered by Jeff Bickford, Assistant Director of Residential Life at Johnson State College. Thirteen colleges and universities were represented, as were a number of other community agencies and organizations. Analysis of the event evaluations will provide direction on next steps to support campus and community efforts.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

With the exception of the School-Based Substance Abuse Services (SBSAS) program, all programs related to underage drinking prevention are federally funded.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Program Description: Currently collaborating with Vermont Department of Health's Tobacco Control Unit to audit alcohol advertising among those retailers that also sell tobacco. There is no current funding or official program to do this.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: "Identifying and Selecting Evidence-Based Interventions" and CDC's "Community Guides"	

Additional Clarification

For Vermont standards, see:

<http://healthvermont.gov/adap/prevention/SPF/documents/FinalDraftGuidanceNov2008.pdf>

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities

Yes

Committee contact information:

Name: Barbara Cimaglio

Email: barbara.cimaglio@vermont.gov

Address: Vermont Department of Health, Alcohol and Drug Abuse Programs, 108 Cherry Street, Burlington, VT 05401

Phone: 802-651-1550

Agencies/organizations represented on the committee:

Community Prevention Coalition

Provider

Counselor

Peer Community

Youth Substance Specialist

Teacher	
A website or other public source exists to describe committee activities	Yes
URL or other means of access:	
http://governor.vermont.gov/boards_and_commissions/alcohol_and_drug_abuse	
Underage Drinking Reports	
State has prepared a plan for preventing underage drinking in the last 3 years	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
State has prepared a report on preventing underage drinking in the last 3 years	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
Vermont's Epidemiological Workgroup is currently working on an update to the 2012 plan that can be accessed via: www.healthvermont.gov/adap/clearinghouse/documents/EpiProfileExecutiveSummary_2012March16.pdf	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$830,000
Estimate based on the 12 months ending	6/30/2016
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$930,000
Estimate based on the 12 months ending	6/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	No
Fees	Yes
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
Youth who violate Vermont's underage possession and consumption of alcohol and/or marijuana (possession of an ounce or less) laws (7 VSA §656 and 18 VSA§4230) may opt to participate in the Youth Substance Abuse Safety Program (YSASP), or face a fine and driver's license suspension. Participant fees and State General Fund dollars support YSASP, which is run by the Court Diversion program in each county. Participants, who take part in a substance abuse screening, may be referred for an assessment with a clinician (and must follow the	

recommendations of the clinician) and may participate in an educational program. YSASP case managers support youth in completing the program. Assessment and treatment are not covered through YSASP funding.

Additional Clarification

No data



Virginia

State Population: 8,382,993

Population Ages 12–20: 936,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	194,000 (20.7%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	11,000 (3.8%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	61,000 (18.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	122,000 (39.0%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	100
Years of Potential Life Lost (under 21)	6,085
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	18
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	22%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes Yes, in specified locations (see below)
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: Virginia law provides for two separate family exceptions. First, Virginia permits persons under 21 to possess alcoholic beverages due to such person's "making a delivery of alcoholic beverages by order of his parent." For purposes of this report, the phrase "by order of his parent" is interpreted as providing for parental consent. Second, Virginia permits underage possession when an alcoholic beverage is provided to an underage guest in a private residence and the underage guest is "accompanied by a parent, guardian, or spouse who is 21 years of age or older."	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations (see below) Yes, in specified locations (see below)
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A
Notes: Virginia has a statutory provision that makes it unlawful for a minor to "exhibit evidence of physical indicia of consumption of alcohol." Laws that punish minors for displaying "indicators of consumption" or for "exhibiting the effects" of having consumed alcohol, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)—For Ages Under 18	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)–For Ages 18-20	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	45 (15 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 3 months
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, for first year, no more than one passenger younger than age 21 who is not family or household member unless the driver is accompanied by a parent or person acting in loco parentis; then, no more than 3 passengers younger than age 21 who are not family or household members unless driving to or from a school-sponsored activity or accompanied by a licensed driver who is at least 21 years old.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, youthful in appearance and shall not appear older than their true age
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	3 years
What is the penalty for the first offense?	\$2,000 fine or 25 day license suspension
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified
Notes: For first offense in three year period, if licensee can demonstrate that their employees have received Responsible Beverage Service Training in 12 months preceding violation, they can accept a reduced penalty of \$1,000 fine or 5-day license suspension.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Existing

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although bartenders are generally required to be at least 21 years old in Virginia, a person who is at least 18 years of age may sell or serve beer for on-premises consumption at a counter in an establishment that sells beer only. A person who is at least 18 years of age may also sell or serve wine for on-premises consumption in an establishment that sells wine only.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A

Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00

Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (Delivery permit required. Four case limit without written prior notification to the State including the name and address to the intended recipient.)
Wine	Yes (Delivery permit required. Four case limit without written prior notification to the State including the name and address to the intended recipient.)
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes. In addition, Virginia is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 50.5 percent)
Are there exceptions to restrictions?	No
Notes: Statute states "101 proof," which is equivalent to 50.5 percent alcohol by volume.	

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.28
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: Virginia imposes a tax of \$0.2565 per gallon on each barrel of beer, defined as any container or vessel having a capacity of more than 43 ounces.	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before 9:00 PM)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Virginia State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Virginia Department of Alcoholic Beverage Control's Bureau of Law Enforcement has continued to enforce the laws of the Commonwealth through several initiatives such as our Alcohol Compliance Program. In Fiscal Year 2015, 2,828 overall checks were conducted, resulting in a compliance rate of 87.73 percent. A total of 1,507 random checks were conducted, resulting in an alcohol compliance rate of 87.33 percent. More than 6,663 criminal investigations were conducted, resulting in 1,101 arrests.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors VA Department of Alcoholic Beverage Control's Compliance Division

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 360

Number pertains to the 12 months ending 6/30/2015

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 15,821

Number of licensees checked for compliance by state agencies (including random checks) 2,828

Number of licensees that failed state compliance checks 347

Numbers pertain to the 12 months ending 6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 1,507

Number of licensees that failed **random** state compliance checks 191

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	359
Total amount in fines across all licensees	\$709,500
Smallest fine imposed	\$500
Largest fine imposed	\$5,000
Numbers pertain to the 12 months ending	4/30/2016
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	131
Total days of suspensions across all licensees	3,092
Shortest period of suspension imposed (in days)	3 days
Longest period of suspension imposed (in days)	60 days
Numbers pertain to the 12 months ending	4/30/2016
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	4/30/2016

Additional Clarification

Regarding the number of retail licensees in the state, this year's figure does not include compliance/industry licensees. (Last year's figure did include compliance/industry licensees, which would explain the difference in 2015 and 2016 figures for this question.)

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Alcohol Education and Prevention Grants

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://www.abc.virginia.gov/education/grants

Program Description: Virginia ABC Alcohol Education and Prevention grants assist community partners in developing and enhancing initiatives related to alcohol education and prevention. Applicants must address one or more of the following priorities:

- Underage drinking prevention
- Social providing or social hosting prevention
- High-risk drinking prevention

Grant requests are limited to a maximum of \$8,000 and approximately eight awardees are selected each annual grant cycle. Coalitions, law enforcement, nonprofits, schools, colleges and universities, faith-based organizations, and prevention-related groups are encouraged to apply. Programs should be innovative with a long-lasting impact and establish and encourage partnerships between organizations.

For Fiscal Year 2015, \$81,192.10 was awarded as follows:

- City of Waynesboro Office on Youth—Waynesboro; \$6,350 to facilitate Students Against Destructive Decisions

(SADD) clubs in community high schools, conduct Project Sticker Shock campaigns, and offer community-wide alcohol awareness events.

- Helping Engage Youth (HEY!) Coalition/Piedmont Community Services—Martinsville; \$8,000 to partner with Communities Helping Improve Local Lives (CHILL) on a media campaign that highlights the dangers of providing youth access to alcohol.
- James Madison University, Futuro Latino—Harrisonburg; \$8,000 for a multi-media outreach campaign in the Latino community that increases the knowledge of the harm that may come from underage drinking and alcohol misuse, and for activities to enhance retail compliance.
- Longwood University Alcohol, Tobacco and Other Drugs (ATOD) Team—Farmville; \$8,000 for Training for Intervention Procedures (TIPS) education on alcohol misuse for up to 500 student leaders.
- Middlesex County Public Schools—Saluda; \$7,975 for LifeSkills Training Program to promote youth development and prevent underage drinking and high-risk behaviors among middle and high school students.
- Planning District One Behavioral Health Services—Norton; \$7,897 for a fall conference and spring community event at the University of Virginia's College at Wise to address underage drinking, driving under the influence (DUI) and binge drinking.
- Prevention Council of Roanoke County—Roanoke; \$3,350 for media messaging that informs adults about the negative health impacts of underage drinking and legal consequences of providing alcohol to youth.
- Shenandoah University—Winchester; \$7,660 for the Students in Prevention (SIP) group to provide Training for Intervention Procedures (TIPS) to increase bystander intervention and reduce underage alcohol use.
- Substance Abuse Free Environment Inc. (SAFE)—Chesterfield; \$7,960 for the creation of the Central Virginia Latino Coalition Initiative, which enhances the Latino community's understanding of Virginia ABC laws.
- Virginia Commonwealth University Police Department—Richmond; \$8,000 for Operation SafeWay, which uses enforcement efforts to reduce underage alcohol possession and consumption among students.
- Warren County Community Health Coalition—Front Royal; \$8,000 for ads promoting Stopping Underage Alcohol Violations and Enforcing Alcohol Regulations and Traffic Laws (SUAVE ART) initiatives and to increase enforcement compliance checks.

Project Sticker Shock

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://www.abc.virginia.gov/education/programs/project-sticker-shock

Program Description: Project Sticker Shock is a community awareness program designed to prevent people 21 and older from purchasing alcohol and providing it to underage individuals. Participants involved in Project Sticker Shock visit partnering stores to post stickers with a warning message about the penalties for providing alcohol to anyone under 21 and using a fake ID to purchase alcohol. By contributing in this event, citizens throughout the state are taking a proactive stand against underage drinking and its related problems. Project Sticker Shock also seeks to increase visibility of and compliance with Virginia's underage drinking laws.

This unique program represents a partnership among youth, retail establishments, concerned parents, community members, prevention professionals, and law enforcement, and provides a great opportunity for community groups, faith-based groups, school-based groups, or any group interested in preventing underage drinking to contribute to community awareness.

Virginia ABC Education and Prevention provides an online training and event materials package for all coordinators planning to implement Project Sticker Shock. Upon completion of the online training, coordinators may request a Project Sticker Shock event materials package containing all the materials needed for the event.

Underage Buyer Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	https://www.abc.virginia.gov/enforcement/uab-program/uab-results
URL for more program information:	https://www.abc.virginia.gov/enforcement/uab-program

Program Description: The Underage Buyer (UB) program is an ongoing effort by Virginia Department of Alcoholic Beverage Control (ABC) to visit alcohol and tobacco retailers throughout the Commonwealth to verify compliance with the state age requirements—18 for tobacco and 21 for alcohol sales.

Special agents, who are sworn officers from Virginia ABC's Bureau of Law Enforcement, accompany underage operatives during attempts to purchase cigarettes or alcohol at grocery stores, convenience stores, restaurants and other businesses, including Virginia ABC stores.

The purpose of the UB program is not to trick businesses. In fact, it is the hope of Virginia ABC that 100 percent of the businesses comply with state law by not selling to the underage buyer.

Virginia ABC selects operatives who look their age, making it easier for clerks and servers to request ID and avoid the sale. Operatives are instructed not to alter their appearance or mannerisms or mislead clerks in any way while attempting to make a purchase. Operatives carry their own valid identification. If the store clerk asks for ID, the operative presents his or her own valid identification.

ABC special agents throughout the state complete nearly 400 alcohol and tobacco compliance checks every month. ABC promotes zero tolerance for underage alcohol consumption as one of its most important messages.

College Tour

Program serves specific or general population	Specific population
Number of youth served	99
Number of parents served	No data
Number of caregivers served	86
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://www.abc.virginia.gov/education/programs/college-tour

Program Description: Since 1986, Virginia ABC has sponsored a college conference on alcohol education and prevention for college students and the higher education community. The College Tour model is an expansion of college conference, with a goal of reaching more students across the state.

College Tour partners with colleges and universities across the Commonwealth to host a series of regional conferences that focus on preventing and reducing underage and high-risk drinking and preventing alcohol-related injuries and fatalities by promoting healthy choices, social responsibility, collaboration, and leadership. Each one-day conference unites student leaders and college staff with the common goal of making a difference on their campuses. The workshops equip participants with best practice strategies to prevent and reduce underage and high-risk drinking on their college campuses and the opportunity to network and share resources with others. College Tour stops during Fiscal Year 2015 were held at:

- James Madison University on October 24, 2014
- University of Richmond on November 7, 2014
- Virginia Tech on February 21, 2015

- College of William & Mary on March 21, 2015
- George Mason University on March 24, 2015.

Youth Alcohol and Drug Abuse Prevention Project (YADAPP)

Program serves specific or general population	Specific population
Number of youth served	365
Number of parents served	No data
Number of caregivers served	76
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.yadapp.com/ , https://www.facebook.com/yadappguy

Program Description: The Youth Alcohol and Drug Abuse Prevention Project (YADAPP) is a year-long peer leadership program that begins each summer when high schools and communities throughout Virginia send participants (students and sponsoring adults) to a five-day conference. YADAPP is designed to empower teams of high school students with the resources and motivation to develop year-long projects that promote school safety and the prevention of alcohol and drug use among their peers. Since 1984, approximately 440 different high schools and community organizations and more than 10,000 students have participated in this unique youth-led experience. All high schools and community organizations in Virginia are encouraged to send a team to YADAPP. YADAPP conference learning objectives are:

- Broaden knowledge of substance use prevention and work as a team to create a substance use prevention plan
- Learn leadership skills needed to address common issues among youth
- Network and build supportive relationships with others from across Virginia.

The 31st Annual YADAPP conference was held at Longwood University from July 20-24, 2015. Seventy-five youth teams representing schools and communities across Virginia participated in the conference. The conference consists of a variety of educational and instructional activities for both youth and adult participants. Youth participant activities are focused towards developing: structured problem solving, action planning (STAN plan), communication, teamwork, and relationships. Activities at the conference included: motivational general sessions; educational breakout sessions; experiential learning and teambuilding; and strategies To Act Now (STAN) planning.

The summer leadership conference uses six different levels of participation and is centered on the experience of youth participants and adult sponsors. The levels, which represent steps towards increasingly-responsible leadership opportunities, support and enrich the conference experience. The conference is a collaborative effort between each participant level which consisted of: 303 youth participants, 76 adult sponsors, 38 youth leaders, 20 junior staff, 4 conference interns, and 10 resource officers. To support STAN Plan implementation and growth, YADAPP provided one Wheeler Award (\$500) and approximately 19 mini-grants (\$250 each).

Being Outstanding Leaders Together (BOLT) Against Drugs and Alcohol

Program serves specific or general population	Special population
Number of youth served	126
Number of parents served	49
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://www.abc.virginia.gov/education/programs/bolt

Program Description: Being Outstanding Leaders Together (BOLT) Against Drugs and Alcohol is a series of regional one-day events focused on providing drug and alcohol prevention information for middle school students and their

parents. Participants that attend YADAPP are eligible for BOLT partnership grants to prevent underage drinking and promote peer leadership. BOLT learning objectives are:

- Drug and Alcohol Education: Participants will demonstrate knowledge of the effects of drugs and alcohol on the body and brain, and understand the impact on academics and legal consequences.
- Leadership Skills: Participants will understand the importance of peer leadership and will be able to recognize the abilities of a peer leader.
- Relational and Social Skills: Participants will understand the role that alcohol and drugs play in youth relationships, suicide, and interpersonal violence. Participants will understand the key concepts of social providing and peer pressure.

With prior approval from Virginia ABC, BOLT partners have the latitude to determine how to design and deliver the program that best meets the needs of their region. During Fiscal Year 2015, BOLT events were hosted by:

- Virginia Beach Mayor's Youth Leaders in Action on April 25, 2015
- Prevention Council of Roanoke County on May 2, 2015.

Miss Virginia School Tour

Program serves specific or general population	Special population
Number of youth served	13,434
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Virginia ABC partnered with Miss Virginia to deliver alcohol, tobacco, and drug prevention messages to approximately 13,000 students in 28 elementary schools throughout Virginia (November 2014—May 2015). Miss Virginia shared messages of health and wellness with a special emphasis on showing youth the importance of living alcohol and drug-free. The content of these messages included, but was not limited to, the following topics:

- Alcohol is illegal for anyone under 21
- Healthy friendships and relationships
- Tell a trusted adult if anyone offers tobacco, alcohol or other drugs.

Miss Virginia also shared the "Dominion the Dog" activity booklet with age-appropriate exercises that promote health and safety messages. The booklet includes an introductory letter that encourages parents to talk to children about the dangers of alcohol use and ways to refuse alcohol (or other substances).

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs No

Agencies/organizations that established best practices standards:

Federal agency(ies): Not applicable

Agency(ies) within your state: Not applicable

Nongovernmental agency(ies): Not applicable

Other: Not applicable
 Best practice standards description: Not applicable

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Jennifer Farinholt
 Email: jennifer.farinholt@abc.virginia.gov
 Address: Virginia ABC, 2901 Hermitage Road, Richmond, VA 23220
 Phone: 804-213-4452

Agencies/organizations represented on the committee:

- Department of Alcoholic Beverage Control
- Department of Behavioral Health and Developmental Services
- Department of Health
- Virginia Foundation for Healthy Youth
- Department of Education
- Department of Social Services
- Department of Motor Vehicles
- Department of Criminal Justice Services
- Virginia State Police
- Virginia National Guard
- Department of Juvenile Justice
- Department of Fire Programs

A website or other public source exists to describe committee activities Yes

URL or other means of access: <https://www.abc.virginia.gov/education/programs/vosap>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$89,000
 Estimate based on the 12 months ending 6/30/2015

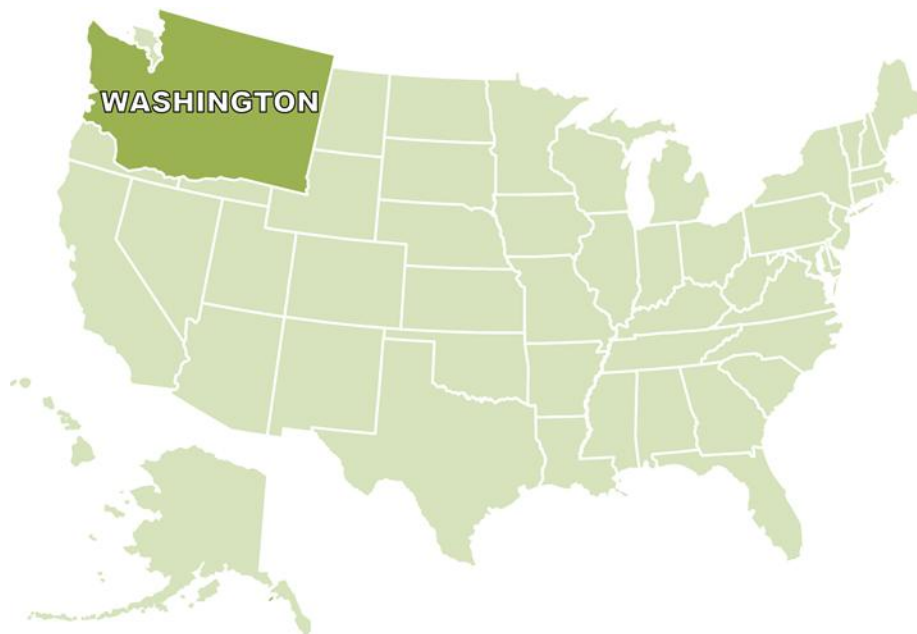
K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$140,000
 Estimate based on the 12 months ending 6/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended \$100,000
 Estimate based on the 12 months ending 6/30/2015

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Educational material development (publications for parents, elementary students, middle school students, high school students, college students and licensees) include underage drinking prevention messages. Also produced and distributed "Do Not Sell" stickers to prevent underage sales.	
Estimate of state funds expended:	\$10,000
Estimate based on the 12 months ending:	6/30/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Washington

State Population: 7,170,351

Population Ages 12–20: 849,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	196,000 (23.0%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	12,000 (4.3%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	44,000 (17.3%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	139,000 (43.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	71
Years of Potential Life Lost (under 21)	4,291
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	9
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	13%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Notes: Washington has a statutory provision that makes it unlawful for a minor to "be in a public place...while exhibiting the effects of having consumed liquor." Laws that punish minors for displaying "indicators of consumption" or for "exhibiting the effects" of having consumed alcohol, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the	No

ID is ultimately deemed valid?	
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365
Notes: In Washington, the driving privileges of a juvenile are revoked for one year, or until the juvenile reaches 17 years of age, whichever is longer.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	1:00 AM

Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, first 6 months, no passengers under age 20 not in the immediate family; after 6 months, no more than 3 passengers under age of 20 not in the immediate family
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, must not be deceptively mature in appearance, shall not use a disguise or alter their appearance to look older
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	2 years

What is the penalty for the first offense?	5-day suspension or \$500 fine
What is the penalty for the second offense?	7-day suspension
What is the penalty for the third offense?	30-day suspension
What is the penalty for the fourth offense?	Cancellation of license
Notes: List of mitigating and aggravating circumstances provided.	

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-premises
Does the RBS law apply to new or existing licensees?	New

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
Notes: There must be at least two adults 21 years of age or older on duty supervising the sale of spirits at the licensed premises.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet if the public elementary or secondary school objects after receiving written notice.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet if the public elementary or secondary school objects after receiving written notice.
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No
Notes: A state court case held that a social host who furnishes alcohol to a minor can be held liable for resulting harms to the minor who was furnished but is not liable for injuries caused by the minor to third parties.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Negligence: Host knew or should have known of the party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine, spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes
Notes: Licensed distilleries and craft distilleries may ship spirits in packages labeled as containing liquor and stating that recipient must be 21 years or older. Records containing names of purchasers must be kept on licensed premises.	

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$5000 / 1 year)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$5000 / 1 year)

What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No law
Does law cover disposable kegs?	No
Notes: Under a special endorsement from the liquor control board, a grocery store licensee may sell malt liquor in containers no larger than five and one-half gallons. Research revealed no similar container size restriction imposed on other licensees.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: To sell via the Internet, a new license applicant must request internet sales privileges. Existing licensees must notify the board.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.76
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.87
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$14.25
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	23.70%
Retail tax rate (if applicable)	17.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	17.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	10.00%
Retail tax rate (if applicable)	37.50%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.50%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	31.00%
Additional taxes for 15 – 50% alcohol spirits if applicable	
Notes: The \$14.25 per gallon Specific Excise Tax rate listed above is for off-premises sales of spirits. Washington imposes a Specific Excise Tax of \$9.23 per gallon for on-premises sales of spirits.	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No

Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No
Notes: On-premises retailers may offer a free drink on a case-by-case basis.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Notes: No sales below cost for Beer and Spirits.	

Washington State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Washington State Liquor and Cannabis Board

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	WA Liquor and Cannabis Board
Such laws are also enforced by local law enforcement agencies	Don't know

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	16,444
Number of licensees checked for compliance by state agencies (including random checks)	3,284
Number of licensees that failed state compliance checks	590
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations	3,284
Number of licensees that failed random state compliance checks	590

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state ⁴	603
Total amount in fines across all licensees	\$279,010
Smallest fine imposed	\$300
Largest fine imposed	\$4,200
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	65
Total days of suspensions across all licensees	363
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Washington Healthy Youth Coalition

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.starttalkingnow.org/

Program Description: This multi-agency, multi-partner group provides cross-system coordination and leverage resources in support of underage drinking prevention efforts. The coalition is co-led by the state alcohol and drug agency (Division of Behavioral Health and Recovery) and the state's Liquor and Cannabis Board. The coalition also initiates and provides comments on communications efforts regarding underage drinking and policy initiatives.

Let's Draw the Line Between You and Alcohol Campaign

Program serves specific or general population	Specific population
Number of youth served	3,200
Number of parents served	320
Number of caregivers served	0
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.starttalkingnow.org/

Program Description: This campaign provides mini-grants to communities to partner with law enforcement to inventory alcohol advertising in their community and to increase community awareness about underage drinking and local policy initiatives. In 2015, 38 communities participated.

Law Enforcement Partnership Initiative

Program serves specific or general population	Specific population
Number of youth served	370
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.starttalkingnow.org/

Program Description: This initiative provides support in targeted, high-risk communities for law enforcement and community coalition to coordinate implementation of compliance checks, alcohol purchase surveys, and public awareness efforts regarding the enforcement efforts. In 2015, two communities participated in these projects:

- 45 alcohol purchase surveys were completed with a 93% compliance rate.
- 42 compliance checks were administered with an 85% compliance rate.

College Coalition for Substance Abuse Prevention

Program serves specific or general population	Specific population
Number of youth served	0
Number of parents served	0
Number of caregivers served	0
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://ccsap.wsu.edu

Program Description: This organization provides training and professional development support to college counseling and student affairs offices regarding issues impacted by alcohol and other drug use among college students in the state. Their annual one-day conference features presentations from some of the top names in national and international research about alcohol and other drug issues, brain development, and impact of substances on college athletes.

Start Talking Now website

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.starttalkingnow.org

Program Description: This website is our main vehicle for distributing news and materials regarding underage drinking to individuals and groups. The site features information about current trends in Washington State as well as information about biophysical impacts of substance on youth, guidance for parents in talking about substance use, and multiple free materials for download.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Division of Behavioral Health and Recovery (DBHR) provides direct funding to the state's 29 federally recognized tribes to support services that prevent or treat substance abuse problems. Most of the tribes use their funds to support prevention efforts, most of which focus on youth issues that include underage drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program Description: EUDL funds supported an update of the Start Talking Now website to distribute information about underage drinking. New information is posted to the site regularly and then promoted across our provider network. Additionally, the Let's Draw The Line Facebook site was renamed as Start Talking Now in order to concentrate brand identity and posts and "likes" are tracked for that page. During statewide campaigns regarding underage alcohol use or marijuana use, the hits on both the Facebook page and website increase dramatically.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies):

No

Agency(ies) within your state: Department of Social and Health Services, Division of Behavioral Health and Recovery

Yes

Nongovernmental agency(ies):

No

Other:

No

Best practice standards description: DBHR requires that 60 percent of the programs and strategies implemented with the prevention set-aside from the Federal Substance Abuse Prevention and Treatment (SAPT) block grant be evidence-based. DBHR has successfully converted its prevention delivery system so it focuses on providing services to 59 high-risk communities, at least one in each of the state's 39 counties. Communities have been developing strategic plans for addressing their community priorities, which must include underage drinking. In that process, best practices for community coalitions are being practiced, and implementation of the Strategic Prevention Framework is used as the planning platform for communities. Each participating community is required to organize and support a community coalition, deliver both direct service and environmental prevention services, and provide prevention/intervention services in the community's schools. DBHR provides an online searchable database called the "Excellence in Prevention Strategy List," which provides detailed information about direct service and environmental prevention strategies. Programs and strategies in this list must be shown in at least two studies to produce intended results. All programs listed include substance abuse prevention as an area of interest.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Julee Christianson

Email: chrisjc@dshs.wa.gov

Address: P.O. Box 45330, Olympia, WA 98504-5330

Phone: 360-725-3260

Agencies/organizations represented on the committee:

College Coalition for Substance Abuse Prevention

Washington State Liquor and Cannabis Board

Faith Community

Mothers Against Drunk Driving (MADD)

Office of Attorney General

Treatment

Washington Association of Sheriffs and Police Chiefs

Washington Association of Substance Abuse and Violence Prevention

Washington Drug-free Communities

Washington Association of Prevention Coalitions

Washington State Students Against Destructive Decisions (SADD)

Washington State Department of Health
 Washington State Department of Social and Health Services (Division of Behavioral Health and Recovery)
 Governor's Office
 Washington State Office of Superintendent of Public Instruction
 Washington State Partnership Council on Juvenile Justice
 Washington State Patrol
 Washington State Parent-Teacher Association (PTA)
 Washington Traffic Safety Commission and Target Zero Task Forces
 Washington Association of Prosecuting Attorneys
 AAA Washington
 Juvenile Court Services/Diversion

A website or other public source exists to describe committee activities	Yes
URL or other means of access: http://www.starttalkingnow.org	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: Washington Healthy Youth (WHY) Coalition	
Plan can be accessed via: http://starttalkingnow.org/our-efforts/our-strategic-plan	

State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: Washington Healthy Youth (WHY) Coalition	
Plan can be accessed via: http://starttalkingnow.org/sites/default/files/WHY%20Annual%20Report%202014%20-%202015%209.25.15_0.pdf	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$52,000
Estimate based on the 12 months ending	12/31/2015

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$32,000
Estimate based on the 12 months ending	12/31/2015

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$23,000
Estimate based on the 12 months ending	12/31/2015

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:
 Not applicable

Additional Clarification

No data



West Virginia

State Population: 1,844,128

Population Ages 12–20: 211,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	54,000 (25.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (4.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	12,000 (19.3%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	39,000 (47.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	25
Years of Potential Life Lost (under 21)	1,506
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	19%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid	No

after examining it)?	
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0 (with driver education; 50 hours without, 10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, for first 6 months, no nonfamily passengers younger than 20 years old. For second 6 months, no more than 1 nonfamily passenger younger

	than 20 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: West Virginia's exception allows relatives by blood or marriage to furnish alcohol to minors.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	No
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	Can impose one or combination of following sanctions: Revoke license, suspend license, place licensee on probationary status for no more than 12 months, impose monetary penalty not to exceed \$1000 for each violation
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet. College and university has authority to override state restrictions if done in writing.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet. College and university has authority to override state restrictions if done in writing.
To which alcohol products does requirement apply?	Beer
Notes: This prohibition does not apply to grocery stores or "to a place occupied by a beer licensee so long as it is continuously so occupied."	

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer
Notes: This prohibition does not apply to grocery stores or "to a place occupied by a beer licensee so long as it is continuously so occupied."	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Permitted
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	

Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	Yes, direct shipper's license required. Two cases per month.
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, West Virginia is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.18
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and Hold (90 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

West Virginia State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
West Virginia Alcohol Beverage Control Administration	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	West Virginia Alcohol Beverage Control Administration
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	37 violations written (Do not have data for the number of underage found)
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	4,861
Number of licensees checked for compliance by state agencies (including random checks)	1,791
Number of licensees that failed state compliance checks	386
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	No data
Number of licensees subject to random state compliance checks/decoy operations	No data
Number of licensees that failed random state compliance checks	No data
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	135
Total amount in fines across all licensees	\$69,750

Smallest fine imposed	\$100
Largest fine imposed	\$5,000
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	27
Total days of suspensions across all licensees	145
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	45
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Students Against Destructive Decisions (SADD)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.wvsadd.org

Program Description: The mission of Students Against Destructive Decisions (SADD) is to provide students with the best prevention tools possible to deal with the issues of underage drinking, other drug use, risky and impaired driving, and other destructive decisions. West Virginia SADD is funded by the West Virginia Governor's Highway Safety Program through grants received from the National Highway Traffic Safety Administration (NHTSA).

WV Collegiate Initiative to Address High Risk Alcohol Use (WVCIA)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.wvcia.org

Program Description: WV Collegiate Initiative to Address High Risk Alcohol Use (WVCIA) is the state organization that proactively addresses collegiate alcohol, other drug, and associated violence issues through the use of evidence-based strategies in order to promote healthy campus environments through self-regulatory initiatives, information dissemination, public policy influence, cooperation with prevention partners, and technical assistance. Members are representatives of the state's campuses, agencies, and communities who encourage and enhance local, state, regional, and national initiatives through a commitment to shared standards for policy development,

educational strategies, enforcement, evaluation, and community collaboration. WVCIA is funded by the WV Governor's Highway Safety Program through grants received from NHTSA and the state's Bureau for Behavioral Health and Health Facilities through grants received from SAMHSA.

Merchant Education

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: West Virginia provides materials to alcohol merchants to educate them about the importance of selling alcohol legally and appropriately so as to avoid underage sales. The materials provided include posters bearing examples of West Virginia licenses and stickers that that can be posted to inform the customer that identification is required by the merchant before selling alcohol. Additionally, local county prevention coalitions conduct consumer and merchant education programs with the goal of reminding the public that purchasing alcohol for a minor is illegal and dangerous. Finally, one of West Virginia's state-level staff members is certified as a responsible beverage service trainer (Training for Intervention Procedures [TIPS]) and provides that training to merchants, colleges, and universities that request it.

Compliance Checks

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: West Virginia's state and local law enforcement agencies, in collaboration with the state's ABC Administration, conduct compliance checks in both on- and off-premise establishments to ensure that merchants are abiding by state codes related to the sale of alcohol to minors. These compliance checks are funded in part by the West Virginia Governor's Highway Safety Program through grants received from NHTSA..

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

While the state of West Virginia operates the programs listed above, funding for these programs comes from federal sources such as SAMHSA and NHTSA.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
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Description of collaboration: Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA, OJJDP, NIDA, NHTSA	Yes
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Agency(ies) within your state: Single State Authority, Governor's Highway Safety	Yes
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Program, Justice and Community Services
 Nongovernmental agency(ies): Pacific Institute for Research and Evaluation, Center for Health and Safety Culture-Montana State University Yes
 Other: No
 Best practice standards description: West Virginia requires evidence-based programming and data-driven decision-making, enabling state and local entities to appropriately address issues on a statewide basis and on the county level. Examples of best practice standards include the use of environmental strategies to reduce youth access to alcohol; peer-to-peer education with groups such as SADD, National Youth Leadership Initiative, Teen Institute, and Pride; and use of evidence-based prevention education curricula such as Too Good for Drugs and Keep a Clear Mind.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Vickie Jones, Commissioner, Bureau for Behavioral Health and Health Facilities
 Email: Victoria.L.Jones@wv.gov
 Address: 350 Capitol Street, Room 350, Charleston, WV 25301
 Phone: (304) 356-4771

Agencies/organizations represented on the committee:

Bureau for Behavioral Health and Health Facilities
 WV Supreme Court of Appeals
 WVU Department of Community Medicine
 WV Chiefs of Police Association
 Department of Military Affairs and Public Safety
 WV State Police, Bureau of Criminal Investigation-Drug Diversion Unit
 Bureau for Public Health
 WV Board of Pharmacy
 WVU School of Medicine, Department of Behavioral Medicine and Psychiatry
 Workforce WV
 WV Coalition to End Homelessness
 Department of Veterans Assistance
 WV Medical Professionals Health Program
 WV Behavioral Health Providers Association
 WV Board of Osteopathy
 WV State Department of Education
 Partnership of African-American Churches
 WV Coalition Against Domestic Violence

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.wvsubstancefree.org>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: WV Governor's Advisory Council on Substance Abuse
 Plan can be accessed via: <http://wvsubstancefree.org/resources.php>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: WV Statewide Epidemiological Outcomes Workgroup
 Plan can be accessed via:
<http://www.dhhr.wv.gov/bhhf/Sections/programs/ProgramsPartnerships/AlcoholismandDrugAbuse/Research/Pages/DataResearch.aspx>

Additional Clarification

The link provided for the report contains links to both a Statewide Epidemiological Report and individual County Profiles for each of West Virginia's 55 counties.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$141,700
Estimate based on the 12 months ending	No data

Checkpoints and saturation patrols:

Estimate of state funds expended	Not applicable
Estimate based on the 12 months ending	Not applicable

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$141,700
Estimate based on the 12 months ending	12/31/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: No data	No data

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Underage enforcement is funded by grants from the West Virginia Governor's Highway Safety Program with federal funding from NHTSA. Prevention activities are funded through federal grant programs from SAMHSA.



Wisconsin

State Population: 5,771,337

Population Ages 12–20: 659,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	177,000 (26.9%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	10,000 (4.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	51,000 (22.0%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	115,000 (54.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	72
Years of Potential Life Lost (under 21)	4,372
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	13
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	15%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	30 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one person under 21 who is not an immediate family member or instructor
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 9 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and	Both

restaurants) or off-premises establishments (such as liquor stores)?	
Does the RBS law apply to new or existing licensees?	New

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
Notes:	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
Notes:	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet; local government has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Wine, spirits
Notes: Exception is restaurants.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Notes: The "preventive action" provision in Wisconsin allows the prosecution to establish guilt by proving that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.06
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.25
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.36
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No

Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers are to include a three percent markup to cover a proportionate part of the cost of doing business, or a lesser amount with proof; wholesalers may not sell below cost.	

Wisconsin State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
No state agency has primary responsibility	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Don't know
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Don't know/no answer
Data are collected on these activities	Don't know/no answer
Number of retail licensees in state ³	16,934
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
<i>State conducts random underage compliance checks/decoy operations</i>	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Wisconsin is a local control state. Each city, town, and municipality is responsible for alcohol sales, licensing, compliance, and enforcement. Sobriety checkpoints are illegal in the state, although local law enforcement can and do conduct saturation patrols. Data on these are not collected by the state.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Parents Who Host Lose the Most

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.drugfreeactionalliance.org/docs/PWHExecSummary2006.pdf
URL for more program information:	https://www.dhs.wisconsin.gov/aoda/pwhtmlindex.htm

Program Description: Developed by the Drug-Free Action Alliance of Ohio, the “Parents Who Host Lose the Most: Don’t be a party to teenage drinking” public awareness campaign is aimed at many well-meaning parents who think it is enough to take away car keys at their teens’ parties so the teens can’t drink and drive. Parents provide the alcohol or allow alcohol to be consumed based on the false belief that it is a rite of passage, especially at prom and graduation parties. The campaign was developed in 2000 to educate parents about the health and safety risks of serving alcohol at teen parties and to increase awareness of and compliance with the Ohio Underage Drinking Laws and was modified to meet the needs of Wisconsin communities. Some 62 communities annually implement the campaign with cooperation from law enforcement during prom and graduation season. In 2015, the governor signed a proclamation declaring April as Teenage Drinking Awareness Month to coincide with this campaign.

Alliance for Wisconsin Youth

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable

Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.allwisyouth.org/

Program Description: The Alliance for Wisconsin Youth brings together coalitions, individuals, and resources to prevent substance abuse and related behavioral health concerns from affecting young people and to promote positive youth development. The Alliance’s mission is to enhance and support the capacity of local alliances (member coalitions) in their prevention and youth development work. Over 90 local coalitions are members of the Alliance. The Alliance is served by five regional prevention centers that provide support, training, and technical assistance to community coalitions to prevent alcohol and drug abuse. These coalitions work to implement environmental strategies to prevent underage drinking, among other state priorities.

Substance Abuse Prevention and Treatment Block Grant Programs

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	https://www.dhs.wisconsin.gov/aoda/index.htm

Program Description: The Wisconsin Department of Health Services, Division of Mental Health and Substance Abuse Services, receives the SAMHSA Substance Abuse Prevention and Treatment (SAPT) Block Grant. Of the funds received, 20 percent are used to support substance abuse prevention services, including underage drinking prevention. The majority of funds are distributed to county-operated Human Service Departments for the delivery of prevention services. Most provide individual-level prevention services.

Wisconsin Alcohol Policy Project

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	https://law.wisc.edu/wapp

Program Description: This project is run out of the University of Wisconsin Law School. It provides training and education on a statewide level to coalitions and prevention professionals on alcohol policy and licensing issues. Since alcohol licensing is done at a municipal level, the alcohol policy project provides guidance to local prevention providers on how to work with elected officials to change the alcohol environment in their community. To date, over 45 local municipalities have adopted social host ordinances with guidance from the Alcohol Policy Project.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

Many of these questions refer to prevention "programs" that serve indicated or selected populations. Wisconsin has moved many of its services toward environmental strategies influencing population-level change through policies and strategies; thus, many of the questions requesting data on number of youth, parents, or caregivers served do not apply. In addition, Wisconsin is a "local control" state, meaning that alcohol policy is done locally (regarding licensure, sales, and enforcement). Wisconsin does not have a statewide Alcohol Control Board. Each

city, town, or municipality has its own alcohol licensing board as part of local government that issues alcohol sales licenses and is also responsible for suspension or revocation of these licenses.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The state has worked with the tribes through the Great Lakes Inter-Tribal Council (representing Wisconsin's 11 tribes) on a state/tribal Strategic Prevention Framework State Incentive Grant, Strategic Prevention Enhancement Grant, PFS II grant and beginning in Oct. 2015 on the PFS grant. The Wisconsin Department of Health Services (DHS) also consults on a "Tribal State Collaborative for Positive Change." The single state authority on alcohol and drug abuse and tribal leaders of Wisconsin's 11 Native American Tribes collaborate on alcohol and drug abuse and mental health services. DHS provides each of Wisconsin's 11 Native American Tribes with funding to support alcohol and drug abuse prevention and treatment services and behavioral health services. In addition, DHS has provided funding for a tribal epidemiological outcomes workgroup to increase tribal access to and utilization of data for strategic planning.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program Description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): NREPP Yes

Agency(ies) within your state: Wisconsin Department of Health Services, Division of Mental Health and Substance Abuse Services, Bureau of Prevention Treatment and Recovery Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: The state identified substance abuse programs that appear on a national list or registry including NREPP or OJA, as well as in recommendation reports completed by the State Council on Alcohol and Other Drug Abuse. These reports were developed by a committee of experts and are considered to be evidence-based for the purposes of prevention funding in the state. To date, four recommendation reports are available, the *Alcohol Culture and Environment Report*, the *Controlled Substances Call to Action Report*, the *911 Good Samaritan Report*, and *Wisconsin's Heroin Epidemic: Strategies and Solutions Report*. They can be accessed at <https://scaoda.wisconsin.gov/adhoccommitteereports.htm>

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Duncan Shrout

Email: dshrout@impactinc.org

Address: No data

Phone: No data

Agencies/organizations represented on the committee:

Governor's Office

Attorney's General's Office

State Superintendent of Public Instruction Office

Commissioner of Insurance Office

Department of Corrections

Department of Transportation

Pharmacy Examining Board

Controlled Substances Board

Citizen Members
 Wisconsin County Human Services Association
 State Representative, Majority Party
 State Representative, Minority Party
 State Senator Majority Party
 State Senator Minority Party
 University of Wisconsin Extension
 Department of Revenue
 Department of Workforce Development
 Department of Regulation and Licensing
 Wisconsin Technical College System
 Department of Veterans Affairs
 Liaison to the Mental Health Council
 Liaison to the Developmental Disabilities Council
 Division of Public Health
 Department of Children and Families
 Department of Health Services

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.scaoda.state.wi.us	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Wisconsin SEOW	
Plan can be accessed via: https://www.dhs.wisconsin.gov/stats/aoda.htm	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included: Data not available	
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Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	Yes
Fees	No
Other: No data	No data

Description of funding streams and how they are used:

Fines for underage drinking citations are used locally to support prevention efforts.

Additional Clarification

No data



Wyoming

State Population: 586,107

Population Ages 12–20: 67,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	16,000 (23.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (5.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	4,000 (19.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	10,000 (44.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	10
Years of Potential Life Lost (under 21)	591
Fatal Crashes Involving 15- to 20-Year-Old Drivers with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Drivers with BAC > 0.01	4
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Drivers	27%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	Yes
• Is internal possession allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was	No

over 21)?	
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	19
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	10 days
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 18 who is not an immediate family member, unless accompanied by another driver at least 18

Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: Wyoming's exception allows members of the minor's "immediate family" to furnish alcohol. For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, casual attire, average height and build. Male: No facial hair; Female: little or no makeup.
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to Beverage Service Training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A

If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Notes: Wyoming's statute only applies to possession or consumption by persons under the age of 18.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Permitted
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$500.00)
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Wyoming is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.02
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant

<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Wyoming State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Wyoming Department of Revenue, Liquor Division, Compliance Section. Such laws are also enforced by local law enforcement agencies.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Local law enforcement has primary responsibility for enforcing these laws but work in conjunction with the Compliance Section of the Department of Revenue, Liquor Division. No reported infractions of direct shipment sales to minors in Wyoming.

Such laws are also enforced by local law enforcement agencies

Yes

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

294

Number pertains to the 12 months ending

12/31/2015

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities

No

Number of retail licensees in state³

1,372

Number of licensees checked for compliance by state agencies (including random checks)

Not applicable

Number of licensees that failed state compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Not applicable

State conducts random underage compliance checks/decoy operations

No

Number of licensees subject to random state compliance checks/decoy operations

Not applicable

Number of licensees that failed random state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies	1,215
Number of licensees that failed local compliance checks	182
Numbers pertain to the 12 months ending	12/31/2014
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Most law enforcement activities are conducted with data collection at the local level.

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Wyoming Substance Abuse Prevention Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://health.wyo.gov/p/hsd/prevention/preventiondataandreports.html
URL for more program information:	http://health.wyo.gov/p/hsd/prevention/index.html

Program Description: The Substance Abuse Prevention Program (SASPP) is administered by the Wyoming Department of Health, Public Health Division. The SASPP primarily utilizes the Public Health Approach to prevention with environmental prevention strategies. Environmental prevention strategies are based on the fact that people’s behavior, including their use of alcohol and other drugs, is shaped by their environment, such as the messages and images delivered by the mass media, the norms of their communities and other social groups, the availability of alcohol, and so forth. Thus, effective prevention requires appropriately modifying the physical, legal, economic, and socio-cultural processes of the community at large that contribute to use and related problems. Prevention directed toward impacting how people interact with their environment relies on public policies (e.g.,

laws, rules, regulations) and other community-level interventions both to limit access to alcohol and to alter the culture and contexts within which decisions about alcohol use are made. Environmental prevention strategies are considered the most effective, efficient, and sustainable practice. Currently, the SASPP implements and evaluates evidence-based, environmental prevention strategies in all 23 counties and the Wind River Indian Reservation. Community implementation is provided through a single servicing organization, the Prevention Management Organization of Wyoming (PMO). Further efforts are conducted through local law enforcement and the Wyoming Association of Sheriffs and Chiefs of Police (WASCOP), which serves as the primary organization for alcohol retailer education and compliance checks. WASCOP and the PMO work to promote Training Intervention Procedures (TIPS) for alcohol retailers. Evaluation of local and state prevention efforts is conducted through the Wyoming Survey and Analysis Center, an extension of the University of Wyoming.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Wyoming Department of Health communicates and shares information and resources with both tribal nations located in Wyoming in regards to the prevention of underage drinking and associated consequences.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program Description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): National Registry of Evidence-based Programs and Practices Yes

Agency(ies) within your state: No

Nongovernmental agency(ies): No

Other: Evidence-Based Workgroup (subgroup of the State Epidemiological Outcomes Workgroup) Yes

Best practice standards description: Communities funded through the Substance Abuse and Suicide Prevention Program are charged with community collaboration, coalition mobilization, and environmental change promotion through data-driven strategic planning provided through evidence-based programs and services.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No

Committee contact information:

Not applicable

Agencies/organizations represented on the committee:

Not applicable

A website or other public source exists to describe committee activities Not applicable
URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Wyoming Department of Health

Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Wyoming Department of Health, Wyoming Association of Sheriffs and Chiefs of Police, Wyoming Survey & Analysis Center, State Epidemiological Outcomes Workgroup

Plan can be accessed via: <http://health.wyo.gov/phsd/prevention/preventiondataandreports.html>

Additional Clarification

Several reports have been created on different aspects of underage drinking. All recent reports can be accessed via the links provided.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$150,000
Estimate based on the 12 months ending	6/30/2015

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$500,000
Estimate based on the 12 months ending	6/30/2015

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Tobacco Settlement Funds	Yes

Description of funding streams and how they are used:

Tobacco Settlement Funds are used to fund statewide compliance checks.

Additional Clarification

No data

APPENDIX A: ICCPUD Members

Jerome Adams, M.D., Ph.D.

Surgeon General
U.S. Department of Health and Human
Services

Terry Adirim, M.D., M.P.H.

Deputy Assistant Secretary of Defense
Health Services Policy and Oversight
Office of the Assistant Secretary of Defense
Health Affairs
U.S. Department of Defense

Richard Baum

Acting Director
Office of National Drug Control Policy

Mary K. Engle, J.D.

Associate Director
Division of Advertising Practices, Bureau of
Consumer Protection
Federal Trade Commission

David Esquith

Director
Office of Safe and Healthy Students
Office of Elementary and Secondary
Education
U.S. Department of Education

Brenda Fitzgerald

Director
Centers for Disease Control and Prevention
U.S. Department of Health and Human
Services

Eileen M. Garry

Acting Administrator
Office of Juvenile Justice and Delinquency
Prevention
Office of Justice Programs
U.S. Department of Justice

John R. Graham

Acting Assistant Secretary and Principal
Deputy Assistant Secretary for Planning and
Evaluation
U.S. Department of Health and Human
Services

Evelyn M. Kappeler

Director
Office of Adolescent Health
Office of the Assistant Secretary for Health
US Department of Health and Human
Services

George F. Koob, Ph.D.

Director
National Institute on Alcohol Abuse and
Alcoholism
National Institutes of Health
U.S. Department of Health and Human
Services

**Elinore F. McCance-Katz, M.D., Ph.D.
(Chair)**

Assistant Secretary for Mental Health and
Substance Use
Substance Abuse and Mental Health Services
Administration
U.S. Department of Health and Human
Services

Jeff Michael

Associate Administrator Office of Research
and Program Development
National Highway Traffic Safety
Administration
U.S. Department of Transportation

Mary G. Ryan, J.D.

Deputy Administrator
Alcohol and Tobacco Tax and Trade Bureau
U.S. Treasury Department

Nora D. Volkow, M.D.

Director
National Institute on Drug Abuse
National Institutes of Health
U.S. Department of Health and Human
Services

Michael D. Weahkee

Acting Director
Indian Health Service
U.S. Department of Health and Human
Services

Steven Wagner

Acting Assistant Secretary
Administration for Children and Families
U.S. Department of Health and Human
Services

APPENDIX B: Surveys

Information about underage alcohol use, abuse, and consequences primarily comes from three federally funded surveys—the National Survey on Drug Use and Health (NSDUH), Monitoring the Future (MTF; conducted pursuant to federal grants), and the national Youth Risk Behavior Survey (YRBS). Each of these surveys makes a unique contribution to our understanding of the nature of youth alcohol use. NSDUH assesses illicit drug, alcohol, and tobacco use among noninstitutionalized individuals age 12 and older, and serves as the major federal source of nationally representative data on substance use in the general population of the United States. MTF examines attitudes and behaviors of 8th, 10th, and 12th graders with regard to alcohol, drug, and tobacco use and provides important data on substance use and the attitudes and beliefs that may contribute to such behaviors. YRBS examines risk behaviors among high school students and provides vital information on specific behaviors that cause the most significant health problems among American youth.

It is important to note that each of these surveys uses different methodologies, and for that reason, sometimes generates different prevalence estimates of youth substance use. To improve federal policymakers' understanding of the influence of methodological differences on those estimates, the Office of the Assistant Secretary for Planning and Evaluation within the Department of Health and Human Services commissioned a group of recognized experts in survey design, sampling techniques, and statistical analysis to examine and compare the survey methodologies. The resulting papers and accompanying federal commentaries appeared in a special issue of the *Journal of Drug Issues* (Volume 31, Number 3, Spring 2001). Experts agreed that the overall methodology for each survey is strong and that observed differences are not the result of flaws or serious weaknesses in survey design. In fact, some differences are to be expected—such as those resulting from home- versus school-based settings. From a policy perspective, serious and complex issues such as youth alcohol use and related behavior often require examination and analysis from multiple perspectives. Because no one survey is absolute or perfectly precise, input from multiple sources is not only valuable, but necessary.

National Survey on Drug Use and Health (NSDUH)

As noted, NSDUH is the primary source of information on the use of illicit drugs, alcohol, and tobacco in the civilian, noninstitutionalized population of the United States age 12 or older. The survey also collects information on mental health and mental health service utilization among youth ages 12 to 17 and adults age 18 or older. Initiated in 1971 and conducted annually since 1990, questionnaires are administered to individuals who constitute a representative sample of the population through face-to-face, home-based interviews. The Substance Abuse and Mental Health Services Administration (SAMHSA) sponsors the survey, and it is planned and managed by SAMHSA's Center for Behavioral Health Statistics and Quality. RTI International collects data under contract. NSDUH collects information from residents of households and noninstitutional group quarters (e.g., shelters, rooming houses, dormitories), and civilians living on military bases.

Since 1999, NSDUH has been conducted via computer-assisted interviews. Most questions are administered via audio computer-assisted self-interviewing, which provides respondents with a highly private and confidential means of responding to questions. This method increases the

level of honest reporting of illicit drug use and other sensitive behaviors. Less sensitive items are administered using computer-assisted personal interviews.

NSDUH provides estimates for each of the 50 states and the District of Columbia, as well as national estimates. Compared with the 1999 to 2013 design, the 2014 through 2017 sample design allocates more interviews to the largest 12 states, enabling greater precision for national NSDUH estimates. For the 2015 survey, 68,073 interviews were completed, for a weighted response rate of 69.3 percent. Due to improvements in the survey in 2002, the 2002 data constitute a new baseline for tracking trends in substance use (before 2002, NSDUH was called the National Household Survey on Drug Abuse [NHSDA]). For that reason, SAMHSA recommends that estimates from 2002 forward not be compared with estimates from 2001. In 2015, substantial changes were again made to data collection equipment, respondent materials, and the survey questionnaire used for NSDUH to improve quality and address changing research needs. Where noted, some trend data will not be available for several years.

Monitoring the Future Study (MTF)

MTF measures alcohol, tobacco, and illicit drug use, as well as perceived risk, personal disapproval, and perceived availability associated with each substance among nationally representative samples of students in public and private secondary schools throughout the conterminous United States. The National Institute on Drug Abuse supports MTF through a series of investigator-initiated grants to the University of Michigan's Institute for Social Research. Every year since 1975, a national sample of 12th graders has been surveyed. In 1991, the survey was expanded to include comparable numbers of 8th and 10th graders each year. Follow-up surveys are also administered by mail to a representative sample of adults from ages 18 to 55 from previous high school graduating classes. In 2015, completed questionnaires were obtained from 89 percent of all sampled students in 8th grade (n=15,015), 87 percent in 10th grade (n=16,147), and 83 percent in 12th grade (n=13,730). University of Michigan staff members administer the questionnaires to students, usually in their classrooms during a regular class period. Questionnaires are self-completed and formatted for optical scanning. In 8th and 10th grades, the questionnaires are completely anonymous. In the 12th grade, they are confidential (to permit longitudinal follow-up of a random subsample of participants). Extensive procedures are followed to protect the confidentiality of subjects and their data.

Youth Risk Behavior Survey (YRBS)

In the late 1980s, only a limited number of health-related school-based surveys such as MTF existed in the United States. To remedy this, the Centers for Disease Control and Prevention (CDC) developed the Youth Risk Behavior Surveillance System (YRBSS) to monitor six categories of priority health-risk behaviors that contribute substantially to the leading causes of death, disability, and social problems among youth and young adults. YRBSS includes biennial national, state, and local school-based surveys of representative samples of students in grades 9 through 12, as well as other national and special-population surveys. CDC conducts the national survey—YRBS—with a target population composed of all public and private high school students in the 50 states and the District of Columbia. Education and health agencies conduct state and local surveys. The national sample is not an aggregation of state and local surveys, and state and local estimates cannot be obtained from the national sample. In 2015,

15,624 students provided usable questionnaires for the national YRBS for an overall student response rate of 68 percent.

Additional Surveys

Three additional federally supported surveys collect alcohol consumption and related information from a segment of the underage population—18- to 20-year-olds.

- *The National Epidemiologic Survey on Alcohol and Related Conditions (NESARC)* is a large nationwide household survey sponsored by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). NESARC assesses the prevalence and patterns of alcohol use, other drug use, and related disorders; related risk factors; and associated mental and physical disabilities based on a nationally representative sample of the civilian non-institutionalized population of the United States aged 18 years and older. The first NESARC survey was conducted in 2001-2002. The second survey was conducted in 2004-2005 among individuals who participated in the first NESARC survey. Both surveys were fielded by the U.S. Census Bureau. A third NESARC survey, NESARC-III, was cross-sectional and conducted in 2012-2013. Fieldwork was performed by Westat, Inc. through a contract under the data collection authorization of Title 42 USC 285n.
- Begun in the early 1980s and fielded every 2 to 4 years, the Department of Defense (DoD) *Survey of Health Related Behaviors* measures prevalence of substance use and health behaviors among active-duty military personnel on U.S. military bases worldwide. In 2005, DoD expanded the scope of the survey to include the National Guard and Reserves, as well as other special studies. The most recent surveys are the 2014 Health Related Behavior Survey – Reserve Component, which was fielded beginning in September 2014, and the 2015 DoD Survey of Health Related Behaviors Among Active Duty Military Personnel. The 2011 survey included the most extensive changes in the survey since its inception in 1980. For the first time, the survey was administered through a web-based format.
- Some substance use measures are better aligned with current national civilian health surveys, particularly the *National Health Interview Survey (NHIS)* conducted by the CDC. Begun in 1957, the NHIS is an annual, multistage probability sample survey of households by U.S. Census Bureau interviewers for the CDC National Center for Health Statistics (Pleis & Lethbridge-Cejku, 2007).

Information related to underage users of alcohol (ages 18 to 20) from these three surveys may be added to this report in the future.

Association versus Causation

In reviewing data related to risky behaviors and different categories of alcohol use, readers should keep in mind that association does not prove causation. Just because alcohol use is associated with other risky behaviors does not mean that it *causes* these other risky behaviors. Often, additional research is needed to establish alcohol as a causative factor.

Additional Methodological Caveats

When reviewing studies of the age of initiation of alcohol use, it is important to recognize that different researchers use different methods to describe initiation of drinking and to estimate the

average age at first use of alcohol. In some cases, this has resulted in large differences in estimates, primarily due to differences in how age groups and time periods are specified in the calculations. The following examples will help readers understand these methodological differences, and the resulting statistical differences.

A popular method for computing average age involves restricting the age group of estimation to persons who are 12 to 17 years old or 12 to 20 years old, with no restriction on the time period. This method provides an estimate of the average age of first use among those in the age group who have used alcohol at some point in their lifetime, which typically results in a younger estimated average age of first use than other methods. This is because initiation occurring in older age groups is excluded from the calculation and also because the calculation gives too much weight to very early initiation. For example, 15-year-olds who will first use at age 17 are excluded, since they have not yet used alcohol at the time of data collection. Thus, the 2003 NSDUH average age of first use among lifetime alcohol users who are 12 to 20 years old is 14.0 years; among 20-year-olds, 15.4 years; and among all lifetime drinkers, 16.8 years.

The method has limited utility for assessing trends because estimates do not reflect a well-defined recent period. A 20-year-old may have first used alcohol at age 10, so an average age of first use among 12- to 20-year-olds would span a period covering as many as 10 years. In addition to not reflecting the most current patterns, year-to-year change in this average is typically negligible due to the substantial overlap in the covered periods. Trends in average age of initiation are best measured by estimating the average age among those who initiated alcohol use during a specific period (such as a calendar year or within the 12 months prior to interview) in a repeated cross-sectional survey. These estimates can be made with or without age restrictions; for example, the average age of first use among persons in 2003 who initiated within the past 12 months was 16.5 years, but restricting the calculation to only those who initiated before age 21 results in an average age of 15.6. Based on the 2003 NSDUH, an estimated 11 percent of recent initiates were 21 years or older when they first used.

Estimates of average age of first use among recent initiates based on the NSDUH sample of people 12 years old and older is biased upward because it does not capture initiation before age 12. The 2003 NSDUH estimated that 6.6 percent of alcohol initiates from 1990 to 1999 were 11 years old or younger. Excluding these early initiates from calculations inflates the estimate of average age by approximately half a year. This bias can be diminished by making estimates only for time periods at least 2 years prior (e.g., using the 2003 NSDUH, estimate the average age at first use for 2001, but not 2002), an approach used in previous NSDUH reports. Although this approach can provide interesting historical data, it does not give timely information about emerging patterns of alcohol initiation. Furthermore, there are serious bias concerns with historical estimates of the number of initiates and their average age at first use constructed from retrospectively reported age at first use. Older respondents are more likely not to remember accurately when an event occurred. An event may be remembered as having occurred more recently than it actually did—a “forward telescoping” of the recalled timing of events. Evidence of telescoping suggests that trend estimates based on reported age at first use may be misleading.

For example, in the 2013 MTF, alcohol use by the end of 6th grade was reported by 13.2 percent of 8th graders but by only 4.6 percent of 12th graders. Several factors, including telescoping, probably contribute to this difference. Eventual dropouts are more likely than average to drink at

an early age; thus, they will be captured as 8th but not 12th graders. Lower grades also have lower absentee rates. Another factor relates to the issue of what is meant by first use of an alcoholic beverage. Students in 12th grade are more inclined to report use that is not adult-approved, and to not report having less than a glass with parents or for religious purposes. Younger students may be more likely to report first use of a limited amount of alcohol. Thus, 8th- and 9th-grade data probably exaggerate drinking, whereas 11th- and 12th-grade data may understate it.

Websites for Data on Underage Drinking

These federal websites can be useful to persons seeking data related to underage drinking:

- Information from SAMHSA on underage drinking:
<https://www.samhsa.gov/underage-drinking-topic>
- Information from the YRBS:
<https://www.cdc.gov/HealthyYouth/data/yrbs/>
- Information from NHTSA on underage drinking and on drinking and driving:
<https://www.trafficsafetymarketing.gov/get-materials/drunken-driving/underage-drinking-prevention>
<https://one.nhtsa.gov/Driving-Safety/Impaired-Driving>
- Information from NIAAA on underage drinking:
<https://www.niaaa.nih.gov/alcohol-health/special-populations-co-occurring-disorders/underage-drinking>
<https://www.niaaa.nih.gov/alcohol-health/special-populations-co-occurring-disorders/college-drinking>
- Information from NIDA on underage drinking:
<http://www.monitoringthefuture.org>

APPENDIX C: Abbreviations

Federal Departments and Agencies

Department of Defense

Army National Guard
 Education Activity
 U.S. Air Force
 U.S. Army Reserve
 U.S. Coast Guard
 U.S. Marine Corps
 U.S. Navy

DoD
 ARNG
 DoDEA
 USAF
 USAR
 USCG
 USMC
 USN

Department of Education

Office of Safe and Healthy Students
 Office of Elementary and Secondary Education

ED
 OSHS
 OESE

Department of Health and Human Services

Administration for Children and Families
 Family and Youth Services Bureau
 Agency for Healthcare Research and Quality
 Centers for Disease Control and Prevention
 Centers for Medicare & Medicaid Services
 Division of Behavioral Health
Eunice Kennedy Shriver National Institute of Child Health
 and Human Development
 Food and Drug Administration
 Health Resources and Services Administration
 Indian Health Service
 National Cancer Institute
 National Institute on Alcohol Abuse and Alcoholism
 National Institute on Drug Abuse
 National Institutes of Health
 Office of Adolescent Health
 Office of Disease Prevention and Health Promotion
 Office of the Assistant Secretary for Health
 Office of the Assistant Secretary for Planning and Evaluation
 Office of Public Health and Science
 Office of the Surgeon General
 Substance Abuse and Mental Health Services
 Administration
 Center for Mental Health Services
 Center for Substance Abuse Prevention
 Center for Substance Abuse Treatment
 Office of Applied Studies

HHS
 ACF
 FYSB
 AHRQ
 CDC
 CMS
 DBH

 NICHD
 FDA
 HRSA
 IHS
 NCI
 NIAAA
 NIDA
 NIH
 OAH
 ODPHP
 OASH
 ASPE
 OPHS
 OSG

 SAMHSA
 CMHS
 CSAP
 CSAT
 OAS

Department of Justice

Drug Enforcement Administration
 Office of Juvenile Justice and Delinquency Prevention
 Office of Justice Programs

DoJ
 DEA
 OJJDP
 OJP

Department of Labor

Employment Training Administration
 Office of Youth Services
 Occupational Safety and Health Administration

DOL
 ETA
 OYS
 OSHA

Federal Trade Commission

FTC

Office of National Drug Control Policy

ONDCP

Department of Transportation

National Highway Traffic Safety Administration

DOT
 NHTSA

Department of the Treasury

Alcohol and Tobacco Tax and Trade Bureau

TTB

Programs, Agencies, and Organizations

Above the Influence	ATI
Access to Recovery	ATR
Addiction Technology Transfer Center	ATTC
Adolescent Brain Cognitive Development Study	ABCD
Adolescent Health: Think, Act, Grow	TAG
Adolescent Support and Counseling Services	ASACS
Adults in the Making	AIM
After Deployment: Adaptive Parenting Tools	ADAPT
Alcohol and Drug Management Tracking System	ADMITS
Alcohol Detection Devices	ADD
Alcohol Policy Information System	APIS
Alcohol-Related Disease Impact	ARDI
Alcohol Screening Program	ASP
American Psychiatric Association	APA
Army Substance Abuse Programs	ASAP
Basic Center Program	BCP
Behavioral Risk Factor Surveillance System	BRFSS
Behavioral Health Services Information System	BHSIS
Birth Control and Alcohol Awareness: Negotiating Choices Effectively Project	BALANCE
Brief Alcohol Screening and Intervention for College Students	BASICS
Center for the Application of Prevention Technologies	CAPT
Center for Behavioral Health Statistics and Quality	CBHSQ
Center for Mental Health Services	CMHS
Center on Alcohol Marketing and Youth	CAMY
Collaborative Research on Addiction at NIH	CRAN
College Alcohol Intervention Matrix	CollegeAIM
Community Anti-Drug Coalitions of America	CADCA
Community Youth Development Study	CYDS
Communities that Care	CTC

Competitive Personal Responsibility Education Program	CPREP
Culture of Responsible Choices	CoRC
Drug Abuse Resistance Education	DARE
Drug Abuse Warning Network	DAWN
Drug and Alcohol Services Information System	DASIS
Drug Education for Youth	DEFY
Drug Free Communities Program	DFC
SAMHSA's Emergency Department Surveillance System	SEDSS
Employment Training Administration	ETA
Enforcing the Underage Drinking Laws	EUDL
European School Survey Project on Alcohol and Drugs	ESPAD
Family and Youth Services Bureau	FYSB
Family Check-Up	FCU
Fatality Analysis Reporting System	FARS
General Military Training	GMT
Girl-Specific Intervention	GSI
Good Behavior Game	GBG
Grants to Reduce Alcohol Abuse in Secondary Schools Program	GRAAP
Health Related Behaviors Survey	HRB
Healthy Base Initiative	HBI
Indian Children's Program	ICP
Institute of Medicine (now Health and Medicine Division of the National Academies)	IOM
Interagency Coordinating Committee on the Prevention of Underage Drinking	ICCPUD
International Association of Chiefs of Police	IACP
International Town and Gown Association	ITGA
Inventory of Behavioral Health Services	I-BHS
Inventory of Substance Abuse Treatment Services	I-SATS
Iowa Strengthening Families Program	ISFP
Life Skills Training	LST
Local Educational Agencies	LEAs
Marine Awareness and Prevention Integrated Training	MAPIT
Methamphetamine and Suicide Prevention Initiative	MSPI
Monitoring the Future Survey	MTF
Mothers Against Drunk Driving	MADD
National Academy of Sciences	NAS
National Alcohol Screening Day	NASD
National Association for Children of Alcoholics	NACoA
National Association of School Resource Officers	NASRO
National Center for Health Statistics	NCHS
National Center for Statistics and Analysis	NCSA
National Center on Birth Defects and Developmental Disabilities	NCBDDD
National Center on Safe Supportive Learning Environments	NCSSLE
National College Health Improvement Project	NCHIP

National Consortium on Alcohol and Neurodevelopment in Adolescence	NCANDA
National Drug and Alcohol Facts Week	NDAFW
National Epidemiologic Survey on Alcohol and Related Conditions	NESARC
National Health Interview Survey	NHIS
National Health and Nutrition Examination Survey	NHANES
National Hospital Ambulatory Medical Care Survey	NHAMCS
National Hospital Care Survey	NHCS
National Hospital Discharge Survey	NHDS
National Household Survey on Drug Abuse	NHSDA
National Liquor Law Enforcement Association	NLLEA
National Mental Health Services Survey	N-MHSS
National Organizations for Youth Safety	NOYS
National Prevention Network	NPN
National Registry of Effective Programs and Practices	NREPP
National Research Council	NRC
National Survey of Substance Abuse Treatment Services	N-SSATS
National Survey on Drug Use and Health	NSDUH
National Survey on Family Growth	NSFG
National Violent Death Reporting System	NVDRS
Navy Alcohol and Drug Abuse Prevention	NADAP
Network for Employees of Traffic Safety	NETS
Offender Reentry Program	ORP
Office of Indian Alcohol and Substance Abuse	OIASA
Office of the Assistant Secretary for Planning and Evaluation	ASPE
Outreach to Children of Parents in Treatment	OCPT
Pacific Institute for Research and Evaluation	PIRE
Partnership for Drug-Free America	PDFA
Partnerships for Success	PFS
Personal Responsibility Education Programs	PREP
Pregnancy Nutrition Surveillance System	PNSS
Pregnancy Risk Assessment Monitoring System	PRAMS
PRIME for Life	PFL
PROMoting School/Community-University Partnerships to Enhance Resilience	PROSPER
Protecting You/Protecting Me	PYPM
Recording Artists, Actors and Athletes Against Drunk Driving	RADD
Robert Wood Johnson Foundation	RWJ
Runaway and Homeless Youth	RHY
Safe and Drug-Free Schools and Communities Act	SDFSCA
Safe Schools/Healthy Students	SS/HS
Screening, Brief Intervention, Referral, and Treatment	SBIRT
School Health Policies and Programs Study	SHPPS
Sexual Assault Prevention and Response	SAPR
Skills, Mastery, and Resistance Training	SMART
Sober Truth on Preventing Underage Drinking Act	STOP Act

State Adolescent Transitional Aged Youth Treatment Enhancement and Dissemination Grant	SYT-ED
State Adolescent Treatment Enhancement and Dissemination Grant	SAT-ED
State Highway Safety Offices	SHSOs
State Incentive Grant Program	SIG
Strategic Prevention Framework	SPF
Street Outreach Program	SOP
Strengthening Families Program	SFP
Strong African American Families Program	SAAF
Student Affairs Administrators in Higher Education	NASPA
Students Against Destructive Decisions	SADD
Substance Abuse Prevention and Treatment Block Grant	SABG
Substance Abuse Prevention Interagency Working Group	SAP IWG
Substance Abuse Prevention Skills Training	SAPST
Talk. They Hear You.	TTHY
Targeted Capacity Expansion Program	TCE
Techniques for Effective Alcohol Management	TEAM
Too Smart to Start	TSTS
Transitional Living Program	TLP
Treatment Coordination Group	TCG
Treatment Episode Data Set	TEDS
Treatment Improvement Protocols	TIPS
Underage Drinking Enforcement Training Center	UDETC
Underage Drinking Research Initiative	UDRI
Uniform Accident and Sickness Policy Provision Law	UPPL
Uniform Facility Data	UFDS
Unit Marine Awareness and Prevention Integrated Training	UMAPIT
United Indian Health Program	UIHP
Virginia Commonwealth University	VCU
We Don't Serve Teens	WDST
Web-based Injury Statistics Query and Reporting System	WISQARS™
Young Offender Reentry Program	YORP
Youth Offender Demonstration Project	YODP
Youth Opportunity Grants	YOGs
Youth Regional Treatment Centers	YRTC's
Youth Risk Behavior Surveillance System	YRBSS
Youth Risk Behavior Survey	YRBS

Other Acronyms

Adult preparation subjects	APS
Air force base	AFB
Alcohol and drug abuse managers/supervisors	ADAMS
Alcohol use disorder	AUD
American Indian/Alaska Native	AI/AN
Blood alcohol content	BAC
Caffeinated alcoholic beverages	CABs

Concept of operations	CONOPs
Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition	DSM-IV-TR
Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition	DSM-V
Driving under the influence	DUI
Driving while intoxicated	DWI
Drug and alcohol program advisor	DAPA
Evidence-based practices	EBPs
Family Violence Prevention and Services Act	FVPSA
Fetal alcohol spectrum disorders	FASDs
Feedback Informed Therapy	FIT
Funding opportunity announcement	FOA
Graduated driver's licensing	GDL
Group coping power	GCP
Individual coping power	ICP
Institute of Higher Education	IHE
Interagency working group	IWG
Knowledge, attitudes, beliefs, and behaviors	KABBs
Lesbian, gay, bisexual, and transgender	LGBT
Memorandum of understanding	MOU
Minimum legal drinking age	MLDA
Personal readiness	PR
Practice and Implementation Centers	PICs
Public service announcement	PSA
Screening and brief intervention	SBI
Substance abuse counseling center	SACC
Substance abuse program	SAP
Training and technical assistance	TTA
Transitional living program	TLP
Underage drinking	UAD
Years of potential life lost	YPLL

APPENDIX D: References

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