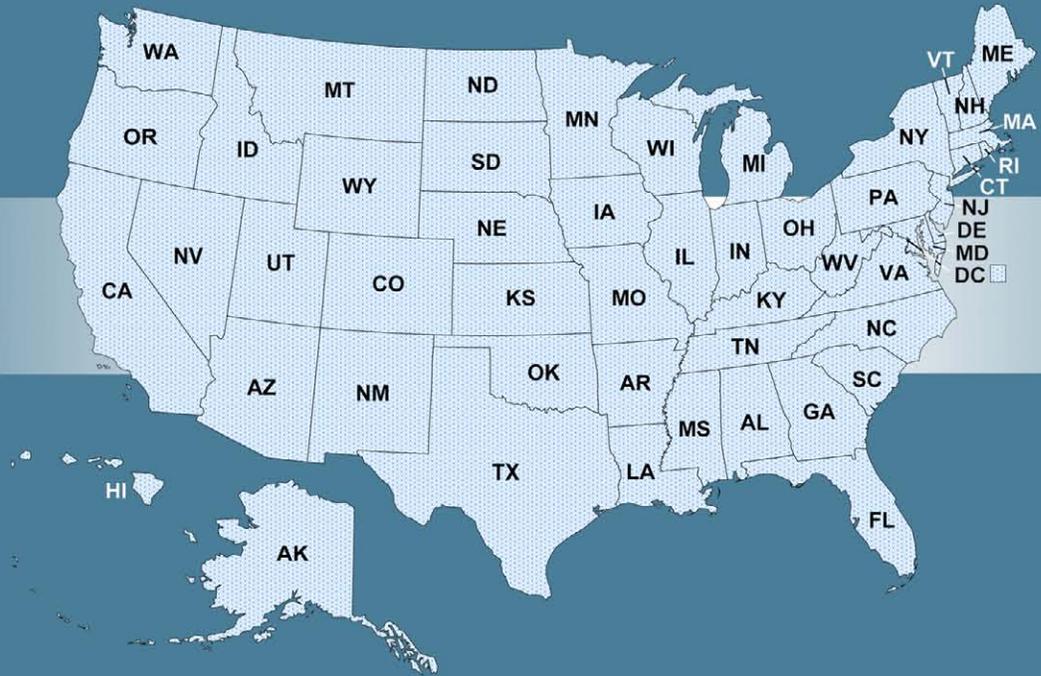


# RHODE ISLAND STATE REPORT

## Underage Drinking Prevention and Enforcement

2018



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

**Time period covered by this State Report:** The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

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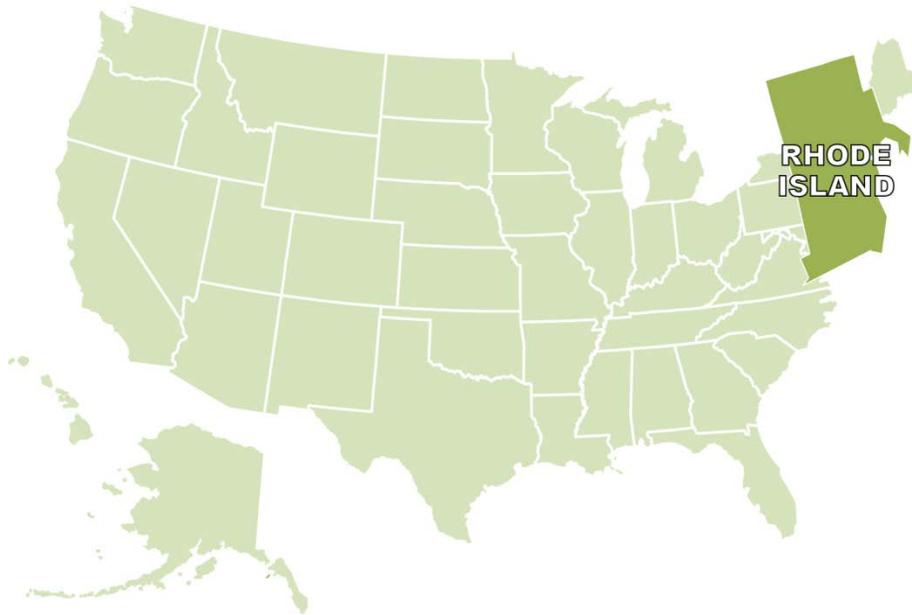
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## Rhode Island

**State Population: 1,056,426**

**Population Ages 12–20: 125,000**

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
<b>Ages 12–20*</b>	
Past-Month Alcohol Use – Number (Percentage)	36,000 (28.7%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	1,000 (2.8%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	9,000 (21.6%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	26,000 (52.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21	
<b>Alcohol-Attributable Deaths (under 21)</b>	10
<b>Years of Potential Life Lost (under 21)</b>	575
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
<b>Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC &gt; 0.01</b>	4
<b>Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver</b>	44%

\*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

## Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> <li>• Is possession allowed if parent or guardian is present or consents?</li> <li>• Is possession allowed if spouse is present or consents?</li> </ul>	
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
<ul style="list-style-type: none"> <li>• Is consumption allowed if the parent or guardian is present or consents?</li> <li>• Is consumption allowed if the spouse is present or consents?</li> </ul>	
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
<ul style="list-style-type: none"> <li>• Is internal possession allowed if the parent or guardian is present or consents?</li> <li>• Is internal possession allowed if the spouse is present or consents?</li> </ul>	
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

## Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)–For Possession	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	60
Maximum number of days	60

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)–For Purchase	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6

What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
<b>Intermediate Stage</b>	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	1 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21, unless an immediate family or household member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 6 months

## Laws Targeting Alcohol Suppliers

<b>Furnishing Alcohol to Minors</b>	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is furnishing allowed if the parent or guardian supplies the alcohol?</li> <li>• Is furnishing allowed if the spouse supplies the alcohol?</li> </ul>	Yes No
Is there an exception based on location?	No
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Compliance Check Protocols</b>	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

<b>Penalty Guidelines for Sales to Minors</b>	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

<b>Responsible Beverage Service (RBS)–Mandatory</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Existing

<b>Responsible Beverage Service (RBS)–Voluntary</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

<b>Minimum Ages for Sellers of Alcohol–Off-Premises (i.e., Liquor Stores)</b>	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

<b>Minimum Age for Alcohol Servers and Bartenders–On-Premises (i.e., Restaurants and Bars)</b>	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18

Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: There are numerous exceptions for specific locations within cities and towns.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Note: Rhode Island law provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Unclear

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor
What level of knowledge by the host is required?	Knowledge (host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	Undefined
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No
Note: No particular volume or range of volumes is specified for kegs in Rhode Island.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

## Laws Affecting Alcohol Pricing

Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 3.2–6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.40
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits if applicable	

#### Low-Price, High-Volume Drink Specials

Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

#### Wholesaler Pricing Restrictions

<b>Beer</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
<b>Wine</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
<b>Spirits</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law

## Rhode Island State Survey Responses

### State Agency Information

#### Agency with primary responsibility for enforcing underage drinking laws:

There is no single state agency responsible for enforcing laws designed to prevent underage drinking. The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) has primary responsibility for administering programs designed to prevent/reduce underage drinking. Enforcement actions are conducted by 38 municipal police departments, the RI State Police, and the RI Attorney General in accordance with the RI General Laws.

### Enforcement Strategies

#### State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

#### Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

The RI Attorney General, in conjunction with the RI State Police and municipal police departments, is responsible for enforcing such laws.

Such laws are also enforced by local law enforcement agencies No

### Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession<sup>1</sup> by state law enforcement agencies 63

Number pertains to the 12 months ending 12/31/2016

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations<sup>2</sup> to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state<sup>3</sup> 1,865

Number of licensees checked for compliance by state agencies (including random checks) 10

Number of licensees that failed state compliance checks 0

Numbers pertain to the 12 months ending 12/31/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments On-sale establishments only

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations Data not available

Number of licensees that failed **random** state compliance checks Data not available

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending	Not applicable
<b>Sanctions</b>	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the state <sup>4</sup>	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state <sup>5</sup>	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed <sup>6</sup>	Not applicable
Numbers pertain to the 12 months ending	Not applicable

**Additional Clarification**

Rhode Island does not have a state alcoholic beverage control agency. The RI Department of BHDDH utilizes federal funding to support conducting a statewide Alcohol Purchase Survey (APS) of a random sample of retail on-and-off-premises licensed alcohol retailers. The APS is conducted by municipal police departments. The most recent APS, conducted in 2015, surveyed 275 of 1865 licensed outlets statewide. Of the 275 sites surveyed, 26 were found to be in violation of the state law prohibiting the sale of alcoholic beverages to individuals under the age of 21, resulting in a violation rate of 9.5 percent. Results of the 2016 APS results are currently being analyzed; and the state will complete the 2017 APS in August 2017.

<sup>1</sup> Or having consumed or purchased per state statutes.

<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.

<sup>4</sup> Does not include fines imposed by local agencies.

<sup>5</sup> Does not include suspensions imposed by local agencies.

<sup>6</sup> Does not include revocations imposed by local agencies.

**Underage Drinking Prevention Programs Operated or Funded by the State****RI Substance Abuse Prevention Act (RISAPA) Regional Prevention Task Force**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

**Program Description:** Historically, in Rhode Island there have been 35 municipal-level substance abuse prevention task forces charged with planning and coordinating comprehensive substance prevention programming within the community. The municipal task forces were established under RI General Laws 16-21.2-1 "The Rhode Island Substance Abuse Prevention Act." In 2017, this system was reorganized into seven regions to achieve economies of scale, reduce operating costs, streamline operations, and improve outcomes on state-identified priorities using evidence-based and best practices. RI was also looking to enhance the ability of local coalitions to implement evidence-based practices designed to engage communities and attain population-level changes in consumption

patterns. This regionalized coordination will increase the capacity of local community task forces while promoting efficiencies in process and improved outcomes. A secondary goal is to promote a lifespan approach, encourage collaboration across the continuum of care among multiple stakeholder groups concerned with behavioral health, and leverage federal and private dollars to address local behavioral health priorities. Each region performs a needs assessment and develops a strategic plan both for the region and the individual municipality. These coalitions implement strategies to reduce underage drinking, including local policy initiatives (environmental strategies) and public education.

**Student Assistance**

Program serves specific or general population	Specific population
Number of youth served	10,000
Number of parents served	200
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information: <a href="http://www.risas.org">www.risas.org</a>	

**Program Description:** RI funds the Student Assistance Program, which provides problem identification and referral services to students in 26 high schools and 17 middle schools. Master's degreed Student Assistance Counselors use the evidence-based program Project Success to prevent underage drinking, but are not funded expressly for this purpose. RI uses other federal dollars to support alcohol compliance surveys and activities. These services are carried out by local law enforcement, and are reflected in the STOP Act 2017 Survey.

**Additional Underage Drinking Prevention Programs Operated or Funded by the State**

**Program description:** RI funds the RI Prevention Resource Center (RIPRC), a centralized training and technical assistance (TTA) resource for RI substance abuse prevention providers that is designed to develop, expand, and improve the prevention workforce. RIPRC fosters state and local collaboration to prevent substance abuse and other risk-taking behaviors in RI and has been a key success for the state. Communities that used more TTA resources produced a greater number of successful policy changes in municipal and school policies relating to underage drinking. In 2011, the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) developed and funded RIPRC with Prevention Block Grant funds for five years, and will continue to fund RIPRC through 2021. The RIPRC website ([www.riprc.org](http://www.riprc.org)) offers underage drinking-specific factsheets targeting parents and students.

**Additional Clarification**

No data

**Additional Information Related to Underage Drinking Prevention Programs**

*State collaborates with federally recognized tribal governments in the prevention of underage drinking* Yes

Description of collaboration: The Chariho Task Force on Substance Abuse Prevention collaborates with the Narragansett Indian Tribe. The coalition serves three communities—Charlestown, Richmond, and Hopkinton. It took many years to build a relationship with this tribe, and now several tribal members serve on the coalition. The coalition performs specific activities to prevent underage drinking and other risk factors, including the following:

- The Narragansett Tribe participates in monthly task force and staff meetings
- In the month of November (Native American Month) the Tribe sponsored the task force meeting that was held at their senior meal site
- Representatives of the Tribe help staff the annual Washington County Fair Prevention Booth with the task force
- Tribal Police participate in quarterly Chief of Police meetings
- The Tribal Police participate in party patrols and DEA drug take-back days
- Tribal Police have a 24/7 locked medication box and are given updated brochures that are displayed in the health center, in addition to Deterra bags and prescription lock bags

- The task force supplies materials that target Native American issues related to prevention
- The Tribe participates in the yearly Chariho Better Health Forum
- Sierra Spears, Youth Coordinator for the Tribe, participates in the annual career day at Chariho Middle School and High School.

*State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing* No  
 Description of program: Not applicable

*State has adopted or developed best practice standards for underage drinking prevention programs* Yes

Agencies/organizations that established best practices standards:  
 Federal agency(ies): Office of Juvenile Justice and Delinquency Prevention (OJJDP) Yes  
 Agency(ies) within your state: No  
 Nongovernmental agency(ies): No  
 Other: No

Best practice standards description: The Annual Alcohol Purchase Survey (APS) is a key component of RI's effort to reduce underage alcohol use. Results of the APS are used to assess retailer compliance with RI's statutes prohibiting the sale or distribution of alcohol products to individuals under the age of 21. Regular enforcement of statutes is designed to reduce commercial access to alcohol products by underage youth. Compliance investigations have been shown to be one of the most effective strategies in limiting retail access to alcohol and therefore help to reduce underage alcohol use. Rhode Island's protocol and guidance for conducting underage alcohol purchase investigations is based on OJJDP's guidance document *Reducing Alcohol Sales to Underage Purchasers*.

1

**Additional Clarification**  
 No data

2

**State Interagency Collaboration**

*A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities* Yes

*Committee contact information:*  
 Name: Gabrielle Abbate  
 Email: gabrielle.abbate@dot.ri.gov  
 Address: 2 Capitol Hill, Providence, RI 02903  
 Phone: 401-222-6940

*Agencies/organizations represented on the committee:*  
 Tobacco Free Rhode Island  
 RI State Police  
 RI Department of Health  
 RI Department of Education  
 RI Department of Corrections  
 RI Department of Behavioral Healthcare, Developmental Disabilities and Hospitals  
 RI Department of Children, Youth and Families  
 Mother's Against Drunk Driving  
 RI Student Assistance Services  
 RI Regional Prevention Task Forces

*A website or other public source exists to describe committee activities* No  
 URL or other means of access: Not applicable

3

**Underage Drinking Reports**

*State has prepared a plan for preventing underage drinking in the last 3 years* Yes  
 Prepared by: RI Department of Behavioral Healthcare, Developmental Disabilities and Hospitals

Plan can be accessed via: [www.riprc.org](http://www.riprc.org)

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals collaborate with the State Epidemiological Outcomes Workgroup to create both State and Community Epidemiological Profiles. Profiles contain information regarding prevalence rates for all substances, not just alcohol.	
Plan can be accessed via: <a href="http://www.riprc.org">www.riprc.org</a>	

#### Additional Clarification

No data

#### State Expenditures for the Prevention of Underage Drinking

##### *Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

##### *Checkpoints and saturation patrols:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

##### *Community-based programs to prevent underage drinking:*

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016

##### *K-12 school-based programs to prevent underage drinking:*

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	08/31/2016

##### *Programs targeted to institutes of higher learning:*

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016

##### *Programs that target youth in the juvenile justice system:*

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016

##### *Programs that target youth in the child welfare system:*

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2016

##### *Other programs:*

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

#### Funds Dedicated to Underage Drinking

##### *State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

##### *Description of funding streams and how they are used:*

Rhode Island exclusively uses federal funds through the Substance Abuse and Mental Health Services Administration (SAMHSA) Substance Abuse Prevention and Treatment Block Grant (SAPTBG) for RI Substance Abuse Prevention Act (RISAPA) coalition work as well as the Student Assistance programs. These initiatives work to prevention underage drinking, but are not funded expressly for this purpose. RI uses other federal dollars to support alcohol compliance surveys and activities which are carried out by local law enforcement.

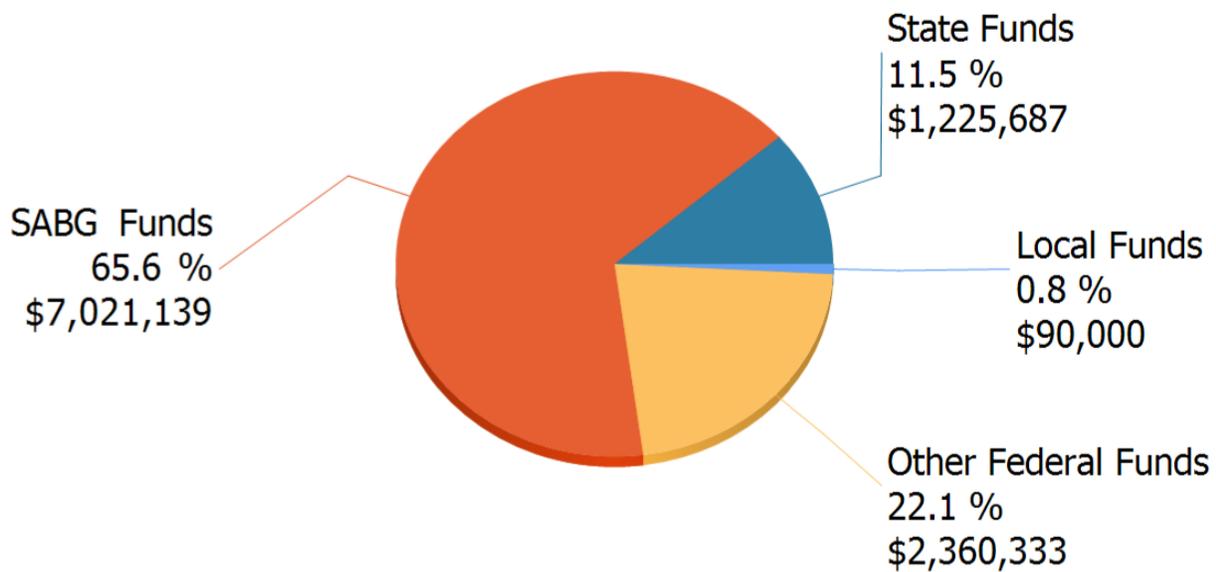
#### Additional Clarification

No data

In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Rhode Island used for expenditures on substance abuse prevention and treatment in 2017. As indicated, SABG funds and other federal funds account for the largest sources (65.6 percent and 22.1 percent respectively).<sup>25</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, Rhode Island designated reducing use, misuse, and abuse of alcohol and other drugs among youth ages 12 to 17 as the number one priority for use of SABG funds.<sup>26</sup>

**Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment**



<sup>25</sup> WebBGAS State Profile, 2017 SABG and MHBG Reports– Rhode Island 2017

<sup>26</sup> FY 2018/2019 – (Rhode Island) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators



***SAMHSA***  
Substance Abuse and Mental Health  
Services Administration