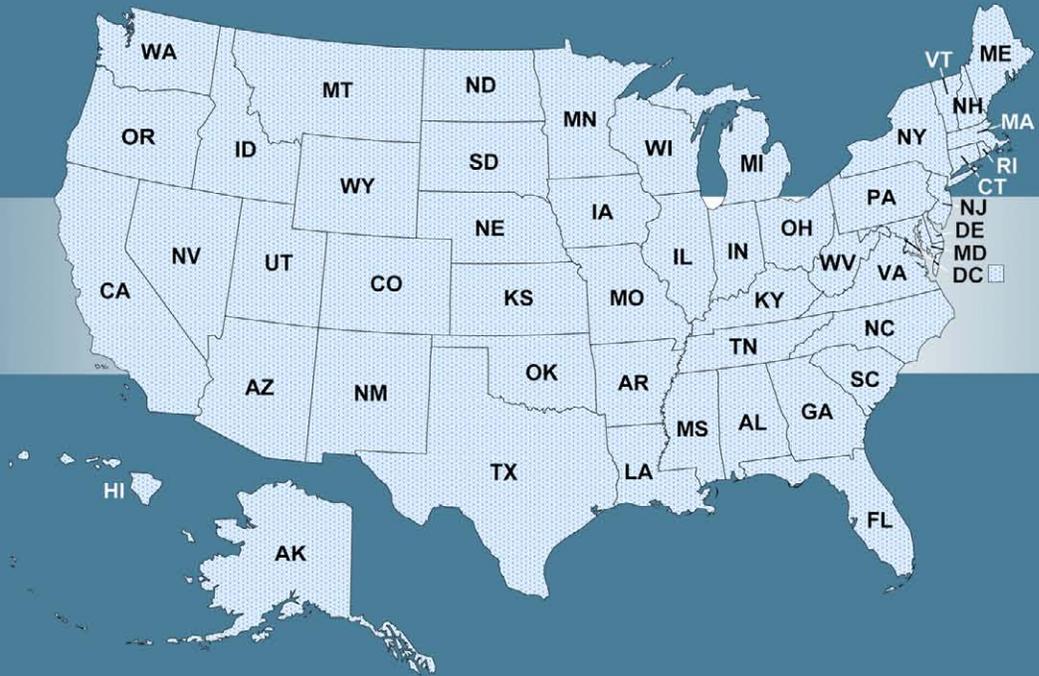


OREGON STATE REPORT

Underage Drinking Prevention and Enforcement

2018



SAMHSA
Substance Abuse and Mental Health
Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

Time period covered by this State Report: The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

**Staff Chair, Interagency Agency Coordinating Committee for the
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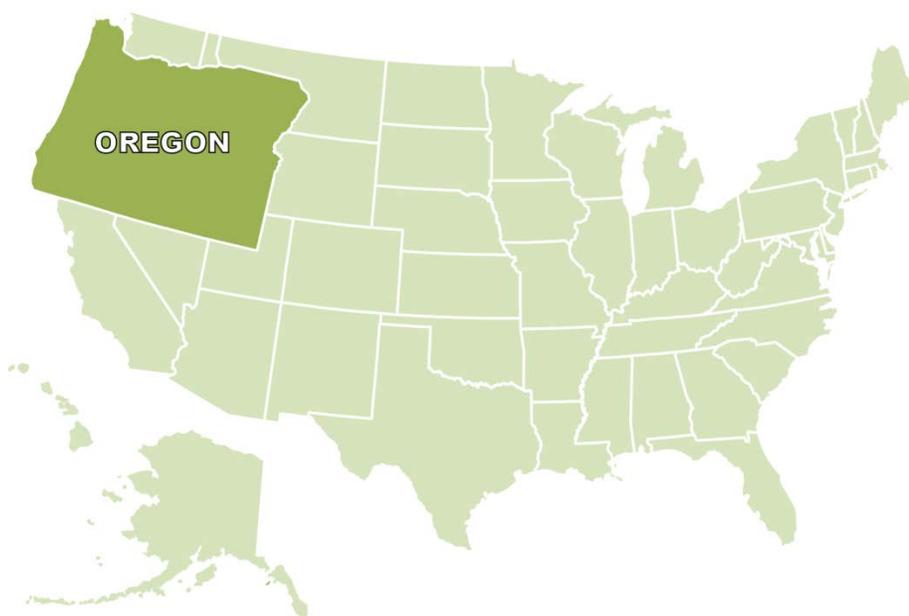
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Oregon

State Population: 4,093,465

Population Ages 12–20: 438,000

| Past-Month Alcohol Use Among 12- to 20-Year-Olds | |
|------------------------------------------------------------------------------------|-----------------|
| Ages 12–20* | |
| Past-Month Alcohol Use – Number (Percentage) | 103,000 (23.5%) |
| Ages 12–14 | |
| Past-Month Alcohol Use – Number (Percentage) | 4,000 (3.3%) |
| Ages 15–17 | |
| Past-Month Alcohol Use – Number (Percentage) | 28,000 (17.8%) |
| Ages 18–20 | |
| Past-Month Alcohol Use – Number (Percentage) | 70,000 (47.8%) |
| Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21 | |
| Alcohol-Attributable Deaths (under 21) | 38 |
| Years of Potential Life Lost (under 21) | 2,311 |
| Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01 | |
| Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01 | 11 |
| Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver | 19% |

*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

| Underage Possession | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Is underage possession of alcoholic beverages prohibited? | Yes |
| Are there exceptions based on family relationships? | Yes, in specified locations – see below |
| <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? | |
| Is there an exception based on location? | Yes, in private residence if parent/guardian is present or consents |

| Underage Consumption | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Is underage consumption of alcoholic beverages prohibited? | Yes |
| Are there exceptions based on family relationships? | Yes, in specified locations – see below |
| <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? | |
| Is there an exception based on location? | Yes, in private residence if parent/guardian is present or consents |

| Underage Internal Possession | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| Is underage internal possession of alcoholic beverages prohibited? | No law |
| Are there exceptions based on family relationships? | N/A |
| <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? | |
| Is there an exception based on location? | N/A |
| <p>Note: Oregon law prohibits "personal possession" of an alcoholic beverage. "Personal possession" includes the "consumption of a bottle of such beverages, or any portion thereof or a drink of such beverages." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p> | |

| Underage Purchase and Attempted Purchase | |
|----------------------------------------------------|-----|
| Is the purchase of alcoholic beverages prohibited? | Yes |
| May youth purchase for law enforcement purposes? | Yes |

| Underage False Identification for Obtaining Alcohol | |
|---------------------------------------------------------------------------------------------------|---------------------------------|
| Provisions Targeting Minors | |
| Is the use of false identification (ID) prohibited? | Yes |
| Does the use of a false ID result in minor's driver's license suspension? | Yes, through a judicial process |
| Provisions Targeting Suppliers | |
| Is the lending or transferring or selling of a false ID prohibited? | No |
| Is the production of a false ID in the context of underage alcohol sales specifically prohibited? | No |
| Retailer Support Provisions | |

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs? | Yes |
| Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over? | No |
| May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid? | No |
| Does an affirmative defense exist for the retailer? | Yes |
| <ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? | Yes |
| <ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? | No |
| Does the retailer have the right to sue the minor for use of a false ID? | Yes |
| May a retailer detain a minor who used a false ID? | No |

Laws Targeting Underage Drinking and Driving

| Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles) | |
|--------------------------------------------------------------------------------------------------------|-----|
| What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle? | 0 |
| Does a BAC level in excess of limit automatically establish a violation (per se violation)? | Yes |
| What is the minimum age to which the limit applies? | 0 |
| What is the maximum age to which the limit applies? | 21 |

| Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws) | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations? | Yes |
| What types of violation lead to license suspension or revocation? | |
| <ul style="list-style-type: none"> Purchase of alcohol | No |
| <ul style="list-style-type: none"> Possession of alcohol | Yes |
| <ul style="list-style-type: none"> Consumption of alcohol | Yes |
| The law applies to people under what age? | 21 |
| Is suspension or revocation mandatory or discretionary? | Mandatory |
| What is the length of suspension/revocation? | |
| Minimum number of days | 365 |
| Maximum number of days | 365 |
| Note: Whenever a person who is 13 through 17 years old is convicted of any offense involving the use or abuse of alcohol, the person's license is suspended for 1 year, or until the person reaches age 17, whichever is longer. | |

| Graduated Driver's Licenses | |
|---------------------------------------------------------------------------------------------------------------|----|
| Learner Stage | |
| What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)? | 15 |
| What is the minimum number of months driver must hold learner permit before advancing to intermediate stage? | 6 |

| | |
|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage? | 50 (with driver education; 100 hours without) |
| Intermediate Stage | |
| What is the minimum age for driving without adult supervision? | 16 |
| For night driving, when does adult supervision requirement begin? | 12 AM |
| Can law enforcement stop a driver for night driving violation as a primary offense? | Yes, officer may stop driver for night driving violation |
| Are there restrictions on passengers? | Yes, for first 6 months, no passengers under 20 who are not immediate family members unless accompanied by parent or instructor. For second 6 months, not more than three passengers under 20 who are not immediate family members unless accompanied by parent or instructor |
| Can law enforcement stop driver for violation of passenger restrictions as a primary offense? | Yes, officer may stop driver for passenger restrictions violation |
| License Stage | |
| What is the minimum age for full license privileges and lifting of restrictions? | 17 |

Laws Targeting Alcohol Suppliers

| Furnishing Alcohol to Minors | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Is furnishing of alcoholic beverages to minors prohibited? | Yes |
| Are there exceptions based on family relationships? • Is furnishing allowed if the parent or guardian supplies the alcohol? • Is furnishing allowed if the spouse supplies the alcohol? | Yes, in specified locations No |
| Is there an exception based on location? | Yes, in any private residence if parent/guardian supplies alcohol |
| Affirmative Defense for Sellers and Licensees | |
| Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged? | No |

| Compliance Check Protocols | |
|-------------------------------------------------------------------------------------------------|-----------------------------|
| Does the state have a written protocol for when an underage decoy is used in compliance checks? | Yes |
| What is the minimum age a decoy may be to participate in a compliance check? | Not specified |
| What is the maximum age a decoy may be to participate in a compliance check? | 20 |
| Are there appearance requirements for the decoy? | Yes, must look under age 26 |
| Does decoy carry ID during compliance check? | Required |
| May decoy verbally exaggerate his or her actual age? | Prohibited |

| | |
|------------------------------------------------------------------------|----------|
| Is decoy training mandated, recommended, prohibited, or not specified? | Mandated |
|------------------------------------------------------------------------|----------|

| Penalty Guidelines for Sales to Minors | |
|---------------------------------------------------------------------------------------------------------------|-------------------------|
| Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor? | Yes |
| What is the time period for defining second, third and subsequent offenses? | Not specified |
| What is the penalty for the first offense? | 10 days or \$1,650 fine |
| What is the penalty for the second offense? | 30 days or \$4,950 fine |
| What is the penalty for the third offense? | 30 days |
| What is the penalty for the fourth offense? | Cancellation |
| Note: If a licensee is a member of the Responsible Vendor Program, they may be eligible for lesser penalties. | |

| Responsible Beverage Service (RBS)—Mandatory | |
|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| Is there a state law pertaining to Beverage Service Training? | Yes, mandatory |
| If training is mandatory, who must participate? | Licensees, managers, servers/sellers |
| If training is voluntary, which of the following incentives are offered? | |
| • Defense in dram shop liability lawsuits | N/A |
| • Discounts in dram shop liability insurance, license fees, or other | N/A |
| • Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons | N/A |
| • Protection against license revocation for sales to minors or sales to intoxicated persons | N/A |
| Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)? | Both |
| Does the RBS law apply to new or existing licensees? | Both |

| Responsible Beverage Service (RBS)—Voluntary | |
|---------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Is there a state law pertaining to Beverage Service Training? | Yes, voluntary |
| If training is mandatory, who must participate? | N/A |
| If training is voluntary, which of the following incentives are offered? | |
| • Defense in dram shop liability lawsuits | No |
| • Discounts in dram shop liability insurance, license fees, or other | No |
| • Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons | Yes |
| • Protection against license revocation for sales to minors or sales to intoxicated persons | Yes |
| Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)? | Both |
| Does the RBS law apply to new or existing licensees? | Both |

| Minimum Ages for Sellers of Alcohol—Off-Premises (i.e., Liquor Stores) | |
|-------------------------------------------------------------------------------|----|
| What is the minimum age requirement for off-premises retail establishments? | |
| Beer | 18 |
| Wine | 18 |
| Spirits | 18 |
| Does a manager or supervisor have to be present? | No |

| Minimum Age for Alcohol Servers and Bartenders—On-Premises (i.e., Restaurants and Bars) | |
|------------------------------------------------------------------------------------------------|----|
| What is the minimum age requirement for servers in on-premises establishments? | |
| Beer | 18 |
| Wine | 18 |
| Spirits | 18 |
| What is the minimum age requirement for bartenders in on-premises establishments? | |
| Beer | 18 |
| Wine | 18 |
| Spirits | 18 |
| Does a manager or supervisor have to be present? | No |

| Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools | |
|---------------------------------------------------------------------------------------------------------------------------|-----|
| Colleges and Universities | |
| Is there a distance requirement for off-premises outlets (i.e., liquor stores)? | No |
| Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)? | No |
| To which alcohol products does requirement apply? | N/A |
| Primary and Secondary Schools | |
| Is there a distance requirement for off-premises outlets (i.e., liquor stores)? | No |
| Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)? | No |
| To which alcohol products does requirement apply? | N/A |

| Dram Shop Liability | |
|--------------------------------------------------------|-----|
| Does a statute create dram shop liability? | Yes |
| Does the statute limit damages that may be recovered? | No |
| Does the statute limit who may be sued? | No |
| Does the statute limit elements or standards of proof? | No |
| Does common law dram shop liability exist? | No |

| Social Host Liability | |
|--------------------------------------------------------|-----|
| Does a statute create social host liability? | Yes |
| Does the statute limit damages that may be recovered? | No |
| Does the statute limit who may be sued? | No |
| Does the statute limit elements or standards of proof? | No |
| Does common law social host liability exist? | No |

| Prohibitions Against Hosting Underage Drinking Parties | |
|---------------------------------------------------------------|-----|
| Does a statute prohibit hosting underage drinking parties? | Yes |

| | |
|--------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|
| Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property? | General |
| What action by underage guest triggers a violation? | Consumption |
| Property type covered by the law? | Residential/outdoor/other |
| What level of knowledge by the host is required? | Knowledge (host must have actual knowledge of party) |
| Does host's preventive action protect him/her from being held liable? | No |
| Are there any exceptions for underage guests? | Yes, family members |
| Note: The prohibitions apply only to a person who is present and in control of the location at the time underage consumption occurs. | |

| Retailer Interstate Shipments of Alcohol | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers? | |
| Beer | Permitted |
| Wine | Permitted |
| Spirits | Prohibited |
| Note: An out-of-state direct shipper may deliver malt beverages to Oregon residents only if that state allows Oregon licensees to deliver malt beverages directly to a resident of that state. | |

| Direct Shipments/Sales | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| May alcohol producers ship directly to consumers? | Yes |
| What alcohol types may be shipped? | Beer, wine |
| Must purchaser make mandatory trip to producer before delivery is authorized? | No |
| Age verification requirements | |
| Must the producer/shipper verify purchaser's age before sale? | No |
| Must the common carrier (deliverer) verify age of recipients? | Yes |
| State approval/permit requirements | |
| Must the producer/manufacture obtain state license or permit? | Yes |
| Must the common carrier (deliverer) be approved by a state agency? | Yes |
| Recording/reporting requirements | |
| Must the producer/manufacture record/report purchaser's name? | Yes |
| Must the common carrier (deliverer) record/report recipient's name? | Yes |
| Shipping label requirements | |
| Must the label state "Package contains alcohol"? | Yes |
| Must the label state "Recipient must be 21 years old"? | Yes |
| Note: An out-of-state direct shipper may deliver malt beverages to Oregon residents only if that state allows Oregon licensees to deliver malt beverages directly to a resident of that state. | |

| Keg Registration | |
|------------------------------------------------------------------------------|-------------------------|
| How is a keg defined (in gallons)? | More than 7.00 |
| Prohibitions | |
| Is it illegal to possess an unregistered or unlabeled keg and if so, what is | Yes (maximum fine/jail, |

| | |
|-------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| the penalty? | \$6,250/1 year) |
| Is it illegal to destroy the label on a keg, and if so, what is the penalty? | No |
| What purchaser information is collected? | |
| Must the retailer collect the name and address? | Yes |
| Must the retailer collect the ID number, name and address on license or other government information? | Yes |
| Must the retailer collect the address at which keg will be consumed? | Yes |
| Must warning information be given to purchaser? | Yes, active (requires an action by purchaser) |
| Is a deposit required? | No |
| Does law cover disposable kegs? | No |

| Home Delivery | |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Is home delivery of alcohol permitted? | |
| Beer | Yes (if the licensee ships via a for-hire carrier, the carrier must have been approved by the Commission prior to delivering malt beverages, wine or cider to any resident of Oregon) |
| Wine | Yes (if the licensee ships via a for-hire carrier, the carrier must have been approved by the Commission prior to delivering malt beverages, wine or cider to any resident of Oregon) |
| Spirits | No law |

| High-Proof Grain Alcohol Beverages | |
|---------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Are there restrictions on the sale of high-proof grain alcohol beverages? | No. However, Oregon is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation. |
| Are restrictions based on Alcohol by Volume (ABV)? | N/A |
| Are there exceptions to restrictions? | N/A |

Laws Affecting Alcohol Pricing

| Alcohol Taxes | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Beer | |
| Control system for beer? | No |
| Specific excise tax per gallon for 5% alcohol beer | \$0.08 |
| Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer | |
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer | |

| | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> • General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Additional taxes for 3.2–6% alcohol beer if applicable | |
| Wine | |
| Control system for wine? | Yes |
| Specific excise tax per gallon for 12% alcohol wine | |
| Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine | |
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> • General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine | |
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> • General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Additional taxes for 6–14% alcohol wine if applicable | |
| Spirits | |
| Control system for spirits? | Yes |
| Specific excise tax per gallon for 40% alcohol spirits | |
| Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits | |
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> • General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits | |
| Wholesale tax rate (if applicable) | |
| Retail tax rate (if applicable) | |
| If retail tax rate applies, is there an exemption from general sales tax? | No |
| <ul style="list-style-type: none"> • General sales tax rate | Not relevant |
| <ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) | Not relevant |
| Additional taxes for 15–50% alcohol spirits if applicable | |

| Low-Price, High-Volume Drink Specials | |
|-------------------------------------------------------------------------------------------|----------------------------------------|
| Are on-premises retailers prohibited from offering the following types of drink specials? | |
| Free beverages | No |
| Multiple servings at one time | No |
| Multiple servings for same price as single serving | No |
| Reduced price for a specified day or time (i.e., happy hours) | Restricted (permitted before midnight) |
| Unlimited beverages for fixed price | Yes |
| Increased volume without increase in price | No |

| Wholesaler Pricing Restrictions | |
|-----------------------------------------------------------------------------------------------------|-------------------------|
| | |
| Beer | |
| Are volume discounts to retailers allowed? | Banned |
| Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers? | No law |
| Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period? | Post and hold (14 days) |
| Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period? | No |
| Wine | Control System |
| Are volume discounts to retailers allowed? | N/A |
| Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers? | N/A |
| Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period? | N/A |
| Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period? | N/A |
| Spirits | Control System |
| Are volume discounts to retailers allowed? | N/A |
| Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers? | N/A |
| Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period? | N/A |
| Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period? | N/A |
| Note: Licensees must make beer price lists available for Commission inspection. | |

Oregon State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Oregon Liquor Control Commission (OLCC)

Enforcement Strategies

State law enforcement agencies use:

| | |
|--------------------------------------------------|-----|
| Cops in Shops | No |
| Shoulder Tap Operations | No |
| Party Patrol Operations or Programs | No |
| Underage Alcohol–Related Fatality Investigations | Yes |

Local law enforcement agencies use:

| | |
|--------------------------------------------------|-----|
| Cops in Shops | No |
| Shoulder Tap Operations | Yes |
| Party Patrol Operations or Programs | Yes |
| Underage Alcohol–Related Fatality Investigations | No |

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession¹ by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 12,311

Number of licensees checked for compliance by state agencies (including random checks) No data

Number of licensees that failed state compliance checks No data

Numbers pertain to the 12 months ending No data

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments No data

State conducts **random** underage compliance checks/decoy operations No data

Number of licensees subject to **random** state compliance checks/decoy operations No data

Number of licensees that failed **random** state compliance checks No data

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Don't know

Number of fines imposed by the state⁴ Not applicable

Total amount in fines across all licensees Not applicable

Smallest fine imposed Not applicable

Largest fine imposed Not applicable

| | |
|-----------------------------------------------------------------------------------------------------------------------|----------------|
| Numbers pertain to the 12 months ending | Not applicable |
| <i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i> | Don't know |
| Number of suspensions imposed by the state ⁵ | Not applicable |
| Total days of suspensions across all licensees | Not applicable |
| Shortest period of suspension imposed (in days) | Not applicable |
| Longest period of suspension imposed (in days) | Not applicable |
| Numbers pertain to the 12 months ending | Not applicable |
| <i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i> | Don't know |
| Number of license revocations imposed ⁶ | Not applicable |
| Numbers pertain to the 12 months ending | Not applicable |

Additional Clarification

Underage compliance checks in retail outlets are conducted by the Oregon Liquor Control Commission (OLCC) and local law enforcement agencies throughout the state. There is no mechanism to collect and gather the data at the state level for local efforts.

OLCC does collect data and maintain records on the number and amount of fines, license suspensions imposed on retail establishments, and license revocations imposed on retail establishments. The data and records are not available for furnishing alcohol to minors.

Saturation patrols involve multiple agencies on state highways, county roads, and city streets, but these data are not collected at the state level. In Oregon, checkpoints are illegal under the Oregon State Constitution.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Strategic Prevention Framework - Partnerships for Success (SPF-PFS)

| | |
|-----------------------------------------------|--------------------|
| Program serves specific or general population | General population |
| Number of youth served | Not applicable |
| Number of parents served | Not applicable |
| Number of caregivers served | Not applicable |
| Program has been evaluated | No |
| Evaluation report is available | Not applicable |
| URL for evaluation report: | Not applicable |
| URL for more program information: | No data |

Program Description: The Strategic Prevention Framework Partnerships for Success (SPF PFS) is a discretionary grant from the Substance Abuse and Mental Health Services Administration (SAMHSA). It ensures that the state, counties, and tribes work together to use data-driven decision making processes to develop effective prevention strategies and sustainable prevention infrastructure to address underage drinking among persons aged 12-20, high-risk drinking among persons aged 18-25, and prescription drug/misuse/abuse among persons aged 12-25. Nine Counties and five tribes are funded for the SPF PFS project.

| | |
|--------------------------------------------------------------------|--------------------|
| SAMHSA Substance Abuse Prevention and Treatment Block Grant | |
| Program serves specific or general population | General population |
| Number of youth served | Not applicable |
| Number of parents served | Not applicable |
| Number of caregivers served | Not applicable |
| Program has been evaluated | No |

| | |
|-----------------------------------|----------------|
| Evaluation report is available | Not applicable |
| URL for evaluation report: | Not applicable |
| URL for more program information: | No data |

Program Description: The SAMHSA Substance Abuse Prevention and Treatment Block Grant funds all Oregon counties and tribes to help plan, implement, and evaluate prevention strategies that prevent substance abuse and underage drinking by reducing risk factors and increasing protective factors associated with alcohol.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

| | |
|---------------------------------------------------------------------------------------------------------------|-----|
| <i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i> | Yes |
|---------------------------------------------------------------------------------------------------------------|-----|

Description of collaboration: Each of Oregon's nine federally recognized tribes are funded by SAMHSA's Substance Abuse Prevention and Treatment (SAPT) Block Grant for alcohol, tobacco, and drug prevention. Five tribes are also funded by SAMHSA's Strategic Prevention Framework Partnerships For Success (SPF-PFS) grant to reduce underage drinking and binge drinking among 18-25 year olds. In the past, a staff member of the Health Promotion and Chronic Disease Prevention (HPCDP) Section of the Public Health Division has been assigned as the Tribal Liaison to promote collaboration and understanding between the state government and the tribal governments. Following a current hiring freeze at the state, this position is currently vacant. HPCDP regularly meets with the Oregon Health Authority's Tribal Affairs Director to promote collaboration and communication between the Oregon Health Authority and tribal governments related to prevention.

The State of Oregon, its tribes, and its counties host and coordinate state-integrated meetings on a regular basis. Reducing underage drinking is a priority for the tribes in Oregon, and many have goals to reduce binge drinking and past-30-day use of alcohol, and to increase the age of first-use. Tribes are using a variety of strategies to address these issues. Community coalitions or teams are used to mobilize communities to address underage drinking through policy and environmental prevention strategies. Tribal Best Practices are used to support the whole family in providing education, skills, and opportunities to support communication around alcohol use. The Healing of the Canoe Project addresses substance abuse prevention through a community-based, culturally grounded prevention and intervention life skills curriculum that builds on community strengths and resources.

| | |
|--------------------------------------------------------------------------------------------------------|----|
| <i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i> | No |
|--------------------------------------------------------------------------------------------------------|----|

Description of program: Not applicable

| | |
|---------------------------------------------------------------------------------------------------------|-----|
| <i>State has adopted or developed best practice standards for underage drinking prevention programs</i> | Yes |
|---------------------------------------------------------------------------------------------------------|-----|

Agencies/organizations that established best practices standards:

| | |
|----------------------------------------------------------------------------------------------------------------------------|-----|
| Federal agency(ies): Centers for Disease Control and Prevention, Substance Abuse and Mental Health Services Administration | Yes |
|----------------------------------------------------------------------------------------------------------------------------|-----|

| | |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|
| Agency(ies) within your state: Oregon Health Authority, Health Promotion and Chronic Disease Prevention Section of the Public Health Division | Yes |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|

| | |
|------------------------------|----|
| Nongovernmental agency(ies): | No |
|------------------------------|----|

| | |
|--------|----|
| Other: | No |
|--------|----|

Best practice standards description: Oregon's legislature adopted SB 267 Evidence Based Programs (EBP) into law in 2003, which requires five state agencies to gradually increase the amount of funding allocated (75 percent) to evidence-based drug and alcohol prevention programs.

Oregon's Public Health Division, Health Promotion and Chronic Disease Prevention Section (HPCDP), utilizes best practice standards from the Centers for Disease Control and Prevention's, *Guide to Community Preventive Services*. The Community Preventive Services Task Force, an independent, non-federal, volunteer body of

public health and prevention experts, recommends several evidence-based community strategies to reduce harmful alcohol use. The task force conducts a comprehensive meta-analysis of studies to determine recommended evidence-based population-level interventions. These evidence-based strategies are included HPCDP's five-year strategic plan to address excessive drinking and harmful alcohol use, including the prevention of underage drinking.

HPCDP funds counties and tribes to implement evidence-based practices and strategies supported by SAMHSA's National Registry of Evidence-Based Programs and Oregon's Tribal Best Practices, which are best practices based on evidence for Native American communities.

Additional Clarification

Practices based on evidence for Native American communities

1. Science-validated programs evaluated using scientific methods—refers to those approaches based upon social science or behavioral science theories which were designed for non-Native communities. Some of these have been adapted to meet cultural needs.
2. Science-replicated programs implemented more than one time—refers to those science-based programs that have been implemented more than one time in Native communities or in non-Native communities.
3. Culturally-validated programs designed according to the Indian way—refers to those approaches that are based upon principals, laws and values of specific American Indian communities. These historical/traditional "teachings" form the basis for the programs. They are culturally relevant, culturally appropriate, and designed according to the "Indian Way." They have been implemented according to culturally accepted practices and have been accepted as valid by the community itself. They have not been evaluated using the scientific method.
4. Culturally-replicated Native American programs passed on to others—refers to those programs that have been developed and implemented according to the "Indian Way" and have been passed on to others and continue to be implemented and utilized.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Luci Longoria (Public Health Division liaison to the Behavioral Health Planning and Promotion Subcommittee of the Addictions and Mental Health Planning and Advisory Committee)

Email: luci.longoria@dhsosha.state.or.us

Address: 800 NE Oregon St., Suite 730, Portland, OR 97232

Phone: 971-673-1064

Agencies/organizations represented on the committee:

Oregon Health Authority, Public Health Division

OHA, Health Systems Division

OHA, Health Policy and Analytics

Oregon Youth Authority

Clackamas County Children Youth And Family Division

Lincoln County Public Health

Wasco County Prevention

Confederated Tribes of Warm Springs

Cow Creek Tribe

Additional Interagency Collaboration:

Alcohol and Drug Policy Commission

The Alcohol and Drug Policy Commission is an independent state government agency that was created by the Oregon Legislature to improve the effectiveness and efficiency of state and local alcohol and drug abuse prevention and treatment services.

Daniel Ward, Executive Director

Alcohol and Drug Policy Commission

Phone: 503-884-5776

Email: daniel.ward@state.or.us

www.oregon.gov/adpc
<http://www.oregon.gov/adpc/pages/index.aspx>

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <i>A website or other public source exists to describe committee activities</i> | Yes |
| URL or other means of access: http://www.oregon.gov/oha/HPA/CSI-AMHPAC/Pages/Subcommittee-BH.aspx | |

Underage Drinking Reports

| | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <i>State has prepared a plan for preventing underage drinking in the last 3 years</i> | Yes |
| Prepared by: 5-Year 2017 - 2022 Strategic Plan of the Health Promotion and Chronic Disease Prevention Section of the Public Health Division, Oregon Health Authority | |
| Plan can be accessed via: http://www.oregon.gov/oha/PH/DISEASESCONDITIONS/CHRONICDISEASE/Pages/Program-Information.aspx | |

| | |
|----------------------------------------------------------------------------------------|-----|
| <i>State has prepared a report on preventing underage drinking in the last 3 years</i> | Yes |
| Prepared by: STOP Act Survey Report | |
| Plan can be accessed via: Not applicable | |

Additional Clarification

The Health Promotion and Chronic Disease Prevention (HPCDP) section is working to integrate Oregon's alcohol and drug prevention funding, staff, and projects into the Public Health Division (PHD) from the former Addictions and Mental Health Division of the Oregon Health Authority. HPCDP is engaging a broad sector of statewide alcohol and drug partners to identify a sustainable means for an advisory body and mechanism for the planning and implementation of the Alcohol and Drug Prevention and Education Program.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Checkpoints and saturation patrols:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Community-based programs to prevent underage drinking:

| | |
|----------------------------------------|------------|
| Estimate of state funds expended | \$531,171 |
| Estimate based on the 12 months ending | 06/30/2017 |

K-12 school-based programs to prevent underage drinking:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Programs targeted to institutes of higher learning:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Programs that target youth in the juvenile justice system:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Programs that target youth in the child welfare system:

| | |
|----------------------------------------|--------------------|
| Estimate of state funds expended | Data not available |
| Estimate based on the 12 months ending | Data not available |

Other programs:

| | |
|-----------------------------------------------------|--------------------|
| Programs or strategies included: Data not available | |
| Estimate of state funds expended: | Data not available |
| Estimate based on the 12 months ending: | Data not available |

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

| | |
|-------|---------|
| Taxes | Yes |
| Fines | Yes |
| Fees | No data |

Other: General Fund

Yes

Description of funding streams and how they are used:

Beer and wine taxes, criminal fines, and a portion of general funds are collected by the state and dispersed to counties and tribes by the Public Health Division for local interventions that focus on the prevention of underage drinking.

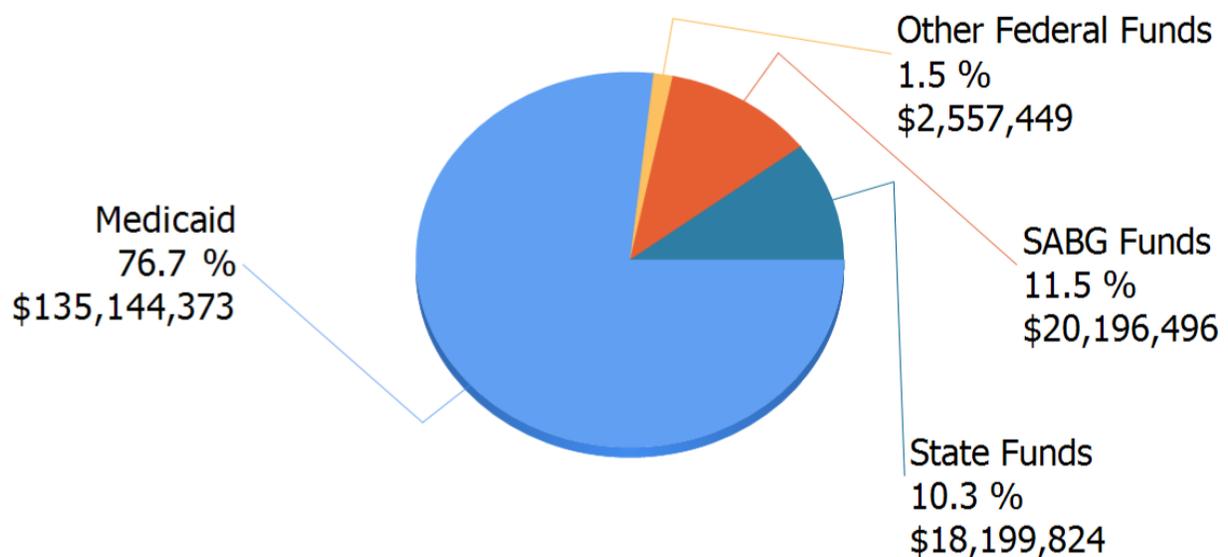
Additional Clarification

The federal funds Oregon receives for alcohol and drug prevention through SAMHSA's Substance Abuse and Prevention Block Grant and Strategic Prevention Framework (SPF) grants were not included in the expenditures above.

In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Oregon used for expenditures on substance abuse prevention and treatment in 2017. As indicated, Medicaid funds and SABG funds account for the largest sources (76.7 percent and 11.5 percent respectively).²¹

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, Oregon designated decreasing binge drinking among 8th and 11th graders as part of priority number five for use of SABG funds.²²

Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment



²¹ WebBGAS State Profile, 2017 SABG and MHBG Reports— Oregon 2017

²² FY 2018/2019 – (Oregon) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators



SAMHSA
Substance Abuse and Mental Health
Services Administration