ILLINOIS STATE REPORT

Underage Drinking Prevention and Enforcement

2018

SAMHSA
Substance Abuse and Mental Health Services Administration

This State Report is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report "on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking." As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on Preventing Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services.

Time period covered by this State Report: The 2018 State Report primarily includes data from calendar year 2017. The state legal data reflects the status of the law as of January 1, 2017. The state survey data was collected in 2017 and was drawn from the most recent 12-month period in which the states maintained the data.

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Illinois

State Population: 12,801,539

Population Ages 12–20: 1,556,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	331,000 (21.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	11,000 (2.3%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	94,000 (18.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	225,000 (42.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21	
Alcohol-Attributable Deaths (under 21)	192
Years of Potential Life Lost (under 21)	11,625
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	19
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	14%

*Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents?	 Yes No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents?	 Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/ guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents?	 N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10 PM (11 PM on Friday and Saturday)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation

Are there restrictions on passengers?	Yes, no more than one passenger under 20, except for siblings and children
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 12 months after issuance of license; unsupervised night driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships? • Is furnishing allowed if the parent or guardian supplies the alcohol? • Is furnishing allowed if the spouse supplies the alcohol?	 No No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, age-appropriate dress; no disguises and can not alter appearance. Males: no facial hair.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Recommended

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	$500 fine or 1-day suspension
What is the penalty for the second offense?	$1,000 to $3,500 fine and 1- to 5-day suspension
What is the penalty for the third offense?	$5,000 to $10,000 and 10-to 30-day suspension
What is the penalty for the fourth offense?	Revocation

Note: Aggravating and/or mitigating circumstances can be considered. Penalty guidelines are also available for subsequent violations that occur within 2–5 years.

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-Premises
Does the RBS law apply to new or existing licensees?	Unspecified

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-Premises
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Ages for Sellers of Alcohol–Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders–On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: Exceptions are (1) hotels with restaurant service, regularly organized clubs, certain restaurants; (2) food shops and other places where alcohol sales is not principal business and location is not a municipality of more than 500,000 persons; and, (3) certain other specified licensees.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (for causes of action involving persons injured, killed, or incurring property damage on or after January 20, 2016, the judgment or recovery under the Liquor Control Act of 1934 for injury to the person or property of any person shall not exceed $65,990.23)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No

Does common law dram shop liability exist?	No
Note: The Dram Shop Statute requires the Illinois Comptroller to determine each year the liability limits for causes brought under the statute in accordance with the consumer price index during the preceding 12 months. See Illinois Comptroller, Dram Shop Liability Limits, on State of Illinois website.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge (host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes, family members and residents of household
Note: An individual will not be in violation of 235 Ill. Comp. Stat. 5/6-16(c) if he or she requests assistance from a law enforcement agency to help end the possession or consumption of alcohol by persons under 21 in a residence that he or she occupies. This assistance must be requested before any other person makes a formal complaint to a law enforcement agency about the activity.	

Prohibitions Against Hosting Underage Drinking Parties–Law Applicable to Hotel or Motel Rooms	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Other
What level of knowledge by the host is required?	Overt act (host must have actual knowledge and commit act that contributes to party's occurrence)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Note: Under Illinois law, a person commits a social host offense by renting a hotel or motel room for the purpose of or with the knowledge that such room shall be used for the consumption of alcoholic liquor by underage persons.	

Prohibitions Against Hosting Underage Drinking Parties–Law Applicable to Parents/Guardians	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge (host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members
Note: Under Illinois law, a person commits a social host offense if one is a parent or guardian and permits one's residence, or any other property under one's control, to be used by an underage invitee of one's child or ward in a manner that violates the statute. An offense is deemed to have occurred if a parent or guardian knowingly authorizes or permits the prohibited use to occur. Illinois includes any vehicle, conveyance, or watercraft within this offense.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	

Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	$0.23
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	$1.39
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	$8.55
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits if applicable	$1.39/gallon for alcohol content of more than 14% and less than 20%.

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	

Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted before 10 PM; maximum of 4 hours/day and 15 hours/week)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Illinois State Survey Responses

State Agency Information	
Agency with primary responsibility for enforcing underage drinking laws:	
Illinois Liquor Control Commission (sales to minors only)	
Enforcement Strategies	
State law enforcement agencies use:	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
Local law enforcement agencies use:	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
State collects data on the number of minors found in possession	No
Number of minors found in possession[1] by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable
State conducts underage compliance checks/decoy operations[2] to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state[3]	23,000
Number of licensees checked for compliance by state agencies **(including random checks)**	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
*State conducts **random** underage compliance checks/decoy operations*	Not applicable
Number of licensees subject to **random** state compliance checks/decoy operations	Not applicable
Number of licensees that failed **random** state compliance checks	Not applicable
Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state[4]	6
Total amount in fines across all licensees	$3,625
Smallest fine imposed	$500
Largest fine imposed	$1,500

Numbers pertain to the 12 months ending	06/30/2017
State collects data on license suspensions imposed on retail establishments specifically for furnishing minors	Yes
Number of suspensions imposed by the state[5]	1
Total days of suspensions across all licensees	1
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	1
Numbers pertain to the 12 months ending	06/30/2017
State collects data on license revocations imposed on retail establishments specifically for furnishing minors	Yes
Number of license revocations imposed[6]	Not available
Numbers pertain to the 12 months ending	06/30/2017

Additional Clarification

In an effort to increase retailer compliance with laws and regulations the Illinois Liquor Control Commission (ILCC) started a new program called the LC – 13 Project. Through the LC – 13 Project, the ILCC is forming a partnership with various local government agencies, law enforcement, fire departments, health inspectors and code enforcement specialists to play a role in retail compliance inspections. As a local reporting project for inspecting local liquor retailers the ILCC will provide education and training to assist in the inspections. The training will entail; Illinois Liquor laws, ILCC Rules and Regulations and how to conduct local inspections. Training will be conducted by the ILCC staff and will consist of 3.5 hours of classroom training, 3 hours of field training and on-going support from the Commission. Once a community participates in the training, the trained inspectors will conduct routing compliance inspections in their local jurisdictions.

The goal and objectives of LC – 13 include:

- Increase public safety through education and communication with local liquor retailers and local officials.
- Enhance compliance with laws, rules and ordinances achieved in greater levels by liquor retailers with the assistance of local government agencies.
- Form a greater cooperation between the ILCC, local government agencies and liquor licensees.
- Build relationships between local businesses and government agencies.
- Provide public service to the general public and local business community.

[1] Or having consumed or purchased per state statutes.
[2] Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
[3] Excluding special licenses such as temporary, seasonal, and common carrier licenses.
[4] Does not include fines imposed by local agencies.
[5] Does not include suspensions imposed by local agencies.
[6] Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Strategic Prevention Framework-Partnership for Success (SPF-PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: The Strategic Prevention Framework-Partnerships for Success (SPF-PFS) grant supports nine providers and their multi-sector coalitions in using the SPF process to reduce past 30-day alcohol use rates and negative consequences of underage drinking among 8th to 12th graders. The SPF is a five-step planning process to guide the selection, implementation, and evaluation of effective, culturally appropriate, and sustainable substance

abuse prevention activities. The effectiveness of this process begins with a clear understanding of community needs and depends on the involvement of community members in all stages of the planning process. The SPF components are assessment, capacity building, strategic planning, implementation, and evaluation. Cultural competency and sustainability are expected to be included in every component of the framework. The SPF-PFS program supports providers and their multi-sector coalitions in planning for and delivering services in communities that have higher rates of underage drinking compared to the state average. Providers and their coalitions develop local strategic plans to address underage drinking within their targeted community areas. Sub-recipients will use a data-driven process in FY17 to reduce underage drinking at the community level by (1) working with a local, multi-sector coalition, (2) completing a needs assessment process that will inform the development of a strategic plan, (3) developing a local strategic plan, and (4) delivering evidence-based strategies.

The goals of the SPF-PFS project are to:

1. Decrease past 30 day alcohol use among 8th-12th grade youth and among identified vulnerable populations (where applicable).
2. Reduce the number of alcohol-related emergency department visits.
3. Reduce one or more of the following outcomes: perception of parental disapproval/attitude of underage drinking (UAD), perception of peer disapproval/attitude of UAD, perceived risk or harm of use of UAD, and family communication about alcohol use.
4. Impact one or more contributing factors (also referred to as risk and protective factors) for UAD among 8th-12th grade youth:
   - Perceived risk of harm associated with daily drinking and binge drinking
   - Personal disapproval of youth alcohol use
   - Perceived peer attitudes (norms) associated with youth alcohol use (e.g., how “cool” they would be perceived by peers if they used alcohol)
   - Perceived parental disapproval of youth alcohol use
   - Perceived community (adult) disapproval of underage drinking
   - Parental communication regarding their disapproval of youth alcohol use
   - Parental monitoring of alcohol-related behavior (likelihood their parents would catch them if they drank alcohol, attended a party where alcohol is served, etc.)
   - Family rules about alcohol and drug use
   - Perceived ease of access to alcohol
   - Access to different alcohol sources among past year alcohol users (e.g., retail, social, or parent supply source)

Illinois Substance Abuse Prevention Program and the Strategic Prevention Framework-Partnerships For Success (SPF-PFS)

Program serves specific or general population	General population
Number of youth served	176,069
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: The goal of the Strategic Planning Framework – Partnerships for Success (SPF-PFS) grant program is to reduce consumption of, consequences from, and contributing factors to alcohol, tobacco, marijuana, and prescription drug misuse/abuse among 11- to 20-year-olds in a targeted geographic community by following the SPF. This grant is designed to support the use of the SPF, developed by SAMHSA. SPF is a structured planning process that can be applied to prevention systems at both state and local levels. This process is an effective way for coalitions to address substance abuse issues within the community. SPF is intended to provide a structure or mechanism for multisector coalitions and other broadly represented community organizations to identify the most pressing substance abuse problems in their community. SPF uses a data-driven approach to understand what the

most pressing problems are, who is affected most by the problems (consumption and consequences), why the problems are happening (contributing factors or intervening variables), and what programs, practices, and policies are most effective in addressing these problems and contributing factors. The Substance Abuse Prevention Program (SAPP) are the state-wide funded prevention services contracted through organizations across Illinois and they also are addressing underage drinking within their local communites through a variety of prevention strategies.

Beverage Alcohol Sellers and Servers Education and Training (BASSET)

Program serves specific or general population	General population
Number of youth served	160,792
Number of parents served	No data
Number of caregivers served	NA
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information: www.ilcc.illinois.gov	

Program Description: The Beverage Alcohol Sellers and Servers Education and Training (BASSET) program trains sellers, servers, and bouncers how to properly check IDs to prevent underage sales along with other initiatives to prevent over-service of alcohol to prevent alcohol-related incidents. The Illinois Liquor Control Commission (ILCC) uses the Parental Responsibility Campaign/ School program. The ILCC provides their statewide program to 8th grade students, which provides facts about the dangers of alcohol and other drugs.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking	No
Description of collaboration: Not applicable	
State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing	No
Description of program: Not applicable	
State has adopted or developed best practice standards for underage drinking prevention programs	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): Office of Juvenile Justice and Deliquency, Center for Substance Abuse Prevention and SAMHSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies): Center for Prevention Research and Development, University of Illinois-Champaign/Urbana	Yes
Other:	No

Best practice standards description: Illinois requires the use of evidence-based programs, practices, and policies. Evidence-based standards are also promoted and required if a provider decides to develop its own programming in the areas listed below. For example, if a community-based provider proposes an underage drinking communication campaign, the provider is expected to address all of the standards for communication campaigns. To review standards for communication campaigns and other evidence-based standards, visit https://www.cprd.illinois.edu/tools/prevresearchbriefs.

Evidence-based standard exist for the following approaches:

- Social norms and communication campaigns
- Mentoring
- Parent/family education
- Youth prevention education

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities	Yes

Committee contact information:

Name: Rafael Rivera
Email: Rafael.Rivera@illinois.gov
Address: 401 South Clinton, 2nd Floor, Chicago, IL 60607
Phone: 312-793-1628

Agencies/organizations represented on the committee:

Department of Human Services, Substance Abuse Prevention Program
Center for Prevention Research and Development
Operation Snowball
Prevention First
Illinois State Police
Illinois National Guard
Illinois Department of Public Heallth
Illinois State Board of Education
Chicago Police Department
Department of Human Services, Division of Alcoholism and Substance Abuse
Cebrin Goodman Teen Institute
Students Against Destructive Driving
Youth Network Council

A website or other public source exists to describe committee activities	No

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes

Prepared by: Leveraging Work Group supported by the Illinois Department of Human Services' Bureau of Positive Development
Plan can be accessed via: No website

State has prepared a report on preventing underage drinking in the last 3 years	No

Prepared by: Not applicable
Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Checkpoints and saturation patrols:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Community-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
K–12 school-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs targeted to institutes of higher learning:	

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the juvenile justice system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the child welfare system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Other programs:	
Programs or strategies included: Data not available	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:	
Taxes	No
Fines	No
Fees	Yes
Other: Not applicable	No
Description of funding streams and how they are used:	
The Illinois Liquor Control Commission collect alcohol license fees, of which a portion is used to support substance abuse prevention services.	

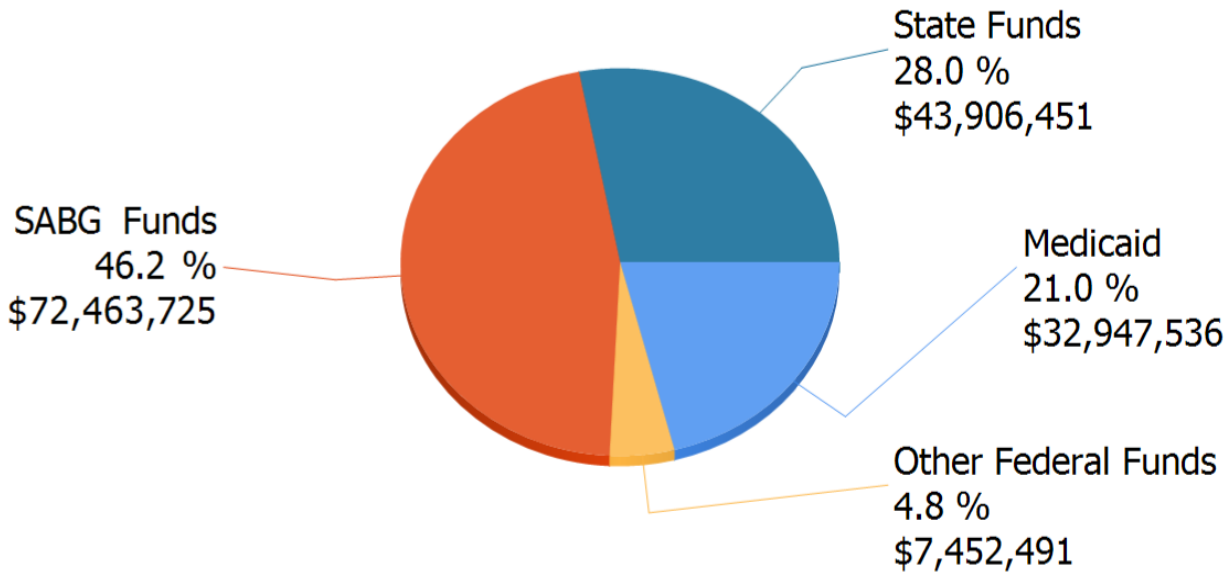
Additional Clarification

No data

In addition to the state expenditures on underage drinking prevention reported in the annual STOP Act Survey, all states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds, administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Illinois used for expenditures on substance abuse prevention and treatment in 2017. As indicated, SABG funds and state funds account for the largest sources (46.2 percent and 28.0 percent respectively).[27]

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2018-2019, Illinois designated increasing the percentage of youth prevention education services that demonstrate adherence to 80 percent of implementation fidelity standards as part of the number one priority for use of SABG funds.[28]

Exhibit 1: Source of 2017 Expenditures for Substance Abuse Prevention and Treatment



[27] WebBGAS State Profile, 2017 SABG and MHBG Reports– Illinois 2017

[28] FY 2018/2019 – (Illinois) State Behavioral Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant, Center for Substance Abuse Prevention, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators

SAMHSA
Substance Abuse and Mental Health
Services Administration