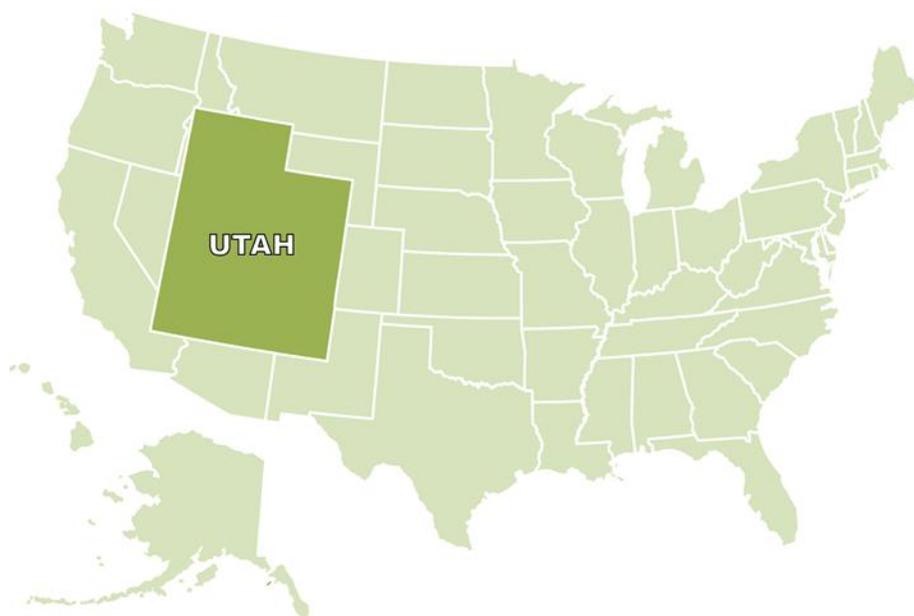


State Report

Utah

This document is excerpted from:

The September 2016 Report to Congress on the Prevention and Reduction of Underage Drinking



Utah

State Population: 2,942,902

Population Ages 12–20: 404,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	13.5	55,000
Past-Month Binge Alcohol Use	9.4	38,000
Ages 12–14		
Past-Month Alcohol Use	2.4	3,000
Past-Month Binge Alcohol Use	1.4	2,000
Ages 15–17		
Past-Month Alcohol Use	11.4	15,000
Past-Month Binge Alcohol Use	7.4	10,000
Ages 18–20		
Past-Month Alcohol Use	28.2	36,000
Past-Month Binge Alcohol Use	20.3	26,000
Alcohol-Attributable Deaths (under 21)		32
Years of Potential Life Lost (under 21)		1,954
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	8	3

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage-Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage-False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	Yes

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No passengers who are not immediate family members, unless accompanied by driver over 21

Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 (passenger restrictions are lifted at age 16 years, 6 months; unsupervised night-driving restrictions remain until age 17)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy’s appearance requirements?	Age-appropriate appearance with no age enhancements. Males: clean shaven or have facial hair that is groomed and not excessive in length or appearance. Females: no excessive makeup or provocative attire. No jewelry on hands; hats and clothing consistent with casual attire commonly worn by peer group.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes

What is the time period for defining second, third, and subsequent offenses?	3 years
What is the penalty for the first offense?	5- to 30-day suspension and/or \$500–\$3,000 fine
What is the penalty for the second offense?	10-to 90-day suspension and/or \$1,000–\$9,000 fine
What is the penalty for the third offense?	15- to 120-day suspension up to revocation and/or \$9,000–\$25,000 fine
What is the penalty for the fourth offense?	Not specified
<i>Note:</i> List of mitigating and aggravating factors provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both
<i>Note:</i> In Utah, the off-premises establishments subject to mandatory training are "off-premise beer retailers," i.e. retailers licensed to sell any product that contains not more than 3.2% alcohol by weight (ABW) and is obtained by fermentation, infusion, or decoction of any malted grain.	

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
<i>Note:</i> Although employees must be at least 21 to sell "liquor" at off-sale establishments in Utah, persons between 16 and 21 may sell "beer" (defined as containing not more than 4% ABV or 3.2% ABW) on the premises of a beer retailer for off-premise consumption if under the supervision of a person age 21 or older who is on the premises.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21

Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
<i>Note:</i>	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$1 million limit for one person and \$2 million limit for all injured parties per occurrence)
Does the statute limit who may be sued?	Yes (retailers that furnish beer only for off-premises consumption are exempt)
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<i>Note:</i> Strict liability is imposed for furnishing alcohol to an underage drinker. Evidence of retailer's negligence is not required.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$1 million limit for one person and \$2 million limit for all injured parties per occurrence)
Does the statute limit who may be sued?	Yes (social host must be 21 or older)
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Not specified
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No

Are there any exceptions for underage guests?	No
<p><i>Note:</i> In Utah, an individual may not knowingly conduct, aid, or allow an "underage drinking gathering." "Underage drinking gathering" means a gathering of two or more individuals: (a) at which an individual knowingly serves, aids in the service of, or allows the service of an alcoholic beverage to an underage person; and (b) to which an emergency response provider is required to respond, except for a response related solely to providing medical care at the location of the gathering.</p>	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	Keg sales prohibited
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	No
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

UT-High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Utah is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	Yes
Specific excise tax per gallon for 5% alcohol beer	N/A
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	N/A
Retail tax rate (if applicable)	N/A
If retail tax rate applies, is there an exemption from general sales tax?	N/A
• General sales tax rate	N/A
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	N/A
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	N/A
Retail tax rate (if applicable)	N/A
If retail tax rate applies, is there an exemption from general sales tax?	N/A
• General sales tax rate	N/A
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	N/A
Additional taxes for 3.2–6% alcohol beer (if applicable)	N/A
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes (status of full-day price reductions is uncertain)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set time period?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Utah State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Utah Department of Public Safety/State Bureau of Investigation

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Utah Dept. of Public Safety/State Bureau of Investigation

Such laws are also enforced by local law enforcement agencies Yes

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 2,558

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 1,849

Number of licensees checked for compliance by state agencies (including random checks) 1,190

Number of licensees that failed state compliance checks 173

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 1,190

Number of licensees that failed **random** state compliance checks 173

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 818

Number of licensees that failed local compliance checks 82

Numbers pertain to the 12 months ending 12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 179

Total amount in fines across all licensees \$199,975

Smallest fine imposed \$100

Largest fine imposed \$15,000

Numbers pertain to the 12 months ending 12/31/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	81
Total days of suspensions across all licensees	644
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	27
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Protecting You Protecting Me (PYPM)

Program serves specific or general population	General population
Number of youth served	Approximately 4000 youth
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=95
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=95

Program Description: PYPM is an education-based alcohol use prevention program that uses a curriculum for children in grades 1–5 to educate, teach skills, and reduce alcohol use. The program is suited for a classroom environment and taught for 40–55 minutes once a week for 8 weeks.

Parents Empowered (PE)

Program serves specific or general population	General population
Number of youth served	No data
Number of parents served	Approximately 800,000 parents
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.parentsempowered.org
URL for more program information:	http://www.parentsempowered.org

Program Description: PE is a statewide media program that targets parents with teenagers ages 10–18 years old to teach them skills on talking points and recognition for alcohol use behavior. Media for dissemination include a website, newspaper, prevention bulletins, and radio in English and Spanish. Press releases are sent out on a quarterly basis to various media outlets. Collateral information is distributed at each event.

Prevention Dimensions Teacher Training

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.utahpd.org

Program Description: Prevention Dimensions is an educational program designed to prevent alcohol and other drug use among students K–12. Teachers and/or prevention professionals are trained to teach curriculum to students in health classes in various schools in Utah. Opportunities are provided to train teachers on site or at an afterschool facility to meet criteria for prevention objectives to reduce underage drinking.

All Stars

Program serves specific or general population	Specific population
Number of youth served	Approximately 200
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov
URL for more program information:	http://www.nrepp.samhsa.gov

Program Description: All Stars is a school-based program for middle school students (11–14 years old) designed to prevent and delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity.

Prime For Life Under 21

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	Approximately 845
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	http://www.primeforlife.org
URL for more program information:	http://www.primeforlife.org

Program Description: This program is designed to address and reduce underage drinking in at-risk youth who have been referred for a drinking offense. The program focuses on education and building skills while helping participants understand the impact of risk factors such as having favorable attitudes toward drug use and alcohol availability.

Strengthening Families (SFP)

Program serves specific or general population	Specific population
Number of youth served	Approximately 780
Number of parents served	Approximately 312
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=44
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=44

Program Description: SFP is a family skills training program designed to increase resilience and reduce risk factors for problem behavior associated with emotional, academic, and social problems as well as address the risk associated with drug use. Parents and children interact during specific segments to practice new skills of communication and problem solving. The program has three developmental segments for parents with children from ages 5–9, 10–14, and 15–17 years old.

Parenting with Love & Logic

Program serves specific or general population	Specific population
Number of youth served	Approximately 750
Number of parents served	Approximately 300
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: This program is designed to target parents who may need to improve family management and parenting skills by focusing on and reducing risk factors such as poor family management and family conflict. The program is education- and skill-based for a classroom environment. Classes can be taught in elementary schools, Local Substance Abuse Authorities, and other locations as needed.

Guiding Good Choices (GGC)

Program serves specific or general population	General population
Number of youth served	Approximately 325
Number of parents served	Approximately 130
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=302
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=302

Program Description: GGC is a drug use prevention parenting program that provides participants of children in grades 4–8 or ages 9–14. The program is education- and skill-based and teaches participants knowledge and skills needed to guide their children through early adolescence and risks associated with teen drug use and other associated behavior.

Communities That Care (CTC)

Program serves specific or general population	General population
Number of youth served	75,000
Number of parents served	150,000
Number of caregivers served	175,000
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.communitiesthatcare.net
URL for more program information:	http://www.communitiesthatcare.net

Program Description: CTC employs a proven, community-change process for reducing youth violence, alcohol and tobacco use, and delinquency, through tested and effective programs and policies. CTC uses prevention science to promote healthy youth development. We guide local coalitions through a tested five-phase process. CTC fosters young people’s well-being using a social development strategy that promotes opportunities, skills, and recognition. A rigorous scientific trial demonstrates that CTC shows reductions in rates of youth violence, crime, alcohol, and tobacco use.

Governing Youth Council (GYC)

Program serves specific or general population	General population
Number of youth served	5,700
Number of parents served	No data
Number of caregivers served	250
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: GYC is a peer leadership program that targets youth ages 12–18 from regional secondary schools in nearly a third of the 29 counties in Utah. The program focuses on leadership skills for youth to mentor and guide peers in prevention science, presenting and/or speaking to peers about substance abuse–related issues, and development and implementation of alcohol, tobacco, and other drugs prevention activities through GYC groups.

Prevention Dimensions (PD) Education to Students

Program serves specific or general population	General population
Number of youth served	270,000
Number of parents served	No data
Number of caregivers served	230
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	http://www.utahpd.org
URL for more program information:	http://www.utahpd.org

Program Description: PD Education is a classroom-based curriculum delivered by trained health teachers to teach knowledge and skill-based practices for preventing and resisting alcohol, tobacco, and other drug use). The curriculum is designed to reach K through 6th-grade students throughout the state.

Growing Up Strong (Gus & Gussie – G&G)

Program serves specific or general population	Specific population
Number of youth served	Approximately 240
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: G&G is a small-group, facilitated program for 6th-grade students that focuses on education and building skills to help students address topics such as self-esteem, family, peer pressure, diversity, feelings, coping skills, anger management, personal safety, and working together. The program focuses on reducing risk factors such as depressive symptoms and early initiation of antisocial behavior in order to decrease negative behaviors. Students' knowledge of self-esteem, diversity, friends/peer pressure, emotional coping, and personal safety, and skill to resist drug use, will increase to demonstrate effectiveness of the program.

Prevention Networking

Program serves specific or general population	General population
Number of youth served	575,000
Number of parents served	500,000
Number of caregivers served	250,000
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Prevention Networking is a planning group and/or committees that each of our 13 Local Substance Abuse Authorities participate in to examine policies, programs, and practices in the CSAP six strategies, namely, Community-Based Process, Environmental, Information Dissemination, Education, Alternatives, Problem Identification, and Referral. The purpose is to convene key leaders and prevention experts to plan for prevention of alcohol, tobacco, and other drug use among youth and families through a concerted effort. Multi-agency coordination leads to a more collaborative approach to addressing drug use. Prevention specialists serve on local boards, committees, and coalitions to share prevention information, concepts, research, and data. Prevention Specialists network with community partners throughout the counties and state to best gain information to implement the best available programs and strategies for preventing drug use.

Personal Empowerment Program (PEP)

Program serves specific or general population	Specific population
Number of youth served	300
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	http://www.southwestprevention.com/educators/pep
URL for more program information:	http://www.southwestprevention.com/educators/pep

Program Description: PEP is an education- and skill-based program that focuses on the risk of academic failure, low commitment to school, and early initiation of antisocial behavior to reduce substance abuse and other associated behavior. Middle school and high school students from 11 schools in 4 school districts participate in the program each year.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: Utah collaborates with federally recognized tribal governments in the prevention of underage drinking.

Description of collaboration: The Division of Substance Abuse and Mental Health (DSAMH) meets with the Tribal Indian Issues Committee (TIIC) at their bimonthly meeting. The TIIC communicates how DSAMH can best serve their needs related to cultural traditions, limited resources and access to tribes.

Collaboration with the state’s nine tribes allows DSAMH to participate in their prevention efforts to reduce the risk of substance abuse, particularly underage drinking and mental health risks. DSAMH is also engaged in planning and discussion of ways to develop a shared purpose and mission for TIIC and participating in several Native American conferences and celebrations, such as the annual Native American Summit.

DSAMH also offered scholarships to the TIIC for the Utah Substance Abuse Fall Conference, which has been an ongoing partnership to help maintain prevention, treatment, and justice tracks for traditional Native American breakouts and presentations. Vital prevention information on underage drinking, family conflict regarding alcohol use and abuse during these breakouts.

DSAMH maintains a willingness and commitment to work with the nine Utah tribes in collaborating on resources to reduce substance abuse issues within Native American culture as well as increasing community resources. The tribes are identified as sovereign nations; therefore, we are only able to collaborate to the extent we are allowed. We continue to seek additional opportunities to participate in the Governor’s Native American Summit, other Native American conferences, Indian Health Services, and trainings, and assist our LSAA network in providing resources and statistics on risk related to underage drinking. Some of these objectives are addressed during annual site visits and monitoring of the state’s 13 Local Substance Abuse Authorities, which collaborate on prevention and treatment resources where necessary.

Additional Clarification

No data or clarification necessary at this time.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Division of Substance Abuse and Mental Health (DSAMH) meets with the Tribal Indian Issues Committee (TIIC) at their bimonthly meeting. At the meetings, TIIC communicates how DSAMH can best address their needs in regards to limited resources, the inclusion of cultural and tribal traditions and limited access to the tribes.

DSAMH remains committed to the efforts with the state’s nine tribes in participating in their prevention efforts to reduce the risk of substance abuse, particularly underage drinking and mental health risks. DSAMH is also engaged in continuous planning and discussion of ways to develop a shared purpose and mission for TIIC and participating in several Native American conferences and celebrations, such as the annual Native American Summit.

DSAMH also offered scholarships to the TIIC for the Utah Substance Abuse Fall Conference, which has been an ongoing partnership to help maintain prevention, treatment, and justice tracks for traditional Native American breakouts and presentations. Vital prevention information on underage drinking, family conflict regarding alcohol use and abuse during these breakouts.

DSAMH maintains a willingness and commitment to work with the nine Utah tribes in collaborating resources to reduce substance abuse issues within Native American culture as well as increasing community resources. The tribes are identified as sovereign nations, therefore the state is only able to collaborate to the extent allowed. DSAMH continues to seek additional opportunities to participate in the Governor’s Native American Summit, other Native American conferences, Indian Health Services, trainings and assisting the Local Substance Abuse Authority (or Authorities) (LSAA) network in providing resources and statistics on risk related to underage drinking. Some of these objectives are addressed during annual site visits and monitoring of the state’s 13 Local Substance Abuse Authorities that collaborate prevention and treatment resources where necessary.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Parents Empowered Media Campaign

ParentsEmpowered.org (PE) is a 9-year-old statewide media and education campaign funded by the Utah Legislature. PE is designed to prevent and reduce underage drinking in Utah by providing parents and guardians with information about the harmful effects of alcohol on the developing teen brain, along with proven skills for preventing underage alcohol use. The Utah Department of Alcoholic Beverage Control is the lead agency for the campaign and is working in partnership with other state agencies and organizations, including the following: Attorney General's Office, Department of Health, Department of Public Safety/Highway Patrol and Highway Safety Office, Division of Substance Abuse and Mental Health, Juvenile Court, State Office of Education, Mothers Against Drunk Driving (MADD)/Utah Chapter, Utah Prevention Network, and Utah Substance Abuse Advisory Coordinating Council.

ParentsEmpowered.org was formed in 2006, in response to the alarming new research compiled by the National Institutes of Health on how alcohol affects the developing teen brain (causing early addiction and brain impairment), when the U.S. Department of Health and Human Services (HHS) began an underage drinking initiative. HHS asked each state to form an eight-person Underage Drinking Prevention Team with a representative from each of the state agencies affected by the problems caused by underage drinking (e.g., the Juvenile Courts, Substance Abuse, Schools, Department of Health, Department of Alcoholic Beverage Control). The president of MADD-Utah joined this team when they were summoned back to Washington for a roundtable discussion. Teams from each of the 50 states met to discuss the problem of underage drinking and come up with solutions. Their first task was to hold town hall meetings in schools and community centers across their states, talk about the problems of underage drinking, and try to come up with strategies to prevent it. Utah held more town hall meetings than any other state, and had more attendees.

Goal of ParentsEmpowered.org: The goal is to eliminate underage drinking in Utah, along with the devastating effects that alcohol has on children by motivating and enabling parents to take a more active role in keeping their children alcohol-free. Research shows parental disapproval of underage drinking is the number one reason youth choose not to drink (SAMSHA, U.S. DHHS, 2005).

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA, CSAP, Center of Application Prevention Technology Yes

Agency(ies) within your state: Division of Substance Abuse and Mental Health, Department of Alcohol and Beverage Control, 13 Local Substance Abuse Authorities Yes

(county agencies that DSAMH contracts with to address substance abuse at the county and community level)

Nongovernmental agency(ies): R & R Partners (The advertising firm that manages the legislative portion of the funds to implement the Parents Empowered program on an annual basis. The staff collaborates with the Underage Drinking Prevention Committee that consists of government agencies) Yes

Other: None No

Best practice standards description: Utah has adopted the National Institute on Drug Abuse (NIDA) Guiding Principles document and created the Utah Guiding Principles. This document outlines principles and guidelines for substance abuse prevention programs, strategies, and policies in Utah. It is designed to ensure that prevention resources are effective and appropriate. This document was created in partnership with the Division of Substance Abuse and Mental Health, the Utah Behavioral Healthcare Committee's Prevention Network, and the Utah State Office of Education.

Much of this information has been taken from research cited by SAMHSA and the NIDA publication *Preventing Drug Abuse among Children and Adolescents*. Before the implementation of any prevention program or activity, the substance abuse prevention coordinator in one of the 13 Local Substance Abuse Authorities (LSAAs) in a county-aligned area must be contacted and consulted to help make sure an appropriate, effective, and consistent message is delivered in the participating community. Utah also follows CSAP Evidence-Based Guidelines for implementing evidence-based policies, programs, and strategies: To ensure that all interventions are evidence-based, the Division of Substance Abuse and Mental Health has convened an Evidence-Based Workgroup to review proposed interventions. CSAP has created a guidance document with criteria for considering an intervention to be evidence-based, which include:

- Definition 1: It is included on Division of Substance Abuse and Mental Health- approved federal lists or registries of evidence-based interventions.
- Definition 2: It is reported (with positive effects) in peer-reviewed journals.
- Definition 3: It has documented effectiveness supported by other sources of information and the consensus judgment of informed experts, as described in the following set of guidelines, all of which must be met. (Please note that all four criteria must be met.):
 - a. The intervention is based on a theory of change that is documented in a clear logic or conceptual model.
 - b. The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
 - c. The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to scientific standards of evidence and with results that show a consistent pattern or credible and positive effects.
 - d. The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes: well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review; local prevention practitioners; and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders within indigenous cultures. The Evidence-Based Workgroup will serve as the informed experts for Utah.

Also, through the Center of Application Prevention Technologies, (CAPT), Utah collaborates with this agency for technical assistance to ensure we are using the latest technology and web systems to track all prevention information related to prevention of underage drinking, DUI, alcohol consumption and other related issues with alcohol use.

Additional Clarification

No clarification needed at this time.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Doug Murakami

Email: dmurakami@utah.gov

Address: Utah Department of Alcoholic Beverage Control, 1625 South 900 West, PO Box 30408, Salt Lake City, UT 84130-0408

Phone: 801-977-6820

Agencies/organizations represented on the committee:

The Utah Substance Abuse Advisory (USAAV) Council's Prevention Advisory Committee oversees the Underage Drinking Prevention Workgroup. Although all interested are welcome to attend and participate in the Underage Drinking Prevention Workgroup, the voting membership consists of representatives from each of the following (one vote each):

Attorney General's Office

Department of Alcoholic Beverage Control

Department of Health

Department of Public Safety/Highway Safety Office

Division of Substance Abuse and Mental Health

Governor's Office/USAAV Council

Juvenile Court

Law Enforcement

Utah State Office of Education

Prevention Representative from Local Substance Abuse Authority Agencies

One Representative of the General Public Designated by the USAAV Council

A website or other public source exists to describe committee activities No

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Division of Substance Abuse and Mental Health, Department of Alcoholic Beverage Control, Utah Prevention Advisory Council

Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking**Compliance checks in retail outlets:**

Estimate of state funds expended \$39,370.99

Estimate based on the 12 months ending 12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended \$712,500

Estimate based on the 12 months ending 6/30/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$150,000

Estimate based on the 12 months ending 6/30/2014

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$600,000

Estimate based on the 12 months ending 6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Other programs:</i>	
Programs or strategies included	None at this time
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	No
Fees	Yes
Other Portion of income from sales of alcoholic beverages in Utah	Yes

Description of funding streams and how they are used:

Beer tax money distributed annually to municipalities and counties is to be used in part to “promote the reduction of alcohol consumption by minors”

Some fee on fines money is used to fund limited programs at the Utah State Office of Education.

0.6% of the income from sales of alcoholic beverages is utilized to fund the ParentsEmpowered Underage Drinking Prevention Campaign

Income from sales of alcoholic beverages is also utilized to fund compliance checks of establishments that serve alcoholic beverages to ensure minors are not served

Additional Clarification

No clarification needed at this time.