

**State Report**

**Minnesota**

This document is excerpted from:

**The September 2016 Report to Congress on the Prevention and Reduction of Underage Drinking**



## Minnesota

**State Population: 5,457,173**

**Population Ages 12–20: 632,000**

	Percentage	Number
<b>Ages 12–20</b>		
Past-Month Alcohol Use	22.1	140,000
Past-Month Binge Alcohol Use	13.8	87,000
<b>Ages 12–14</b>		
Past-Month Alcohol Use	2.6	5,000
Past-Month Binge Alcohol Use	0.7	1,000
<b>Ages 15–17</b>		
Past-Month Alcohol Use	17.6	39,000
Past-Month Binge Alcohol Use	9.5	21,000
<b>Ages 18–20</b>		
Past-Month Alcohol Use	46.2	96,000
Past-Month Binge Alcohol Use	31.4	65,000
<b>Alcohol-Attributable Deaths (under 21)</b>		50
<b>Years of Potential Life Lost (under 21)</b>		3,037
	Percentage of All Traffic Fatalities	Number
<b>Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC &gt; 0.01</b>	16	7

## Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes in specified locations – see below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<p><i>Note:</i> Although Minnesota does not prohibit internal possession as defined in this report, it has a statutory provision that makes it unlawful "[f]or any person under the age of 21 years to consume any alcoholic beverages" and further defines "consume" to "[include] the ingestion of an alcoholic beverage and the physical condition of having ingested an alcoholic beverage." Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?</li> </ul>	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

## Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> <li>Purchase of alcohol</li> </ul>	N/A
<ul style="list-style-type: none"> <li>Possession of alcohol</li> </ul>	N/A
<ul style="list-style-type: none"> <li>Consumption of alcohol</li> </ul>	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardian, or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians,, or adults before advancing to intermediate stage?	40 (15 of which must be at night)

<b>Intermediate Stage</b>	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, no more than one passenger under 20 who is not an immediate family member, unless accompanied by driver's parent or guardian. Second 6 months, no more than three passengers under 20, unless accompanied by driver's parent or guardian.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	17 (passenger restrictions expire 12 months after obtaining intermediate license; unsupervised night-driving restrictions expire 6 months after issuance of intermediate license)

### Laws Targeting Alcohol Suppliers

<b>Furnishing Alcohol to Minors</b>	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian supplies alcohol
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Compliance Check Protocols</b>	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Dress normally; no disguises or special makeup

Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	Yes
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified
<i>Note:</i> Minnesota provides for a reduced license fee as an incentive for retailers to implement beverage service training, among other programs.	

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
<i>Note:</i> In Minnesota, the minimum permitted age to sell 3.2% malt liquors for off-premises consumption is not specified.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18

Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1,500 feet of a public school, if not within a city
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1,500 feet of a public school, if not within a city
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<p><i>Note:</i> Minn. Stat. § 340A.801(6) states that nothing in Chapter 340A, Minnesota's alcohol beverage control law, "precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years." The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for dram shop common law liability.</p>	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes (social host must be 21 or older)
Does the statute limit elements or standards of proof?	Yes (knowingly or recklessly furnishing alcohol to a minor or permitting consumption by a minor)
Does common law social host liability exist?	No
<p><i>Note:</i> Minnesota law states that nothing in Minnesota's alcohol beverage control law precludes common law tort claims against any person age 21 or older who knowingly provides or furnishes alcoholic beverages to a person under 21. The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for social host common law liability.</p>	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No

Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

#### Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

#### Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

#### Keg Registration

How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/90 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)

Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (80% or more)
Are there exceptions to restrictions?	Yes (spirits aged in wood casks for no fewer than 2 years)

### Laws Affecting Alcohol Pricing

Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.15
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 3.2–6% alcohol beer (if applicable)	\$0.08 per gallon for beverages containing an alcohol content of 4% or less
<i>Note:</i> For malt liquor containing 4% alcohol or less, the 2.5% retail tax is applied only when sold at an on-sale or off-sale municipal liquor store or other establishment licensed to sell any type of intoxicating liquor.	

<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 6–14% alcohol wine (if applicable)	
<b>Spirits</b>	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$5.03
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 15–50% alcohol spirits (if applicable)	

<b>Low-Price, High-Volume Drink Specials</b>	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No

Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

<b>Wholesaler Pricing Restrictions</b>	
<b>Beer</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Wine</b>	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
<b>Spirits</b>	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
<i>Note: For wine, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases. For spirits, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases.</i>	

## Minnesota State Survey Responses

### State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Minnesota Department of Public Safety

### Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

### Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations <sup>2</sup> to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state <sup>3</sup>	No data
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts <b>random</b> underage compliance checks/decoy operations	No
Number of licensees subject to <b>random</b> state compliance checks/decoy operations	Not applicable
Number of licensees that failed <b>random</b> state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

### Sanctions

State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state <sup>4</sup>	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state <sup>5</sup>	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed <sup>6</sup>	Not applicable
Numbers pertain to the 12 months ending	Not applicable

**Additional Clarification**

The Alcohol & Gambling Enforcement Division of the Minnesota Department of Public Safety had a federal grant to administer funds for compliance checks and to maintain records of the outcome of the checks. The funding for the program was directed elsewhere, so the information contained in prior reports is not maintained by our division. Recent bills at the Minnesota legislature specifically targeting funding for underage enforcement left the Alcohol & Gambling Enforcement Division out of the language, meaning we receive no state funding specific to enforcement/compliance issues related to underage access to alcohol.

- <sup>1</sup> Or having consumed or purchased per state statutes.
- <sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
- <sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.
- <sup>4</sup> Does not include fines imposed by local agencies.
- <sup>5</sup> Does not include suspensions imposed by local agencies.
- <sup>6</sup> Does not include revocations imposed by local agencies.

**Underage Drinking Prevention Programs Operated or Funded by the State**

***Planning and Implementation Programs (P&I)***  
***(Minnesota Department of Human Services)***

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Email: Phyllis.bengtson@state.mn.us
URL for more program information:	Email: Phyllis.bengtson@state.mn.us

**Program Description:** These programs are funded using SAPT Block Grant prevention setaside dollars. Each P&I program contains the following set of strategies, programs, and services.

1. Community coalitions with a primary focus on reducing youth alcohol use. Each coalition meets monthly and has a vision and mission statement and written by-laws. Each has representation from the following 14 community sectors:
  - a. Youth (18 or younger)
  - b. Parents
  - c. High-risk subpopulations
  - d. Business
  - e. Media
  - f. School
  - g. Youth-serving organization
  - h. Law enforcement
  - i. Justice/Corrections
  - j. Religious or fraternal organization

- k. Civic/volunteer group (i.e., local organizations committed to volunteering; not a coalition member designated as "volunteer")
  - l. Healthcare professional
  - m. State/local/tribal government agency with expertise in substance abuse
  - n. Other organization involved in reducing substance abuse
2. Alcohol compliance checks at every establishment that sells alcoholic beverages within each community's geographic area at least twice annually.
  3. Responsible beverage server training in each community at least twice a year.
  4. Provide Project Northland to all 6th-, 7th-, and 8th-grade students in funded communities.
  5. Provide Class Action in all high schools in the geographic area of the funded communities.
  6. Provide capacity building in the form of specific training and technical assistance around effective coalitions, data collection, use of data, developing strategic plans, evaluation, cultural competency, sustainability, and other prevention topics identified as a need by the community.
  7. Implement environmental strategies within each funded community, such as passing social host ordinances, having alcohol compliance checks routinely conducted by law enforcement, banning advertisements for alcohol at local community events.
  8. A Positive Community Norms campaign to provide information and education around the true community norms of alcohol use and related behaviors and beliefs of underage youth, of the adult community population, and of the parent population.
  9. Work with a group of youth to identify and implement environmental changes chosen by the youth as ones that will reduce youth alcohol use.

**Regional Prevention Coordinators (RPCs)**

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Email: <a href="mailto:Al.fredrickson@state.mn.us">Al.fredrickson@state.mn.us</a>

**Program Description:** Minnesota is divided into seven prevention regions. Each RPC is responsible for providing technical assistance for those who request help in initiating, implementing, and sustaining ATOD prevention efforts in their region. The RPCs are trained in many aspects of community coalition work: evidence-based programming, community assessment, strategic planning, evaluation, and so on. Each RPC also provides at least one regional training on a topic determined by a training assessment survey. RPC programs are funded using SAPT Block Grant prevention funds from the required 20% setaside.

**Strategic Prevention Framework State Incentive Grant (SPF SIG)**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

**Program Description:** Overview of the SPF SIG program:

- Funding from SAMHSA/CSAP
- Approximately \$2.1 million/year for 5 years (July 2009 through June 2014—85% must go to communities)
- Minnesota's no-cost extension ended on June 30, 2015
- Minnesota is part of Cohort IV nationally
- SPF SIG is a Cooperative Agreement at both state and community levels

National SPF SIG Program Goals:

- Prevent onset and reduce progression of substance abuse, including childhood and underage drinking
- Reduce substance abuse–related problems in communities
- Build prevention capacity and infrastructure at state and community levels

Summary of the No-Cost Extension:

- Continue the implementation of selected strategies
- Strengthen local capacity and prevention infrastructure for sustainability
- Lay the groundwork for the community coalitions to continue past June 30, 2015, and grant funding
- Create the Prevention Request Support Application (PRSA) to build prevention capacity among diverse populations
- Process and outcome evaluation
- Administer data collection activities to compare with baseline data

**Strategic Prevention Framework Partnerships for Success Grant (SPF PFS)**

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

**Program Description:** Overview of the SPF PFS Program:

- Funding from SAMHSA/CSAP
- Approximately \$1.6 million/year for 5 years (September 30, 2014, through September 29, 2019)—85% must go to communities
- Seven 2-year and 4-year colleges/universities funded
- Minnesota is part of Cohort IV
- SPF PFS is a Cooperation Agreement at both the state and community levels.

Equally important, the SPF PFS program promotes the alignment and leveraging of prevention resources and priorities at the federal, state, and community levels.

Summary of Phase One Work:

- Assess community readiness, prevention capacity, and local campus data
- Strengthen local capacity and prevention infrastructure
- Lay the groundwork for future prevention efforts
- Analyze campus data to better serve high-risk subpopulations and groups that require culturally specific programming
- Administer baseline data collection activities

**MN Prevention Resource Center (MPRC)**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://www.mnprc.org">http://www.mnprc.org</a>

**Program Description:** The program is funded using SAPT Block Grant prevention setaside dollars. MPRC provides resources, information, education, support, and technical assistance to Minnesota's prevention network to prevent problems caused by alcohol, tobacco, and other drug use. This is accomplished by:

1. Providing a state-of-the-art website
2. Providing current and accurate prevention research

3. Providing FREE print materials (posters, brochures, DVDs)
4. Providing FREE and easy access to their research library
5. Providing a printed newsletter (IMPACT) twice annually to over 3,000 prevention practitioners
6. Providing an electronic news update (MNPREV) twice monthly to over 400 prevention professionals
7. Coordinating Minnesota's annual prevention conference
8. Coordinating seven Spring Forums annually (one per region) on timely and compelling prevention topics
9. Collaborating with the Alcohol and Drug Abuse Division and other state agencies to promote effective and coordinated prevention services throughout Minnesota

**Additional Underage Drinking Prevention Programs Operated or Funded by the State**

**Program description:** No data

**Additional Clarification**

No data

**Additional Information Related to Underage Drinking Prevention Programs**

*State collaborates with federally recognized tribal governments in the prevention of underage drinking* Yes

Description of collaboration: The Minnesota Department of Human Services, Alcohol and Drug Abuse Division, uses state-appropriated dollars to fund prevention programming on the following American Indian Tribal Reservations: Red Lake, White Earth, Leech Lake, Bois Forte, and Fond du Lac. In addition, the Division uses SAPT Block Grant dollars to fund some prevention programming in urban American Indian communities. Funding is funneled through the American Indian Program Section, a subunit within the Division. This unit functions as the conduit to the Indian tribes in Minnesota and local American Indian communities, providing training and technical assistance as requested by the tribes and American Indian Urban Prevention Programs. In addition, the Division's legislation requires it to create and maintain an American Indian Advisory Council consisting of representatives from the 11 federally recognized tribes in Minnesota, as well as Urban Prevention Programs located in Minneapolis, St. Paul, and International Falls. The advisory council advises the Division in matters related to substance abuse and addiction, treatment, and recovery services in the American Indian communities within the tribal reservations as well as local communities.

*State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing* Yes

Description of program: No data

*State has adopted or developed best practice standards for underage drinking prevention programs* Yes

Agencies/organizations that established best practices standards:  
 Federal agency(ies): SAMHSA/CSAP Yes  
 Agency(ies) within your state: Minnesota Department of Human Services, Alcohol and Drug Abuse Division Yes  
 Nongovernmental agency(ies): Community organizations Yes  
 Other: Minnesota Youth Yes

Best practice standards description: Fund programs that use the following:

- Data-driven assessment and decisions
- Evidence -based
- Follow the five-step SPF process
- Include cultural competency and sustainability planning from the beginning
- Comprehensive (multiple settings)
- Localized - Community-based
- Includes a community coalition whose main focus is the reduction of underage alcohol use
- Focuses on environmental strategies
- Includes a Positive Community Norms media campaign, works to change community norms

- Includes working with a youth group to identify from their view what community changes are needed to reduce youth alcohol use and then working with youth to make those changes
- Sustained over time

**Additional Clarification**

For the purpose of this survey, two primary state agencies provided feedback. The first is the Minnesota Department of Human Services, Alcohol and Drug Abuse Division, which also functions as the Single State Agency (SSA) for the federal Substance Abuse Prevention and Treatment Block Grant Award. This agency reports using best practices developed from information from SAMHSA/CSAP and evaluation results from MN's Cohort-1 P&I grants, whose past 30-day youth alcohol use rate was almost 10% higher than the state average at the start of the 5-year grant, and 2 years after funding ended, were below the state average. The second is the Department of Public Safety, Alcohol and Gambling Enforcement.

**State Interagency Collaboration**

*A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities* No data

*Committee contact information:* No data

*Agencies/organizations represented on the committee:* No data

*A website or other public source exists to describe committee activities* No data

URL or other means of access: No data

**Underage Drinking Reports**

*State has prepared a plan for preventing underage drinking in the last 3 years* Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

*State has prepared a report on preventing underage drinking in the last 3 years* Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

**Additional Clarification**

No data

**State Expenditures for the Prevention of Underage Drinking**

*Compliance checks in retail outlets:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

*Checkpoints and saturation patrols:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

*Community-based programs to prevent underage drinking:*

Estimate of state funds expended: P&I Grant program funding for community-based programs (\$1,940,000); RPC grant program funding for community-based programs (although it covers alcohol, tobacco, and other drugs, the majority of services are alcohol related (\$715,478); MPRC funding for prevention programming, including community-based, and covers all substances (\$520,000)

Estimate based on the 12 months ending 6/30/2015

*K-12 school-based programs to prevent underage drinking:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

*Programs targeted to institutes of higher learning:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

*Programs that target youth in the juvenile justice system:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

*Programs that target youth in the child welfare system:*

Estimate of state funds expended Data not available

Estimate based on the 12 months ending

Data not available

*Other programs:*

Programs or strategies included

No data

Estimate of state funds expended

No data

Estimate based on the 12 months ending

No data

**Funds Dedicated to Underage Drinking**

*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes

No data

Fines

No data

Fees

No data

Other

No data

*Description of funding streams and how they are used:*

No data

**Additional Clarification**

No data