

State Report

Alabama

This document is excerpted from:

The September 2016 Report to Congress on the Prevention and Reduction of Underage Drinking



Alabama

State Population: 4,849,377

Population Ages 12–20: 581,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	19.9	115,000
Past-Month Binge Alcohol Use	12.7	74,000
Ages 12–14		
Past-Month Alcohol Use	5.2	10,000
Past-Month Binge Alcohol Use	3	6,000
Ages 15–17		
Past-Month Alcohol Use	17.6	34,000
Past-Month Binge Alcohol Use	11.3	22,000
Ages 18–20		
Past-Month Alcohol Use	36.3	72,000
Past-Month Binge Alcohol Use	23.4	46,000
Alcohol-Attributable Deaths (under 21)		94
Years of Potential Life Lost (under 21)		5,662
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	20	21

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0 (with driver education; 30 hours without)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger who is not a parent,

	guardian, family member, or person at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy’s appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	21
Does a manager or supervisor have to be present?	Yes
<i>Note: A minor employee of an off-premises retail licensee may handle, transport, or sell beer or table wine, provided there is an adult employee in attendance at all times.</i>	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1 mile
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1 mile
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
<i>Note:</i> Alabama's provision requires that the adult social host be in attendance at the gathering or party in order for a violation to occur. The "preventive action" provision in Alabama requires the prosecution to prove that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A
Keg Registration	

How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Alabama is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.05
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted 10 am – 9 pm)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Alabama State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Alabama Law Enforcement Agency

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Alabama Law Enforcement Agency
Such laws are also enforced by local law enforcement agencies	Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	159
Number pertains to the 12 months ending	12/31/2014
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	13,882
Number of licensees checked for compliance by state agencies (including random checks)	3,781
Number of licensees that failed state compliance checks	322
Numbers pertain to the 12 months ending	12/31/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations	unknown
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	Unknown
Number of licensees that failed local compliance checks	322
Numbers pertain to the 12 months ending	12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors No

Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	12/31/2014

<i>State collect data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	Unknown
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Drug Education Council (DEC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://www.drugeducation.org

Program Description: DEC is a voluntary, nonprofit organization dedicated to promoting a drug-free society, preventing chemical dependency, and providing quality education, information, and intervention programs. DEC is located in Mobile, Alabama, and is certified in prevention services through the Alabama Department of Mental Health (ADMH). DEC uses four of the six Center for Substance Abuse Prevention (CSAP) strategies, including education, alternatives, community-based processes, and environmental. Their target focus is high-risk youth in rural, urban and suburban communities. DEC provides an array of prevention services and activities to these youth through evidenced-based programming for indicated, selected, and universal populations. DEC offers alternative activities promoting positive family connections; summer camps for youth that include fun recreational opportunities; field trips; and community service activities. DEC promotes community-based processes by working with community members and stakeholders to promote events such as Red Ribbon Week, collaborations to promote a year-long Red Ribbon Leadership Program, and promoting awareness in the community about underage drinking. DEC's environmental strategy includes the promotion of reduced access and availability to minors by creating community support for an ordinance banning portable coolers containing alcohol in storefronts. DEC anticipates serving 240 youth and one or more parent/guardian(s) through its CAPP (Chemical Abuse Prevention Program). These targets will also be served through alternative strategies. Through the KIDS ROCK program, DEC anticipates serving approximately 75 youth (these numbers represent FY 2015).

Alcohol and Drug Abuse Treatment Center, Inc. (ADATC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.adatc.org
Program Description: ADATC is a certified prevention provider located in Birmingham, Alabama. ADATC utilizes five of the six CSAP strategies to implement prevention services to high-risk youth (primarily in the Eastlake community), and its targeted area of implementation is considered urban. ADATC implements education, alternative, information dissemination, community-based processes, and environmental strategies. Strategies are implemented in a variety of mediums at various venues in the community, including videos developed by youth, Too Good for Drugs (an evidence-based curriculum), recreational and social events, town hall meetings, maintaining a youth coalition, and community service projects to name a few.	

Aletheia House (AH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.specialkindofcaring.org

Program Description: AH is a community-based organization committed to empowering individuals, and the communities in which they live, with the skills and services they need to become responsible for their own well-being. AH is certified as a substance abuse prevention provider by ADMH and provides prevention services to youth in the Birmingham area (considered urban). AH utilizes education, alternative, and environmental strategies to implement its prevention programs. AH uses the evidence-based Positive Action curriculum to deliver prevention programs in some area middle schools. Alternative strategies are implemented by providing a summer camp for youth, recognition events, culturally based activities, and intergenerational events that promote positive family and community interaction. AH's environmental strategy focuses on reducing access and availability of alcohol to minors by providing training to neighborhood residents, community mapping to collect data, and use of social media to mobilize community members to promote the implementation of a portable cooler relocation program among convenience store owners. AH anticipates serving approximately 200 youth through these efforts. Signature youth prevention programs include "Kids who Care," "Teens who Care," and "Ebony Pearls."

Aliceville Housing Authority

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: Aliceville Housing Authority is located in Aliceville, Alabama (Pickens County), and is certified by ADMH to provide prevention services. Primary prevention efforts are focused on high-risk youth and include providing education and alternatives to the youth in the community. Additionally, Aliceville has sought to establish a formal coalition within the community to promote awareness and enhance the ability of the community to provide more effective prevention and treatment services. The environmental strategy focuses on population-level change. Efforts in the environmental strategy include collaborating with law enforcement to conduct sobriety checks at critical times, working to enforce curfews, and raising awareness about the dangers of underage drinking.

Cherokee-Etowah-DeKalb (CED) Mental Health

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: CED provides prevention services through the implementation of five of the six CSAP strategies. CED is certified by ADMH to provide prevention services. Information dissemination is implemented through youth-designed billboard advertising, community health fairs, pamphlets, public service announcements, and television commercials. Education and alternatives are provided to 6th and 7th graders in various schools throughout its three-county service area as well as summer camps and in collaboration with the local Boys and Girls club. CED guides leadership in strengthening the organization structure and function of each of three coalitions for the purpose of enhancing policies and by-laws, in an effort to ensure the sustainability of the coalition membership and the community services they provide. Environmental services focus on access and availability of alcohol to youth. CED works with law enforcement and local government to support an ordinance for removal of portable coolers containing alcoholic beverages in storefronts of licensed alcohol retail vendors.

Mountain Lakes Behavioral Healthcare (MLBH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.mlbhc.com

Program Description: The MLBH Substance Abuse Prevention Program focuses on teaching life skills to both children and adults. MLBH is certified by ADMH to deliver prevention services. Children's workshops focus on using a variety of activities to encourage children to develop self-respect and respect for others. Adult workshops include parenting classes, stress-management, and general workshops on alcoholism and addiction. MLBH implements five of the six CSAP strategies, with the exception of Problem Identification and Referral. MLBH implements information dissemination by providing youth and parents/guardians with information on ATOD in coordination with local schools and businesses. Additionally, they disseminate information at local sporting events and health fairs. Education is implemented using the Brain Power evidence-based curriculum to 5th graders in Marshal and Jackson counties. Too Good for Drugs is implemented at the local Boys and Girls club in Jackson County. A third education program will be implemented with a "selected" population using the Positive Action curriculum. Alternative strategies are implemented with students receiving prevention education through MLBH. The Community-Based Process strategy focuses on underage drinking, social hosting, and prescription drug abuse. MLBH provides workshops on those topics and works with local churches, civic groups, and other stakeholders to promote MLBH prevention programs. The environmental strategy focuses on passing an ordinance to ban portable ice coolers in fronts of licensed alcohol vendor retail outlets (i.e., convenience stores) to reduce access and availability of alcohol to youth.

Mental Healthcare of Cullman

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.mentalhealthcareofcullman.org/prevention.html

Program Description: "HEADS UP" (HU) Is an Environmental Prevention Program of Mental Healthcare of Cullman located on the campus of Wallace State Community College in Hanceville, Alabama. HU has an office located in

the student center, open daily Monday–Friday, and is available to all students and faculty as a prevention resource. The office provides a relaxed environment within the college setting to promote healthy peer interactions while receiving information about risks of alcohol/drug use and abuse. Through this program, the Prevention Coordinator has the opportunity to build and establish healthy relationships with students. The overall goal is to reduce underage drinking. HEADS UP uses online student surveys to gather data about student perceptions and attitudes about drug and alcohol usage. The HEADS UP Program Prevention Coordinator works with campus law enforcement and the administration to promote dorm checks, collect data on related incidents, and assist in reviewing infractions with campus police to help identify areas to increase or enhance, or to implement further prevention efforts.

West Alabama Mental Health Center (WAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.wamhc.org

Program Description: WAMHC is certified through ADMH to provide prevention services. Prevention is listed under its Substance Abuse Programs. WAMHC utilizes three of the six CSAP strategies to include education, alternatives, and environmental strategies. Too Good for Drugs is the educational curriculum implemented in schools in Marengo and Hale counties. Alternative strategies are implemented through a 2-week summer camp and various activities throughout the year. As part of its environmental strategy to reduce access and availability, WAMHC has implemented a Reward Reminder Visit (RRV) protocol in Marengo and Hale counties. It also has worked to obtain a proclamation in support of the RRV campaign by the mayor and city council. WAMHC works to keep community members abreast of its prevention efforts through flyers, news bulletins, radio, and newsletters.

Franklin Primary Health Center (FPHC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://franklinprimary.org

Program Description: FPHC is a comprehensive health center providing health, social, medical, and dental services. Its prevention program is certified by ADMH. FPHC's prevention goal involves use of environmental strategies to reduce access and availability of alcohol to minors by passing an ordinance in the city of Mobile prohibiting the placement of portable coolers containing alcohol in store fronts (i.e., convenience stores). FPHC provides materials about the consequences of underage drinking to community members, meets with the city council, and intends to present a formal proposal of the ordinance to the city council.

Riverbend Center for Mental Health (RCMH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.rcmh.org/programs.htm

Program Description: RCMH is certified by ADMH to provide prevention services. RCMH uses a community-centered approach utilizing the following CSAP strategies: information dissemination, education, alternatives,

community-based processes, and environmental. Information on alcohol, tobacco, and other drugs is provided to youth at local schools through bi-annual assemblies, dissemination at various venues, and speaking engagements. The Life Skills Training curriculum is implemented in 11 area schools and the SPAN (Special Program Achievement Network) is provided as required for students in Lauderdale County and Florence city schools by the juvenile court system. Riverbend provides a variety of alternative activities for youth enrolled in the afterschool educational programs and during the summer. These activities help youth build resilience and promote social skills. Community-based process strategies focus on interagency collaborations among the children's policy council and the Sheffield coalition to increase collaborations and partnerships among agencies. Environmental activities include the development of a partnership with the University of North Alabama to create a campus policy that mandates that a uniformed campus police officer be present at all campus events where alcohol is being served in an effort to reduce underage drinking and incidences of minors in possessions and DUI infractions.

Northwest Alabama Mental Health Center (NWAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.nwamhc.com

Program Description: NWAMHC is certified by ADMH to provide prevention services. NWAMHC uses four of the six CSAP strategies, including education, alternatives, information dissemination, and environmental. NWAMHC uses various community events and venues to provide information, including student-designed materials such as health fairs, Safe Prom activities, Jasper mall, and kids promotional week. Too Good For Drugs is the evidence-based curriculum used in 14 schools and 5 afterschool and summer programs. Youth participating in educational programs are provided opportunities to participate in alternative activities in classroom sessions as well as after school and during the summer. Environmental strategies consist of working with the ABC board in Walker county to increase compliance checks. Past efforts in this area resulted in significant reduction in purchase of alcohol by minors as reported to them by the ABC Board.

East Alabama Mental Health Center (EAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	No data
URL for more program information	http://www.eastalabamamhc.org

Program Description: EAMHC is certified by ADMH to provide prevention services. It is located in Opelika, Alabama, and provides an array of prevention services through education, alternative, and environmental prevention strategies. The evidence-based Life Skills Training and Project Alert programs are used to deliver education to high-risk students, some of which include a selected population where small groups are utilized. EAMHC provides several alternative opportunities for youth who participate in the education programs. Those activities include but are not limited to afterschool programs and youth summer camps. EAMHC is active and present in the community and assists with Project Graduation at both Auburn and Opelika High Schools. Project Graduation is a major event held after graduation to provide youth with a safe, drug-free environment to celebrate their accomplishments. The night is filled with food, fun, games, dancing, and prizes and is well attended and supported by parents, adult volunteers, and local law enforcement. The primary focus of EAMHC's environmental strategy is to reduce access and availability of alcohol to minors through the use of fake IDs. The primary risk factor is community norms (practices and policies; attitudes and beliefs) that perpetuate the use of fake IDs by underage youth to attain alcohol.

Altapointe Health Systems

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	https://www.facebook.com/BaldwinCountyCommunityAlliance?fref=ts

Program Description: Altapointe Health Systems is certified by ADMH, serving Baldwin County. Altapointe implements four of the six CSAP strategies to include education, alternatives, community-based processes, and environmental. The Too Good For Drugs and Life Skills Training evidenced-based curricula are used to provide education in various area schools including 3rd- through 7th-grade students. These same students are offered alternative strategy opportunities through field trips and summer programs. Community-based processes: Altapointe leads and works in collaboration with the Baldwin County Community Alliance to promote programs such as Prescription Drug Take Back events and installation of permanent prescription drug drop boxes. Environmental strategies include: Goal 1, Provide Responsible Vendor Training to licensed alcohol vendors in Baldwin County; Goal 2, Deter underage drinking during holidays, proms, sporting events, Mardi Gras, and graduation through mass media campaigns; and Goal 3, Deter underage drinking and prescription drug use through Baldwin County Community Alliance–sponsored events and contests.

MHC of North Alabama/Quest Recovery Center

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	https://www.facebook.com/QuestRecoveryCenter?fref=ts

Program Description: MHC of North Alabama/Quest Recovery Center, located in Decatur, Alabama, is certified by ADMH to provide prevention services. MHC implements five of the six CSAP strategies with the exception of problem identification and referral. Target priorities for information dissemination are prescription drugs and underage drinking. Eight primary venues may include health fairs, Child Safety Conference, Calhoun Community College, school events, media (media campaigns and media outlets and social media websites, newspaper articles), speaking engagements, businesses (pharmacy take home bags, 20,000–25,000 utilities customers, florists, dress/tuxedo shops, limo drivers, alcohol vendors/merchants), and community agencies/organizations (medical offices, Advisory Committee, MHC Board of Directors, MCSAN Coalition, senior citizen centers, Area Agency on Aging, Community Action, Commission on Aging, and law enforcement personnel, etc.). Media campaigns include information about prescription and over-the-counter drug misuse, Prescription Drug Take-Back Day and Lock Your Meds campaigns, and information about substance abuse and unhealthy behaviors. Underage drinking campaigns will include materials from Parents Who Host Lose the Most and information to support compliance with the Decatur City Alcoholic Beverage Ordinance including the Responsible Vendor Program. Prevention education will be provided during school, after school, and during the summer at different sites throughout Morgan and Limestone counties, including PAWS After School program and Boys and Girls Clubs (St. Paul’s, Ardmore, Limestone County/Athens). The summer program site is Camp Safe Haven. The evidence-based curriculum at the four schools include Too Good for Drugs, Too Good for Violence; Too Good for Drugs & Violence–HS, Safe Dates, Girls Circle, and PATHS. The afterschool education programs at the four alternative sites and one summer program are Too Good for Drugs, Too Good for Violence, Too Good for Drugs & Violence–after school, Safe Dates, Girls Circle, and PATHS.

Alternative activities after school and in the summer at the community sites include community service activities such as planting a garden at the Boys and Girls Club location(s); preparing nursing home and military family goodie boxes; community cleanup activities; exercise programs, physical education activities, and games; and arts and crafts projects. Participants are referred by schools, Boys and Girls clubs, afterschool programs, summer programs, and daycare programs. The target priority for this strategy is to increase participation in the Morgan County Substance Abuse Network (MCSAN) coalition activities and events. MCSAN is a community antidrug coalition with more than 20 active members representing numerous community sectors. Additional community members support the coalition as volunteers and committee members. Target priorities for this strategy are (a) to conduct activities in Morgan County designed to reduce access and availability of alcohol to minors and to raise community awareness of the dangers of underage drinking and (b) conduct activities in Morgan County designed to reduce and/or prevent prescription and over-the-counter drug misuse and abuse and raise community awareness of the dangers of diverting medication for unintended use.

Cheaha Regional Mental Health Center (CRMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: CRMHC, located in Sylacauga, Alabama, is certified to provide prevention services. Cheaha implements five of the six CSAP strategies with the exception of problem identification and referral. Three objectives to promote information dissemination include (a) increase health fair participation from four to six health fairs a year; (b) collaborate and schedule Mental Health First Aid (Youth and Adult) at least four times a year in the Southern Talladega County Area, and (c) collaborate with local stakeholders and become involved with community events (e.g., Promise Day, National Night Out, Recovery Month Celebrations, and at least three school events). Education is implemented through a collaboration with the Sylacauga Alliance for Family Enhancement, Inc. (SAFE), a community-based, nonprofit organization whose purpose is to provide meaningful opportunities for families to contribute to the growth of the community, serve others, promote community cohesion, and continue to provide afterschool educational activities throughout the school year. The Prevention Specialists will provide educational activities by using Life Skills Training, Too Good For Drugs, Too Good for Violence, or Protecting Me – Protecting You.

Alternative strategies are also implemented year-round through this collaboration by 21st Century Learning Center. The children from grades 2 through grade 8 will take part in school tutoring and through weekly field trips and activities that will include the library, Blue Bell Creamery, swimming, fishing, exercise classes and activities, music classes, healthy eating classes, and others. Community-based process includes fostering growth of the CRMHC Prevention Coalition. The Coalition will meet once a month and work as a community planning committee. It will continue to collaborate with other Southern Talladega county organizations and coalitions to promote mental health and wellness and substance abuse prevention, in particular Preventing Underage Alcohol and Tobacco Abuse in the Southern Talladega county and Coosa County areas. Environmental strategies include providing for social media campaigns that will cover both Talladega and Coosa County. Collaborations will aim to improve and increase the number of drivers license checks in both counties by the ABC Board, and, with the Alabama Department of Public Health to decrease tobacco rates in youth for both counties.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: Alabama currently has 9 certified prevention providers that are subrecipients of the State Prevention Framework State Incentive Grant (SPF SIG), which provides services in 20 counties in Alabama. The programs receive oversight from the SPF SIG Project Director and two SPF SIG prevention consultants. Those providers focus on underage drinking, and implementation is accomplished primarily through coalition efforts in those counties. All providers and coalitions are provided extensive training and technical assistance utilizing the SPF model and best practices. Coalitions operate under the guidance of the following certified prevention

providers: Southern Prevention Associates, East Alabama Mental Health, Altapointe Health Systems, Cheaha Mental Health Center, Drug Education Council, Cahaba Mental Health, Covington County Children's Policy Council, Lighthouse Counseling Center, and SpectraCare.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The Alabama Department of Mental Health currently certifies 28 community organizations to provide substance abuse prevention services to approximately 55 percent of counties throughout Alabama. Of those providers, 26 currently receive Block Grant funds and 9 of those agencies are subrecipients of SPF SIG funds implementing services in 20 counties.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP State Prevention Framework (SPF Model) Yes

Agency(ies) within your state: Alabama Department of Mental Health Substance Abuse Prevention Standards Yes

Prevention Standards

Nongovernmental agency(ies): No

Other: National Registry of Evidenced Based Programs and Practices Yes

Best practice standards description: To ensure compliance, all certified agencies are provided training on the State Prevention Framework Model and must utilize this model in the development of their prevention plans. All providers must adhere to the State Prevention Standards, which include standards for personnel, performance improvement, documentation and prevention records, and community planning and definitions. Strategy implementation must encompass use of Evidence Based Programs and Practices.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Beverly Johnson

Email: beverly.johnson@mh.alabama.gov

Address: 100 N. Union Street, RSA Suite 420, Montgomery, AL 36130

Phone: 334-353-8566

Agencies/organizations represented on the committee:

Alabama State Department of Education

Southern Prevention Associates, LLC

Family Guidance Center

Big Lots Distribution Center

Alabama State University

Alabama Campaign to Prevent Teen Pregnancy

United States Army

Homewood City Schools

Montgomery County Sheriff's Office

Elmore County District Judge's Office

Alabama Department of Human Resources

Alabama Department of Corrections

Office of the Attorney General

Foster Care Family Preservation
 Germane Solutions
 Auburn University at Montgomery
 University of Alabama Tuscaloosa
 Substance Abuse and Mental Health Services Administration

A website or other public source exists to describe committee activities Yes
 URL or other means of access: http://www.mh.alabama.gov/SAPV/?sm=d_d

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: State Prevention Framework State Incentive Grant Management Team (SPF SIG Director/Coordinator, Epidemiologist, State Evaluator, CSAP Prevention Fellow, and State Prevention Advisory Board Chair) and oversight and guidance from State Prevention Advisory Board and Alabama Epidemiological Outcomes Workgroup. Plan can be accessed via:

<http://www.mh.alabama.gov/Downloads/SAPV/AlabamaStrategicPlan.pdf>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Alabama Epidemiological Outcomes Workgroup

Plan can be accessed via: <http://www.mh.alabama.gov/Downloads/SAPV/EpidemiologicalProfile.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending 12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending 12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included Data not available
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No
 Fines No
 Fees No

Other Not applicable	No
<i>Description of funding streams and how they are used:</i>	Not applicable
Additional Clarification	

Alabama cannot respond for the entire use of state funds for this purpose. Alabama can only account for the funds this state agency receives for such purpose. Of the state funds received by this office, \$178,981 goes toward underage drinking efforts through community programming (coalitions) and enforcement.