

STATE REPORTS

(Alabama-Montana)

This document is excerpted from:

The September 2016 Report to Congress on the Prevention and Reduction of Underage Drinking



Alabama

State Population: 4,849,377

Population Ages 12–20: 581,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	19.9	115,000
Past-Month Binge Alcohol Use	12.7	74,000
Ages 12–14		
Past-Month Alcohol Use	5.2	10,000
Past-Month Binge Alcohol Use	3	6,000
Ages 15–17		
Past-Month Alcohol Use	17.6	34,000
Past-Month Binge Alcohol Use	11.3	22,000
Ages 18–20		
Past-Month Alcohol Use	36.3	72,000
Past-Month Binge Alcohol Use	23.4	46,000
Alcohol-Attributable Deaths (under 21)		94
Years of Potential Life Lost (under 21)		5,662
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	20	21

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0 (with driver education; 30 hours without)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger who is not a parent,

	guardian, family member, or person at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	21
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> A minor employee of an off-premises retail licensee may handle, transport, or sell beer or table wine, provided there is an adult employee in attendance at all times.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1 mile
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1 mile
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Note: Alabama's provision requires that the adult social host be in attendance at the gathering or party in order for a violation to occur. The "preventive action" provision in Alabama requires the prosecution to prove that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A
Keg Registration	

How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Alabama is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.05
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (permitted 10 am – 9 pm)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Alabama State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Alabama Law Enforcement Agency

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Alabama Law Enforcement Agency
Such laws are also enforced by local law enforcement agencies	Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	159
Number pertains to the 12 months ending	12/31/2014
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	13,882
Number of licensees checked for compliance by state agencies (including random checks)	3,781
Number of licensees that failed state compliance checks	322
Numbers pertain to the 12 months ending	12/31/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations	unknown
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	Unknown
Number of licensees that failed local compliance checks	322
Numbers pertain to the 12 months ending	12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors No

Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	12/31/2014

<i>State collect data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	Unknown
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Drug Education Council (DEC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://www.drugeducation.org

Program Description: DEC is a voluntary, nonprofit organization dedicated to promoting a drug-free society, preventing chemical dependency, and providing quality education, information, and intervention programs. DEC is located in Mobile, Alabama, and is certified in prevention services through the Alabama Department of Mental Health (ADMH). DEC uses four of the six Center for Substance Abuse Prevention (CSAP) strategies, including education, alternatives, community-based processes, and environmental. Their target focus is high-risk youth in rural, urban and suburban communities. DEC provides an array of prevention services and activities to these youth through evidenced-based programming for indicated, selected, and universal populations. DEC offers alternative activities promoting positive family connections; summer camps for youth that include fun recreational opportunities; field trips; and community service activities. DEC promotes community-based processes by working with community members and stakeholders to promote events such as Red Ribbon Week, collaborations to promote a year-long Red Ribbon Leadership Program, and promoting awareness in the community about underage drinking. DEC's environmental strategy includes the promotion of reduced access and availability to minors by creating community support for an ordinance banning portable coolers containing alcohol in storefronts. DEC anticipates serving 240 youth and one or more parent/guardian(s) through its CAPP (Chemical Abuse Prevention Program). These targets will also be served through alternative strategies. Through the KIDS ROCK program, DEC anticipates serving approximately 75 youth (these numbers represent FY 2015).

Alcohol and Drug Abuse Treatment Center, Inc. (ADATC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.adatc.org

Program Description: ADATC is a certified prevention provider located in Birmingham, Alabama. ADATC utilizes five of the six CSAP strategies to implement prevention services to high-risk youth (primarily in the Eastlake community), and its targeted area of implementation is considered urban. ADATC implements education, alternative, information dissemination, community-based processes, and environmental strategies. Strategies are implemented in a variety of mediums at various venues in the community, including videos developed by youth, Too Good for Drugs (an evidence-based curriculum), recreational and social events, town hall meetings, maintaining a youth coalition, and community service projects to name a few.

Aletheia House (AH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.specialkindofcaring.org

Program Description: AH is a community-based organization committed to empowering individuals, and the communities in which they live, with the skills and services they need to become responsible for their own well-being. AH is certified as a substance abuse prevention provider by ADMH and provides prevention services to youth in the Birmingham area (considered urban). AH utilizes education, alternative, and environmental strategies to implement its prevention programs. AH uses the evidence-based Positive Action curriculum to deliver prevention programs in some area middle schools. Alternative strategies are implemented by providing a summer camp for youth, recognition events, culturally based activities, and intergenerational events that promote positive family and community interaction. AH's environmental strategy focuses on reducing access and availability of alcohol to minors by providing training to neighborhood residents, community mapping to collect data, and use of social media to mobilize community members to promote the implementation of a portable cooler relocation program among convenience store owners. AH anticipates serving approximately 200 youth through these efforts. Signature youth prevention programs include "Kids who Care," "Teens who Care," and "Ebony Pearls."

Aliceville Housing Authority

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: Aliceville Housing Authority is located in Aliceville, Alabama (Pickens County), and is certified by ADMH to provide prevention services. Primary prevention efforts are focused on high-risk youth and include providing education and alternatives to the youth in the community. Additionally, Aliceville has sought to establish a formal coalition within the community to promote awareness and enhance the ability of the community to provide more effective prevention and treatment services. The environmental strategy focuses on population-level change. Efforts in the environmental strategy include collaborating with law enforcement to conduct sobriety checks at critical times, working to enforce curfews, and raising awareness about the dangers of underage drinking.

Cherokee-Etowah-DeKalb (CED) Mental Health

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: CED provides prevention services through the implementation of five of the six CSAP strategies. CED is certified by ADMH to provide prevention services. Information dissemination is implemented through youth-designed billboard advertising, community health fairs, pamphlets, public service announcements, and television commercials. Education and alternatives are provided to 6th and 7th graders in various schools throughout its three-county service area as well as summer camps and in collaboration with the local Boys and Girls club. CED guides leadership in strengthening the organization structure and function of each of three coalitions for the purpose of enhancing policies and by-laws, in an effort to ensure the sustainability of the coalition membership and the community services they provide. Environmental services focus on access and availability of alcohol to youth. CED works with law enforcement and local government to support an ordinance for removal of portable coolers containing alcoholic beverages in storefronts of licensed alcohol retail vendors.

Mountain Lakes Behavioral Healthcare (MLBH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.mlbhc.com

Program Description: The MLBH Substance Abuse Prevention Program focuses on teaching life skills to both children and adults. MLBH is certified by ADMH to deliver prevention services. Children's workshops focus on using a variety of activities to encourage children to develop self-respect and respect for others. Adult workshops include parenting classes, stress-management, and general workshops on alcoholism and addiction. MLBH implements five of the six CSAP strategies, with the exception of Problem Identification and Referral. MLBH implements information dissemination by providing youth and parents/guardians with information on ATOD in coordination with local schools and businesses. Additionally, they disseminate information at local sporting events and health fairs. Education is implemented using the Brain Power evidence-based curriculum to 5th graders in Marshal and Jackson counties. Too Good for Drugs is implemented at the local Boys and Girls club in Jackson County. A third education program will be implemented with a "selected" population using the Positive Action curriculum. Alternative strategies are implemented with students receiving prevention education through MLBH. The Community-Based Process strategy focuses on underage drinking, social hosting, and prescription drug abuse. MLBH provides workshops on those topics and works with local churches, civic groups, and other stakeholders to promote MLBH prevention programs. The environmental strategy focuses on passing an ordinance to ban portable ice coolers in fronts of licensed alcohol vendor retail outlets (i.e., convenience stores) to reduce access and availability of alcohol to youth.

Mental Healthcare of Cullman

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.mentalhealthcareofcullman.org/prevention.html

Program Description: "HEADS UP" (HU) Is an Environmental Prevention Program of Mental Healthcare of Cullman located on the campus of Wallace State Community College in Hanceville, Alabama. HU has an office located in

the student center, open daily Monday–Friday, and is available to all students and faculty as a prevention resource. The office provides a relaxed environment within the college setting to promote healthy peer interactions while receiving information about risks of alcohol/drug use and abuse. Through this program, the Prevention Coordinator has the opportunity to build and establish healthy relationships with students. The overall goal is to reduce underage drinking. HEADS UP uses online student surveys to gather data about student perceptions and attitudes about drug and alcohol usage. The HEADS UP Program Prevention Coordinator works with campus law enforcement and the administration to promote dorm checks, collect data on related incidents, and assist in reviewing infractions with campus police to help identify areas to increase or enhance, or to implement further prevention efforts.

West Alabama Mental Health Center (WAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.wamhc.org

Program Description: WAMHC is certified through ADMH to provide prevention services. Prevention is listed under its Substance Abuse Programs. WAMHC utilizes three of the six CSAP strategies to include education, alternatives, and environmental strategies. Too Good for Drugs is the educational curriculum implemented in schools in Marengo and Hale counties. Alternative strategies are implemented through a 2-week summer camp and various activities throughout the year. As part of its environmental strategy to reduce access and availability, WAMHC has implemented a Reward Reminder Visit (RRV) protocol in Marengo and Hale counties. It also has worked to obtain a proclamation in support of the RRV campaign by the mayor and city council. WAMHC works to keep community members abreast of its prevention efforts through flyers, news bulletins, radio, and newsletters.

Franklin Primary Health Center (FPHC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://franklinprimary.org

Program Description: FPHC is a comprehensive health center providing health, social, medical, and dental services. Its prevention program is certified by ADMH. FPHC's prevention goal involves use of environmental strategies to reduce access and availability of alcohol to minors by passing an ordinance in the city of Mobile prohibiting the placement of portable coolers containing alcohol in store fronts (i.e., convenience stores). FPHC provides materials about the consequences of underage drinking to community members, meets with the city council, and intends to present a formal proposal of the ordinance to the city council.

Riverbend Center for Mental Health (RCMH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.rcmh.org/programs.htm

Program Description: RCMH is certified by ADMH to provide prevention services. RCMH uses a community-centered approach utilizing the following CSAP strategies: information dissemination, education, alternatives,

community-based processes, and environmental. Information on alcohol, tobacco, and other drugs is provided to youth at local schools through bi-annual assemblies, dissemination at various venues, and speaking engagements. The Life Skills Training curriculum is implemented in 11 area schools and the SPAN (Special Program Achievement Network) is provided as required for students in Lauderdale County and Florence city schools by the juvenile court system. Riverbend provides a variety of alternative activities for youth enrolled in the afterschool educational programs and during the summer. These activities help youth build resilience and promote social skills. Community-based process strategies focus on interagency collaborations among the children's policy council and the Sheffield coalition to increase collaborations and partnerships among agencies. Environmental activities include the development of a partnership with the University of North Alabama to create a campus policy that mandates that a uniformed campus police officer be present at all campus events where alcohol is being served in an effort to reduce underage drinking and incidences of minors in possessions and DUI infractions.

Northwest Alabama Mental Health Center (NWAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.nwamhc.com

Program Description: NWAMHC is certified by ADMH to provide prevention services. NWAMHC uses four of the six CSAP strategies, including education, alternatives, information dissemination, and environmental. NWAMHC uses various community events and venues to provide information, including student-designed materials such as health fairs, Safe Prom activities, Jasper mall, and kids promotional week. Too Good For Drugs is the evidence-based curriculum used in 14 schools and 5 afterschool and summer programs. Youth participating in educational programs are provided opportunities to participate in alternative activities in classroom sessions as well as after school and during the summer. Environmental strategies consist of working with the ABC board in Walker county to increase compliance checks. Past efforts in this area resulted in significant reduction in purchase of alcohol by minors as reported to them by the ABC Board.

East Alabama Mental Health Center (EAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	No data
URL for more program information	http://www.eastalabamamhc.org

Program Description: EAMHC is certified by ADMH to provide prevention services. It is located in Opelika, Alabama, and provides an array of prevention services through education, alternative, and environmental prevention strategies. The evidence-based Life Skills Training and Project Alert programs are used to deliver education to high-risk students, some of which include a selected population where small groups are utilized. EAMHC provides several alternative opportunities for youth who participate in the education programs. Those activities include but are not limited to afterschool programs and youth summer camps. EAMHC is active and present in the community and assists with Project Graduation at both Auburn and Opelika High Schools. Project Graduation is a major event held after graduation to provide youth with a safe, drug-free environment to celebrate their accomplishments. The night is filled with food, fun, games, dancing, and prizes and is well attended and supported by parents, adult volunteers, and local law enforcement. The primary focus of EAMHC's environmental strategy is to reduce access and availability of alcohol to minors through the use of fake IDs. The primary risk factor is community norms (practices and policies; attitudes and beliefs) that perpetuate the use of fake IDs by underage youth to attain alcohol.

Altapointe Health Systems

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	https://www.facebook.com/BaldwinCountyCommunityAlliance?fref=ts

Program Description: Altapointe Health Systems is certified by ADMH, serving Baldwin County. Altapointe implements four of the six CSAP strategies to include education, alternatives, community-based processes, and environmental. The Too Good For Drugs and Life Skills Training evidenced-based curricula are used to provide education in various area schools including 3rd- through 7th-grade students. These same students are offered alternative strategy opportunities through field trips and summer programs. Community-based processes: Altapointe leads and works in collaboration with the Baldwin County Community Alliance to promote programs such as Prescription Drug Take Back events and installation of permanent prescription drug drop boxes. Environmental strategies include: Goal 1, Provide Responsible Vendor Training to licensed alcohol vendors in Baldwin County; Goal 2, Deter underage drinking during holidays, proms, sporting events, Mardi Gras, and graduation through mass media campaigns; and Goal 3, Deter underage drinking and prescription drug use through Baldwin County Community Alliance-sponsored events and contests.

MHC of North Alabama/Quest Recovery Center

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	https://www.facebook.com/QuestRecoveryCenter?fref=ts

Program Description: MHC of North Alabama/Quest Recovery Center, located in Decatur, Alabama, is certified by ADMH to provide prevention services. MHC implements five of the six CSAP strategies with the exception of problem identification and referral. Target priorities for information dissemination are prescription drugs and underage drinking. Eight primary venues may include health fairs, Child Safety Conference, Calhoun Community College, school events, media (media campaigns and media outlets and social media websites, newspaper articles), speaking engagements, businesses (pharmacy take home bags, 20,000–25,000 utilities customers, florists, dress/tuxedo shops, limo drivers, alcohol vendors/merchants), and community agencies/organizations (medical offices, Advisory Committee, MHC Board of Directors, MCSAN Coalition, senior citizen centers, Area Agency on Aging, Community Action, Commission on Aging, and law enforcement personnel, etc.). Media campaigns include information about prescription and over-the-counter drug misuse, Prescription Drug Take-Back Day and Lock Your Meds campaigns, and information about substance abuse and unhealthy behaviors. Underage drinking campaigns will include materials from Parents Who Host Lose the Most and information to support compliance with the Decatur City Alcoholic Beverage Ordinance including the Responsible Vendor Program. Prevention education will be provided during school, after school, and during the summer at different sites throughout Morgan and Limestone counties, including PAWS After School program and Boys and Girls Clubs (St. Paul's, Ardmore, Limestone County/Athens). The summer program site is Camp Safe Haven. The evidence-based curriculum at the four schools include Too Good for Drugs, Too Good for Violence; Too Good for Drugs & Violence—HS, Safe Dates, Girls Circle, and PATHS. The afterschool education programs at the four alternative sites and one summer program are Too Good for Drugs, Too Good for Violence, Too Good for Drugs & Violence—after school, Safe Dates, Girls Circle, and PATHS.

Alternative activities after school and in the summer at the community sites include community service activities such as planting a garden at the Boys and Girls Club location(s); preparing nursing home and military family goodie boxes; community cleanup activities; exercise programs, physical education activities, and games; and arts and crafts projects. Participants are referred by schools, Boys and Girls clubs, afterschool programs, summer programs, and daycare programs. The target priority for this strategy is to increase participation in the Morgan County Substance Abuse Network (MCSAN) coalition activities and events. MCSAN is a community antidrug coalition with more than 20 active members representing numerous community sectors. Additional community members support the coalition as volunteers and committee members. Target priorities for this strategy are (a) to conduct activities in Morgan County designed to reduce access and availability of alcohol to minors and to raise community awareness of the dangers of underage drinking and (b) conduct activities in Morgan County designed to reduce and/or prevent prescription and over-the-counter drug misuse and abuse and raise community awareness of the dangers of diverting medication for unintended use.

Cheaha Regional Mental Health Center (CRMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program Description: CRMHC, located in Sylacauga, Alabama, is certified to provide prevention services. Cheaha implements five of the six CSAP strategies with the exception of problem identification and referral. Three objectives to promote information dissemination include (a) increase health fair participation from four to six health fairs a year; (b) collaborate and schedule Mental Health First Aid (Youth and Adult) at least four times a year in the Southern Talladega County Area, and (c) collaborate with local stakeholders and become involved with community events (e.g., Promise Day, National Night Out, Recovery Month Celebrations, and at least three school events). Education is implemented through a collaboration with the Sylacauga Alliance for Family Enhancement, Inc. (SAFE), a community-based, nonprofit organization whose purpose is to provide meaningful opportunities for families to contribute to the growth of the community, serve others, promote community cohesion, and continue to provide afterschool educational activities throughout the school year. The Prevention Specialists will provide educational activities by using Life Skills Training, Too Good For Drugs, Too Good for Violence, or Protecting Me – Protecting You.

Alternative strategies are also implemented year-round through this collaboration by 21st Century Learning Center. The children from grades 2 through grade 8 will take part in school tutoring and through weekly field trips and activities that will include the library, Blue Bell Creamery, swimming, fishing, exercise classes and activities, music classes, healthy eating classes, and others. Community-based process includes fostering growth of the CRMHC Prevention Coalition. The Coalition will meet once a month and work as a community planning committee. It will continue to collaborate with other Southern Talladega county organizations and coalitions to promote mental health and wellness and substance abuse prevention, in particular Preventing Underage Alcohol and Tobacco Abuse in the Southern Talladega county and Coosa County areas. Environmental strategies include providing for social media campaigns that will cover both Talladega and Coosa County. Collaborations will aim to improve and increase the number of drivers license checks in both counties by the ABC Board, and, with the Alabama Department of Public Health to decrease tobacco rates in youth for both counties.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: Alabama currently has 9 certified prevention providers that are subrecipients of the State Prevention Framework State Incentive Grant (SPF SIG), which provides services in 20 counties in Alabama. The programs receive oversight from the SPF SIG Project Director and two SPF SIG prevention consultants. Those providers focus on underage drinking, and implementation is accomplished primarily through coalition efforts in those counties. All providers and coalitions are provided extensive training and technical assistance utilizing the SPF model and best practices. Coalitions operate under the guidance of the following certified prevention

providers: Southern Prevention Associates, East Alabama Mental Health, Altapointe Health Systems, Cheaha Mental Health Center, Drug Education Council, Cahaba Mental Health, Covington County Children's Policy Council, Lighthouse Counseling Center, and SpectraCare.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The Alabama Department of Mental Health currently certifies 28 community organizations to provide substance abuse prevention services to approximately 55 percent of counties throughout Alabama. Of those providers, 26 currently receive Block Grant funds and 9 of those agencies are subrecipients of SPF SIG funds implementing services in 20 counties.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP State Prevention Framework (SPF Model) Yes

Agency(ies) within your state: Alabama Department of Mental Health Substance Abuse Prevention Standards Yes

Prevention Standards

Nongovernmental agency(ies): No

Other: National Registry of Evidenced Based Programs and Practices Yes

Best practice standards description: To ensure compliance, all certified agencies are provided training on the State Prevention Framework Model and must utilize this model in the development of their prevention plans. All providers must adhere to the State Prevention Standards, which include standards for personnel, performance improvement, documentation and prevention records, and community planning and definitions. Strategy implementation must encompass use of Evidence Based Programs and Practices.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Beverly Johnson

Email: beverly.johnson@mh.alabama.gov

Address: 100 N. Union Street, RSA Suite 420, Montgomery, AL 36130

Phone: 334-353-8566

Agencies/organizations represented on the committee:

Alabama State Department of Education

Southern Prevention Associates, LLC

Family Guidance Center

Big Lots Distribution Center

Alabama State University

Alabama Campaign to Prevent Teen Pregnancy

United States Army

Homewood City Schools

Montgomery County Sheriff's Office

Elmore County District Judge's Office

Alabama Department of Human Resources

Alabama Department of Corrections

Office of the Attorney General

Foster Care Family Preservation
 Germane Solutions
 Auburn University at Montgomery
 University of Alabama Tuscaloosa
 Substance Abuse and Mental Health Services Administration

A website or other public source exists to describe committee activities Yes

URL or other means of access: http://www.mh.alabama.gov/SAPV/?sm=d_d

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: State Prevention Framework State Incentive Grant Management Team (SPF SIG Director/Coordinator, Epidemiologist, State Evaluator, CSAP Prevention Fellow, and State Prevention Advisory Board Chair) and oversight and guidance from State Prevention Advisory Board and Alabama Epidemiological Outcomes Workgroup. Plan can be accessed via:

<http://www.mh.alabama.gov/Downloads/SAPV/AlabamaStrategicPlan.pdf>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Alabama Epidemiological Outcomes Workgroup

Plan can be accessed via: <http://www.mh.alabama.gov/Downloads/SAPV/EpidemiologicalProfile.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending 12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending 12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included Data not available

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No

Fines No

Fees No

Other	Not applicable	No
Description of funding streams and how they are used:		Not applicable
Additional Clarification		
Alabama cannot respond for the entire use of state funds for this purpose. Alabama can only account for the funds this state agency receives for such purpose. Of the state funds received by this office, \$178,981 goes toward underage drinking efforts through community programming (coalitions) and enforcement.		



Alaska

State Population: 736,732

Population Ages 12–20: 92,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	21.2	19,000
Past-Month Binge Alcohol Use	13.7	13,000
Ages 12–14		
Past-Month Alcohol Use	2.5	1,000
Past-Month Binge Alcohol Use	1	0
Ages 15–17		
Past-Month Alcohol Use	17.6	5,000
Past-Month Binge Alcohol Use	9.1	3,000
Ages 18–20		
Past-Month Alcohol Use	42.6	13,000
Past-Month Binge Alcohol Use	30.3	10,000
Alcohol-Attributable Deaths (under 21)		14
Years of Potential Life Lost (under 21)		843
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	17	2

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes in specified locations – see below
• Is possession allowed if spouse is present or consents?	Yes in specified locations – see below
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes in specified locations – see below
• Is consumption allowed if the spouse is present or consents?	Yes in specified locations – see below
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No

Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	14
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40 (10 of which must be at night or in inclement weather)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16

For night driving, when does adult supervision requirement begin?	1 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation.
Are there restrictions on passengers?	Yes – No passengers under 21 except siblings, unless at least one passenger is parent, guardian, or person at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation.
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes in specified locations
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No

Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (All orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.)
Wine	Yes (All orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.)
Spirits	Yes (All orders must be in writing. Information on fetal alcohol syndrome must be included in all shipments.)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 76%)
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.07
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$12.80
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	\$2.50 per gallon for alcohol content of less than 21%

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law

Alaska State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Alcoholic Beverage Control (ABC) Board coordinates efforts with the Alaska Bureau of Alcohol and Drug Enforcement (ABADE), Division of the Alaska State Troopers. The agency also depends on state and local police to enforce alcohol laws (Title 4). With four investigators and one enforcement unit supervisor, the ABC Board must rely on the assistance of local law enforcement and state troopers to enforce laws across the state. License fees are refunded to municipalities that have police departments and that enforce Title 4. The Alaska Court System has primary responsibility for enforcing the consequences related to any charges.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

<i>State has a program to investigate and enforce direct sales/shipment laws</i>	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	1,596
Number pertains to the 12 months ending	12/31/2014
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	1,800
Number of licensees checked for compliance by state agencies (including random checks)	855
Number of licensees that failed state compliance checks	88
Numbers pertain to the 12 months ending	6/30/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	855
Number of licensees that failed random state compliance checks	88
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	No
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	0
Total amount in fines across all licensees	\$0
Smallest fine imposed	No data
Largest fine imposed	No data
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	7
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.⁴ Does not include fines imposed by local agencies.⁵ Does not include suspensions imposed by local agencies.⁶ Does not include revocations imposed by local agencies.**Underage Drinking Prevention Programs Operated or Funded by the State****Adult and Juvenile ASAP Programs**

Program serves specific or general population	Specific population
Number of youth served	415
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://dhss.alaska.gov/dbh/Pages/Prevention/programs/asap/default.aspx

Program Description: The Alaska Alcohol Safety Action Program (ASAP) provides substance abuse screening, case management, and accountability for driving while intoxicated (DWI) and other alcohol/drug-related misdemeanor cases. This involves screening cases referred from the district court into drinker classification categories, as well as thoroughly monitoring cases throughout education and/or treatment requirements. ASAP operates as a neutral link between the justice and healthcare delivery systems. This requires a close working relationship among all involved agencies: enforcement, prosecution, judicial, probation, corrections, rehabilitation, licensing, traffic records, and public information/education. The benefits of ASAP monitoring include:

- Increased accountability of offenders
- Reduced recidivism resulting from successful completion of required education or treatment
- Significant reductions in the amount of resources spent by prosecutors, law enforcement officers, judges, attorneys, and corrections officers enforcing court-ordered conditions
- Increased safety for victims and the larger community
- Offenders are more likely to receive treatment, make court appearances, and comply with other probation conditions.

Much like the adult program, the Juvenile Alcohol Safety Action Program (JASAP) receives referrals for those under age 18 who have three or more minor possession or consuming offenses, or who have a driving under the influence (DUI) type offense. In Alaska, ASAP is an integral part of the criminal justice and behavioral healthcare service systems, providing invaluable and necessary monitoring and tracking of clients referred to substance abuse services throughout the state. Five probation officers and five community grantees handle traditional adult misdemeanor ASAP referrals; an additional seven community grantees are funded to handle juvenile cases. In addition to the Anchorage office, adult and juvenile grant programs are located in Fairbanks, Juneau, Kenai/Homer, Kotzebue, and Wasilla/Palmer. Juvenile-only programs are located in Anchorage, Dillingham, Ketchikan, Kodiak, Seward, Nome, and Bethel.

The ASAP program provides a standardized statewide network of alcohol screening and case management for cases referred by the criminal justice system. It offers a consistent process to ensure that clients complete required substance abuse education or treatment programs as prescribed by the courts. The ASAP programs, including the Anchorage office, monitor these cases to confirm with the court and the Department of Motor Vehicles (DMV) when clients have completed court-ordered assignments. In FY 2010, the ASAP program incorporated motivational interviewing (MI), an evidence-based practice, as a model for increasing the engagement of clients during their first encounter with ASAP staff. Through the use of MI-styled interviews, the expected outcome is that clients will be motivated to change their personal behaviors and attitudes related to alcohol and drug use, thereby increasing their completion and success rates following the receipt of required services. Grantees are also responsible for engaging their community prevention coalition and for being involved in ASAP program outreach and education efforts in schools, community forums, and other appropriate venues.

Alcohol & Drug Information School (ADIS)

Program serves specific or general population	Specific population
Number of youth served	575
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information:	http://dhss.alaska.gov/dbh/Pages/Prevention/programs/adis/default.aspx

Program Description: ADIS programs provide education to first-time DWI and minor consuming offenders, as well as those convicted of other alcohol/drug-related offenses who would not be diagnosed as substance abusers. ADIS programs aim to reduce subsequent alcohol- and/or drug-related offenses and associated high-risk behaviors. ADIS programs cover the effects of alcohol and drugs on driving and social behaviors, as well as health and legal consequences. Each ADIS program conforms to the same standards and is approved and monitored by the Division of Behavioral Health. These programs are designed to be available to all Alaskans involved in alcohol- and/or drug-related offenses. Each adult or youth ADIS program uses an identical core curriculum that combines the most recent research in early intervention and prevention. Each program includes regionally specific information and is designed to be relevant to all segments of Alaska's diverse population while ensuring uniformity of the core ADIS program content statewide. The adult program uses a core curriculum developed by the Change Company and the State of Alaska. Adult ADIS programs are appropriate for all adults over age 18.

Prime for Life

Program serves specific or general population	Specific population
Number of youth served	403
Number of parents served	403
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.voaak.org

Program Description: The youth program, PRIME for Life-Under 21, is similar to the ADIS course but was developed by the Prevention Research Institute (PRI) and is used for individuals ages 14 to not yet 21. Numbers reflect the two providers: Volunteers of America Alaska Chapter and the Alaska Native Justice Center.

Comprehensive Behavioral Health Prevention & Early Intervention

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://dhss.alaska.gov/dbh/Pages/Prevention/default.aspx

Program Description: The grant program funds a comprehensive array of promotion, prevention, and early intervention approaches that focus on community-designed and community-driven services. These services are based on concepts and program strategies that have proven effective in the prevention of behavioral health concerns. Grant dollars “blend, braid, and pool” resources and programming concepts into an integrated approach to behavioral health prevention. The program is aware that substance abuse, mental health, suicide, fetal alcohol spectrum disorders, family violence, juvenile delinquency, and other issues are interrelated. Consequently, one of the program’s goals is for communities to have the freedom to connect these issues, to partner and collaborate with community members working on connected and related issues, and to focus on what it will take to develop overall community health and wellness. Agencies throughout the state receive funding through this grant program in remote or rural, as well as hub and urban, communities. Each community applying for these funds must use the SAMHSA Center for Substance Abuse Prevention’s Strategic Prevention Framework (SPF) planning model to assess, plan, strategize, implement, and evaluate community-based services. Prevention strategies must be identified based on a clear assessment of local/regional data, selecting programs or practices that are data driven. This model promotes a better connection between program selection and the critical issues facing the community, as evidenced by the available data.

Alaska Strategic Prevention Framework State Incentive Grant

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://alaskaspsfig.org

Program Description: The Alaska SPF SIG program is one of SAMHSA’s infrastructure grant programs. SAMHSA’s infrastructure grants support an array of activities to help grantees build a solid foundation for delivering and sustaining effective substance abuse and/or mental health services. The SPF SIGs, in particular, will provide funding to states and federally recognized tribes and tribal organizations to implement SAMHSA’s Strategic Prevention Framework in order to prevent the onset and reduce the progression of substance abuse, including childhood and underage drinking, reduce substance abuse related problems in communities, and build prevention capacity and infrastructure at the state/tribal and community levels.

University of Alaska Anchorage (UAA): Alcohol, Drug and Wellness Education

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes

Evaluation report is available

Yes

URL for evaluation report

Contact UAA Dean of Students Office at 907-786-1214

URL for more program information

<http://www.uaa.alaska.edu/deanofstudents/AlcoholDrugAndWellnessEducation/index.cfm>

Program Description: UAA provides a comprehensive alcohol and other drug (AOD) education program for approximately 15,000 UAA students. UAA provides numerous, ongoing alcohol-free events and activities for students, has created policies to limit alcohol on campus, and continually communicates these policies and normative messages to students. UAA consistently enforces AOD policies and assigns developmental sanctions to students who violate UAA's AOD policies. It also employs an Alcohol, Drug, and Wellness Educator (ADWE) to coordinate UAA's Alcohol, Drug, and Wellness Education program. The ADWE is a 10-month, 30-hour/week position funded by student health fees. The ADWE uses general fund monies to provide ongoing alcohol, drug, and wellness education programs on campus. The ADWE serves as a consultant to campus groups, meets with students who violate UAA's AOD policies, provides referrals to students seeking treatment for AOD use, and oversees UAA's compliance with the Drug Free Schools and Communities Act.

Enforcing the Underage Drinking Laws (EUDL) Program

Program serves specific or general population

Specific population

Number of youth served

2,319

Number of parents served

No data

Number of caregivers served

No data

Program has been evaluated

No

Evaluation report is available

Not applicable

URL for evaluation report

Not applicable

URL for more program information

No data

Program Description: The EUDL program is a federally funded program through the Office of Juvenile Justice and Delinquency Prevention (OJJDP). EUDL supports and enhances efforts by state and local jurisdictions to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. The Alaska Division of Juvenile Justice administers the EUDL program; however, federal funding for this program ended in FY 2014 and no additional EUDL funding is anticipated for FY 2015. Projects funded with FY 2014 EUDL funds encouraged youth to develop innovative programs to prevent and combat underage drinking in their community, including training youth in media literacy and advocacy; state, federal, and local policies with respect to underage drinking; environmental prevention strategies; peer mentoring; developing and delivering presentations to peers in schools and communities about the effects of alcohol and the risks youth take in soliciting adults for alcohol; developing a media campaign emphasizing the social responsibility, liability, and result of parents/adults providing alcohol to minors; and peer-directed advertising addressing risks youth take in accepting alcohol from adults.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking

Yes

Description of collaboration: The State of Alaska partners with tribal health corporations to fund community-level prevention strategies driven by the needs of individual communities or regions. Currently, the state's prevention partners are Bristol Bay Health Corporation, Fairbanks Native Association, Maniilaq, Akiachak Native Community, Southeast Alaska Regional Health Consortium, Asa'carsarmiut, Association of Village Council Presidents, Cook Inlet Tribal Council, Copper River Native Association, Ketchikan Indian Corporation, Kodiak Area Native Health Association, Nulato, Tanana Chiefs Conference, Yukon Kuskokwim Health Corporation, and Norton Sound Health Corporation. The states works in close partnership with the Alaska Native Health Consortium and Cook Inlet Tribal

Council. The state also relies on input and support of leaders from the Alaska Native community through informal and formal avenues, including participation in the SPF SIG processes; these processes include the Advisory Committee, Epidemiological Committee, and Evidence-Based Work Group. Representatives from the Alaska Native Justice Center serve on the Alaska Committee to Prevent Underage Drinking.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program:	Not applicable
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<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies):	No
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Agency(ies) within your state: Alaska Committee to Prevent Underage Drinking	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: Recommendations are included in the State of Alaska Plan to Reduce and Prevent Underage Drinking, which can be found at

<http://dhss.alaska.gov/dbh/Documents/Prevention/UnderagedrinkingUpdated.pdf>

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
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Committee contact information:

Name: Sara Clark

Email: sara.clark@alaska.gov

Address: 3601 C Street, Suite 934, Anchorage, AK 99524

Phone: 907-269-3781

Agencies/organizations represented on the committee:

Division of Juvenile Justice

Alcohol Beverage Control Board

University of Alaska College of Health

Department of Education and Early Development

Alcohol Safety Action Program

University of Alaska Justice Center

Alaska Native Justice Center

Alaska Mental Health Board

Alaska Court System

DHSS / Division of Behavioral Health / Prevention & Early Intervention Section

<i>A website or other public source exists to describe committee activities</i>	No
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URL or other means of access:	Not applicable
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Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: Alaska Committee to Prevent Underage Drinking

Plan can be accessed via:

<http://dhss.alaska.gov/dbh/Documents/Prevention/UnderagedrinkingUpdated.pdf>

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: University of Alaska Anchorage Justice Center

Plan can be accessed via:

http://justice.uaa.alaska.edu/research/2010/1010.voa/1010.04.youth_alcohol_accs.update.html

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	\$100,000
Estimate based on the 12 months ending	6/30/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	\$219,642
Estimate based on the 12 months ending	10/1/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$2,625,701
Estimate based on the 12 months ending	6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$11,475
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	Yes
Fines	Yes
Fees	No

Other: The comprehensive behavioral health community grant program represents funding from state GF, alcohol tax funds, interagency receipts, and revenue from fines. Other sources of funding for UAD prevention come from the SAPT Block Grant 20% set-aside and the federal SPF SIG grant.

Description of funding streams and how they are used:

The alcohol tax fund state dollars (approximately \$250,000 in SFY 2014) were used to fund an underage drinking prevention, statewide, coordinated media campaign operated by the Alaska Wellness Coalition. The goal of the program is to reduce underage drinking and is focused on evidence-based environmental prevention strategies to change social norms regarding youth alcohol. By using this approach, the Alaska Wellness Coalition will maximize communication, outcomes, and economic use of resources, while at the same time reducing duplicate and ineffective approaches. The campaign kicked off in spring 2015.

Additional Clarification

No data



Arizona

State Population: 6,731,484

Population Ages 12–20: 807,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	22.9	185,000
Past-Month Binge Alcohol Use	13.3	108,000
Ages 12–14		
Past-Month Alcohol Use	5.5	15,000
Past-Month Binge Alcohol Use	3.4	9,000
Ages 15–17		
Past-Month Alcohol Use	19.9	55,000
Past-Month Binge Alcohol Use	9.0	25,000
Ages 18–20		
Past-Month Alcohol Use	43.2	115,000
Past-Month Binge Alcohol Use	27.7	74,000
Alcohol-Attributable Deaths (under 21)		114
Years of Potential Life Lost (under 21)		6,896
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	14	13

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<p><i>Note:</i> Although Arizona does not prohibit internal possession as defined in this report, it has a statutory provision that makes it unlawful for a person under age 21 to have in the person's body any spirituous liquor. Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through both judicial and administrative processes
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	0
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0 with driver education; 30 hours without (10 of which must be at night))
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16

For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger under 18 who is not driver's sibling, unless accompanied by a parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	15
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy's appearance requirements?	Yes – Age-appropriate appearance; limit use of cosmetics; no jewelry associated with adulthood (such as wedding or engagement ring); limit facial hair (no full beards or mustaches), no receding hairlines, be of appropriate height and weight
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes

What is the time period for defining second, third, and subsequent offenses?	2 years
What is the penalty for the first offense?	\$1,000–\$2,000 fine and/or up to 30-day suspension
What is the penalty for the second offense?	\$2,000–\$3,000 fine and/or up to 30-day suspension
What is the penalty for the third offense?	\$3,000 fine and/or up to 30-day suspension
What is the penalty for the fourth offense?	Not specified
<i>Note:</i> Mitigating or aggravating factors considered.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	16
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> Off-sale retailers may employ persons who are at least 16 to check out, if supervised by a person on the premises who is at least 19, package or carry merchandise, including spirituous liquor, in unbroken packages, for the convenience of the customer of the employer, if the employer sells primarily merchandise other than spirituous liquor.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are restaurants; hotel-motels; government; and golf courses. In addition, case-by-case exemptions may apply for certain licenses within entertainment districts.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Negligence: Host knew or should have known of the party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members and residents of household
<i>Note:</i> Arizona's social host provision applies to gatherings of two or more underage persons on unlicensed premises, where the person charged knows or should know that one or more of the underage persons is in possession of or consuming spirituous liquor.	

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes
<i>Note: A farm winery that produces not more than 20,000 gallons of wine and a craft distillery that produces not more than 1,189 gallons of distilled spirits in a calendar year may make sales and deliveries of their product to consumers who order by telephone, mail, fax, or the internet.</i>	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes

Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.84
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$3.00
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Arizona State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Arizona Department of Liquor

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,245

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ Approximately 11,000

Number of licensees checked for compliance by state agencies 181

(including random checks) 76

Number of licensees that failed state compliance checks 12/31/2014

Numbers pertain to the 12 months ending Both on- and off-sale establishments

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 173

Total amount in fines across all licensees \$92,125

Smallest fine imposed \$750

Largest fine imposed \$7,000

Numbers pertain to the 12 months ending 12/31/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	0
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Parker Area Alliance for Community Empowerment (PAACE)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.paace.org

Program Description: PAACE addresses underage drinking by implementing community development strategies and providing community education and training on the risks, harms, and consequences of underage drinking for youth and adults. Activities include a life skills component to help youth decrease favorable attitudes toward substance (ab)use and increase knowledge of the perceived risks and harms of underage drinking. The number of clients served directly and indirectly (including youth, parents, and caregivers) was 3,801 ending June 2014.

Campesinos Sin Fronteras

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	http://campesinossinfronteras.org
URL for more program information	No data

Program Description: Campesinos Sin Fronteras is implementing the Primero La Familia/Family First Program to address the high rates of alcohol abuse and controlled substance use in the rural areas of Yuma County, Arizona. The program increases and enhances parental involvement in community-based prevention and intervention targeting the serious risks and public health problems caused by abuse of alcohol and controlled substances. The program uses multiple strategies to increase parental involvement, including peer education groups, parent and caregiver community mobilization, implementation of the South Yuma County Anti-Drug Coalition Subcommittee, youth leadership development, and a family/community theater production. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 21,091 ending June 2014.

La Frontera Center

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	no data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.lafronteraaz.org

Program Description: The program provides parent education to refugee families who have relocated in Tucson, Arizona. The education is for the purpose of preventing underage drinking among refugee youth. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 6,491 ending June 2014.

MATForce

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.MATForce.org

Program Description: Due to an overwhelming concern for substance abuse and its effects, MATForce, the Yavapai County Substance Abuse Coalition, was formed. The coalition consists of over 300 volunteers working on various projects to reduce substance abuse in Yavapai County. The coalition's mission statement is: "With determination and integrity, we, the citizens of Yavapai County, commit to working in partnership to build healthier communities by striving to eliminate substance abuse and its effects." The coalition's five goal statements are (1) support prevention programs for youth and families, (2) increase the capacity to intervene and treat, (3) address the problem of underage drinking, (4) influence public opinion and policy, and (5) reduce prescription drug abuse. MATForce consists of various committees and workgroups, each working on objectives of the specified goal statements. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 63,156 ending June 2014.

Arizona Youth Partnership

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.azyp.org

Program Description: Arizona Youth Partnership is implementing Project GIFTS (Growing and Inspiring Families to Succeed) in three communities in Mohave County: Bullhead City, Kingman, and Lake Havasu City. Project GIFTS combines two research-based programs proven to significantly reduce violence against self, the family, and the community. The two programs, Strengthening Families and Parent Pledge, educate parents on the social and judicial dangers of youth underage drinking. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 74,309 ending June 2014.

Compass Health Care

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.compasshc.org

Program Description: The goal of the project is for the Community Prevention Coalition to target parents in Pima County through the development and implementation of multiple strategies that educate parents on the harms and consequences associated with youth alcohol and prescription drug use. The project teaches effective parenting skills and practices to engage parents in their child's life as a protective factor, in order to reduce youth risk of alcohol and prescription drug use. Parents become more informed on issues of youth alcohol use and the current prescription drug epidemic while learning to communicate with their youth in a way that positively impacts youth's choices, which ultimately prevents youth alcohol and prescription drug use. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 1,770,684 ending June 2014.

Parenting Arizona (PA)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.parentingaz.org

Program Description: Chicanos Por La Causa (CPLC) – Parenting Arizona will impact 6,000 individuals both directly and indirectly by increasing and enhancing parental involvement in school and community. PA will have all Parent Resource Coordinators (PRCs) trained in substance abuse prevention to increase parental knowledge of serious risks and public health problems caused by substance abuse of alcohol and controlled substances. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 21,177 ending June 2014.

ASU-SIRC

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 3,663 ending June 2014.

CGA Inc.

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 373,003 ending June 2014.

Childhelp, Inc.

Program serves specific or general population	No data
Number of youth served	No data

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 1,987 ending June 2014.

CODAC Behavioral Health

Program serves specific or general population	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 2,826 ending June 2014.

Community Bridges, Inc.

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 3,911 ending June 2014.

Friendly House, Inc.

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 22,457 ending June 2014.

ICAN

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 1,424 ending June 2014.

Office of the Arizona Attorney General

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: The number of clients served directly or indirectly (including youth, parents, and caregivers) was 190,821 ending June 2014.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: *Partnership for a Drug-Free America, Arizona Affiliate:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 2,487 ending June 2014. *Phoenix Indian Center, Inc.:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 14,643 ending June 2014. *Pima Prevention Partnership:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 8,313 ending June 2014. *Southeastern Arizona Behavioral Health Services, Inc.:* The number of clients served directly or indirectly (including youth, parents, and caregivers) 8,820 ending June 2014. *Southwest Behavioral Health Services, Inc.:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 44,346 ending June 2014. *White Mountain Communities Hospital:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 27,767 ending June 2014. *ACJC:* The number of clients served directly or indirectly (including youth, parents, and caregivers) was 686,078 ending June 2014.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Arizona has intergovernmental agreements with the Gila River Indian Community and Pascua Yaqui Tribe to provide alcohol-related substance abuse prevention services. Arizona subcontracts to private nonprofit corporations (Regional Behavioral Health Authorities) that (1) provide alcohol-related substance abuse prevention services directly to the San Carlos Apache Tribe, (2) provide alcohol-related prevention services to the Ak-Chin Indian Community and Hopi Nation, and (3) work collaboratively to write grants and develop capacity to deliver alcohol-related substance abuse prevention services with the Hualapai Nation.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Develop and support a community campaign that increases the compliance with existing statutes that prohibit the sale of alcohol to minors and inebriated patrons; and prohibitions against excessive alcohol marketing in the form of billboards and vinyl banners.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: Arizona Department of Health Services, Division of Behavioral Health (ADHS/DBHS) Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Evidence-based practice (EBP) review teams are assembled to review and evaluate all Arizona Department of Health Services/Division of Behavioral Health Services subcontracted prevention programs to determine which are evidence based, using criteria recommended by the Substance Abuse and Mental Health Services Administration (SAMHSA). In addition to fulfilling block grant requirements, the purpose of the EBP review is to provide an opportunity for learning and improvement among Arizona's prevention community. Each EBP review team consists of a member of ADHS/DBHS, one to two provider representatives, a Regional Behavioral Health Authority (RBHA) representative, and a research representative. Each team reviews 8 to 12 programs. The definition of "program" within the ADHS/BHS RBHA system is "a set of prevention strategies, which address a common set of goals and objectives for a common target audience in one county." This definition is broad and intended to serve as an umbrella to encompass many strategies used by a provider. The information viewed under this definition combines all prevention strategies, activities, and/or curriculum under one program. Reviewers use the program logic model, program descriptions, strategies, and outcomes to assess whether the overall program meets the criteria of being evidence based. The criteria to be considered evidence based are based on the SAMHSA National Registry of Evidence-based Programs and Practices (NREPP) criteria. To be deemed an evidence-based practice, a program/strategy must meet the following criteria: (1) Included on federal lists or registries of evidence-based interventions, (2) reported (with positive effects) in peer-reviewed journals; and (3) documented effectiveness supported by other sources of information and the consensus judgment of informed experts:

- *Guideline 1:* The intervention is based on a theory of change that is documented in a clear logic or conceptual mode.
- *Guideline 2:* The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
- *Guideline 3:* The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to scientific standards of evidence and with results that show a consistent pattern of credible and positive effects.
- *Guideline 4:* The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers experienced in evaluating prevention interventions similar to those under review, local prevention practitioners, and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders within indigenous cultures.

In addition to the above guidelines, ground rules are set for how the groups formulate their expert judgments: (a) The team must come to a consensus for a "yes." (b) No consensus is needed for a "no." An "I don't know," "I'm not sure," "I can't judge this," or "I need more information" is simply a "no." (c) Program funding and jobs are not in jeopardy if a program receives a "no." (d) Programs that would be "yes" due to Guideline 1 or 2 still must demonstrate logical grounding.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Samuel Burba
Email: sburba@az.gov
Address: 1700 West Washington Street, Phoenix, AZ 85007
Phone: 602-542-6004

Agencies/organizations represented on the committee:

Maricopa County Attorney
Governor's Office for Children, Youth and Families
Arizona Department of Liquor License and Control
Control Technology Solutions
Graham County Substance Abuse Coalition
Governor's Office of Highway Safety
Citizens Against Substance Abuse

Apache Junction Police Department
 Arizona High Intensity Drug Trafficking Area
 Arizona Supreme Court
 Arizona Attorney General Office
 Arizona State University
 Phoenix Institute for Psychotherapy
 AZ Board of Behavioral Health Examiners
 Arizona Criminal Justice Commission
 Veteran's Administration Medical Center
 Arizona Health Care Cost Containment System
 Arizona Department of Juvenile Corrections
 Arizona Department of Public Safety
 Parker Area Alliance for Community Empowerment
 Division of Behavioral Health Services
 Arizona Department of Corrections
 Department of Economic Security

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://goyff.az.gov/CommGroups/ASAP.asp	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Arizona Department of Health Services	
Plan can be accessed via:	No data
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by:	No data
Plan can be accessed via:	No data

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$24,000
Estimate based on the 12 months ending	12/31/2014
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	No data
Fees	No data
Other	No data

Description of funding streams and how they are used:

Arizona's Parents Commission receives a percentage of liquor sales taxes. The Parents Commission funds some related underage drinking prevention programs (listed in Part 2: Section A). Otherwise, most programs related to underage drinking prevention in Arizona are funded through grants and other sources, not state funds.

Additional Clarification

No data



Arkansas

State Population: 2,966,369

Population Ages 12–20: 358,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	20.5	74,000
Past-Month Binge Alcohol Use	14.1	50,000
Ages 12–14		
Past-Month Alcohol Use	2.5	3,000
Past-Month Binge Alcohol Use	1.5	2,000
Ages 15–17		
Past-Month Alcohol Use	20	24,000
Past-Month Binge Alcohol Use	12.5	15,000
Ages 18–20		
Past-Month Alcohol Use	38.1	46,000
Past-Month Binge Alcohol Use	27.6	34,000
Alcohol-Attributable Deaths (under 21)		55
Years of Potential Life Lost (under 21)		3,309
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	22	13

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<p><i>Note:</i> Arkansas law provides that intoxicating liquor, wine, or beer in the body of a minor is deemed to be in his or her possession. Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	Yes
<i>Note:</i> Under Arkansas law, a seller's detention of a person under 21 for use of false ID shall not include physical detention.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws) – For Ages 18–20	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	60
Maximum number of days	60

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws) – For Ages 17 and Under	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	0
Maximum number of days	Not specified

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No unrelated minor passengers in the motor vehicle unless the driver is accompanied by a licensed driver who is 21 or older
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<i>Note:</i> Arkansas' statute regarding furnishing alcohol to any person under 21 includes an exception for "family" members, but does not specify which family members. For purposes of this report, the phrase "family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A

May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1,000 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Wine, spirits
<i>Note:</i> There is an exception for small farm wine retail off-premises permit-holders.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members
<i>Note:</i> Arkansas's social host provision applies only to a person who is present and in control of the private property at the time the consumption occurs.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	Yes
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	More than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/90 days)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/90 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$75
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.24
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	1%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	1%
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.75
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	3%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	14%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	14%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	3%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Additional taxes for 15–50% alcohol spirits (if applicable)	\$1 per gallon for alcohol content of less than 26.25% but more than 6.25%

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Arkansas State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Arkansas Department of Finance and Administration, Alcoholic Beverage Control Enforcement Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 14

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 4,825

Number of licensees checked for compliance by state agencies 3,244

(including random checks)

Number of licensees that failed state compliance checks 240

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations* Yes

Number of licensees subject to **random** state compliance checks/decoy operations 3,244

Number of licensees that failed **random** state compliance checks 240

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending 12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 306

Total amount in fines across all licensees \$132,600

Smallest fine imposed \$300

Largest fine imposed \$1,000

Numbers pertain to the 12 months ending 12/31/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	3
Total days of suspensions across all licensees	6
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	2
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

No data

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: No data

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No data
Description of collaboration:	No data
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No data
Description of program:	No data
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No data
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No data
Agency(ies) within your state:	No data
Nongovernmental agency(ies):	No data
Other:	No data

Best practice standards description:	No data
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No data
<i>Committee contact information: No data</i>	
<i>Agencies/organizations represented on the committee: No data</i>	
<i>Additional Agencies/organizations:</i>	
<i>A website or other public source exists to describe committee activities</i>	No data
<i>URL or other means of access:</i>	No data
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No data
Prepared by: No data	
Plan can be accessed via: No data	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No data
Prepared by: No data	
Plan can be accessed via: No data	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2014
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No data
Fines	No data
Fees	No data
Other	No data

Description of funding streams and how they are used:

No data

Additional Clarification

No data



California

State Population: 38,802,500

Population Ages 12–20: 5,002,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	24.4	1,218,000
Past-Month Binge Alcohol Use	15.0	750,000
Ages 12–14		
Past-Month Alcohol Use	3.8	58,000
Past-Month Binge Alcohol Use	1.8	27,000
Ages 15–17		
Past-Month Alcohol Use	20.3	326,000
Past-Month Binge Alcohol Use	11.7	188,000
Ages 18–20		
Past-Month Alcohol Use	44.3	835,000
Past-Month Binge Alcohol Use	28.4	535,000
Alcohol-Attributable Deaths (under 21)		503
Years of Potential Life Lost (under 21)		30,236
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	24	99

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in any private location
<i>Note: California's "Any Private Location" exception excludes possession in motor vehicles. California's statute regarding possession of alcohol by a person under 21 includes an exception for "responsible adult relative" but does not specify which relatives are included. For purposes of this report, the phrase "responsible adult relative" is interpreted as including a spouse.</i>	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.01
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 pm

Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – No passengers under 20, unless accompanied by a parent, guardian, instructor or licensed driver over 25
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

-Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy's appearance requirements?	No hats, sunglasses, tattoos, visible body piercing, clothing with college or alcohol verbiage/logos; minimal jewelry; not large in stature; appropriate dress for age; hair that does not obscure facial features. Male: No facial hair, really short hair, balding or receding hairline. Female: Minimal makeup, no provocative clothing.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	3 years
What is the penalty for the first offense?	15-day license suspension
What is the penalty for the second offense?	25-day license suspension
What is the penalty for the third offense?	License revocation
What is the penalty for the fourth offense?	Not specified
<i>Note:</i> Retailer has the option to accept fine in lieu of suspension. List of aggravating and mitigating factors is provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1½ miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1½ miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds
To which alcohol products does requirement apply?	Wine, spirits (does not include products of 4% ABV or less)
<i>Note:</i> Exceptions to the college restriction exist for numerous individual colleges and universities.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 600 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (minor must be obviously intoxicated at time alcohol of furnishing)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
<p><i>Note:</i> An individual or retail licensee in a state that affords California retail licensees or individuals an equal reciprocal shipping privilege, may ship, for personal use and not for resale, no more than two cases of wine (no more than 9 liters each case) per month to any adult resident in California. Delivery of a shipment pursuant to this provision shall not be deemed to constitute a sale in California.</p>	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 60%)
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$3.30
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No
<i>Note: On-premises retailers may offer a free drink on a case-by-case basis.</i>	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

California State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

California Department of Alcoholic Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Not applicable

Such laws are also enforced by local law enforcement agencies

Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

3,995

Number pertains to the 12 months ending

6/30/2014

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

Approximately 58,420

Number of licensees checked for compliance by state agencies

7,746

(including random checks)

Number of licensees that failed state compliance checks

1,234

Numbers pertain to the 12 months ending

6/30/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations*

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

7,746

Number of licensees that failed **random** state compliance checks

1,234

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

6/30/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

No

Number of fines imposed by the state⁴

Not applicable

Total amount in fines across all licensees

Not applicable

Smallest fine imposed

\$750

Largest fine imposed

Not applicable

Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

In addition to information provided by Alcoholic Beverage Control, the California Highway Patrol also collects specified data related to underage drinking. The following data cover California Highway Patrol enforcement efforts from January 1, 2014, through December 31, 2014:

- 539 citations for a driver under the age of 21 with .01% to less than .05% blood alcohol content
- 588 citations for a driver under the age of 21 driving with .05% blood alcohol content or greater
- 81 citations for a driver under age 21 with alcohol in the vehicle
- 47 citations for a passenger under 21 in possession of alcohol
- 76 citations for a minor in possession of alcohol

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Substance Abuse Prevention and Treatment Block Grant (SAPT)—Primary Prevention

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report: Process measures are reported in CalOMS Pv. Level of evaluation provided varies by county. Reports are available upon request (if available).	
URL for more program information:	No data

Program Description: Underage drinking is a priority for California. California allocates funds through the Department of Health Care Services (DHCS) to counties based on population utilizing the 20% Primary Prevention Set-Aside of the Substance Abuse Prevention and Treatment Block Grant administered through SAMHSA. California requires that each county create a strategic prevention plan utilizing the Strategic Prevention Framework to ensure that primary prevention services are implemented based on local need. DHCS supports county local efforts by monitoring county contractual requirements with counties and administering statewide training and technical assistance to support counties with their primary prevention efforts.

As of June 2014, 48 of California's 58 counties identified underage drinking as a priority in their county strategic prevention plans. The most frequently implemented evidence-based programs in California are Project Alert, LifeSkills Training, Communities Mobilizing for Change on Alcohol (CMCA), Strengthening Families, and Guiding Good Choices. Local innovative programs in California that specifically emphasize underage drinking include:

- CMCA: Counties implementing CMCA are Contra Costa, Los Angeles, San Francisco, and Santa Cruz. CMCA is a community-organizing program designed to reduce teens' (ages 13–20) access to alcohol by changing community policies and practices. CMCA seeks both to limit youths' access to alcohol and to communicate a clear message to the community that underage drinking is inappropriate and unacceptable. This evidence-based curriculum was developed in California by the Youth Leadership Institute.
- Club Live, Diamond View, Lassen County: This youth-led group facilitates the “Responsible Alcohol Merchants Awards” Program to learn leadership skills and reduce underage drinking.
- Youth Leadership Institute, Thurgood Marshall High School’s Questioning Unspoken Underage Drinking, and Washington High School’s Washington Peer Pressure group: Student leaders carry out a positive social norms marketing campaign to reduce underage drinking by students on their campus. Students collect information about perceived and real rates of drinking and associated behaviors. The data are used to highlight positive behaviors through extensive media campaigns that target youth and parents.
- Communities that Care (CTC), Jewish Family Services of Los Angeles County: CTC is a community-level intervention that mobilizes stakeholders to collaborate on selecting and implementing evidence-based prevention programs. The Jewish Family Services of Los Angeles County implements CTC focusing on community education and mobilizing youth and adults to participate in efforts regarding the risks of underage drinking.
- Parents Who Host Lose the Most, National Council on Alcohol and Drug Dependence, People Coordinated Services of Southern California, Phoenix House of Los Angeles: A public awareness campaign that educates communities and parents about the health and safety risks of serving alcohol at teen parties. The program takes place at state and local levels, concentrating on celebratory times for youth such as homecoming, holidays, prom, graduation, and other times when underage drinking parties are prevalent.
- Community Trials Intervention to Reduce High-Risk Drinking, Avalon Carver Community Center, Los Angeles: This program is a multicomponent, community-based program developed to alter the alcohol use patterns and related problems of people of all ages. The program addresses underage drinking, binge drinking, and drinking and driving. The program also utilizes Responsible Beverage Server Training as a prevention strategy.
- Community Trials Intervention to Reduce High-Risk Drinking, California Hispanic Commission on Alcohol and Drug Abuse, Los Angeles County: The program includes gathering and analyzing underage drinking data, development of a marketing report to increase awareness and support of a Social Host Ordinance (SHO), and preparation of a presentation to be utilized during outreach efforts to support the passing of the SHO.
- Team Fitspiration, Asian Pacific Community Counseling, Sacramento County: This program provides education about the problem of underage drinking, including sharing the prevention science of risk and protective factors to give participants the tools to combat underage drinking within their own families through information dissemination and fitness training.
- Underage Drinking with Social Media, Omni Peer Project, Sacramento County: This social norms media campaign consists of weekly YouTube videos for parents and teens on various risk and protective factors, asset building, skill building, improved decisionmaking, emotional expression, self-control, and more. Interactive options will be part of the underage drinking campaign and tied in to the video series through Facebook, agency website, and Twitter. The goal is to reach youth through channels they use the most.
- Underage Drinking Project, Voices United, Santa Clara County: The project focuses on community building using environmental strategies such as social host ordinances, public awareness events, and speaking engagements. See <http://www.voicesunited.net/en/underage-drinking>.
- Underage Drinking in Retail and Social Settings, Sonoma County: The Cities of Rohnert Park and Sebastopol facilitate a program that includes work on conditional use permits to control alcohol outlet density, monitoring compliance with existing laws in both retail and social settings, informing the community about SHOs, shoulder tap strategies, and identifying other ways that youth access alcohol such as alcohol theft and adults purchasing alcohol for youth.
- Party Patrol, Stanislaus County Behavioral Health and Recovery Services (BHRS): BHRS collaborates with the Modesto Police Department to conduct party patrols, compliance checks, and decoy operations to curtail the underage drinking problem in Stanislaus County.

Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.dhcs.ca.gov/provgovpart/Pages/SPFSIG.aspx

Program Description: Since September 2010, the Department of Health Care Services has implemented a SPF SIG to (1) provide more streamlined state and county processes and procedures; (2) expedite the planning/action/outcomes process; (3) create a means for communicating statewide priorities at all levels; (4) increase the use of data in local planning; and (5) provide more coordinated and effective evidence-based prevention efforts.

Following the SPF SIG five-step process, a statewide needs assessment was conducted, the results of which identified underage and excessive drinking among 12- to 25-year-olds as the priority of the California SPF SIG project. Twenty-four communities were paired and a random selection process determined 12 project communities and 12 control communities. Communities are currently implementing environmental prevention strategies that address both retail and social access aimed at the general population in order to change community norms. Research indicates that by implementing these strategies, underage and young adult use and consequences related to alcohol will be reduced.

Community Prevention Initiative (CPI)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Training evaluations are reported to DHCS to monitor efficacy of training and technical assistance.
URL for more program information:	http://www.ca-cpi.org

Program Description: CPI provides no-cost training and technical assistance (TTA) in substance use disorder prevention. CPI is administered by the Center for Applied Research Solutions and funded and directed by DHCS. CPI provides TTA support for planning and prevention services that include a broad community perspective. CPI also focuses on reducing and managing community-level risks and problems directly attributable to and/or collaterally resulting from alcohol, tobacco and other drug availability, manufacture, distribution, promotion, sale and/or use. During this project year, training services aimed at mitigating problems associated with underage and excessive alcohol use are:

- Social Host/Media Advocacy
- From Risk to Resilience: Inside-Out Prevention
- Environmental Prevention 101: Covering the Basics
- Environmental Prevention: Strategies for Engaging Youth
- Community Action to Reduce Binge Drinking
- Responsible beverage service training

Technical assistance was provided throughout California for the development of alcohol policies impacting underage alcohol use (Santa Cruz, Palmdale, and Contra Costa).

In order to support capacity building within the substance use disorder prevention field, CPI provides written products as resources to support knowledge transfer of successful, best practices to reduce underage and binge drinking strategies. Publications are available online at <http://www.ca-cpi.org/resources>, and include:

- Binge Drinking: Community Action to Reduce Binge Drinking

- Policy Strategies to Reduce Underage and Binge Drinking
- Social Host Ordinances: An Approach to Prevent Underage Drinking

California Friday Night Live (FNL) Partnership

Program serves specific or general population	Specific population
Number of youth served	639,055
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.fridaynightlive.org
URL for more program information:	http://www.fridaynightlive.org

Program Description: FNL is a youth development program predicated on youth and adults working in partnership to influence issues relevant to their well-being and that of their community. FNL programs:

- Encourage young people to develop programs that are meaningful
- Promote messages through shared experiences
- Encourage peer-oriented programming (youth driven and youth led)
- Are goal directed, action oriented, and innovative
- Encourage and empower young people as active leaders and community resources
- Have broad appeal to diverse ethnic, racial, and social groups
- Encourage youth to care about each other and their environment
- Offer conferences and trainings for young people to develop leadership skills

Many FNL programs statewide engage youth in environmental prevention efforts to reduce underage drinking. Also, every other year the California Friday Night Live Partnership (CFNLP) collaborates with the Governor's Prevention Advisory Council (GPAC) to provide support and leadership to Town Hall Meetings on Underage Drinking.

Every 15 Minutes

Program serves specific or general population	Specific population
Number of youth served	140,498
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	
https://www.chp.ca.gov/programs-services/programs/youth-programs/every-15-minutes	

Program Description: The Every 15 Minutes program is a 2-day program focusing on high school juniors and seniors that challenges them to think about drinking, driving, personal safety, the responsibility of making mature decisions, and the impact their decisions have on family, friends, and their community.

Start Smart Program

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	
https://www.chp.ca.gov/programs-services/programs/youth-programs/start-smart-driving-smart-to-stay-safe	

Program Description: The Start Smart Program is aimed at helping newly licensed—or soon to be licensed—teenage drivers (ages 15–19) become more aware of the responsibilities that accompany the privilege of being a licensed California driver. The newly licensed teenage drivers and their parents/guardians are invited to attend and participate in a free 2-hour Start Smart class usually hosted at a local California Highway Patrol (CHP) office. CHP officers speak directly to the newly licensed drivers and their parents/guardians through candid conversations, discussing topics such as collision avoidance techniques along with collision causing elements, which includes excessive speed, driving under the influence, and distracted driving. Start Smart makes teens and parents aware of the responsibilities they face and teaches what precautions to take to stay safe, such as the importance of seat belts, unsafe passengers in the vehicle, and what to do when involved in a collision. The data collection is no longer separated between teens and parents for Start Smart events; the total number of people impacted was 201,968 (includes youth and parents).

California Department of Alcoholic Beverage Control (ABC)—

Underage Drinking Programs

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	
http://www.abc.ca.gov/forms/ABC511.pdf ,	
http://www.abc.ca.gov/forms/ABC509.pdf ,	
http://www.abc.ca.gov/programs/Trace.html ,	
http://www.abc.ca.gov/forms/ABC512.pdf	

Program Description: Target Responsibility For Alcohol Connected Emergencies (TRACE) is a protocol wherein first responders to alcohol-related emergencies immediately notify ABC when an incident involves a person under 21 and an alcoholic beverage. ABC agents will conduct a simultaneous investigation to determine where the alcoholic beverages were acquired, purchased, or served. For more information, go to <https://www.abc.ca.gov/programs/Trace.html>.

Minor Decoy Operations reduce the number of licensees who sell alcohol to minors and reduce youth access to alcohol. These operations, conducted by law enforcement and/or community groups, can markedly increase the percentage of licensees who comply with the minimum purchase age law. For more information, go to <https://www.abc.ca.gov/forms/ABC511.pdf>.

Decoy Shoulder Tap Operation is an enforcement program that ABC and local law enforcement agencies use to detect and deter shoulder tap activity. During the program, a minor decoy under direct supervision of law enforcement officers solicits adults outside ABC-licensed stores to buy the minor decoy alcohol. Any person seen furnishing alcohol to the minor decoy is arrested (either cited or booked) for furnishing alcohol to a minor (a violation of Section 25658(a) Business and Professions Code). For more information, go to <https://www.abc.ca.gov/forms/ABC509.pdf>.

The Teenage Party Prevention, Enforcement, and Dispersal Program Enablers aims to reduce the number of teen drinking parties and driving under the influence incidents. Objectives of the program include (1) forming an Alcohol Enforcement Response Team or provide specialized training to patrol officers; (2) increasing awareness and support by involving the media, parents, and community stakeholders; (3) containing party participants and controlling their release; and (4) deterring future parties by citing or arresting violators. For more information, go to <https://www.abc.ca.gov/forms/ABC512.pdf>.

Primary Prevention, Youth Action Council Club, and Life Skills

Program serves specific or general population	Specific population
Number of youth served	1,000 high school youth, 4% of whom are Native American; 240 junior high youth, 9% of whom are Native American

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.svyvouthcoalition.org

Program Description: Interventions like these, provided by the Santa Ynez Valley Youth Coalition, occur widely throughout California. The Coalition provides campus-led alcohol and other drug prevention campaigns including all students at the high school, led by a Coalition Youth Action Council Club on the high school campus. These campaigns include Alcohol Awareness Month, Safe and Sober Graduation, December Drunk and Drugged Driving month, and Teen Drug Facts week. Each campaign uses a multitude of strategies to engage high school students in education and prevention, including ping pong tournaments, poster contests, video productions, sidewalk chalk messaging and much more. The AlcoholEdu program is supported by the Coalition to teach alcohol prevention to all 9th-grade students in their health classes. The Botvin LifeSkills program is implemented in the four middle schools through a Coalition Prevention Educator, teaching alcohol and drug prevention and life skills needed to resist negative behaviors.

California State University (CSU) (completed by the Office of the Chancellor for the 23 CSU campuses)

Program serves specific or general population	Specific population
Number of youth served	94,359
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.calstate.edu/ATOD
URL for more program information:	http://www.calstate.edu/ATOD

Program Description: A significant number of strategies and programs have been undertaken by CSU, as part of the commitment to alcohol policy and prevention programming at the 23 campuses, that address the under 21 student population, comprising 38% of the systemwide student body. CSU alcohol prevention and education programs surround a number of strategies, including Peer Education Programs, targeted activities around times with high collegiate alcohol consumption (e.g., Alcohol Awareness Week, Safe Spring Break, late nights); collaboration with campus and community partners (e.g., Mothers Against Drunk Driving, local police departments, Alcoholic Beverage Control); and increasing the number of online assessments and interactive education tools (e.g., AlcoholEdu, eCHECKUPTOGO, College Wise, eChug, eToke, National College Health Assessment, Screening, Brief Intervention, Referral to Treatment (SBIRT)).

CSU Alcohol Tobacco and Other Drug programs strategies include focusing efforts on first-time freshmen (94,359 or 21% of CSU students) through student orientations and freshman student success courses.

The programs also target specific student populations (158,687 or 35% who are under 21) who may be considered at risk, as indicated from campuswide assessments or national trends (examples may include freshmen, student-athletes, residential life/housing, alcohol-related violators, and Greek student organizations). The CSU Board of Trustees Alcohol Policy requires each campus to communicate alcohol policies to new students and their parents before and when they arrive on campus. The parent data are not collected centrally.

California Department of Education, Safe and Supportive Schools (S3) Grant

Program serves specific or general population	Specific population
Number of youth served	87,000
Number of parents served	130,000
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes

URL for evaluation report: http://californias3.wested.org/resources/S3FinalEvalReport_2013-14.pdf

URL for more program information: <http://californias3.wested.org>

Program Description: The Department of Education received a grant from the U.S. Department of Education to improve school climate. One goal of the grant is to reduce alcohol use among students. The grant has been awarded to 58 school districts statewide. To measure the impact on school climate, each participating district must collect data about the learning and teaching environment, the health and well-being of students, and support for parents, school staff, and students that foster learning and school success.

University of California (UC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	Individual UC campus websites provide program information.

Program Description: Each UC campus promotes individual programs created to address their diverse student populations. Programs include but are not limited to AlcoholEDU; Student Health Outreach & Promotion (SHOP); PartySafe; Southside Safety Patrol; Event Planning & Risk Management Training for Greeks; Alcohol & Other Drug Counseling; Student Health Advocates (SHA); e-CHUG; College Alcohol Risk Reduction Seminar (CARRS); and, Just Say Gnome/Small Party.

Campuses also provide educational workshops, peer education, individual consultation and referrals for students, and recovery support programs. Information regarding health risks associated with alcohol use is provided through educational presentations, outreach events, and passive campaigns (use of campus data on social norms). Students are educated on federal, state, and campus policies/laws and provided resources and referrals for drug and alcohol problems (counseling, treatment, etc.). Support is provided to students who violate the alcohol-related standards of conduct including facilitating group alcohol awareness class and one-on-one Brief Alcohol Screening and Intervention for College Students (BASICS program). Due to the number of campus programs being implemented across the UC system, the following describes two examples:

- The Student Health Outreach & Promotion (SHOP) program provides students with the opportunity to learn about health and wellness in a safe, nonjudgmental environment. SHOP offers information, education, resources, and support on issues such as alcohol and other drug use, sexual health, holistic health, and stress management.
- PartySafe@Cal (PSafe) aims to reduce alcohol-related risks and harm in the campus community. PSafe utilizes ongoing research literature review and data collection to examine campus assets and challenges, then selects strategies to address unique campus alcohol-related risks and harm.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Through the Community Prevention Initiative contract, technical assistance and training (TTA) was provided to the following federally recognized tribes to support prevention efforts that include underage drinking:

- Hoopa Americorp on Native Lands received TTA on capacity building and empowerment efforts to encourage a healthier and connected community, focusing on preventing underage drinking through strategies that center on healing and wellness.
- Intertribal Friendship House received training on the effects of underage drinking in native communities. Staff received training on prevention research and information, along with cultural teaching and traditions that can be used to develop relevant prevention strategies.
- Native Women Health and Alliance, Inc., received training support on effective underage drinking prevention strategies.
- Resources for Indian Student Education received training for their peer youth advocates regarding substance abuse refusal skills to impact underage drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing

Yes

Program description:

- The California Tobacco Control Program (CTCP) collaborates with other state agencies, including alcohol use prevention and nutrition promotion, in the Healthy Stores for a Healthy Community (HSHC) campaign, the goal of which is to improve the health of the public through changes in the retail environment. One intervention communities engage in is to pass policies to reduce the amount of storefront advertising, including the promotion of alcohol, tobacco products, and unhealthy foods. Doing so reduces youth exposure to this marketing. CTCP's long-term effort explores ways in which the retail environment can be utilized as a force to build healthier communities and neighborhoods.
- The California Friday Night Live Partnership provides TTA to the FNL field to empower youth to partner with the community at large in the implementation of a number of projects including the Retail Alcohol Merchant Awards, which works with local merchants on a voluntary basis to reduce advertising and increase adherence to local policies.
- The California State University (CSU) Executive Order 966 Alcohol Sales & Advertising Policy prohibits sale of alcohol beverages at athletic events and limits advertising to beer and wine at CSU campuses in compliance with Guidelines for Beverage Alcohol Marketing by National Inter-Association Task Force on Alcohol Issues. Additionally, the Board of Trustees policy asks all campuses to adopt policies that require alcoholic beverage trademarks or logos be clearly subordinate to the sponsored event itself. Similarly, the name of an alcoholic-beverage manufacturer or product may not be connected to the name of the institutional event or facility, but may be promoted as a sponsor of the event.
- Of the University of California (UC) campuses that responded, the responses indicate the campuses actively work with community prevention partners to reduce advertising and outreach to youth. For example, UC Santa Cruz works with its campus newspaper to refuse advertising money from alcohol beverage distributors, and works with community partners to reduce advertising and outreach to youth. UC Berkeley Campus Policy governs the promotion of alcoholic beverages on campus and at campus-sponsored events. The policy prohibits advertisement and promotion of certain brands of alcoholic beverages on campus, or in conjunction with campus-sponsored activities or events. These limits effectively reduce student exposure to some alcohol advertising and marketing.

It should also be noted that although some campuses do not specifically focus on advertising and marketing measures to reduce youth exposure, there are campus policies that govern promotion of alcoholic beverages that are used to minimize the exposure to alcohol advertising and marketing.

State has adopted or developed best practice standards for underage drinking prevention programs

Yes

Agencies/organizations that established best practices standards:

Federal agency(ies):

No

Agency(ies) within your state: Department of Health Care Services and California State University

Yes

Nongovernmental agency(ies):

No

Other:

No

Best practice standards description:

- Department of Health Care Services best practice standards include: (a) Statewide use of the Strategic Prevention Framework (SPF) to ensure data-informed programmatic decisionmaking; (b) encourage the implementation of Evidence-Based Programs; and (c) ensure effective prevention services guided by the SPF and maintain fidelity of evidence-based programs by providing individualized and community-based technical assistance and training.
- The California State University has a systemwide committee, the Alcohol Policy Implementation Steering Committee, made up of nine member of Student Council (i.e., Vice Presidents for Student Affairs) that meet regularly to provide guidance to campuses about effective policy implementation practices and provide leadership on systemwide efforts. Campuses also have campus and community collaborations on Alcohol, Tobacco and other Drug Advisory Committees made up of community and campus constituents. Programs use a variety of assessment tools and best practice standards. A few examples include resources such as Standards and Guidelines for Alcohol and Drug Programs by the Council for the Advancement of Standards in Higher Education; National Institute on Alcohol Abuse and Alcoholism College Drinking Report; and annual program evaluation.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Margie L. Hieter

Email: Margie.Hieter@dhcs.ca.gov

Address: DHCS - SUD PRTS, MS 2622, 1500 Capitol Avenue, Sacramento, CA 95899-7413

Phone: 916-327-4076

Agencies/organizations represented on the committee:

Attorney General's Office

California Community Colleges

California Conservation Corps

California Conservation Corps

California Highway Patrol

California National Guard

Department of Alcoholic Beverage Control

Department of Education

Department of Health Care Services

Department of Public Health

Department of Rehabilitation

Department of Social Services

California State University, Office of the Chancellor

University of California, Office of the President

Office of Traffic Safety

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.dhcs.ca.gov/provgovpart/Pages/IPAC.aspx>**Underage Drinking Reports**

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	\$4,432,073
Estimate based on the 12 months ending	12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Note that for Checkpoints and Saturation Patrols, the funding listed represents reimbursable, grant-funded expenditures through the California Office of Traffic Safety.



Colorado

State Population: 5,355,866

Population Ages 12–20: 599,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	26.5	159,000
Past-Month Binge Alcohol Use	14.7	88,000
Ages 12–14		
Past-Month Alcohol Use	5.4	11,000
Past-Month Binge Alcohol Use	1.8	4,000
Ages 15–17		
Past-Month Alcohol Use	24.9	50,000
Past-Month Binge Alcohol Use	12.1	24,000
Ages 18–20		
Past-Month Alcohol Use	50	98,000
Past-Month Binge Alcohol Use	30.9	60,000
Alcohol-Attributable Deaths (under 21)		61
Years of Potential Life Lost (under 21)		3,715
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	34	26

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes in specified locations – See below
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
<i>Note:</i> Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess alcohol (in addition to the consent and presence of a parent or guardian).	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
<i>Note:</i> Colorado's exception requires the knowledge and consent of the owner of the private property when minors consume alcohol (in addition to the consent and presence of a parent or guardian).	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	Yes, in specified locations – See below
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
<i>Note:</i> Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess or consume alcohol (in addition to consent and presence of a parent/guardian).	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process

Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	Yes
<i>Note: In Colorado, the license revocation period for a first conviction of obtaining or attempting to obtain an alcoholic beverage by misrepresentation of age is 24 hours of public service, if ordered by the court, or 3 months.</i>	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	Not specified
Maximum number of days	90

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – For first 6 months, no passenger under 21 who is not an immediate family member unless accompanied by driver's parent or guardian; second 6 months, only one passenger under 21 who is not an immediate family member unless accompanied by driver's parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private location if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes

What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20.5
What are the decoy's appearance requirements?	Yes – Age-appropriate appearance with no age enhancements
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	1 year
What is the penalty for the first offense?	Written warning, Assurance of Voluntary Compliance, or up to 15-day license suspension. Accepting a fine in lieu of actual suspension or holding a portion of the suspension time in abeyance are both at the discretion of the licensing authority.
What is the penalty for the second offense?	5- to 25-day license suspension. At the discretion of the licensing authority, licensee may pay fine in lieu of suspension or suspension may be held in abeyance if no fine was paid or suspension served at time of 1st offense.
What is the penalty for the third offense?	15- to 40-day license suspension
What is the penalty for the fourth offense?	45-day license suspension or license revocation
<i>Note:</i> List of aggravating and mitigating factors is provided. The time period for the fourth offense is 2 years. All penalties are for compliance check violations only.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
<i>Note:</i> Although employees must be at least 21 to sell malt, vinous, or spirituous liquors in a retail liquor store, employees at least 18 may sell fermented malt beverages containing not more than 3.2% alcohol by weight in establishments where fermented malt beverages are sold at retail in containers for off-premises consumption.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> Persons under 21 employed to sell or dispense malt, vinous, or spirituous liquors are required to be supervised by another person who is on premise and over 21.	

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants/bars)?	Yes, within 500 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$280,810 per person)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$280,810 per person)
Does the statute limit who may be sued?	No

Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law

Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (state permit required)
Wine	Yes (state permit required)
Spirits	Yes (state permit required)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.32
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.28
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
<i>Note: Wholesalers may not sell below cost.</i>	

Colorado State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Colorado Department of Revenue, Liquor Enforcement Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Colorado Dept. of
Revenue, Liquor
Enforcement Div.

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

4,499

Number pertains to the 12 months ending

12/31/2014

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

9,900

Number of licensees checked for compliance by state agencies

3,322

(including random checks)

Number of licensees that failed state compliance checks

280

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale
establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

3,322

Number of licensees that failed **random** state compliance checks

280

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

241

Number of licensees that failed local compliance checks

19

Numbers pertain to the 12 months ending

12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Don't know

Number of fines imposed by the state⁴

Not applicable

Total amount in fines across all licensees

Not applicable

Smallest fine imposed

Not applicable

Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Data on compliance checks by local law enforcement agencies based on reporting by seven local agencies. Reporting is not required by local law enforcement.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Substance Abuse Prevention and Treatment Block Grant – Prevention and Reduction of Under 18 Alcohol, Tobacco and Other Drug Use

Program serves specific or general population	Specific population
Number of youth served	486,845
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not Available

Program Description: The Colorado Office of Behavioral Health administers a portion of the federal substance Abuse Prevention and Treatment (SAPT) Block Grant provided by SAMHSA. The Reduction of Under 18 Alcohol, Tobacco and Other Drug Use program is designed to reduce the current alcohol, tobacco, and other drug use rate, prevent early initiation of substance use, promote healthy behavior, and support positive choices in school and communities by youth under age 18 at the local level. Fourteen agencies across Colorado are provided funding to implement evidence-based programs to reduce underage drinking.

Persistent Drunk Driver (PDD) Prevention Program

Program serves specific or general population	Specific population
Number of youth served	358,040
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not available

Program Description: PDD prevention grants focus on supporting education programs for the general public with particular emphasis on education of young drivers regarding the dangers of persistent drunk driving at the county and local level. The PDD cash fund was established in 1998 by HB 98-1334, known as the "Persistent Drunk Driver

Act of 1998." As a part of the legislation, monies gathered from penalties and fees associated with being convicted of DUI are designated to support education programs for the general public, with particular emphasis on education of young drivers regarding the dangers of persistent drunk driving. Currently, nine agencies across Colorado receive PDD funding from the Office of Behavioral Health. All nine agencies with the exception of the evaluation contract focus on providing education to young drivers about drunk driving as well as persistent drunk driving.

Colorado Prevention Partnership for Success (CPPS)

Program serves specific or general population	Specific population
Number of youth served	68,722
Number of parents served	68,722
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not available
URL for more program information:	Not available

Program Description: This program is designed to help reduce statewide substance abuse rates by addressing gaps in current prevention services and increasing the ability to reach out to specific populations or geographic areas with serious, emerging substance abuse problems. CPPS employs a public health model to demonstrate positive statewide change in underage and binge drinking rates and disparity for high school Latino youth. CPPS will continue to integrate the Strategic Prevention Framework within Colorado's state prevention system to ensure measurable and sustainable substance abuse prevention outcomes.

Law Enforcement Assistance Fund (LEAF)

Program serves specific or general population	Specific population
Number of youth served	1,026
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not available
URL for more program information:	Not available

Program Description: LEAF consists of state funds financed from DUI offenses for community substance abuse prevention programs and projects. Monies allocated to the Office of Behavioral Health Prevention are used to establish a statewide program for the prevention of driving after drinking; training of teachers, health professionals, and law enforcement in the dangers of driving after drinking; preparing and disseminating educational materials dealing with the effects of alcohol and other drugs on driving behavior; and preparing and disseminating education curriculum materials for use at all levels of school.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Southern Ute Community Action Program (SUCAP), located in Ignacio, CO, is part of the Southern Ute Reservation in southwest Colorado. Ignacio is a multi-ethnic community with a strong Native American population. The Boys and Girls Club of the Southern Ute Indian Tribe and the Ignacio school district uses evidence-based practices targeting youth ages 9 to 17. Under the Office of Behavioral Health Block Grant funding, SUCAP operates a teen center that continually offers education and alternative activities aimed at youth underage drinking and other substance use. SUCAP programs are culturally relevant to Southern Ute tribal requirements and combine classroom activities with outdoor excursions developed for Native American communities. Outreach

is based on relationship-building with youth in the schools and teen center to create a continuum of contacts and dosage.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: State funds portioned for community-based underage drinking prevention efforts are intended to prevent and reduce substance use, abuse, and misuse prevalence rates across the state. A combination of all six CSAP primary prevention strategies for programs, approaches, and practices are funded. All funded 54 grantees implement an evidence-based program or practice or approach that can be found on NREPP or other reputable sources.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA Yes

Agency(ies) within your state: No

Nongovernmental agency(ies): No

Other: No

Best practice standards description: The Colorado Department of Human Services, Office of Behavioral Health has adopted the Strategic Prevention Framework (SPF) as a best practice standard for the prevention of underage drinking. The SPF uses a five-step planning process to guide states, jurisdictions, Tribes, and communities in selection, implementation, and evaluation of effective, culturally appropriate, and sustainable prevention activities. The SPF process:

- Promotes youth development
- Reduces risk-taking behaviors
- Builds assets and resilience
- Prevents problem behaviors across the life span of the programs

The idea behind the SPF is to use findings from public health research, along with evidence-based prevention programs, to build capacity and sustainable prevention. This, in turn, promotes resilience and decreases risk factors in individuals, families, and communities. SPF steps require states, territories, federally recognized tribes, tribal organizations, and communities to systematically:

1. Assess their prevention needs based on epidemiological data
2. Build their prevention capacity
3. Develop a strategic plan
4. Implement effective community prevention programs, policies, and practices
5. Evaluate their efforts for outcomes

The SPF is built on a community-based risk and protective factors approach to prevention. Also, there is a series of guiding principles that can be utilized at the federal, state, tribal, and community levels.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address No

underage drinking prevention activities

Committee contact information: Not applicable

Agencies/organizations represented on the committee: Not applicable

A website or other public source exists to describe committee activities Not applicable

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$5,858,664.91
Estimate based on the 12 months ending	6/30/2014
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$97,727.55
Estimate based on the 12 months ending	6/30/2014
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	Yes
Fees	Yes
Other	Yes
Federal government grant funds	

Description of funding streams and how they are used:

Block Grant funds: A portion of the state Substance Abuse Prevention and Treatment Block Grant funds, awarded by the Substance Abuse and Mental Health Services Administration (SAMHSA), are allocated for the prevention and reduction of under-18 alcohol, tobacco and other drug use. These particular block grant funds are used to reduce the current alcohol, tobacco, and other drug use rate; prevent early initiation of substance use; promote healthy behavior; and support positive choices in school and communities by youth under age 18 at the local level.

Colorado Partnership for Success (CPPS) funds: Colorado is one of only four states to be awarded the SAMHSA Partnership for Success: State and Community Prevention Performance grant. This program is designed to help reduce statewide substance abuse rates by addressing gaps in current prevention services and increasing the ability to reach out to specific populations or geographic areas with serious, emerging substance abuse problems. The other three states are Connecticut, Illinois, and Tennessee. CPPS funds are used to help reduce statewide substance abuse rates by addressing gaps in current prevention services and increasing the ability to reach out to specific populations or geographic areas with serious, emerging substance abuse problems. CPPS employs a public health model to demonstrate positive statewide change in underage and binge drinking rates and disparity for high school Latino youth. CPPS will continue to integrate the Strategic Prevention Framework within Colorado's State Prevention System to ensure measurable and sustainable substance abuse prevention outcomes.

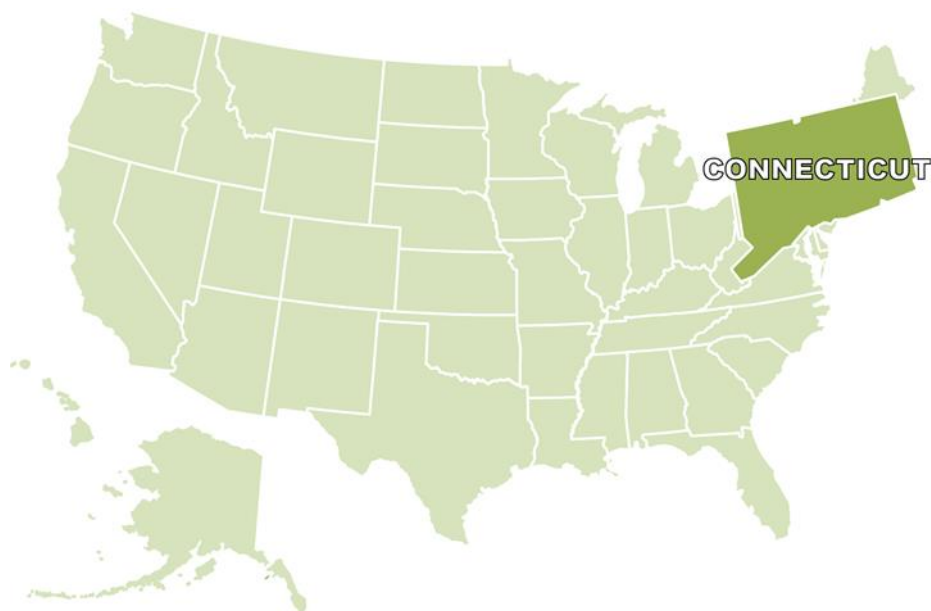
Persistent Drunk Driver (PDD) funds: Pursuant to legislation passed in 1998, penalties were increased for high BAC and repeat DUI offenders. Referred to as the Persistent Drunk Driver Act of 1998, this legislation defined the PDD

and created the PDD Cash Fund, which is funded by a surcharge imposed on convicted DWAI/DUI offenders. Monies in the PDD fund are subject to annual appropriation by the general assembly with the scope of their use stipulated by statute. Overall, the primary purpose of the fund is to support programs that are intended to deter persistent drunk driving or intended to education the public, with particular emphasis on the education of young drivers regarding the dangers of persistent drunk driving. In recent years, the scope of the fund was expanded to include assisting indigent DUI offenders with the cost for required treatment or intervention services.

Law Enforcement Assistance Fund (LEAF) funds: Colorado Revised Statue (C.R.S.) 43-4-401 et seq. allocates a portion of funds to the Colorado Department of Human Services, Office of Behavioral Health. These dollars are used to fund a statewide program for the prevention of driving after drinking, including educating the public in the problems of driving after drinking, preparing and disseminating educational materials dealing with the effects of alcohol and other drugs on driving behavior, and preparing and disseminating education curriculum materials for use at all levels. The LEAF increases the capacity for comprehensive impaired driving education and underage drinking prevention at the local level.

Additional Clarification

No data



Connecticut

State Population: 3,596,677

Population Ages 12–20: 438,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	28.6	125,000
Past-Month Binge Alcohol Use	17.8	78,000
Ages 12–14		
Past-Month Alcohol Use	3.7	5,000
Past-Month Binge Alcohol Use	0.6	1,000
Ages 15–17		
Past-Month Alcohol Use	23.9	36,000
Past-Month Binge Alcohol Use	12.8	19,000
Ages 18–20		
Past-Month Alcohol Use	55.9	84,000
Past-Month Binge Alcohol Use	38.5	58,000
Alcohol-Attributable Deaths (under 21)		35
Years of Potential Life Lost (under 21)		2,085
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	38	7

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30
<i>Note:</i> In addition to the 30-day suspension penalty mentioned in the table above, Connecticut imposes a license suspension of 60 days if underage possession occurs on any public street or highway.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	4 months with driver education; 6 months without
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 4 months
For night-driving, when does adult supervision requirement begin?	11 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, limited to one parent, instructor, or licensed adult who is at least 20; second 6

	months, expands to include immediate family
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 12 months after issuance of intermediate license; unsupervised night-driving restrictions remain until age 18)
<i>Note:</i> A parent or guardian of any applicant under 18 to whom a learner's permit is issued shall attend 2 hours of safe driving instruction with the applicant.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	Not specified
What are the decoy's appearance requirements?	Minors are not to wear sweatshirts or clothing suggesting they are of legal age.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	15
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$250,000 per person)
Does the statute limit who may be sued?	No

Does the statute limit elements or standards of proof?	Yes (minor must be intoxicated at time of furnishing)
Does common law dram shop liability exist?	Yes
<i>Note:</i> A common law cause of action is not precluded by the dram shop statute. Under common law, the limitations on damages may be avoided.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Criminal negligence: Host must fail to perceive a substantial risk that the party is unlawful
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes – Family members
<i>Note:</i> The “preventive action” provision in Connecticut requires the prosecution to prove that the host failed to take preventive action. Connecticut permits prosecution of a person who “knowingly, recklessly, or with criminal negligence” permits a minor to possess alcoholic liquor.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes (ID check is required at some point prior to delivery)

State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$500/3 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes – Passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.24
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
<i>Note:</i> Connecticut imposes a tax of \$7.20 per barrel, defined as “not less than twenty-eight nor more than thirty-one gallons,” and \$0.24 per wine gallon or fraction thereof on quantities less than a quarter barrel.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.72
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$5.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Note: Wholesalers may not sell below cost.	

Connecticut State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

State of Connecticut, Department of Consumer Protection, Liquor Control Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Dept. of Consumer Protection, Liquor Control Div.

Such laws are also enforced by local law enforcement agencies

Don't know

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

1,120

Number pertains to the 12 months ending

12/31/2014

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

6,800

Number of licensees checked for compliance by state agencies

350

(including random checks)

Number of licensees that failed state compliance checks

60

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations*

No

Number of licensees subject to **random** state compliance checks/decoy operations

Not applicable

Number of licensees that failed **random** state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Don't know/No answer

Data are collected on these activities

Not applicable

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

150

Total amount in fines across all licensees

No data

Smallest fine imposed

\$500

Largest fine imposed

\$7,500

Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	175
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	45
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

FY 2014 Liquor Control personnel—\$1.8 million. The primary mission is to reduce underage persons' access to alcoholic liquor and beverages through investigations, police referrals, evaluation of suitability of license applicants, and location premises investigations. While it is difficult to break down each individual component, most of these efforts are intended and designed to fulfill one of the department's mission statements: reducing access to alcoholic liquor to minors from our licensed liquor retailers. Total fines assessed for calendar year 2014 were \$399,017 for all violations.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Partnerships for Success (PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ct.gov/dmhasprevention
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: PFS is a 5-year, \$11.5 million grant awarded to the Connecticut Department of Mental Health & Addiction Services through a competitive bid from CSAP. The PFS funding was to commence on September 30, 2014. PFS allows Connecticut to continue successful community-based approaches that prevent underage drinking through the use of the Strategic Prevention Framework. This data-driven public health approach builds on existing successes of over 20 community-based coalitions that specifically address underage drinking, including several other state and federally funded coalitions and community-based programs currently in place covering each region of the state. The PFS uses environmental prevention approaches to produce measurable reductions in alcohol consumption patterns and their negative consequences. The University of Connecticut Health Center conducts evaluations at the state and community levels to track performance targets. Goals include:

- Reducing past-month alcohol use rates for individuals ages 12–20.
- Preventing the onset and reducing the progression of childhood/underage drinking.
- Strengthening capacity and infrastructure at the state and community levels to implement data-driven, evidence-based policies, practices, and programs.
- Taking a collaborative approach to align state and community strategies, redirect existing services, and leverage human and fiscal resources to sustain efforts.

Strategy types: Nineteen funded community coalitions and college campuses utilize the SPF 5-step approach to decrease alcohol consumption in youth ages 12 to 20. Additionally, coalitions build on existing resources to implement environmental strategies known to be effective in reducing youth alcohol use rates, such as curtailing retail and social access, policy change, enforcement, media advocacy, and parental and merchant education, as well as measure changes in underage drinking that use student survey and social indicator data. Connecticut has completed implementation of the SPF initiative, which identified underage drinking as a state priority. The SPF was a 5-year, \$11 million initiative that brought evidence-based programs, policies, and practices to communities through a coalition approach to regions across the state. Coalitions were charged with conducting needs and resource assessments, building community capacity to address underage drinking, developing strategic plans, implementing evidence-based programs, and evaluating and sustaining efforts once the initiative ended. The majority of the PFS coalitions were continued through SAMHSA's Partnership for Success Grant. Highlights included prioritizing and addressing underage drinking at the state and community levels; leveraging, redirecting, and realigning resources in support of the SPF and the reduction of underage drinking; and strengthening state/local capacity and infrastructure in support of prevention. The overall goals of Connecticut's PFS initiative are to:

1. Reduce substance abuse-related problems in the State, particularly those related to underage alcohol use
2. Prevent the onset and reduce the progression of substance abuse, including underage drinking
3. Strengthen state and community-level capacity and infrastructure in support of substance abuse prevention
4. Leverage, redirect, and realign statewide funding streams for prevention

At the end of Year 3 of the PFS, the cumulative evidence indicates that the state has been successful in reducing and preventing underage drinking. The target performance indicator for Connecticut's PFS project was exceeded. Past-month alcohol use among 12- to 17-year-olds dropped from 19.6% in the 2006–2007 baseline year to 17.8% in 2009–2010 as measured by the National Survey of Drug Use and Health (NSDUH), surpassing Connecticut's CSAP-approved performance target of 18.1%. According to the NSDUH, underage drinking among the state's population ages 12 to 17 decreased 9.2% in the 3-year period.

Connecticut Statewide Healthy Campus Initiative (CSHCI)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: The purpose of the CSHCI is to develop a comprehensive prevention system that is responsive to the needs of young adults ages 18 to 25 who are attending public universities throughout Connecticut. The Initiative is based on a 3-in-1 Framework recommended by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). The goal is to change the culture of drinking and other substance use/abuse using broad-based, comprehensive, integrated programs with multiple complementary components that target individuals, including at-risk or alcohol-dependent drinkers; the student population as a whole; and the college and the surrounding community. Objectives of the initiative are to:

- Address gaps in substance abuse prevention and early intervention services.
- Support culturally responsive, age appropriate, and evidence-based approaches for young adults.
- Further develop Connecticut's prevention data infrastructure and capacity to collect and analyze outcome data and report on key performance measures.

The primary target population is college students ages 18 to 25. Programs may also target family members, peers, schools, and communities at large. This initiative requires that programs use multiple strategies within the 3-in-1 Framework (community, campus, and individual-level strategies known to be effective). The following is a summary list of activities:

1. Monthly meetings of the Connecticut Healthy Campus Initiative, open to all Connecticut institutions of higher education. Meetings include training by national experts, technical assistance, networking, and coalition

organizational tasks geared toward sustaining efforts and promoting evidence-based activities on college campuses. Forty colleges have signed on to participate in the Initiative.

2. Grantee funding opportunities: Following a competitive request-for-proposal process, nine Connecticut colleges received awards to implement evidence-based environmental strategies including policy review and creation, enforcement of underage drinking laws and policies, coalition capacity building, and social marketing. Colleges receiving the awards implement the CORE survey before and after implementation to measure the effectiveness of the strategies at reducing past-month alcohol use and binge drinking.
3. Technical assistance (TA) is provided by the Wheeler Clinic, Connecticut Clearinghouse staff to Connecticut institutions of higher education. TA includes face-to-face, telephone, and electronic consultation as requested by college staff. An electronic listserv of Connecticut colleges will be maintained and used to provide updates on national and state alcohol and drug prevention news and information.

Best Practices Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ct.gov/dmhasprevention
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: The Best Practice Initiative consists of 14 multifocused Substance Abuse Prevention and Treatment (SAPT) Block Grant-funded programs across Connecticut. They were originally created in the mid-1990s to apply science and research-based innovations to populations across the lifecycle. In 2009, following extensive review of state epidemiological data on underage alcohol use and related consequences, the funded agencies were refocused to apply SPF and related strategies to address underage drinking and other substances that were data-identified as problems in chosen communities. All Best Practice agencies are required to use a portion of their block grant funds to reduce underage drinking and related consequences. Additionally, they are required to hire a local evaluator to assist with evaluation of their grants and development of an evaluation report. The population-level approach requires agencies to use evidenced-based environmental strategies endorsed by CSAP, such as law and policy development and enforcement and media and marketing campaigns.

Governors Prevention Partnership (GPP)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.preventionworksct.org

Program Description: GPP, a statewide prevention resource link, serves as a nonprofit entity between state government and businesses with a mission to keep Connecticut's youth safe, successful, and drug free. GPP provides leadership and services to help schools, communities, colleges, and businesses create and sustain quality programs in the following areas: mentoring, coalition building, underage drinking prevention, school-based substance abuse and violence prevention, campus community partnerships, parent education, and media. GPP works closely with DMHAS, state agencies, and community-based organizations to maximize prevention efforts and services based on state needs and policy plans. GPP and state and local coalitions have mobilized toward a statewide coalition, the Connecticut Coalition to Stop Underage Drinking (CCSUD). GPP has also been the technical assistance provider to the SPF grantees and provides technical assistance on the SPF 5-step process to the Best Practice Programs and the Partnerships for Success (PFS) grantees.

Regional Action Councils (RACs)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: The RACs comprise 13 public/private subregional planning and action councils covering the state that have responsibility for planning, development, and coordination of behavioral health services in their respective regions. RACs are prevention resource links for the Department of Mental Health and Addiction Services (DMHAS) and are legislatively mandated to:

1. Determine the extent of substance abuse problems within their subregions
2. Determine the status of resources to address such problems
3. Identify gaps in the substance abuse service continuum
4. Identify changes to the community environment that will reduce substance abuse

This information is used by DMHAS to inform decisions related to service system plans and enhancements. RAC membership consists of diverse members of the community, including the chief elected official, chief of police, school superintendents of each municipality within the subregion, business and professional leaders, General Assembly members, service providers, representatives of minority populations, religious organizations, representatives of private funding organizations, and the media. Every 2 years, RACs produce Subregional Prevention Priority Reports to describe:

1. The burden of substance abuse, problem gambling, and suicide in the subregions
2. Prioritized prevention needs
3. The capacity of the subregions' communities to address those needs

These reports are based on data-driven analyses of issues in the subregions with assistance from key community members. The reports and accompanying data are used as building blocks for state- and community-level processes, including capacity and readiness building, strategic planning, implementation of evidence-based programs and strategies, and evaluation of efforts to reduce substance abuse and promote mental health. The subregional priority-setting process conducted by the RACs was instrumental in assisting community coalitions with developing strategic plans to address underage drinking in their respective communities. RACs have also received Drug Free Coalition (DFC) and Sober Truth on Preventing (STOP) Underage Drinking Act grants to address underage drinking in their regions.

Connecticut Clearinghouse – Center for Prevention, Wellness & Recovery

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ctclearinghouse.org

Program Description: Connecticut Clearinghouse, a program of Wheeler Clinic's CCPWR, is a statewide library and resource center for information on substance use and mental health disorders, prevention and health promotion, treatment and recovery, wellness, and other related topics. The CCPWR serves as a primary Prevention resource link for DMHAS. Resources and services are available to anyone who lives or works in the state, including families, teachers, students, professionals, community members, and children. The Clearinghouse has also provided prevention and other training coordination services for several Connecticut state agencies.

Local Prevention Councils (LPCs)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: LPCs support more than 120 local, municipal-based alcohol, tobacco, and drug abuse prevention councils. This grant-funded program facilitates the development of prevention initiatives at the local level with the support of the chief elected officials. The specific goals of LPCs are to increase public awareness of substance use prevention and stimulate the development and implementation of local prevention activities primarily focused on youth.

Multicultural Leadership Institute (MLI)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mli-inc.org

Program Description: MLI is a key prevention resource link in Connecticut. It is a private, nonprofit 501(c)(3) corporation established in 1997 with a mission to provide leadership for positive change through implementing and coordinating multicultural and diversity awareness, education, advocacy, and research programs. The organization is a leader in promoting multicultural transformation, impacting individuals, organizations, and society. MLI works with DMHAS-funded prevention providers to assist in infusing cultural competence into all of their work. Additionally, MLI's current education and training programs and activities address diversity and multiculturalism across a variety of professions and fields. Speaking engagements, training, or consultation services may address general cultural competency or specific topics or populations. Curriculum and other materials are tailored to each group's unique needs.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Connecticut has two federally recognized tribal nations: the Mashantucket Pequot Nation (population 227) and the Mohegan Tribe (population 1,700). Connecticut also has four state-recognized tribal nations: the Eastern Pequot Nation, the Golden Hill Paugusset Tribe, the Pawcatuck Eastern Pequot Tribe (population: 150), and the Schaghticoke Indian Tribe (population: 300). A seventh tribal nation, Nipmuc Indian Association of Connecticut, is currently seeking federal recognition. The state's two federally recognized Indian Tribes are located in the Norwich/New London area of eastern Connecticut. Both have casinos that contribute slot revenues to the state. The casinos have provided a stable economic foundation for the tribes and have allowed for the preservation of culture and the establishment of tribal departments that provide a broad range of health/social benefits to members on the reservations. Coalitions/Regional Action Councils (RACs) in close proximity to Connecticut's two tribes have formal linkages and include tribal communities within their community interventions. At the state level, the Department of Mental Health and Addiction Services (DMHAS) is currently

working with tribal leadership to educate them on the Partnerships for Success initiative and engage tribal representatives to serve in an advisory role, providing advice on issues facing American Indians who wish to participate in underage drinking and related substance abuse prevention programs.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing

Yes

Description of program: “SetTheRulesCT” is a statewide media campaign educating parents and adults about Connecticut’s social host law and the impact of alcohol on teenage brain development. The U.S. Department of Justice’s Office of Juvenile Justice and Delinquency Prevention (OJJDP) is addressing the growing problem of underage drinking through numerous initiatives, including public advertising programs. “SetTheRulesCT” was developed by the Office of Policy and Management (OPM) and the JJAC Subcommittee on Combating Underage Drinking, which is composed of representatives from the following state agencies and departments:

- Commission on Children
- Department of Children and Families
- Department of Consumer Protection, Liquor Control
- Department of Education
- Department of Mental Health and Addiction Services
- Department of Motor Vehicles
- Department of Public Safety
- Department of Transportation, Division of Public Defender Services
- Department of Public Health
- Judicial Branch
- Office of Policy and Management
- Juvenile Justice Policy and Planning Division
- Juvenile Justice Advisory Committee
- Office of the Chief State’s Attorney

Additionally, Connecticut DMHAS Partnership for Success and Best Practice grantee agencies will implement social marketing campaigns as a strategy to address priority underage drinking risk factors in local communities throughout the state.

State has adopted or developed best practice standards for underage drinking prevention programs

Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Substance Abuse & Mental Health Services Administration, Center for Substance Abuse Prevention

Yes

Agency(ies) within your state: Department of Mental Health & Addiction Services, Prevention & Health Promotion

Yes

Nongovernmental agency(ies):

No

Other:

No

Best practice standards description: The DMHAS-funded Connecticut PFS grantees use evidence-based programs (EBPs). CT’s Resource Links will continue to provide training/technical assistance on selecting and implementing EBP strategies that will most effectively assist Partnerships for Success and Best Practice coalitions with achieving performance target outcomes. DMHAS, in conjunction with Connecticut prevention provider agencies and organizations, developed Cultivating Programs that Work: Operating Standards for Prevention and Health Promotion Programs for prevention programs funded by DMHAS. The standards, guidelines, and supporting documents link state-of-the-art prevention theory to effective, comprehensive, and accountable prevention practice and abide by principles that are divided into eight categories critical for all prevention programs:

1. Human Relationships
2. Program Planning
3. Program Activities
4. Program Settings
5. Health and Safety
6. Program Implementation
7. Program Administration

8. Evaluation

Implementation of the standards should result in positive outcomes for programs, staff, and participants. The purpose of these standards is to provide assurances to the public that alcohol and drug abuse prevention and early intervention programs are regulated under a set of minimum standards established by DMHAS. These standards establish a minimum level of program operation intended to reflect quality substance abuse prevention programs. The operating standards articulate a service philosophy that helps individuals, families, schools, and communities throughout Connecticut prevent the misuse, or abuse of legal or illegal substances. To support prevention staff training and certification, the Prevention Training Collaborative provides a wide range of prevention training across the state.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Carol Meredith, MPA, DMHAS Director of Prevention & Health Promotion
 Email: Carol.Meredith@ct.gov
 Address: 410 Capitol Avenue, PO Box 341431, MS-PIT, Hartford, CT 06134
 Phone: 860-418-6826

Agencies/organizations represented on the committee:

Department of Consumer Protection
 Department of Public Health
 Department of Emergency Services and Public Protection
 Department of Social Services
 Department of Transportation
 Department of Motor Vehicles
 Judicial Court Support Services Division
 Department of Corrections
 Department of Children & Families
 State Department of Education
 Department of Veterans Affairs
 Board of Pardons & Parole
 Office of Policy & Management
 CT Youth Services Bureau Association
 Mashantucket Pequot Tribal Nation
 Mohegan Tribe
 True Colors

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.ct.gov/dmhasprevention>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: DMHAS & University of Connecticut Health Center (UCHC) Evaluation Team

Plan can be accessed via: <http://www.ct.gov/dmhasprevention>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$9,620,510
Estimate based on the 12 months ending	6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$8,011,623
Estimate based on the 12 months ending	6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$303,923
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$5,285,391
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$1,108,115
Estimate based on the 12 months ending	6/30/2014

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

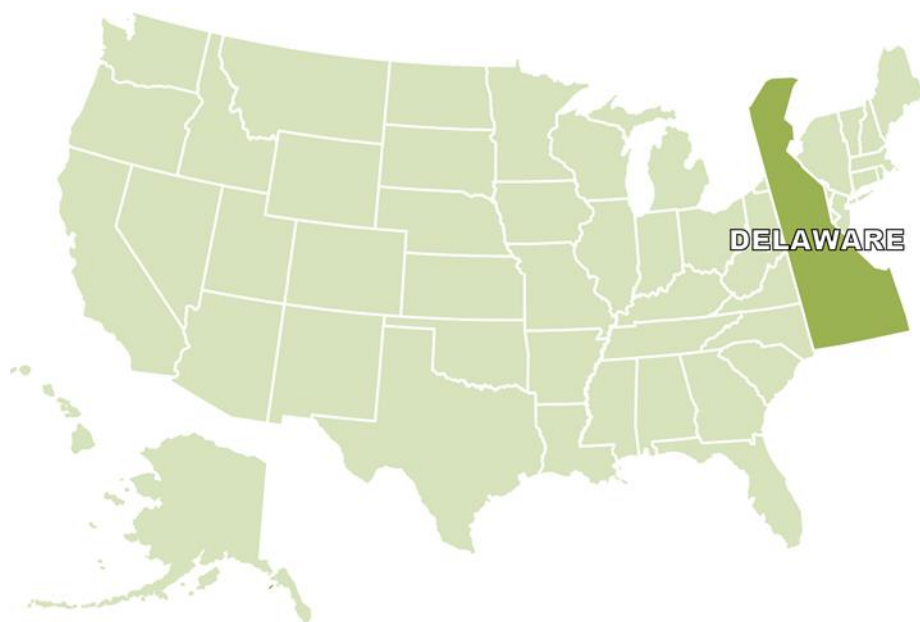
Taxes	Yes
Fines	Yes
Fees	Yes
Other General funds and drug forfeiture funds	Yes

Description of funding streams and how they are used:

Funds are used for state agency collaboration, staff time, direct program support, and implementation.

Additional Clarification

No data



Delaware

State Population: 935,614
Population Ages 12–20: 105,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.0	26,000
Past-Month Binge Alcohol Use	16.0	17,000
Ages 12–14		
Past-Month Alcohol Use	5.0	2,000
Past-Month Binge Alcohol Use	1.9	1,000
Ages 15–17		
Past-Month Alcohol Use	18.6	6,000
Past-Month Binge Alcohol Use	9.7	3,000
Ages 18–20		
Past-Month Alcohol Use	49.5	18,000
Past-Month Binge Alcohol Use	34.8	13,000
Alcohol-Attributable Deaths (under 21)		14
Years of Potential Life Lost (under 21)		845
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	11	1

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes, in specified locations – See below
• Is possession allowed if spouse is present or consents?	Yes, in specified locations – See below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
<i>Note:</i> Delaware’s exception includes “members of the same family” and allows possession if in the private home of family members. For purposes of this report, “members of the same family” is interpreted as including a spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes, in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	Yes, in specified locations – See below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
<i>Note:</i> Delaware’s exception includes “members of the same family” and allows consumption if in the private home of family members. For purposes of this report, “members of the same family” is interpreted as including a spouse.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<i>Note:</i> Although Delaware does not prohibit internal possession as defined in this report, it has a statutory provision that makes it an offense for “[w]hoever, being under the age of 21 years, has alcoholic liquor in his or her possession at any time, or consumes or is found to have consumed alcoholic liquor.” Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A
<i>Note:</i> Delaware does not have a statute that specifically prohibits purchase, but it does prohibit “obtaining” alcohol in connection with making a false statement.	

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Note: Although Del. Admin. Code § 2000 2215 states, "persons under 21 years of age have noted on their licenses 'Under 21,'" research revealed no Delaware statute or regulation expressly requiring distinguishing licenses for persons under 21.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	10 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation.
Are there restrictions on passengers?	Yes – No more than one passenger, except for immediate family members when driver is accompanied by a parent, guardian, or licensed driver age 25 or over
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation.
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<i>Note:</i> Delaware's exception includes "members of the same family" and allows furnishing if in the private home of family members. For purposes of this report, the phrase "members of the same family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17

What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Yes. Males: No facial hair. Females: No excessive makeup. No clothing with vulgar or suggestive language; shirts displaying college names or college logos are not permitted; no clothing that displays any branding of an alcohol or tobacco product or licensee; clothing may not be low cut, revealing of cleavage, excessively tight, or revealing of undergarments; all male and female shirts must have sleeves, and shorts may not be excessively short or revealing; pants may not sag to the point of revealing undergarments; may not wear sunglasses or hats of any kind, or put up hoods on jackets or shirts; exposed tattoos or body art shall be covered.
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors

Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)

Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law

Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.97
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$3.75
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	\$2.50 per gallon for alcohol content of 25% or less

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (5 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (5 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (5 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
<i>Note: Delaware law states that the Commissioner shall not control credit transactions to the extent they are permitted by federal law.</i>	

Delaware State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Delaware Division of Alcohol and Tobacco Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Division of Alcohol and Tobacco Enforcement
Such laws are also enforced by local law enforcement agencies	No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	104
Number pertains to the 12 months ending	12/31/2014
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	1,200
Number of licensees checked for compliance by state agencies (including random checks)	250
Number of licensees that failed state compliance checks	63
Numbers pertain to the 12 months ending	12/31/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations	Majority of checks conducted randomly
Number of licensees that failed random state compliance checks	Data not available

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state ⁴	Not available
Total amount in fines across all licensees	Not available
Smallest fine imposed	\$400
Largest fine imposed	\$3,000

Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	1
Total days of suspensions across all licensees	5
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	5
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Lunch 'n' Learn

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Delaware Prevention Coalition's (DPC) Lunch 'n' Learn program is held during scheduled lunch periods within the school's cafeteria. Tables are set up exhibiting and disseminating factual information pertaining to alcohol and other abusive substances. Lunch 'n' Learn is a beneficial program for the schools, as it does not take away from the normal classroom time or having to conduct extracurricular activities that can be costly; it is intended to spread positive prevention messages to the students.

The exhibiting tables provide the student with an opportunity to be interactive and to participate in fun games that actually have them learn important facts about the use and misuse of alcohol and drugs. The Lunch 'n' Learn program features "Drunken Goggles," the most influential and mind-changing game played by the students. It simulates the person being intoxicated and shows how the alcohol affects judgment, decisionmaking process and vision. Taking part in this game enables the student to practice coordination and reflex timing; imitating impaired vision while being over the legal intoxication limit. The game provides a real-life perception of what an individual's vision is like while under the influence. This game also teaches the student what part of the brain is affected by alcohol and how misuse of alcohol and other substances could lead to impairments.

The Lunch 'n' Learn program is informative and interactive. The program ensures that information and brochures concerning the effects of alcohol in the body, the mind, and the family are distributed. Discussions pertaining to binge drinking, laws, and risky behaviors are just a few of the topics covered. The Lunch 'n' Learn program impacts the students. The purpose of the program is to give students the opportunity to engage in discussions without being embarrassed, time to change their minds, and also convince them that what they have experienced and/or learned from their friends may not be safe or factual. The program facilitators have the privilege of inspiring and witnessing when the students arrive at the conclusion that the behavior they thought was harmless is the

opposite. This program has made a large impact on the Division of Prevention & Behavioral Health Services (DPBHS) goal of delaying the first onset of use and abuse of alcohol for adolescents in the state.

Ur My Reason

Program serves specific or general population	Specific population
Number of youth served	12,000
Number of parents served	12,000
Number of caregivers served	252
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: DPBHS created a statewide, universal substance abuse prevention campaign geared to high school juniors and seniors, their friends, and families, during the prom and graduation season. Considering that spring is the time when one third of all alcohol-related traffic fatalities involving teens occur, raising awareness and helping prevent alcohol-related incidents is crucial. The campaign message read, “You are my reason to NEVER drink and drive,” which was printed on posters displayed in over 20 school districts. The students received multi-color wristbands with the message “Ur my Reason to NEVER drink & drive,” which they received during prom to be worn. Extra bands were given to the students to give as gifts to their partners, families, and friends symbolizing that they were the reason that they did not “drink & drive.”

The students were encouraged to spread this message on social media with the hashtag, #UrMyReason, with great success. It was a tangible reminder to make informed decisions during this time and a reminder of the loved ones in their life who are impacted in their decisions. The campaign was powerful in its message and complimented many schools’ existing programs, like Prom Promise and various assemblies. Schools were also given pamphlets on underage drinking as well as drinking and driving to disseminate to the student body and their families.

Many schools provided feedback that there were no incidents the weekend of prom and graduation. Several school officials remarked that this approach was creative, innovative, and relevant, as the message really spoke to this generation. Since there was not a parental tone in this message, the youth were more likely to listen to it and respond appropriately. The youth enjoyed sharing pictures of themselves wearing the bands. They were pictured hand in hand displaying “their reason” as they sat with their partners, best friends platforming their message on social media sites such as Twitter, Facebook, and Instagram. It is clearly an effective prevention program, helping to prevent adolescents from drinking underage as well as the deadly combination of drinking and driving.

Safe Haven/Extended Hours

Program serves specific or general population	Specific population
Number of youth served	10,654
Number of parents served	10,654
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: DPBHS funded extended community center hours and a curfew center that offers prosocial activities and dinner on an evening during the weekend. The program provides extended hours to hold educational and prevention activities. The Wilmington Police were also involved to offer education and resources to youth who were brought to the center for violation of curfew, preventing them from being on the streets and at risk for engaging in substance use and delinquent behaviors. These alternative activities and programs had a significant impact on the number of arrests and incidences of violence—and likely substance use. These were possible through unplanned budget windfall monies. As a continuation of this commitment, DPBHS will continue with planned outcome measures regarding substance abuse and violence.

Botvin Life Skills

Program serves specific or general population	Specific population
Number of youth served	481

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Botvin Life Skills is a research-validated substance abuse prevention program proven to reduce the risks of alcohol, tobacco, and drug abuse, as well as violence, by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors. This comprehensive and exciting program provides adolescents and young teens with the confidence and skills necessary to successfully handle challenging situations.

**State Prevention Framework/State Incentive Grant (SPF SIG) Funded
Prevention Activities and Initiatives (Federally Funded)**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://dhss.delaware.gov/dhss/dsamh/spfsig.html

Program Description: SPF SIG prevention activities and initiatives take place throughout Delaware and aim to reduce underage drinking in the state. The estimated number of impacts made through the SPF SIG–funded activities is 378,168 (duplicated numbers). This represents the reporting period of April 2014 through March 2015 and it should also be noted that these are duplicated numbers. The SPF SIG–funded activities and initiatives are often chosen based on understanding the specific community characteristics and what will most likely impact the community and the youth to reduce underage and binge drinking. The SPF model is data driven, which allows for different communities to implement a variety of activities that fall under the six CSAP prevention strategies (i.e., prevention education, alternative activities, problem identification and referral, community-based process activities, environmental strategies, and information dissemination activities) that are appropriate for their target population.

One program under the SPF SIG project is Storytelling for Empowerment, a school-based, bilingual (English and Spanish) prevention education program for teenagers at risk for substance abuse and other risky behaviors. This program is implemented at Hispanic-focused community centers that serve youth. Many different programs are implemented through SPF SIG initiatives, some of which include Brain Power, Say it Straight, Stewards of Children, IM40, My Playbook for college athletes, and Smart Moves.

Alternative activities also take place throughout the state, providing youth and young adults with alternatives to engaging in underage drinking and associated risky behaviors. Some of the alternative activities include sports activities available at community center drop-ins, basketball programs, acting, and dancing. Offsite activities, like college tours, post prom parties, movie nights, and bowling tournaments are also conducted.

Community-based process activities often involve building and sustaining community-based coalitions, building interagency collaboration, and providing training and technical support to community groups.

Information Dissemination activities often involve providing individuals with knowledge and awareness around the issues of underage drinking and binge drinking prevention as well as resources in the community. This is often done through brochures, pamphlets, and radio announcements.

Problem Identification and Referral activities work to identify individuals who are starting to engage in risky behaviors and referring them to the services needed.

Finally, environmental strategies seek to change or establish written and unwritten standards, codes, and attitudes in the hope of reducing underage and binge drinking. SPF SIG funds have been used to implement environmental strategies that aim to increase compliance and enforcement around proper alcohol sales in collaboration with

Delaware Alcohol and Tobacco Enforcement (DATE) and other law enforcement agencies. Additionally, Delaware continues to implement a statewide social marketing campaign, initiated by SPF SIG Management and in collaboration with community contractors, which has expanded! In addition to the youth-created PSA videos showing before every movie at a popular movie theater, there are also billboards with a coordinated prevention message throughout the state.

For the reporting period of April 2014 through March 2015, the numbers below represent the number of participants involved or the number of impacts, as is especially the case for information dissemination and environmental strategies. It should be noted that these numbers do not represent unduplicated numbers as this is not tracked across SPF SIG activities and some data were not available at the time of this report.

- Education: 22,879
- Alternative Activities: 20,629
- Community Based Process: 7,971
- Information Dissemination: 73,322
- Problem ID and Referral: 38
- Environmental: 253,329

The program is evaluated quarterly but an overall evaluation will be completed at the end of the grant funding year. Evaluation reports are available by contacting: Dr. Cecilia Douthy Willis at Cecilia.Willis@state.de.us.

The following programs are aimed at both general and specific populations:

1. Brandywine Counseling Community Services (BCCS): (1) Fetal Alcohol Spectrum Disorder (FASD) Program at BCCS targets men and women of childbearing age, ages 18 and up exclusively. FASD priorities are to provide educational presentations that cover the effects that occur in an individual whose mother consumed alcohol during pregnancy. Using a modified version of the FASD Prevention Program titled "Project Choices," the goal of the program is to provide knowledge and increased awareness of how alcohol and other drug use, abuse, and addiction affect not only their bodies but those of their offspring. BCCS/FASD is funded by Block Grant Funds. (2) Alcohol, Tobacco and Other Drugs (ATOD) Program at BCCS targets teens and young adults, 12–25 years old. BCCS' priorities are: underage drinking, binge drinking, and underage DUI and related accidents. BCCS implements prevention services throughout all four sub-state planning regions: the City of Wilmington, New Castle County, Kent County, and Sussex County. BCCS hosts the statewide Coalition of Coalitions, which meets quarterly. The program's address is: 2713 Lancaster, Wilmington, DE 19805; phone: 302-504-5995.
2. Kent & Sussex Counseling Services (KSCS): KSCS targets individuals ages 12–25 throughout Kent and Sussex Counties. Their emphasis is to reduce last month's alcohol misuse and abuse in an effort to decrease underage drinking. Their focus is also on binge drinking (consumption), consequences of alcohol-related traffic crashes, death, and/or injuries, and alcohol-related family criminal violence. KSCS also collaborates with coalition membership to implement environmental strategies and utilize capacity-building activities. The Community Wide Coalition's address is: 1241 College Park Drive, Dover, DE 19904; phone: 302-735-7790.
3. University of Delaware (UDel): Alcohol: UDel's priority is to target college students at the University ages 18–25. The project focuses on high-risk behavior/abuse binge drinking of its student population. Great emphasis is given to freshmen, sorority and fraternity members, and student athletes. UDel serves the University's main campus and the adjacent Newark community. UDel utilizes an environmental strategy by creating a university coalition that includes Newark community residents. The coalition ensures there is a wide range of community representation of the surrounding Newark community. The Coalition's address is: 231 S. College Avenue, Newark, DE 19716; phone: 302-831-3457.
4. Greater Dover Boys & Girls Clubs of Delaware's (BGC) main priority is to reduce past-month alcohol misuse and abuse by Delawareans ages 13–18. BGC focuses on their surrounding community, which includes the State Capital, Dover, which is located in Kent County. BGC utilizes an environmental strategy by implementing a "SMART" curriculum, which includes holding rallies throughout the community with participating stakeholders, other organizations, and community residents. BGC also implements several evidence-based programs such as "SMART Moves" and "Stay SMART Drug FREE Zone." These programs enhance youth leadership and teach them how to make smart and healthy choices. BGC has a community coalition representing community residents and stakeholders that meets regularly and conducts semiannual events. The program's address is: 9 Lockerman Street, Suite 3B, Dover, DE 19901; phone: 302-678-5833.

5. La Esperanza (La Pasa Program): La Esperanza's priorities are to reduce underage drinking and DUI arrests and consequences, and increase awareness and perception of risk among Latino youth ages 18–25 in Sussex County. This program provides services within the community in Georgetown, DE. La Esperanza utilizes a community-based process by engaging local business owners through the business initiative and active participation in a community coalition. The program's address is: 216 N. Race Street, Georgetown, DE 19947; phone: 302-854-9262.
6. Wesley College (Safe Wesley): Wesley's priority is to focus on students ages 18–25. Great emphasis is put on freshmen and student athletes, who are inclined to exhibit high-risk behaviors and binge drinking. The program serves the college's main campus and adjacent Dover community. It utilizes an environmental strategy focusing on capacity-building activities by implementing a communitywide coalition. The coalition's address is: 120 N. State Street, Dover, DE 19901; phone: 302-736-2300.
7. Each One Teach One (E1T1) "Neighborhoods United Against Alcohol and Substance Abuse" (NUAASA): E1T1 priorities are to reduce past-month alcohol misuse and abuse of youth ages 12–18. E1T1 partners with community organizations in its surrounding 12 neighborhoods. It utilizes community-based strategies by maintaining a neighborhood coalition (NCNP) in an effort to coordinate, collaborate, and network with surrounding community agencies. Community trials are implemented to educate liquor outlet managers/owners about underage drinking laws and provide education to adults/parents who may purchase alcohol for minors. Its focus is to educate individuals on the dangers of underage drinking and the "shoulder tapping" law. The program's address is: 19 Lambson Lane, Suite B02, New Castle, DE 19720; phone: 302-482-8381.
8. Latin American Community Center (LACC): (1) Prevention Promoters Program at the LACC focuses on serving Latino and other youth ages 12–17 in the City of Wilmington. The program serves their high vulnerable and disadvantaged population, which is at a greater risk for substance abuse. (2) Storytelling for Empowerment is an evidence-based program. It is a comprehensive set of activities to prevent substance abuse and reduce self-destructive behaviors. Through these activities, young people have the opportunity to gain knowledge and learn the powerful lessons in stories, creating symbols of strength for themselves, choose healthy character traits, and set goals for the future. These activities also help youth build protective factors within their own environments. The program's address is: 403 N. Van Buren Street, Wilmington, DE 19805; phone: 302-655-7338.
9. YMCA: The YMCA prioritizes to reduce past-month alcohol (underage drinking) misuse and abuse. The program serves Wilmington residents ages 12–20. The YMCA includes five neighborhoods within the City of Wilmington (eastside, northeast, riverside, south bridge, and west side). The YMCA uses environmental strategies by utilizing capacity-building activities, such as the implementation of a communitywide coalition to address underage drinking, alcohol, and the use of marijuana. The Wilmington Prevention Coalition disseminates information and provides training for residents pertaining to a "substance free lifestyle." The YMCA also provides a Lead Prevention Program, and the IM 40 training, which targets youth ages 12–15 who reside in a high-risk community. The program's priority is to educate adolescent youth to live healthier lives through a proactive focus on their strengths and assets. The program engages parents, teachers, coaches, and mentors, mobilizing them toward the shared goal of increasing the assets of youth in their communities. The third YMCA program is the "Darkness to Light Stewards of Children," which is a prevention training program that teaches adults how to prevent, recognize, and react responsibly to sexual abuse of children. The program is designed for organizations serving youth and for individuals concerned about the safety of children. The training increases the awareness of the prevalence, consequences, and circumstances of child sexual abuse. It provides positive change to organizational policies and procedures. The program's address is: 1000 N. Walnut Street, Wilmington, DE 19801; phone: 302-254-9622.
10. Open Door, Inc.: The Open Door "Safe Zone Program" prioritizes to reduce past-month alcohol misuse and abuse by youth ages 12–25. Open Door serves the Appoquinimink School District in New Castle County. Open Door uses environmental strategies such as capacity-building activities, such as the implementation of the SC4C Coalition to develop a consensus on appropriate environmental strategies. Open Door's most successful initiative is their "Stall Stories" project. Stall Stories are laminated posters featuring inspirational quotes and, most prominently, Delaware School Survey data to bring awareness to the community about youth drug and alcohol trends. The program's address is: 254 E. Main Street, Newark, DE 19711; phone: 302-731-1504.

11. School Based Health Centers in Kent and Sussex Counties: Eight School Based Health Centers (SBHC) in Delaware public schools in Kent and Sussex counties are involved with the SPF SIG project. The activities consist of alternative activities, information dissemination, and prevention education activities.
12. Drug and Alcohol Tobacco Enforcement: Delaware Alcohol and Tobacco Enforcement conducts compliance checks throughout the state to ensure that businesses are not selling alcohol to youth under 21. DATE also provide information to the merchants regarding laws and penalties for selling alcohol to underage youth. DATE has conducted 129 compliance checks in 39 different zip codes through the state.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

2A.1) Name of Underage Drinking Prevention Program:

SPF SIG funding is provided by SAMHSA/CSAP. The Delaware SPF SIG is a cooperative agreement between the Office of the Governor of the State of Delaware and SAMHSA/CSAP. Delaware's Department of Health and Social Services, Division of Substance Abuse and Mental Health (DHSS/DSAMH), received approval to administer the funds in July 2009 on behalf of the Governor. The purpose of the SPF SIG program is to provide funding to states in order to prevent the onset and reduce the progression of substance abuse, including childhood and underage drinking; reduce substance abuse-related problems; and build prevention capacity and infrastructure at the state and community levels. SPF SIG-funded prevention education and alternative activity initiatives aim to reduce underage drinking in Delaware. The SPF model is data driven, using evidence-based practices that impact the needs of the target area, which allows for different communities to implement different prevention education and alternative activities that are appropriate for their target population. For example, Storytelling for Empowerment is a school-based bilingual (English and Spanish) intervention for teenagers at risk for substance abuse and other risky behaviors. This program is implemented at Hispanic-focused community centers that serve youth. Additional underage drinking prevention education programs include Brain Power, Say it Straight, and Smart Moves. Alternative activities also take place throughout the state that provide youth with an alternative to engaging in underage drinking and associated risky behaviors; offsite activities like college tours, post prom parties, and bowling tournaments are also conducted.

Note: Interim evaluation reports on SPF SIG initiatives are complete, but an overall evaluation report will not be available upon the grant completion.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMSHA	Yes
Agency(ies) within your state: Division of Substance Abuse and Mental Health (DSAMH)	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: The SPF SIG implemented evidence-based programs, practices, and policies. Additionally, the data-driven SPF model is implemented.	

Additional Clarification

No data

State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information:	
Name: Cecilia Douthy Willis	
Email: Cecilia.Willis@state.de.us	
Address: 1901 N. Dupont Highway/Springer Building, New Castle, DE 19720	
Phone: 302-584-3840	
Agencies/organizations represented on the committee:	
Division of Substance Abuse and Mental Health	
Division of Alcohol Tobacco Enforcement (DATE)	
Department of Education (DOE)	
Division of Prevention & Behavioral Health Services (DPBHS)	
Office of Highway Safety (OHS)	
Center for Drug and Health Studies (CDHS)	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access:	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: State Epidemiological Outcomes Workgroup (SEOW)	
Plan can be accessed via:	No data
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
Compliance checks in retail outlets:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2014
Checkpoints and saturation patrols:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Community-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
K–12 school-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs targeted to institutes of higher learning:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the juvenile justice system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the child welfare system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

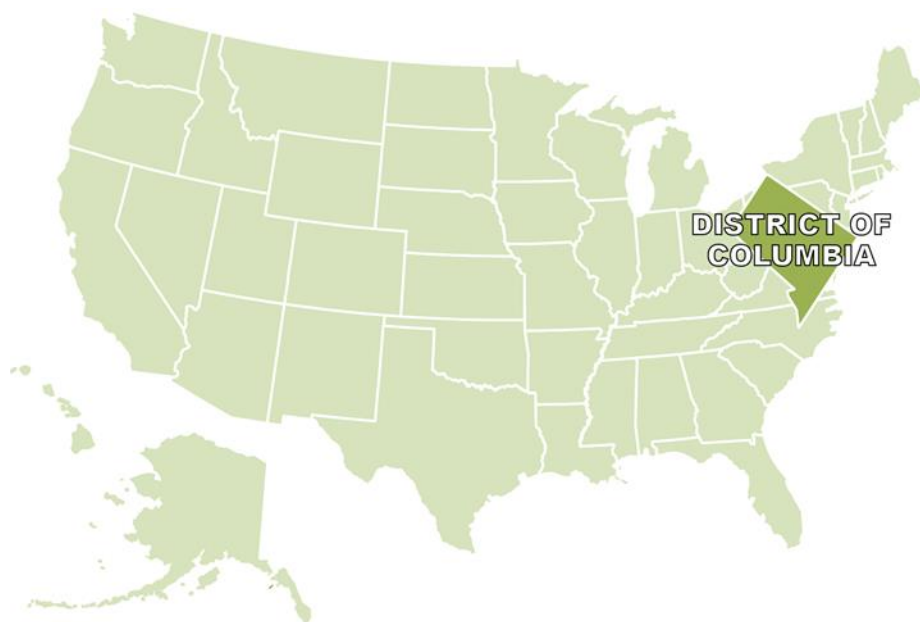
State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used: Not applicable

Additional Clarification

Unfortunately, the State of Delaware does not have any information to add to this section as this section asks for estimates for "state" funds expended for the prevention of underage drinking programs and specifically indicates "not" to include federal or private funding sources in these estimates. What the State of Delaware can report is that the Division of Substance Abuse & Mental Health supports are funded through SPF SIG funds and those programs were mentioned under this survey's section titled "Underage Drinking Prevention Programs."



District of Columbia

State Population: 658,893

Population Ages 12–20: 59,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	34.0	20,000
Past-Month Binge Alcohol Use	19.0	11,000
Ages 12–14		
Past-Month Alcohol Use	5.9	1,000
Past-Month Binge Alcohol Use	3.2	0
Ages 15–17		
Past-Month Alcohol Use	20.4	3,000
Past-Month Binge Alcohol Use	9.8	2,000
Ages 18–20		
Past-Month Alcohol Use	56.8	16,000
Past-Month Binge Alcohol Use	32.8	9,000
Alcohol-Attributable Deaths (under 21)		17
Years of Potential Life Lost (under 21)		999
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	33	1

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians,, or adults before advancing to intermediate stage?	40 (must log additional 10 hours of nighttime driving at intermediate stage with driver over 21)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	11 pm (Sep–June: 11 pm Sun–Thur, 12:01 am Sat–Sun; July–Aug: 12:01 am)
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, one licensed driver at least 21, and any parent or sibling. After 6

	months, no more than two passengers under 21 (except parents or siblings)
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	Under 21
What are the decoy's appearance requirements?	No
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	4 years
What is the penalty for the first offense?	Fine of no less than \$2,000 and no more than \$3,000, and suspend the licensee for 5 consecutive days. The 5-day suspension may be stayed by the Board for 1 year if all employees complete an alcohol training program within 3 months.
What is the penalty for the second offense?	Fine of no less than \$3,000 and no more than \$5,000, and suspend the licensee for 10 consecutive days. The Board may stay up to 6 days of the 10-day suspension for 1 year if all employees complete an alcohol training program within 3 months.

What is the penalty for the third offense?	Fine of no less than \$5,000 and no more than \$10,000, and suspend the licensee for 15 consecutive days, or revoke the license. The Board may stay up to 5 days of the 15-day suspension for 1 year if all employees complete an alcohol training program within 3 months.
What is the penalty for the fourth offense?	Revocation
<i>Note:</i> The Board may give warnings for first-time sale-to-minor offenses, excluding "egregious" violations. Egregious is defined as a sale-to-minor violation where the licensee: (1) Sold or served an alcoholic beverage to a minor who was unable to produce a valid ID after a request from the licensee to do so; (2) intentionally sold an alcoholic beverage to a minor; or (3) can be established to have had a pattern of prior alcoholic beverage sales or service to minors.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Managers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet but the college or university has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet but the college or university has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are (1) restaurant, hotel, club, caterer's, and temporary licenses; (2) grocery stores with only incidental sale of alcoholic beverages; and (3) when the sale of alcoholic beverages constitutes no more than 15% of the total volume of gross receipts on an annual basis.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are (1) restaurant, hotel, club, caterer's, and temporary licenses; (2) grocery stores with only incidental sale of alcoholic beverages; (3) when the sale of alcoholic beverages constitutes no more than 15% of the total volume of gross receipts on an annual basis; and (4) for restaurants located inside hotels, apartment houses, clubs, or office buildings provided there are no signs or displays, and unless specifically approved and Board of Education has no objection.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A

Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes – Active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.09
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes

• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$1.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (45 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (45 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (45 days)

District of Columbia State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Metropolitan Police Department and the Alcoholic Beverage Regulation Administration

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Alcoholic Beverage Regulation Administration
Such laws are also enforced by local law enforcement agencies	Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	1,000
Number pertains to the 12 months ending	9/30/2014
Data include arrests/citations issued by local law enforcement agencies	Don't know

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities	Not applicable
Number of retail licensees in state ³	1,800
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	9/30/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	On-sale establishments only

*State conducts **random** underage compliance checks/decoy operations* No

Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	959
Number of licensees that failed local compliance checks	188
Numbers pertain to the 12 months ending	9/30/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state ⁴	No data
Total amount in fines across all licensees	No data

Smallest fine imposed	\$2,000
Largest fine imposed	\$10,000
Numbers pertain to the 12 months ending	9/30/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not Applicable
Longest period of suspension imposed (in days)	No Maximum
Numbers pertain to the 12 months ending	9/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	2
Numbers pertain to the 12 months ending	9/30/2014

Additional Clarification

Underage Alcohol Enforcement/Cops in Shops:

- Compliance checks enforcement (also known as sale to minor enforcement) is a joint operation with The Metropolitan Police Department (MPD), The Alcohol Beverage Regulation Administration (ABRA), and minors (persons under 21 years old) from the National Capital Coalition to Prevent Underage Drinking (NCCPUD). Listed MPD and ABRA members use NCCPUD minors to enter ABC establishments (liquors stores, convenience stores, restaurants, bars, and night clubs) and attempt to purchase alcohol. Minors do not use false identification of any kind and do not misrepresent their true age. If a sale is made, the employee who makes the sale is charged with sale of alcohol to minor and an ABC violation report is also completed and sent to the ABC board for further action against the establishment.
- Cops in shops enforcement is a joint operation of the Metropolitan Police Department (MPD), the Alcohol Beverage Regulation Administration (ABRA), and various ABC establishments to combat (1) use of fraudulent identification by underage minors to enter ABC establishments (bars, night clubs, and restaurants) and (2) use of fraudulent identification by underage minors to purchase alcoholic beverages from liquor stores or convenience stores. Once these minors are identified as using fraudulent identification that misrepresents their age, the minor is charged with misrepresentation of age to enter an ABC establishment or misrepresentation of age to purchase alcohol. However, there is no violation for the establishment because the establishment is working with local law enforcement to identify minors attempting to use false identification and preventing these minors from consuming the alcohol. A report is completed to document an ABC incident and a PD 163 is also completed if an arrest is made.
- Conduct spot checks on establishments that sell alcohol and check persons inside establishments who have purchased alcohol to verify that establishments are complying with the law. This is also a joint operation between the Metropolitan Police Department (MPD) and the Alcohol Beverage Regulation Administration (ABRA), and locations are selected when complaints have been received for various violations, including underage drinking. Any violations observed by MPD and ABRA are documented on a PD 251 as an ABC violation or incident.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

DC: Double Check 101

Program serves specific or general population	General population
Number of youth served	Not applicable

Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The DC: Double Check 101 program has the support and partnership of all eight major universities in the District of Columbia, the Metropolitan Police Department, and several other District agencies. DC: Double Check 101 employs a two-prong approach, education and enforcement, to attempt to lower the prevalence of underage drinking among the District youth.

National Capital Coalition to Prevent Underage Drinking (NCCPUD)

Program serves specific or general population	Specific population
Number of youth served	28
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: NCCPUD, organized in 1997, is a nonprofit 501c(3) organization dedicated to preventing and reducing underage drinking and its related harms in the District of Columbia. NCCPUD is a coalition of community-based organizations, government, and youth, and has been in the vanguard of developing and implementing prevention programs aimed at reducing underage drinking and other ATOD use by youth. NCCPUD's mission is to organize, educate, and build the capacity of local, public and private organizations, youth, and the community to reduce underage drinking and its related harms. The Coalition aims to do this with the help of teens from various high schools in the District of Columbia. NCCPUD seeks to (1) reduce both the availability and accessibility of alcohol to area youth; (2) reduce alcohol marketing and promotional strategies that target youth; (3) reduce alcohol-impaired driving and support the enforcement of underage drinking laws in the metropolitan area; and (4) develop and implement other promising interventions and policies that reduce drinking and the accessibility of alcohol to youth.

Over the past 10 years, NCCPUD has partnered with the District of Columbia Alcoholic Beverage Regulatory Administration (ABRA) in conducting areawide alcohol compliance operations, educating and training youth on various environmental issues, laws, and regulations that affect alcohol issues in the District. NCCPUD also serves as a community lab agency for youth in the District of Columbia, training youth as peer leaders in the prevention of underage drinking and its related harms.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/National Institute on Alcohol Abuse and Alcoholism (NIAAA) Yes

Agency(ies) within your state: Department of Behavioral Health Yes

Nongovernmental agency(ies): No

Other: No data No

Best practice standards description: In August 2012, more than 14 DC agencies developed a Five Year Substance Use Prevention Plan that included practices to reduce underage drinking. One approach supported by all participants was the concept of a Prevention Leadership Center (PLC). A concept paper was created in 2014 in collaboration with DC agency collaborators and community prevention leaders. DBH is addressing many of the strategies in the concept paper, including the development of prevention program standards and prevention certification.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Donna Doolin

Email: donna.doolin@DC.Gov

Address: 1300 First Street, NE, Washington, DC 20002

Phone: 202-727-8858

Agencies/organizations represented on the committee:

Note: Prevention Partnership Council purpose and representatives are being revised under the new Strategic Prevention Framework Partnership for Success (PFS) Grant. The DCEOW with 12 agency partners and the Evidence-Based Workgroup will continue to be connected to the Council.

A website or other public source exists to describe committee activities No
URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Department of Behavioral Health Addiction Prevention and Recovery Administration had the lead for a Prevention Policy Council, composed of 14 DC agency partners, that developed a Five Year Substance Abuse Prevention Strategic Plan. Underage drinking was a priority area in the plan. Plan can be accessed via: Judith.Donovan@DC.Gov

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Department of Behavioral Health

Plan can be accessed via: STOP Act Survey Report was shared with other DC and ward representatives

Additional Clarification

Description of collaboration: The District of Columbia (DC) Department of Behavioral Health (DBH) is a new agency that merged the former Addiction Prevention and Recovery Administration/ Department of Health (DOH) with the Department of Mental Health. DBH has invested in a sustainable infrastructure and prevention system that supports collaborations and best practices to reduce underage drinking. This includes four DC Prevention Centers (DCPC) that provide access to universal, selective, and indicated best practices across all eight wards. Each Center serves two wards each to strengthen community capacity, address needed community and system changes, reduce risk factors, increase protective factors, and achieve outcomes for youth, families, and the community at large. Center functions are community education, community leadership development, and community changes. In 2015, the Centers will continue to expand their reach through more than 53 Community Prevention Networks that develop data-driven action plans for prevention. More than 35 DC youth were trained in a national Strategic Prevention Framework best practice model and will implement community level action plans to prevent underage drinking in their wards.

DBH funds the DC Epidemiological Outcomes Workgroup (DCEOW) that involves 12 District agency partners and produces district and ward data reports on underage drinking including alcohol consumption, consequences, risk

and protective factors and demographics. The DCEOW has enhanced "Community Conversation" protocols and a guidance document for collecting information through town hall meetings, focus groups, and group discussions. In addition, DBH supports DC's 2015 Youth Risk Behavior Survey that expands the size of the random sample and produces data for prevention planning and evaluation.

Strategic Prevention Framework State Incentive Grant (SPF SIG) funds are supporting an adaptation of the federal campaign "Talk. They Hear You" to urban areas with culturally diverse populations. The June 2015 launch included educational resources such as brochures, posters, palm cards; underage drinking campaign/website/social media/digital engagement; print media; radio ads; earned media; bus cards; Metro ads; and targeted print ads. The DCPC will collaborate with identified community leaders in their wards, disseminate campaign materials and serve as the catalyst for community action.

DBH has been awarded a new, 5-year, \$10 million SAMHSA Strategic Prevention Framework Partnership for Success (PFS) grant targeting prevention of underage drinking and marijuana use among youth. DBH will support a Prevention Partnership Council, continuation of the DC EOW, and an evidence-based workgroup. In 2015, the grant supports a PFS Coordinator in each of the DC Prevention Centers and eight high-need community centers. Requests for Applications require a robust network of community partners and collaborations, data-driven planning, and the use of evidence-based preventive interventions. All eight wards have been deemed high need. New ward Prevention Partnership Councils are being developed to support policy planning through the DBH Prevention Partnership Council composed of state agency partners.

DBH is continuing the use of technology to increase collaborations and best practices to reduce underage drinking. This includes a new online DC Information Resource System (DIRS) with modules that track emerging trends around underage drinking and other drug use through local social media activity. DBH will also continue enhancement of a new prevention website, DRUGFREEYOUTHDC.COM, and social media capacity (e.g., Facebook Instagram, Twitter).

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$115,000
Estimate based on the 12 months ending	9/30/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	\$700,000
Estimate based on the 12 months ending	9/30/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$18,000
Estimate based on the 12 months ending	9/30/2014

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

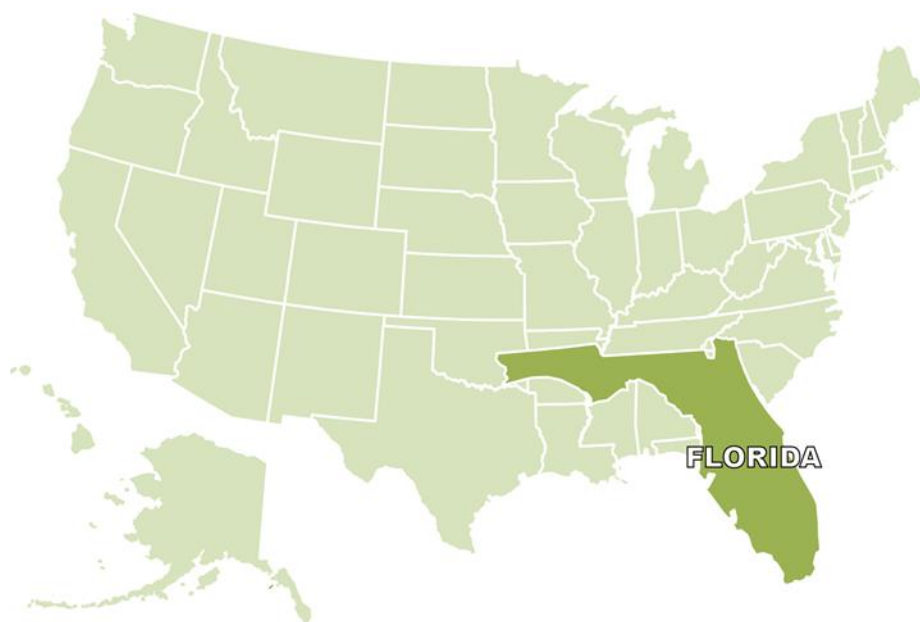
Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Florida

State Population: 19,893,297

Population Ages 12–20: 2,123,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.3	494,000
Past-Month Binge Alcohol Use	13.3	281,000
Ages 12–14		
Past-Month Alcohol Use	4.6	31,000
Past-Month Binge Alcohol Use	2	14,000
Ages 15–17		
Past-Month Alcohol Use	19.5	140,000
Past-Month Binge Alcohol Use	10	72,000
Ages 18–20		
Past-Month Alcohol Use	43.8	323,000
Past-Month Binge Alcohol Use	26.6	196,000
Alcohol-Attributable Deaths (under 21)		282
Years of Potential Life Lost (under 21)		16,951
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	16	44

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 pm (age 16: 11 pm; age 17: 1 am)
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy's appearance requirements?	Must be obviously underage in appearance. Must dress per community standards in the area where they are conducting compliance checks. Males: no facial hair. Females: may not "dress up" to appear older or wear revealing attire.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	3 years
What is the penalty for the first offense?	\$1,000 and 7-day suspension
What is the penalty for the second offense?	\$3,000 and 30-day suspension
What is the penalty for the third offense?	Revocation
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A

If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: Exception for restaurants	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (willful and unlawful furnishing to minor)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes
<i>Note:</i> Common law liability rests on a violation of the criminal social host statute. The criminal social host statute prohibits an adult from allowing an open house party to take place at a residence he/she controls and knowingly allowing a minor to possess or consume alcohol at the residence and failing to take reasonable steps to prevent the possession or consumption of the alcoholic beverage.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
<i>Note:</i> The "preventive action" provision in Florida requires the prosecution to prove that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A

State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A
<p><i>Note:</i> Although current law suggests that direct shipments of alcoholic beverages are prohibited, the Florida Department of Business and Professional Regulation's informal policy allows out-of-state wineries to make direct shipments of wine to Florida consumers. Florida statutes that purport to ban direct shipments are not being enforced pursuant to a stipulation entered into by the state in a lawsuit challenging the constitutionality of the law. Fla. Stat. Ann. §§ 561.54, 561.545.</p>	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes, more than 76.5%
Are there exceptions to restrictions?	No
<p><i>Note:</i> Statute states "153 proof," which is equivalent to 76.5% ABV.</p>	

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No

Specific excise tax per gallon for 5% alcohol beer	\$0.48
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$2.25
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$6.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	\$2.25/gallon for alcohol content of less than 17.259%

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)

Florida State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Florida Department of Business and Professional Regulation, Division of Alcoholic Beverages and Tobacco,
Bureau of Law Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,588

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 47,437

Number of licensees checked for compliance by state agencies (including random checks) 3,009

Number of licensees that failed state compliance checks 419

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations* Yes

Number of licensees subject to **random** state compliance checks/decoy operations 1,770

Number of licensees that failed **random** state compliance checks 282

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 40

Total amount in fines across all licensees \$40,100

Smallest fine imposed \$250

Largest fine imposed \$5,000

Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	30
Total days of suspensions across all licensees	158
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	29
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	3
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Too Good For Drugs (TGFD)

Program serves specific or general population	Specific population
Number of youth served	28,236
Number of parents served	0
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=75
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=75

Program Description: TGFD is a school-based prevention program that builds on students' resiliency by teaching them how to be socially competent and autonomous problem solvers. The program is designed to benefit everyone in the school by providing needed education in social and emotional competencies and by reducing risk factors and building protective factors that affect students in these age groups. TGFD focuses on developing personal and interpersonal skills to resist peer pressures, goal setting, decisionmaking, bonding with others, having respect for self and others, managing emotions, effective communication, and social interactions. The program also provides information about the negative consequences of drug use and the benefits of a nonviolent, drug-free lifestyle. The Early Intervention & Prevention Coordinator will teach 6th-grade classes once a week for 30–45 minutes for 10 weeks.

Strengthening Families Program

Program serves specific or general population	General population
Number of youth served	4,369
Number of parents served	7,524
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/viewintervention.aspx?id=63

URL for more program information:

<http://www.strengtheningfamiliesprogram.org>

Program Description: SFP is a family skills training program designed to increase resilience and reduce risk factors for behavioral, emotional, academic, and social problems in children. SFP comprises three life-skills courses delivered in 14 weekly, 2-hour sessions. The Parenting Skills sessions are designed to help parents learn to increase desired behaviors in children by using attention and rewards, clear communication, effective discipline, substance use education, problem solving, and limit setting. The Children's Life Skills sessions are designed to help children learn effective communication, understand their feelings, improve social and problem-solving skills, resist peer pressure, understand the consequences of substance use, and comply with parental rules. In the Family Life Skills sessions, families engage in structured family activities, practice therapeutic child play, conduct family meetings, learn communication skills, practice effective discipline, reinforce positive behaviors in each other, and plan family activities together.

Guiding Good Choices (GGC)

Program serves specific or general population

Specific population

Number of youth served

No data

Number of parents served

No data

Number of caregivers served

7

Program has been evaluated

Yes

Evaluation report is available

Yes

URL for evaluation report:

<http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=302>

URL for more program information:

<http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=302>

Program Description: GGC is a drug use prevention program that provides parents of children in grades 4–8 (9–14 years old) with the knowledge and skills needed to guide their children through early adolescence. It seeks to strengthen and clarify family expectations for behavior, enhance the conditions that promote bonding within the family, and teach skills that allow children to resist drug use successfully. GGC is based on research that shows that consistent, positive parental involvement is important to helping children resist substance use and other antisocial behaviors. Formerly known as Preparing for the Drug Free Years, this program was revised in 2003 with more family activities and exercises. The current intervention is a five-session curriculum that addresses preventing substance abuse in the family, setting clear family expectations regarding drugs and alcohol, avoiding trouble, managing family conflict, and strengthening family bonds. Sessions are interactive and skill based, with opportunities for parents to practice new skills and receive feedback, and use video-based vignettes to demonstrate parenting skills. Families also receive a family guide containing family activities, discussion topics, skill-building exercises, and information on positive parenting.

Sweet and Delicious to be Drug Free

Program serves specific or general population

Specific population

Number of youth served

611

Number of parents served

464

Number of caregivers served

No data

Program has been evaluated

No

Evaluation report is available

Not applicable

URL for evaluation report:

Not applicable

URL for more program information:

No data

Program Description: Sweet and Delicious to be Drug Free addresses risk factors related to underage drinking by exposing children to healthy habits. It is a Social Norms campaign facilitated in numerous Broward County public schools, grades 6–12. The campaign empowers youth to prevent or reduce alcohol consumption. Through the use of the research based Social Norms approach, a change in perception toward alcohol is created resulting in a change in behavior.

Project IMPACT

Program serves specific or general population	Specific population
Number of youth served	4,875
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: Project IMPACT is a school-based prevention program focused on ATOD and underage drinking prevention.

Parents Who Host Lose the Most

Program serves specific or general population	General population
Number of youth served	73,000
Number of parents served	240,700
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	https://www.drugfreeactionalliance.org/parents-who-host/evaluation
URL for more program information:	https://www.drugfreeactionalliance.org/parents-who-host

Program Description: This public awareness program educates communities and parents about the health and safety risks of serving alcohol at teen parties. The program takes place at state and local levels, concentrating on celebratory times for youth, such as homecoming, holidays, prom, graduation and other times when underage drinking parties are prevalent. This program encourages parents and the ENTIRE community to send a unified message that teen alcohol consumption is unhealthy, unsafe, and unacceptable.

Safe Homes/Smart Parties

Program serves specific or general population	General population
Number of youth served	100,000
Number of parents served	15,000
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.itsworthitguilford.org/program-overview.html

Program Description: Safe Homes/Smart Parties programs have been utilized successfully across the country since 1983. These programs give parents an opportunity to join together in providing safe, drug and alcohol-free environments for their teenagers to socialize while presenting a clear message to the town's youth. By participating in Guilford's Safe Homes/Smart Parties Program, you will be joining other parents in taking a strong stand on the issue of underage drinking and other drug use. More importantly, you will be showing your concern for the health and safety of the children in our community. When adults stick together, it goes a long way toward protecting our kids. A list of participating parents will be developed and shared on a password-protected page here on our website. Only participants will have access to this information. This will afford you the chance to identify and email a parent to ensure they are aware of the gathering planned in their home, that it will be supervised, and that it will be drug and alcohol free.

Alcohol Literacy Challenge (ALC)

Program serves specific or general population	Specific population
Number of youth served	1,375
Number of parents served	14

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=320
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=320

Program Description: ALC is a brief classroom-based program designed to alter alcohol expectancies and reduce the quantity and frequency of alcohol use among high school and college students. Alcohol expectancies are an individual's beliefs about the anticipated effects of alcohol use, including those that are positive (e.g., increased sociability, reduced tension) and negative (e.g., impairments to mental and behavioral functioning, increased aggressiveness or risk taking). Some of the most desired effects—the arousing, positive, and prosocial effects—are placebo effects rather than pharmacological ones. ALC aims to correct erroneous beliefs about the effects of alcohol, decreasing positive and increasing negative expectancies. These shifts in expectancies have been shown to predict lower levels of alcohol use.

New Horizon

Program serves specific or general population	Specific population
Number of youth served	2,927
Number of parents served	53
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: New Horizon is a 16-session life-skills training program that focuses on topics such as communication skills, decisionmaking, ATOD education, and anger management.

Prevention Education and Information Dissemination

Program serves specific or general population	General population
Number of youth served	34,682
Number of parents served	18,471
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Prevention Education and Information Dissemination is a form of prevention that allows for providers and coalitions to share knowledge and resources with the general population regarding prevention activities. Forms of prevention under this topic include community resource fairs, community engagement, health fairs, resource tables, information booths, assemblies, back-to-school fairs, and other opportunities to educate large numbers of participants in ATOD prevention materials.

Coalition Activities

Program serves specific or general population	General population
Number of youth served	15,809
Number of parents served	500
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Anti-drug coalitions across the state are active within their communities to promote ATOD education and prevention. The activities in which coalitions participate include things such as community events and presentations, compliance check reminders, and participation in town hall meetings

Life Skills Training (LST)

Program serves specific or general population	Specific population
Number of youth served	10,509
Number of parents served	270
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=109
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=109

Program Description: LST is a classroom-based substance abuse prevention program for grade- and middle-school children. It is an evidence-based substance and violence prevention program. The program is intended to be taught sequentially to build on skills learned in previous lessons. Studies show that this program significantly reduces alcohol, tobacco, and marijuana use.

Educational and Counseling for High School Students (ECHO)

Program serves specific or general population	Specific population
Number of youth served	1,541
Number of parents served	474
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: ECHO is an ATOD prevention program offering social support, problem identification and referral, information dissemination, educational presentations, and life skills training.

Project SUCCESS

Program serves specific or general population	Specific population
Number of youth served	2,043
Number of parents served	730
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=71
URL for more program information:	http://www.nrepp.samhsa.gov/ViewIntervention.aspx?id=71

Program Description: Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students) is a selective and indicated program designed to prevent and reduce underage drinking and substance abuse.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: Active Parenting Now—An evidence-based practice addressing youth underage drinking
 Project Northland—The Project Northland prevention education programs are outcome-based, SAMHSA- and NREPP-certified curriculums proven to be effective in the delay of first use of tobacco, alcohol, and other drugs.
 Teen Intervene Group Process—Designed specifically for youth who are experiencing mild to moderate problems associated with alcohol or other drug use. The program provides education, support, and guidance for teens and their parents.

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Environmental scans are done throughout the state to ensure that vendors who sell alcohol do not target youth or sell alcohol to youth. Additionally, environmental strategies such as media campaigns are held to make parents, educators, and community members aware of the dangers of exposing youth to alcohol.

State has adopted or developed best practice standards for underage drinking prevention programs No

Agencies/organizations that established best practices standards:

Federal agency(ies): Not applicable

Agency(ies) within your state: Not applicable

Nongovernmental agency(ies): Not applicable

Other: Not applicable

Best practice standards description: Not applicable

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Kathleen Roberts

Email: kathleen.roberts@myflfamilies.com

Address: Department of Children and Families, Office of Substance Abuse and Mental Health, 1317 Winewood Blvd., Building 6, Tallahassee, FL 32399

Phone: 850-597-1471

Agencies/organizations represented on the committee:

Department of Children and Families

Florida Alcohol and Drug Abuse Association

Florida Coalition Alliance

Big Bend Community Based Care

Central Florida Behavioral Health Network

Southeast Florida Behavioral Health Network

Broward Behavioral Health Coalition

Central Florida Cares Health System

Lutheran Services Florida-Jacksonville

South Florida Behavioral Health Network

A website or other public source exists to describe committee activities No

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$ 1,030,086.91
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Estimate based on the 12 months ending	12/31/2014
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K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$ 6,833,217.40
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Estimate based on the 12 months ending	12/31/2014
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Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Other programs:

Programs or strategies included	Data not available
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Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
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Fines	No
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Fees	No
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Other	Not applicable
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Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Georgia

State Population: 10,097,343

Population Ages 12–20: 1,254,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	19.7	247,000
Past-Month Binge Alcohol Use	12.0	150,000
Ages 12–14		
Past-Month Alcohol Use	3.2	13,000
Past-Month Binge Alcohol Use	1.3	5,000
Ages 15–17		
Past-Month Alcohol Use	18.3	75,000
Past-Month Binge Alcohol Use	10.8	44,000
Ages 18–20		
Past-Month Alcohol Use	37.6	159,000
Past-Month Binge Alcohol Use	23.8	100,000
Alcohol-Attributable Deaths (under 21)		149
Years of Potential Life Lost (under 21)		9,030
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	12	19

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes, in specified locations – See below
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40 (6 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	No – Officer must stop driver for another offense to cite for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, immediate family only. Second 6 months, no more than one passenger under 21 who is not immediate

	family. After 1 year, no more than three passengers under 21 who are not immediate family.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Not specified
Does decoy carry ID during compliance check?	Prohibited (except to obtain admission to facility)
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has the authority to override state restrictions for wine and beer for grocery stores.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: Exceptions are hotels of more than 50 rooms and bona fide private clubs.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits.

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are hotels of more than 50 rooms and bona fide private clubs.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes

Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
<i>Note:</i> Wineries that hold a federal basic wine manufacturing permit, regardless of whether they are licensed by the state of Georgia, may also ship wines directly to consumers. The consumer must purchase the wine while physically present on the premises of the winery, and the winery must verify that the consumer is of the age to do so.	

Keg Registration	
How is a keg defined (in gallons)?	More than 2.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/12 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/12 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No
<i>Note:</i> Although Georgia does not require a retailer to record the number of a keg purchaser's ID, it does require the retailer to record the form of ID presented by the purchaser, as well as the purchaser's name, address, and date of birth.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.01
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
<i>Note: \$0.32 per gallon for malt beverages sold in barrels or bulk containers containing not more than 31 gallons, and \$0.39 per gallon on barrels or bulk containers of 15.5 gallons or less.</i>	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.42
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	Georgia imposes an additional tax of \$1.10 per gallon on "importation for use, consumption, or final delivery" into the state of all wines with an alcohol content of 14% or less.
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$1.89
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	
Note: Georgia imposes an additional tax of \$1.89 per gallon on the "importation for use, consumption, or final delivery" into the state of all distilled spirits.	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (180 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (14 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<i>Note:</i> With respect to purchases of beer, if retailer owns more than one business and payment is made from a central office, credit may be extended for a period not to exceed 5 days after delivery and invoice.	

Georgia State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Georgia Department of Revenue, Alcohol and Tobacco Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors

Georgia Department of
Revenue, Alcohol and
Tobacco Division

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

19,787

Number of licensees checked for compliance by state agencies

3,655

(including random checks)

Number of licensees that failed state compliance checks

235

Numbers pertain to the 12 months ending

6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale
establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

3,655

Number of licensees that failed **random** state compliance checks

235

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

235

Total amount in fines across all licensees

\$69,200

Smallest fine imposed

\$0

Largest fine imposed	\$2,400
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	4
Total days of suspensions across all licensees	8
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	2
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

The Georgia Department of Revenue, Alcohol and Tobacco Division, does not collect data or track fines on local enforcement actions. This agency tracks regulatory fines issued to businesses based on enforcement actions initiated by the agency.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Alcohol Prevention Project (APP)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ga-sps.org

Program Description: To address the negative impact of alcohol use in Georgia, the state Office of Behavioral Health Prevention has developed APP. This project aims to effect population-level change of behaviors and trends of alcohol use and abuse among youth and young adults ages 9–25. APP will use the SAMHSA/CSAP Strategic Prevention Framework model to develop and implement strategies aimed at population-level change using the public health model approach. The objective of this initiative is to implement statewide primary prevention strategies (programs/practices/policies) consistent with needs as identified by epidemiological data, with the following goals:

1. Reduce the early onset of alcohol use among 9- to 20-year-olds
2. Reduce access to alcohol and binge drinking among 9- to 20-year-olds
3. Reduce binge drinking and heavy drinking among 18- to 25-year-olds

There are currently 37 providers with 50 contracts across the state completing this initiative.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): U.S. Department of Health and Human Services	Yes
Agency(ies) within your state: Department of Behavioral Health and Developmental Disabilities, Georgia State University	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: By incorporating the Strategic Prevention Framework (SPF) into alcohol initiative, the Office of Behavioral Health Prevention (OBHP) became strategic about prevention services and programs being provided in Georgia and increased utilization of evidence-based programs, practices, and policies. This allows OBHP to target our services, show outcomes in our communities, and ensure long-term sustainability of evidence-based substance abuse/use prevention efforts. This model requires target communities to develop and implement strategies aimed at population-level change using the public health model approach. By adopting the SPF process and the public health model, target communities use a comprehensive approach to develop and implement sustainable outcome-based prevention strategies. OBHP also utilizes the <i>Surgeon General's Call To Action</i> to assist in continuing to develop best practice standards.	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Donna Dent	
Email: donna.dent@dbhdd.ga.gov	
Address: 2 Peachtree St., Atlanta, GA 30303	
Phone: 404-463-9780	
<i>Agencies/organizations represented on the committee:</i>	
Department of Behavioral Health and Developmental Disabilities	
The Council on Alcohol and Drugs	
Maternal Substance Abuse and Child Development Project, Emory University	
Georgia State University	
Clinic for Education, Treatment, and Prevention of Addiction, Inc. (CETPA)	
Georgia Department of Revenue, Alcohol and Tobacco Division	
Carl Vinson Institute of Government, University of Georgia	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access:	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Department of Behavioral Health and Developmental Disabilities/Office of Behavioral Health Prevention	
Plan can be accessed via: http://www.ga-sps.org	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by:	
Plan can be accessed via:	
	Not applicable
	Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	\$146,200
Estimate based on the 12 months ending	6/30/2015

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	09/30/2015

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other	No data

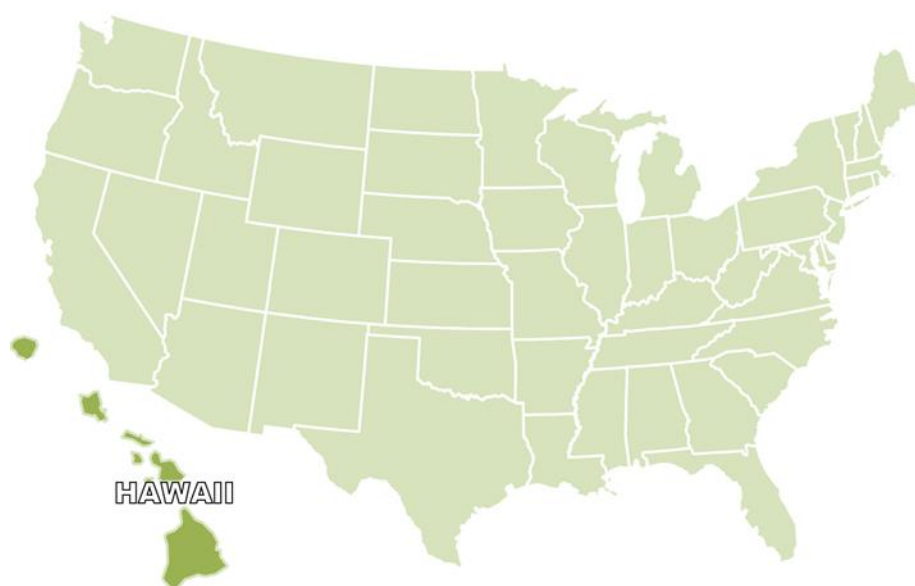
Description of funding streams and how they are used:

Not applicable

Additional Clarification

To address the negative impact of alcohol use in Georgia, the State Office of Behavioral Health Prevention (OBHP) has developed the Alcohol Prevention Project (APP) initiative utilizing SAMHSA Substance Abuse Prevention and Treatment (SAPT) Block Grant funding. This initiative aims to impact population-level change of behaviors and trends of alcohol use and abuse among youth and young adults ages 9–25. APP will use the SPF model to develop and implement strategies aimed at population-level change using the public health model approach. The objective of this initiative is to implement statewide primary prevention strategies (programs/practices/policies) that are consistent with needs as identified by epidemiological data, with the following goals:

1. Reduce the early onset of alcohol use among 9- to 20-year-olds
2. Reduce access to alcohol and binge drinking among 9- to 20-year-olds
3. Reduce binge drinking and heavy drinking among 18- to 25-year-olds



Hawaii

State Population: 1,419,561

Population Ages 12–20: 147,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	21.6	32,000
Past-Month Binge Alcohol Use	13.9	20,000
Ages 12–14		
Past-Month Alcohol Use	4.4	2,000
Past-Month Binge Alcohol Use	2.4	1,000
Ages 15–17		
Past-Month Alcohol Use	20.7	10,000
Past-Month Binge Alcohol Use	12.5	6,000
Ages 18–20		
Past-Month Alcohol Use	39.6	20,000
Past-Month Binge Alcohol Use	26.7	13,000
Alcohol-Attributable Deaths (under 21)		11
Years of Potential Life Lost (under 21)		680
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	41	3

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<p><i>Note:</i> Although Hawaii does not prohibit internal possession as defined in this report, it has a statutory provision that states that “[n]o minor shall consume or purchase liquor and no minor shall consume or have liquor in the minor’s possession or custody in any public place, public gathering, or public amusement, at any public beach or public park, or in any motor vehicle on a public highway” and that “‘consume’ or ‘consumption’ includes the ingestion of liquor.” Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No

Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<i>Note:</i> In Hawaii, the retailer has a defense to a charge of furnishing to a minor if, in making the sale or allowing the consumption of liquor by a minor, the retailer was misled by the appearance of the minor and the attending circumstances into honestly believing that the minor was of legal age, and if the retailer can prove that he or she acted in good faith.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws) – For Ages 18–21	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	Not specified

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws) – For Ages Under 18	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary

What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	Not specified

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger under 18, except household members, unless accompanied by parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A

May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> Liquor can be sold by persons 18 to 20 years old only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is proper supervision of these minor employees to ensure the minors shall not consume the intoxicating liquor.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> Liquor can be sold or served by persons 18 to 20 years old only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is	

proper supervision of these minor employees to ensure that the minors shall not consume the intoxicating liquor. Persons below age 18 may sell or serve liquor in individually specified licensed establishments found to be otherwise suitable by the liquor commission in which an approved program of job training and employment for dining room waiters and waitresses is being conducted in cooperation with the University of Hawaii, the state community college system, or a federally sponsored personnel development and training program, under arrangements that ensure proper control and supervision of employees.

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet, if 40% of registered voters or property owners within area protest
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are designated resort areas and hotel or condominium hotel liquor licenses.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes social host must be age 21 or older
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Recklessness: Host must act with intentional disregard for

	probable consequence of actions.
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain
<p><i>Note:</i> Any adult may obtain a state permit to receive one shipment of beer, wine, or distilled spirits per year for personal use from outside the state, not to exceed 5 gallons. Only one permit is allowed per household. It is uncertain whether an out-of-state retailer may ship the alcohol directly to the permittee for his or her personal use.</p>	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
<p><i>Note:</i> Any adult may obtain a state permit to receive one shipment of beer, wine, or distilled spirits per year for personal use from outside the state, not to exceed 5 gallons. Only one permit is allowed per household.</p>	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law

Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.93
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Note: \$0.54 per gallon for containers of 7 gallons or more	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.38
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$5.98
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

Hawaii State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Department of Liquor Control on each island and county police departments

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

County of Hawaii requires approved application for direct shipment of wine by wineries and the Kauai Dept. of Liquor Control

Such laws are also enforced by local law enforcement agencies Yes

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 19

Number pertains to the 12 months ending 5/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 870

Number of licensees checked for compliance by state agencies (including random checks) 699

Number of licensees that failed state compliance checks 71

Numbers pertain to the 12 months ending 6/20/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations* Yes

Number of licensees subject to **random** state compliance checks/decoy operations 665

Number of licensees that failed **random** state compliance checks 71

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 686

Number of licensees that failed local compliance checks 86

Numbers pertain to the 12 months ending 6/15/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 45

Total amount in fines across all licensees	\$50,500
Smallest fine imposed	\$1,000
Largest fine imposed	\$2,000
Numbers pertain to the 12 months ending	6/20/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	1
Total days of suspensions across all licensees	5
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	5
Numbers pertain to the 12 months ending	5/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No data
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	No data

Additional Clarification

Information provided in the enforcement section was from the County of Kauai Department of Liquor Control Board, The County of Hawaii Liquor Control Board, and the Honolulu Police Department. Liquor Control Boards of Maui and Oahu did not participate and the police departments of Kauai, Maui, and Hawaii Island did not participate. The Alcohol Compliance check reported in this survey began in May 2013 and ended on May 31, 2014. Approximately \$39,000 of the funding was provided by the State of Hawaii, Department of Health, Alcohol and Drug Abuse Division (ADAD), under the Enforcing Underage Drinking Laws (EUDL) funds. In addition, this survey includes partial stats from the State of Hawaii, Department of Transportation (DOT), Highway Safety Grant. Approximately \$17,000 has been utilized to date for Alcohol Compliance checks in the DOT grant, effective from October 31, 2014, to September 31, 2015.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Communities Mobilizing for Change on Alcohol

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: No data

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Agencies/organizations that established best practices standards:	
Federal agency(ies):	Not applicable
Agency(ies) within your state:	Not applicable
Nongovernmental agency(ies):	Not applicable
Other:	Not applicable
Best practice standards description:	Not applicable
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Cynthia Okazaki	
Email: kcfccokazaki@pacthawaii.org	
Address: King Intermediate School, 46-155 Kamehameha Hwy., Kaneohe, HI 96744	
Phone: 808-235-7747	
<i>Agencies/organizations represented on the committee:</i>	
Parents and Children Together	
Department of the Attorney General	
County Liquor Control Board	
University of Hawaii at Manoa	
Maui Economic Opportunity	
Coalition for Drug Free Hawaii	
Department of Health	
Alu Like, Inc.	
Hina Mauka	
Child and Family Service	
County Police Departments	
City and County of Honolulu	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access:	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$56,000

Estimate based on the 12 months ending	6/15/2015
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used: Not applicable

Additional Clarification

No data



Idaho

State Population: 1,634,464

Population Ages 12–20: 208,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	17.9	37,000
Past-Month Binge Alcohol Use	11.5	24,000
Ages 12–14		
Past-Month Alcohol Use	3.2	2,000
Past-Month Binge Alcohol Use	1.2	1,000
Ages 15–17		
Past-Month Alcohol Use	19.3	13,000
Past-Month Binge Alcohol Use	12.1	8,000
Ages 18–20		
Past-Month Alcohol Use	32.5	22,000
Past-Month Binge Alcohol Use	22	15,000
Alcohol-Attributable Deaths (under 21)		22
Years of Potential Life Lost (under 21)		1,376
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	30	8

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes, in specified locations – See below
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents
<i>Note:</i> Idaho's exceptions relate specifically to the possession of beer or wine.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<i>Note:</i> Although Idaho does not prohibit internal possession as defined in this report, it has a statutory provision that makes it unlawful “[f]or any person under the age of twenty-one (21) years to purchase, attempt to purchase, possess, serve, dispense, or consume beer, wine or other alcoholic liquor” such that “[a] person shall also be deemed to “possess” alcohol that has been consumed by the person, without regard to the place of consumption.” Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	N/A
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<i>Note:</i> Retailers are only required to deliver documents to law enforcement that have been lost or voluntarily surrendered; however, when presented with identification documents that appear to be mutilated, altered, or fraudulent, they must contact law enforcement and refuse service.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	Not specified
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 6 months, upon completion of driver education instruction permit signed over to allow driving with adult over age 21

What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15
For night driving, when does adult supervision requirement begin?	12 am (no unsupervised driving ½ hour after sunset)
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation.
Are there restrictions on passengers?	Yes – No more than one unrelated passenger under 17
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 (passenger restrictions expire 6 months after issuance of license; unsupervised night-driving restrictions remain until age 16)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	20.5
What are the decoy's appearance requirements?	Age-appropriate appearance. Male: Not large in stature; no excessive facial hair. Female: Minimal makeup and jewelry.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Not specified
What is the penalty for the first offense?	10-day suspension of alcohol license
What is the penalty for the second offense?	30-day suspension of alcohol license
What is the penalty for the third offense?	180-day suspension of alcohol license
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, outlets prohibited on campus grounds. College or university has authority to override state restrictions.

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, outlets prohibited on campus grounds. College or university has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability

Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability

Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Uncertain
Spirits	Yes
<p><i>Note:</i> A licensee who holds a license for the retail sale of wine for consumption off the licensed premises may ship no more than two cases of wine, containing not more than 9 liters per case, per shipment, for personal use and not for resale, directly to a resident of another state if the state to which the wine is sent allows residents of Idaho to receive wine sent from that state without payment</p>	

of additional state tax, fees, or charges. The sale shall be considered to have occurred in Idaho.

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Idaho is a control state, and control states may impose additional

	restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.15
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	\$0.45 per gallon applies to beer over 5% alcohol. Beer with 5% or less alcohol is sold by license. Beer greater than 5% but less than 7.5% alcohol is sold by both license and the state.
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (180 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (180 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Idaho State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Idaho State Police, Alcohol Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Idaho State Police, Alcohol Beverage Control

Such laws are also enforced by local law enforcement agencies Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 3,130

Number pertains to the 12 months ending 12/31/2013

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 5,000

Number of licensees checked for compliance by state agencies 406

(including random checks)

Number of licensees that failed state compliance checks 65

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations* Yes

Number of licensees subject to **random** state compliance checks/decoy operations 406

Number of licensees that failed **random** state compliance checks 65

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies 540

Number of licensees that failed local compliance checks 47

Numbers pertain to the 12 months ending 12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 104

Total amount in fines across all licensees \$95,000

Smallest fine imposed \$500

Largest fine imposed \$2,000

Numbers pertain to the 12 months ending 12/31/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	30
Total days of suspensions across all licensees	249
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	15
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Boise State University Regional Alcohol Drug Awareness Resource (RADAR) Center Video and Print Materials Resource Center

Program serves specific or general population	General population
Number of youth served	9,680
Number of parents served	No data
Number of caregivers served	369
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	No

Program Description: The mission of the RADAR Center is to provide free substance abuse prevention and addiction treatment resources to Idahoans. Funding from the Enforcing Underage Drinking Laws (EUDL) program administered by the Idaho Department of Juvenile Corrections is used specifically to support materials related to underage drinking prevention. EUDL funding is used to purchase underage drinking prevention videos and print materials and to support the operation of the Center with an emphasis on the video lending library. Additional funding support for the RADAR center came from Idaho Department of Health and Welfare, the Office of Drug Policy, and the State Millennium Fund.

Resource materials at RADAR are available to any Idaho resident, but the underage drinking videos are primarily borrowed by middle and high school educators and school counselors and substance use treatment providers. Therefore, the population for underage drinking materials is primarily middle and high school students. The RADAR Center keeps track of the number of youth and adults who view these videos. Print materials purchased with EUDL funds are tracked when they are disseminated. The Center also keeps track of the number of youth (18 and under) and adults expected to be exposed to the materials.

Reality Party

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable

URL for more program information: <http://www.straightupvc.org/realityparties>

Program Description: The Reality Party is a tour of a mock local party. The target population is typically parents of youth. During the tour, youth act out scenes that typically take place at local parties. Youth access to alcohol, drinking games, sexual violence, and social hosting are highlighted in the scenes. After the tour is over, participants are invited to discuss what they have seen with the youth actors.

Be the Parents

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Be the Parents is a campaign focused on educating parents and caregivers about their role in preventing underage drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Tribal representation on underage drinking workgroup and the SPF Advisory Council. Office of Drug Policy sponsored the Native Aspirations youth group from the Shoshone Paiute tribe to attend the Northwest Alcohol Conference funded by Block Grant Trustee and Benefit funds.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): National Registry of Evidence-Based Programs and Practices Yes

Agency(ies) within your state: Evidence-Based Practices Workgroup Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: All underage drinking prevention programs funded through the State of Idaho. Block grant funds must be evidence based. Direct service providers and coalitions implementing evidence-based programs and practices listed on the National Registry of Evidence-Based Programs have the potential to be funded through federal grants distributed by the Office of Drug Policy. Also, with the addition of SPF SIG funds to the state, an Evidence-Based Practices Workgroup has been formed to begin establishing best practices for the state. This workgroup is composed of various research professionals employed at several state agencies to determine if programs or practices not listed on the National Registry of Evidence-Based Programs have enough established evidence of effectiveness to be considered evidence based. Evidence-based programs are the state's best practice standards.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Elisha Figueroa
 Email: elisha.figueroa@odp.idaho.gov
 Address: 304 N. 8th St., Suite 455, Boise, ID 83720
 Phone: 208-854-3042

Agencies/organizations represented on the committee:

Office of Drug Policy
 Department of Juvenile Corrections
 Department of Health and Welfare
 Community Coalitions of Idaho
 Alcohol Beverage Control
 Boise Police Department
 Idaho Liquor Division
 RADAR Center
 Idaho Beer and Wine Distributors Association
 Idaho TSRP
 Bonneville Youth Development Council
 Community Coalitions for Substance Abuse Prevention
 Idaho National Guard
 Idaho Hispanic Commission
 Tribal Police
 Boise State University
 Mothers Against Drunk Driving
 Duck Valley Tribe

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Idaho Office of Drug Policy in coordination with the Underage Drinking Workgroup and State Strategic Prevention Planning Committee
 Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Idaho Department of Juvenile Corrections and Office of Drug Policy
 Plan can be accessed via: <http://www.odp.idaho.gov> or <http://www.betheparents.org>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used: Not applicable

Additional Clarification

No data



Illinois

State Population: 12,880,580

Population Ages 12–20: 1,576,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.5	370,000
Past-Month Binge Alcohol Use	14.9	235,000
Ages 12–14		
Past-Month Alcohol Use	3.2	17,000
Past-Month Binge Alcohol Use	1.4	8,000
Ages 15–17		
Past-Month Alcohol Use	20.7	108,000
Past-Month Binge Alcohol Use	10.8	56,000
Ages 18–20		
Past-Month Alcohol Use	46.2	245,000
Past-Month Binge Alcohol Use	32.2	171,000
Alcohol-Attributable Deaths (under 21)		192
Years of Potential Life Lost (under 21)		11,614
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	41	19

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes, in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10 pm (11 pm Fri–Sat)
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation.
Are there restrictions on passengers?	Yes – No more than one passenger under 20, except for siblings and children

Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 12 months after issuance of license; unsupervised night-driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Age-appropriate dress; no disguises and cannot alter appearance. Males: No facial hair
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Recommended

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	

• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are (1) hotels with restaurant service, regularly organized clubs, certain restaurants; (2) food shops and other places where alcohol sales are not principal business and location is not a municipality of more than 500,000 persons; and (3) certain other specified licensees.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (for causes of action involving persons injured or killed, shall not exceed \$65,511.99 for each person incurring damages; for causes of action involving persons incurring property damage, shall not exceed \$64,057.00 for each person incurring damages; for causes of action for either loss of means of support or loss of society, shall not exceed \$80,070.21)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<i>Note:</i> The Dram Shop Statute requires the Illinois Comptroller to determine each year the liability limits for causes brought under the statute in accordance with the consumer price index during the preceding 12 months.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes – Family members and residents of household
<i>Note:</i> Under Illinois law, a person commits a social host offense by renting a hotel or motel room for the purpose of or with the knowledge that such room be used for the consumption of alcoholic liquor by underage persons.	

Prohibitions Against Hosting Underage Drinking Parties – Law Applicable to Parents/Guardians	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption

Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members
<i>Note:</i> An individual is not in violation of the statute if he or she requests assistance from a law enforcement agency to help end the possession or consumption of alcohol by persons under age 21 in a residence that he or she occupies. This assistance must be requested before any other person makes a formal complaint to a law enforcement agency about the activity.	

Prohibitions Against Hosting Underage Drinking Parties – Law Applicable to Hotel or Motel Rooms	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Other
What level of knowledge by the host is required?	Overt act: Host must have actual knowledge and commit act that contributes to party's occurrence.
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
<i>Note:</i> Under Illinois law, a person commits a social host offense if one is a parent or guardian and permits one's residence, or any other property under one's control, to be used by an underage invitee of one's child or ward in a manner that violates the statute. An offense is deemed to have occurred if a parent or guardian knowingly authorizes or permits the prohibited use to occur.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes

Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.23
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.39
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$8.55
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Additional taxes for 15–50% alcohol spirits (if applicable)	\$1.39/gallon for alcohol content of more than 14% and less than 20%
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Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes (full-day price reductions not banned)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

Illinois State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Illinois Liquor Control Commission (sales to minors only)

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ Approximately 22,500

Number of licensees checked for compliance by state agencies 2,929

(including random checks) 472

Number of licensees that failed state compliance checks 6/30/2014

Numbers pertain to the 12 months ending Both on- and off-sale establishments

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 2,929

Number of licensees that failed **random** state compliance checks 472

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 666

Total amount in fines across all licensees \$382,600

Smallest fine imposed \$500

Largest fine imposed \$10,000

Numbers pertain to the 12 months ending 6/30/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	69
Total days of suspensions across all licensees	163
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	21
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	69
Numbers pertain to the 12 months ending	6/30/2014

Additional Clarification

Illinois State Police also conduct underage compliance operations.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Partnership for Success (PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: PFS is designed to address gaps in prevention services and increase the ability of Illinois to help specific populations or geographic areas with serious, emerging substance abuse problems. The goals of the project are to use a data-driven process to leverage existing prevention dollars and reduce underage drinking at the state level. Illinois is funding 20 subrecipient community-based agencies that partner with coalitions to meet those targets. Subrecipient communities implement at least two evidence-based environmental programs, policies, and practices identified through the Strategic Prevention Framework. The PFS grant can be applied only to activities that specifically target the high school population. Strategies used by PFS recipients typically include policy and enforcement strategies, as well as communication campaigns that target the community as a whole. Evaluation is a key component of this grant, as states are required to measure any significant changes in underage substance abuse over time to evaluate alcohol consumption as well as changes to contributing factors.

Substance Abuse Prevention Program (SAPP) – Strategic Prevention Framework (SPF)

Program serves specific or general population	Specific population
Number of youth served	19,955
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The goal of the SPF grant program is to reduce consumption of, consequences from, and contributing factors to alcohol, tobacco, marijuana, and prescription drug misuse/abuse among 11- to 20-year-olds in a targeted geographic community by following the SPF. This grant is designed to support the use of the SPF,

developed by SAMHSA. SPF is a structured planning process that can be applied to prevention systems at both state and local levels. This process is an effective way for coalitions to address substance abuse issues within the community. SPF is intended to provide a structure or mechanism for multisector coalitions and other broadly represented community organizations to identify the most pressing substance abuse problems in their community. SPF uses a data-driven approach to understand what the most pressing problems are, who is affected most by the problems (consumption and consequences), why the problems are happening (contributing factors or intervening variables), and what programs, practices, and policies are most effective in addressing these problems and contributing factors. All of the SAPP–SPF-funded providers are addressing underage drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Office of Juvenile Justice and Delinquency, Center for Substance Abuse Prevention (CSAP), and SAMHSA Yes

Agency(ies) within your state: No

Nongovernmental agency(ies): Center for Prevention Research and Development, University of Illinois-Champaign/Urbana Yes

Other: No

Best practice standards description: The state requires the use of evidence-based programs, practices, and policies. In Illinois, evidence-based standards are also promoted and required if a provider decides to develop its own programming in the areas listed below. For example, if a community-based provider proposes an underage drinking communication campaign, the provider is expected to address all of the standards for communication campaigns. To review standards for communication campaigns and other evidence-based standards, visit <http://www.cprd.illinois.edu/prevresearchbriefs>.

Evidence-based standard exist for the following approaches:

- Social norms and communication campaigns
- Mentoring
- Parent/family education
- Youth prevention education

Evidence-based standards are also being developed for the following environmental strategies:

- Public policy: keg registration, local social host ordinance, mandatory responsible beverage service, advertising restrictions, alcohol location and density, event restrictions, local Minors In Possession (MIP) ordinance
- Enforcement: compliance checks with server merchant education, party prevention and dispersal, sobriety checkpoints, shoulder tap operations
- School policy

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Kim Fornero
 Email: Kim.Fornero@Illinois.gov
 Address: 401 South Clinton, 4th Floor, Chicago, IL 60607
 Phone: 312-793-1628

Agencies/organizations represented on the committee:

Department of Human Services, Substance Abuse Prevention Program
 Center for Prevention Research and Development
 Operation Snowball
 Prevention First
 Illinois State Police
 Illinois National Guard
 Illinois Department of Transportation
 Illinois Department of Public Health
 Illinois State Board of Education
 Chicago Police Department
 Illinois Liquor Control Commission
 Department of Human Services, Division of Alcoholism and Substance Abuse
 Cebrin Goodman Teen Institute
 Students Against Destructive Decisions (SADD)
 Youth Network Council

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes
 Prepared by: Leveraging Work Group supported by the Illinois Department of Human Services' Bureau of Positive Youth Development
 Plan can be accessed via: No website

State has prepared a report on preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking**Compliance checks in retail outlets:**

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	Yes
Fines	No
Fees	Yes
Other	No data

Description of funding streams and how they are used:

The Illinois Liquor Control Commission collects alcohol license fees, of which a portion is used to support substance abuse prevention services. Taxes: The Substance Abuse Prevention Program in FY 2015 (July 1, 2014–June 30, 2015) was supported by General Revenue Funds.

Additional Clarification

No data



Indiana

State Population: 6,596,855

Population Ages 12–20: 817,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	22.8	187,000
Past-Month Binge Alcohol Use	15.1	124,000
Ages 12–14		
Past-Month Alcohol Use	4.3	12,000
Past-Month Binge Alcohol Use	1.5	4,000
Ages 15–17		
Past-Month Alcohol Use	16.2	44,000
Past-Month Binge Alcohol Use	10.2	28,000
Ages 18–20		
Past-Month Alcohol Use	47.3	131,000
Past-Month Binge Alcohol Use	33.2	92,000
Alcohol-Attributable Deaths (under 21)		92
Years of Potential Life Lost (under 21)		5,613
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	18	16

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No
May youth purchase for law enforcement purposes?	Yes
<i>Note:</i> Indiana does not have a statute that specifically prohibits purchase, but it does prohibit purchasing or attempting to purchase alcohol in connection with making a false statement or using false evidence of majority or identity.	

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	10 pm (first 180 days, 10 pm; then, 11 pm Sun–Fri and 1 am Sat–Sun)
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No passengers except immediate family, unless accompanied by parent or a licensed driver at least 21 years old

Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 180 days after issuance of intermediate license; unsupervised night-driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age for decoy may to participate in a compliance check?	18
What is the maximum age for decoy to participate in a compliance check?	20.75
What are the decoy's appearance requirements?	Age-appropriate dress and grooming
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet. School has authority to override state prohibition for grocery or drug stores.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet. School has authority to override state prohibition for grocery or drug stores.
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are restaurants in historic places or districts; shopping malls; and city markets.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No

Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of visible intoxication)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of visible intoxication)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	Yes
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Note: Brewers that manufacture not more than 30,000 barrels of beer in a single calendar year may ship up to one-half barrel of beer directly to Indiana consumers without being subject to the restrictions placed on wine shipments.

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (6.75 to 15.75 gallons in a single transaction depending on the type of retail license)
Wine	Yes
Spirits	Yes (4 to 12 quarts in a single transaction depending on the type of retail license)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.12
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.47
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.68
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	\$0.47 per gallon applies to an alcoholic beverage that contains 15% or less

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes (full-day price reductions not banned)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (7 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (7 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (7 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)

Note: All prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a period of time as to insure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.

Indiana State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Indiana Alcohol and Tobacco Commission/Indiana State Excise Police

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Indiana State Excise
Police/Indiana Alcohol
and Tobacco Commission

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

2,431

Number pertains to the 12 months ending

12/31/2014

Data include arrests/citations issued by local law enforcement agencies

No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

13,695

Number of licensees checked for compliance by state agencies

13,102

(including random checks)

Number of licensees that failed state compliance checks

1,158

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale
establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

13,102

Number of licensees that failed **random** state compliance checks

1,158

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

No data

Total amount in fines across all licensees

\$579,000

Smallest fine imposed

No data

Largest fine imposed	No data
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	No data
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

Violation data by month are available at <http://www.in.gov/atc/ise/2676.htm>.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Indiana Coalition to Reduce Underage Drinking (ICRUD)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mhai.net/icrud and www.indianacollegiateactionnetwork.com

Program Description: ICRUD is a statewide advocacy coalition that also provides training and expertise on underage drinking, prevention, and policy (what communities can do to reduce underage drinking). Part of ICRUD is the Indiana Collegiate Action Network (ICAN), a statewide college initiative providing education, training, technical assistance, and minigrants to address high-risk drinking and other alcohol-related issues on campus.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

Within these programs various initiatives have evaluation components—the social media campaign that ICRUD has launched, as well as the minigrants that campuses receive. These minigrants must fund programs/efforts that are best practices or promising practices to receive funding.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration:	Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): Center for Substance Abuse Prevention (CSAP), SAMHSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: Indiana relies on guidance from CSAP. Currently DMHA funded communities use needs assessment data and select an evidence-based program or strategy to address those needs. Indiana is in the process of developing guidance on evidence-based best practices for substance abuse prevention, including underage drinking.	
Additional Clarification	
The Annual State Epidemiological Profile provides an overview of the state of underage drinking and alcohol use in Indiana: http://www.healthpolicy.iupui.edu/PubsPDFs/2014%20State%20Epi%20Report.pdf	
The Indiana Prevention Resource Center collects and disseminates an annual youth survey that includes information on alcohol: http://www.drugs.indiana.edu/indiana-youth-survey/indianasurvey	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Julie Gries	
Email: Julie.Gries@fssa.in.gov	
Address: 402 West Washington St., Room W353, Indianapolis, IN 46204	
Phone: 317-232-7894	
<i>Agencies/organizations represented on the committee:</i>	
Indiana Department of Education	
Indiana Department of Children's Services	
Indiana Department of Health—Chronic Disease Division	
Indiana Department of Health—Tobacco Prevention and Cessation	
Indiana Criminal Justice Institute	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access:	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Center for Health Policy prepares an annual epidemiological report that has a chapter on alcohol. Plan can be accessed via:	
http://www.healthpolicy.iupui.edu/PubsPDFs/2014%20State%20Epi%20Report.pdf	
Additional Clarification	
The interagency collaboration listed is composed of state agencies and has a substance abuse prevention focus. This group is newly formed. Additional information and collaboration are solicited as needed.	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$250,000
Estimate based on the 12 months ending	6/30/2015

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

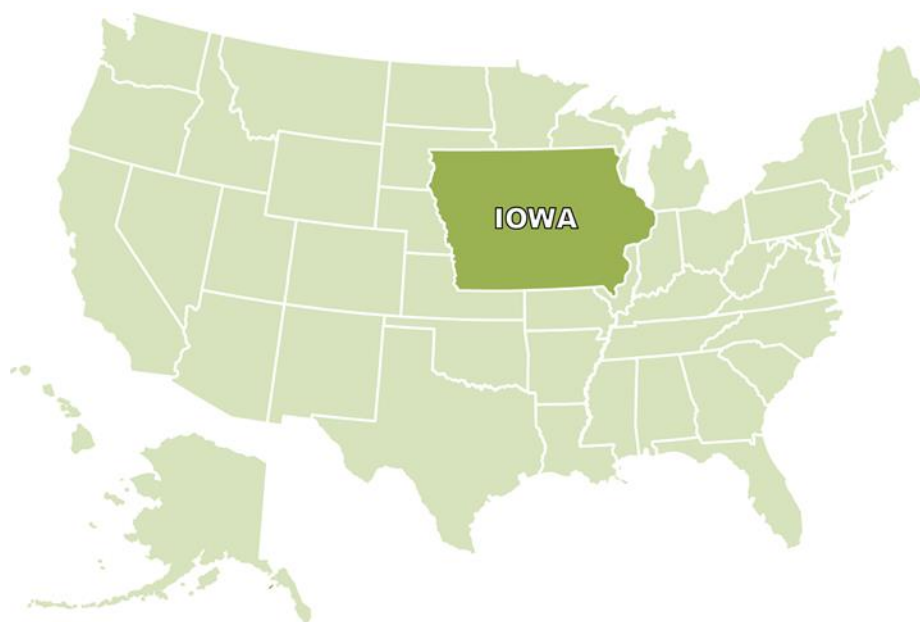
Taxes	No
Fines	Yes
Fees	Yes
Other	Not applicable

Description of funding streams and how they are used:

These funds go directly to the local coordinating councils in all 92 counties in Indiana. These councils decide how these funds are used to address substance abuse treatment and prevention.

Additional Clarification

Other than the funds the Local Coordinating Councils receive from fines and forfeitures and a small sum required for retail compliance checks, all other underage drinking prevention initiatives are funded with federal dollars, either from the SAPT Block Grant or from EUDL funds. No other state dollars are dedicated to the prevention of underage drinking.



Iowa

State Population: 3,107,126

Population Ages 12–20: 377,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	27.5	104,000
Past-Month Binge Alcohol Use	18.9	71,000
Ages 12–14		
Past-Month Alcohol Use	3.7	4,000
Past-Month Binge Alcohol Use	0.8	1,000
Ages 15–17		
Past-Month Alcohol Use	21.5	27,000
Past-Month Binge Alcohol Use	12.7	16,000
Ages 18–20		
Past-Month Alcohol Use	53.8	73,000
Past-Month Binge Alcohol Use	40.3	54,000
Alcohol-Attributable Deaths (under 21)		32
Years of Potential Life Lost (under 21)		1,962
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	20	10

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes, in specified locations – See below
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes, in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents
<p><i>Note:</i> Iowa law does not specifically prohibit consumption of alcohol by persons under 21. Iowa does, however, have a general statute prohibiting the use or consumption of alcohol by any person in a public place. In addition, Iowa law provides that if a child, defined as a person under 18, is found to have violated the general prohibition against consumption of alcohol in a public place, the child's driver's license or operating privilege may be suspended or revoked for a period of 1 year. For more information, see Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws).</p>	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through both judicial and administrative processes
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	20 (2 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16

For night driving, when does adult supervision requirement begin?	12:30 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No more than one unrelated minor passenger unless waived by the licensee's parent at time intermediate license is issued
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17
<i>Note:</i> In addition to the supervised driving requirement at the learner's stage, Iowa requires an intermediate license holder to complete 10 hours of supervised driving with two of these hours being at night.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private residence if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Second offense, 2 years; third and subsequent offenses, 3 years
What is the penalty for the first offense?	\$500 fine

What is the penalty for the second offense?	\$1,500 fine and 30-day suspension
What is the penalty for the third offense?	\$1,500 fine and 60-day suspension
What is the penalty for the fourth offense?	License revocation
<i>Note:</i> Affirmative defense possible for licensees when the employee guilty of the violation has successfully completed the Iowa Program for Alcohol Compliance Training prior to the violation occurring and the sale/service was made to someone between ages 18 and 20. A violation involving a sale to a person under 18 does not qualify for affirmative defense. A licensee may use affirmative defense only once in a 4-year time period.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Unspecified
<i>Note:</i> The mitigation of penalties incentive does not apply if a sale is made to a minor under age 18.	

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No

To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes (retailers that furnish alcohol for off-premises consumption are exempt)
Does the statute limit elements or standards of proof?	Yes (retailer should have known that minor was intoxicated or was going to become intoxicated)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (social host should have known that minor was intoxicated or was going to become intoxicated)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members
Note: Iowa's social host statute applies only to possession or consumption by persons under age 18. This law does not apply to a landlord or manager of the property.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$625/30 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes – Passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Iowa is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.19
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.75
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Iowa State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Iowa State Patrol within the Iowa Department of Public Safety is the agency primarily responsible for statewide enforcing of underage drinking laws. The Iowa State Patrol works closely with local law enforcement agencies to conduct projects involving underage drinking. Strong working relationships have enabled the program to succeed and prosper into something that is making a difference in communities across Iowa. The Division of Criminal and Juvenile Justice Planning in the Iowa Department of Human Rights has received Enforcing Underage Drinking Laws (EUDL) grant funds, and part of those funds are used by the State Patrol and other local law enforcement agencies to conduct compliance checks and other underage drinking education efforts. Some community coalitions also fund compliance checks. The Alcoholic Beverages Division (ABD) of the Iowa Department of Commerce also partners with local law enforcement when following up on a complaint or an investigation.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

The Iowa ABD monitors shipment of wine to individual households. This is allowed if the shipper has a Direct Shippers license issued by this agency. Because Iowa ABD can enforce only those actually holding licenses, affecting the actions of non-licensed entities is a struggle.

Such laws are also enforced by local law enforcement agencies Don't know

Enforcement Statistics

<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	2,126
Number pertains to the 12 months ending	12/31/2014
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	8,500
Number of licensees checked for compliance by state agencies (including random checks)	739
Number of licensees that failed state compliance checks	84
Numbers pertain to the 12 months ending	12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	739
Number of licensees that failed random state compliance checks	84
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	12/31/2014
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	188
Total amount in fines across all licensees	\$118,000
Smallest fine imposed	\$500
Largest fine imposed	\$1,500
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	28
Total days of suspensions across all licensees	900
Shortest period of suspension imposed (in days)	30
Longest period of suspension imposed (in days)	60
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014
Additional Clarification	
Alcohol compliance check data are based on the EUDL-funded checks through the Department of Human Rights, Division of Criminal and Juvenile Justice Planning, which provides funding to the Iowa State Patrol within the Iowa Department of Public Safety. Some EUDL funding is provided to the Juvenile Anti-Alcohol Group Task Force that encompasses 14 counties and conducts local compliance checks. EUDL funding was no longer awarded to states after FFY 2011. These services have been provided using a no-cost extension. This funding expires on 9/30/2015. The Alcoholic Beverages Division of the Department of Commerce conducts compliance checks but does not have law enforcement authority. Checks encompass more issues than underage drinking so the data were not included in the total. Through community coalitions and community-based agencies, more compliance checks are occurring, but Iowa does not have a centralized reporting system.	
¹ Or having consumed or purchased per state statutes.	
² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.	
³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.	
⁴ Does not include fines imposed by local agencies.	
⁵ Does not include suspensions imposed by local agencies.	
⁶ Does not include revocations imposed by local agencies.	
Underage Drinking Prevention Programs Operated or Funded by the State	
<i>Enforcing Underage Drinking Laws (EUDL) through the Office of Juvenile Justice and Delinquency Prevention</i>	
Program serves specific or general population	General population
Number of youth served	Not applicable

Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ojdp-dctat.org
and http://www.ialert.iowa.gov	

Program Description: Program Description: The Iowa Department of Human Rights, Division of Criminal and Juvenile Justice Planning, awarded EUDL funding to these entities:

- Iowa State Patrol: Efforts include compliance checks and education.
- Dallas County Sheriff Juvenile Anti-Alcohol Group (JAAG) task force: JAAG consists of 14 law enforcement agencies, juvenile court, and prevention specialists. The focus is retailer checks, saturation and party patrols, safety check points, and festival/special events.
- I-Alert website: Assists retail licensees in developing a guide for their business to stay compliant with Iowa liquor laws.

EUDL funding was no longer awarded to states after FFY 2011. The services have been provided using a no-cost extension. This funding expires 9/30/2015.

Iowa Program for Alcohol Compliance Training (I-PACT)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.I-PACT.com

Program Description: I-PACT, from the Iowa Department of Commerce, Alcoholic Beverages Division, has been available online since February 2012. Within the first 2 years of implementation, 25,659 users logged on and took the training to receive their certification. In calendar year 2014, 16,085 individuals were certified. The overall goal of I-PACT is increased voluntary compliance with the state's alcohol laws through education prior to penalty. The core objective of the program is to prevent illegal sales of alcohol by educating sellers and servers on current state liquor law as outlined in Iowa Code 123. The program asks that a PACT is made by: Iowa youth not to consume alcohol, Iowa retailers not to sell alcohol to underage, Iowa licensees not to serve alcohol to patrons under 21, and Iowa's law enforcement to enforce liquor laws.

D.A.R.E. Iowa

Program serves specific or general population	General population
Number of youth served	22,030
Number of parents served	22,030
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.dareiowa.org
URL for more program information:	http://www.dareiowa.org

Program Description: The mission of D.A.R.E. Iowa is to improve the quality of life for the youth of the state by assisting them to avoid harmful choices through developing and coordinating the resources and training necessary to permit local D.A.R.E. officers, schools, and communities to effectively provide D.A.R.E. instruction to the young people of Iowa. The program has been in Iowa since 1988 and currently has about 82 agencies and approximately 118 instructors serving 150 school districts and 277 schools.

Iowa Department of Education: Prime for Life OWI Program

Program serves specific or general population	Specific population
Number of youth served	1,332

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	http://www.educateiowa.gov/adult-career-community-college/owi-education

Program Description: PRIME for Life is an alcohol and drug program designed to challenge common beliefs and attitudes that directly contribute to high-risk use of alcohol and other drug use. This state-mandated program is required for all individuals (regardless of age) convicted of operating while intoxicated (OWI) in Iowa. The program goals are to reduce the risk for health problems and impairment problems. PRIME for Life's intervention component focuses on self-assessment to help people understand and accept the need for change. PRIME for Life is recognized as an evidence-based program on SAMHSA's National Registry of Evidence-Based Programs and Practices (NREPP). During FY 2014, 13,504 offenders took PRIME for Life courses from one of 51 agencies statewide. Approximately 1,332 recipients were 20 years old or younger. The program is for offenders only; parents and caregivers are not included.

Iowa Department of Public Health (IDPH): Youth Diversion Programs

Program serves specific or general population	Specific population
Number of youth served	695
Number of parents served	169
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Iowa Department of Public Health
URL for more program information:	No data

Program Description: IDPH funds 18 community-based agencies for 23 service areas, which collectively cover all 99 Iowa counties. These comprehensive substance abuse prevention contracts are funded by the prevention portion of the Substance Abuse Prevention and Treatment (SAPT) Block Grant and some state appropriations. Among the services provided are diversion programs in many of the 23 service areas. A diversion program is for youth who have received a minor-in-possession charge or other alcohol offense (except OWI). If the youth successfully completes the program, then he or she may be diverted from the court system. The programs have different names, such as "Rethinking Drinking" or "Juvenile Education Group (JEG)," and vary somewhat as to the number of sessions and whether a parent or guardian is required to attend. Not all the programs require a parent or guardian to attend. These programs are some of the most effective from program evaluations. In FY 2014 there was a large reduction in referrals from Juvenile Probation to the programs. Reasons given were turnover in probation officers, online courses option given, quicker completion, and less paperwork.

Iowa Department of Public Health: Alcohol, Tobacco, and Other Drug Education Programs included in Comprehensive Substance Abuse Prevention contracts

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Iowa Department of Public Health
URL for more program information:	http://www.idph.ia.us/bh/sa_comprehensive_prevention.asp

Program Description: Through the SAPT Block Grant, IDPH funds Comprehensive Substance Abuse Prevention contracts. The contracts collectively cover all 99 Iowa counties. In FY 2012 some state funds and some other funds from fees were included in the contracts. A main service provided is alcohol, tobacco, and other drug education programs in school and community settings. Many are small-group, recurring-service (multiple sessions),

evidence-based programs. The names of the evidence-based programs include LifeSkills Training Program, Project ALERT, Project Towards No Tobacco Use, Project Towards No Drug Abuse, All Stars, and Too Good For Drugs. Other prevention strategies are included under this funding as well. Because the funding covers alcohol and other drugs, data on youth served specific to underage drinking are not available. For all the funding combined, 95,136 youths were served.

Iowa Department of Public Health: Youth Mentoring and Prevention Through Mentoring Programs

Program serves specific or general population	Specific population
Number of youth served	1,262
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.idph.state.ia.us/bh/sa_youth_mentoring.asp

Program Description: IDPH receives state appropriations to fund the Prevention Through Mentoring contracts, which create new and support existing community youth mentoring programs. The program supports the state's goals of primary prevention of the use or abuse of alcohol, tobacco, and other drugs. Other funding from fees from Sunday liquor permits is used by IDPH for the Youth Mentoring contracts. These also establish or sustain mentoring programs that promote relationship building and social skills development, use elements of effective practice as established by the National Mentoring Partnership, and promote a positive perception of caring adults in the community.

Iowa Department of Public Health: Youth Development Program

Program serves specific or general population	General population
Number of youth served	3,638
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://iconsortium.subst-abuse.uiowa.edu/downloads/IDPH/YDEvaluationReportFY14.pdf
URL for more program information:	http://www.idph.state.ia.us/bh/sa_youth_development.asp

Program Description: The program provides evidence-based substance abuse prevention programming for youth (ages 5–18) that includes out-of-school activities and opportunities for character development, youth development, leadership, and community service. The youth development approach is a way of working with young people that calls for providing youth the developmental experiences shown to promote a healthy transition toward adulthood. The objectives are to provide evidence-based youth development programming effective in reducing substance abuse in children, and to provide specific out-of-school youth development and service opportunities in the community. The funding is from state appropriations. In FY 2014, the total youth served decreased significantly, mainly due to school districts not allowing as many youth to be served through the in-school curriculum.

Iowa Department of Public Health: Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable

URL for more program information:

<http://www.iowaspfsig.org>

Program Description: IDPH received the SAMHSA-funded SPF SIG in 2009. It is a 5-year grant to prevent the onset and reduce the progression of substance abuse including childhood and underage drinking, reduce substance abuse–related problems in communities, and build prevention capacity and infrastructure at the state, Tribal, and community levels. SPF is a five-step process that assists states in developing a comprehensive plan and supports selected communities in implementing effective programs, policies, and practices. One of the two data-driven priorities for Iowa is underage drinking. Environmental strategies have been implemented in 23 Iowa counties selected based on needs data. Iowa received a No-Cost Extension from July 1, 2014, to January 31, 2015. No local service data are available at this time, and data on youth, parents, and caregivers served are not collected.

Iowa Department of Public Health: Community Coalition

Grant Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.idph.state.ia.us/bh/sa_community_coalition.asp

Program Description: The grant program funds community coalitions to provide environmental substance abuse prevention strategies to reduce underage use of alcohol in communities. Coalitions receive up to \$3,000 from state appropriations for 1 year. Coalitions currently receiving funding from any state or federal agency in a cumulative amount of greater than \$10,000 are not eligible. In state FY 2014, eight coalitions were funded by IDPH. No numbers of youth or adults served were required in the year-end report. Additionally, 12 Iowa communities receive Drug-Free Communities (DFC) Support Program Grants or DFC Mentoring Grants from the Office of National Drug Control Policy (ONDCP) and SAMHSA. Much of their work is to prevent and reduce underage drinking, and it affects overall underage drinking efforts in Iowa. Several of the Substance Abuse Prevention and Treatment (SAPT) Block Grant–funded agencies work very closely with them. Also, Iowa has an Alliance of Coalitions for Change (AC4C), a network of substance abuse prevention coalitions that hold quarterly retreats and share strategies to reduce underage drinking. More information about DFCs is available from SAMHSA and the Office of National Drug Control Policy.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA Center for Substance Abuse Prevention	Yes
Agency(ies) within your state: Iowa Department of Public Health (IDPH), SPF SIG	Yes
Advisory Council members, see list under 2.C.1.b	

Nongovernmental agency(ies): SPF SIG Advisory Council members, see list under 2.C.1.b Yes
 Other: No

Best practice standards description: Best practice standards related specifically to selecting and implementing evidence-based interventions following the Strategic Prevention Framework (SPF). SPF definitions of "evidence-based" include interventions in one or more of the following three categories: (a) Included in federal registries, (b) reported (with positive effects on the primary targeted outcome) in peer-reviewed journals, or (c) documented effectiveness supported by other sources of information and the consensus judgment of informed experts as specified in the guidance document U.S. Department of Health and Human Services, Identifying and Selecting Evidence-Based Interventions.

Additional Clarification

Additional information about best practice standards: The IDPH-sponsored Evidence-Based Practice Workgroup, a subcommittee for the SPF SIG Advisory Council, made the recommendation to the Council to adopt the SAMHSA CSAP Guidance document (cited above) to define Evidence-Based Interventions. The Council supported the recommendation. The guidance is used for SPF SIG contracts and also for other state and federal funding administered by IDPH. Not all other agencies may specify using evidence-based programs and practices when funding contracts for prevention, but since underage drinking is a priority for the SPF SIG counties and for other funding, the guidance serves the majority of prevention contracts.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Kathy Stone, Chair
 Email: Kathy.stone@idph.iowa.gov
 Address: IDPH, 321 East 12th Street, Des Moines, IA 50319
 Phone: 515-281-4417

Agencies/organizations represented on the committee:

Iowa Department of Public Health, Division of Behavioral Health
 Iowa Department of Commerce, Alcoholic Beverages Division
 Iowa Department of Education
 Iowa Department of Human Services
 Iowa Department of Human Rights, Division of Criminal Juvenile Justice Planning
 Iowa Department of Public Safety, Governor's Traffic Safety Bureau
 Iowa National Guard
 Iowa Consortium for Substance Abuse Research and Evaluation, University of Iowa
 Alliance of Coalitions for Change (AC4C)
 Iowa Behavioral Health Association
 CSAP State Project Officer
 Iowa Board of Certification
 Partnerships in Prevention Science Institute (PROSPER) at Iowa State University
 Community-based agencies
 Community college
 Law enforcement agency
 Faith community
 Youth are involved through the State of Iowa Youth Action Committee (SIYAC)
 A parent

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://idph.state.ia.us/spfsig>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: SPF SIG Advisory Council with staff support from the Iowa Department of Public Health

Plan can be accessed via: <http://www.idph.state.ia.us/spfsig/counties>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: State Epidemiological Workgroup

Plan can be accessed via: http://www.idph.state.ia.us/bh/sa_epi_workgroup.asp

and <http://www.iowa.gov/odcp/docs/2014StrategyFinal.pdf>

Additional Clarification

The previous state-level Underage Drinking Task Force voted to combine with the SPF SIG Advisory Council (at least for the remainder of the SPF SIG Project period, which has been extended until January 31, 2015). Representation on the two groups had started to overlap. The Iowa Governor's Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The strategy describes substance abuse and related issues and includes underage alcohol use but does not report separately about underage drinking services. The State Epidemiological Workgroup produces an Epidemiological Profile every 2 years that includes data about underage alcohol use, but does not include services data.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$462,329
Estimate based on the 12 months ending	6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$94,871
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Other programs:

Programs or strategies included: Fees generated from Sunday beer and liquor permits fund the Iowa Department of Public Health Community Coalitions contracts, a portion of the Comprehensive Substance Abuse Prevention contracts (predominately funded by the SAPT Block Grant), and a portion of the Youth Mentoring Program contracts.

Estimate of state funds expended	\$328,556
Estimate based on the 12 months ending	6/30/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	Yes
Other	Not applicable

Description of funding streams and how they are used:

Some Iowa Department of Public Health prevention contracts are funded by fees generated from Sunday beer and liquor permits.

Additional Clarification

The Governor's Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The Strategy describes funding, but allocations are not broken out specifically for underage drinking services. The Strategy is available at http://www.iowa.gov/odcp/drug_control_strategy/strategy.pdf.

The amount of funding provided for higher education was from three state universities, but was for students served, which may have included persons older than 21. With more specific substance abuse prevention services added to the Mentoring and Youth Development programs, a portion of the funding for these programs was included in the State Expenditures Section this year. The additional funding is not new funding.



Kansas

State Population: 2,904,021

Population Ages 12–20: 356,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	22.9	82,000
Past-Month Binge Alcohol Use	15.4	55,000
Ages 12–14		
Past-Month Alcohol Use	3.2	4,000
Past-Month Binge Alcohol Use	1.3	1,000
Ages 15–17		
Past-Month Alcohol Use	19	24,000
Past-Month Binge Alcohol Use	12.5	16,000
Ages 18–20		
Past-Month Alcohol Use	45.5	54,000
Past-Month Binge Alcohol Use	31.6	38,000
Alcohol-Attributable Deaths (under 21)		41
Years of Potential Life Lost (under 21)		2,459
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	15	9

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
<i>Note:</i> Kansas has an exception permitting persons under 21 to possess alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor more than 3.2% ABW).	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
<i>Note:</i> Kansas has an exception permitting persons under 21 to consume alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor more than 3.2% ABW).	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
<i>Note:</i> Kansas has an exception permitting persons under 21 to possess or consume alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor more than 3.2% ABW).	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	9 pm

Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger under 18 who is not an immediate family member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months
<i>Note:</i> Kansas has a “restricted license” allowing unsupervised 15-year-olds to drive to and from school or work using the most direct route possible. They must have completed driver's education, held an instruction permit for 12 months, completed 25 hours of supervised driving with an additional 25 hours of driving prior to age 16, and obtained parental consent. They must not operate the vehicle with nonsibling minor passengers.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<i>Note:</i> Kansas has an exception permitting furnishing by a parent or legal guardian to a child or ward but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any liquor which is more than 3.2% ABW).	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A

What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
<i>Note:</i> Although employees must be at least 21 to sell alcoholic liquors at off-sale establishments, employees who are at least 18 may sell cereal malt beverages (defined as containing not more than 3.2% alcohol by weight) if the licensee's place of business is licensed to sell only cereal malt beverages at retail in original and unopened containers and not for consumption on the premises.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Beer, wine, spirits

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Recklessness: Host must act with intentional disregard for probable consequence of actions
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members and residents of household

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No

Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.18

Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	8%
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.85%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	1.85%
Additional taxes for 3.2–6% alcohol beer (if applicable)	
<i>Note: Sales of beer containing not more than 4% alcohol by retailers holding only a cereal malt beverage (CMB) license are subject only to the applicable state and local sales tax. The 10% Liquor Drink Tax is not due on beer containing not more than 4% alcohol sold by those holding only a CMB license; however, holders of alcoholic liquor licenses must collect and remit the 10% Liquor Drink Tax on sales of beer containing not more than 4% alcohol but are not required to collect sales tax.</i>	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	8%
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.85%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	1.85%
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	8%
Retail tax rate (if applicable)	10%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.85%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.15%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	1.85%
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Kansas State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Kansas Department of Revenue, Alcoholic Beverage Control Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 63

Number pertains to the 12 months ending 6/30/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 3,222

Number of licensees checked for compliance by state agencies 479

(including random checks)

Number of licensees that failed state compliance checks 74

Numbers pertain to the 12 months ending 6/30/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 244

Total amount in fines across all licensees \$182,225

Smallest fine imposed \$500

Largest fine imposed \$4,500

Numbers pertain to the 12 months ending 6/30/2014

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	25
Total days of suspensions across all licensees	85
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	14
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Regional Media Campaigns

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Regional media-related strategies were implemented to address substance abuse prevalence. These regional campaigns used the state's "It Matters" materials so that statewide the campaigns had the same look, feel, and message.

Project Sticker Shock

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Project Sticker Shock seeks to educate persons age 21 or older who might illegally purchase alcohol and provide it to minors. Stickers displaying a warning message about the penalties for furnishing alcohol to minors are placed on all multipacks of beer, wine coolers, and other alcohol products that might appeal to underage drinkers. The impact of the sticker is increased by media coverage of the event(s) and by permanent signs to be displayed by participating licensees.

MADD Power of Parents

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable

Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Through the Power of Parents program, parents and caregivers can attend free, 35-minute parent workshops and receive a parent handbook that provides them with communication tools to talk with their teens about alcohol.

Retailer Compliance Check

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Random, unannounced retailer compliance checks and controlled buy operations were conducted at retail establishments in defined geographic areas to ensure compliance with state alcohol sales laws prohibiting sale of alcohol to minors, and to ensure positive age verification policies and practices by merchants.

AlcoholEdu

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: AlcoholEdu for High School is an online, interactive alcohol education and prevention course designed to increase alcohol-related knowledge, discourage acceptance of underage drinking, and prevent or decrease alcohol use and its related negative consequences. Although high schools typically administer the course to their entire freshman class each year, the course can be used with other high school populations as well. By implementing the program at the population level, schools expose students to a consistent message, ultimately creating a common body of knowledge and a shared experience that helps establish a social safety net among students. The program includes a precourse assessment measuring knowledge, attitudes, and behaviors, followed by three 30-minute lessons, a postcourse assessment, and a 30-day (or more) follow-up review of key course concepts and follow-up assessment. The three lessons address alcohol's effects on the body and impairments produced at various blood alcohol concentrations; alcohol's effects on the mind, including brain development, blackouts, hangovers, and risk taking; and factors that influence decisions about drinking and strategies for making healthy choices. Brief lecture formats present current research, and interactive exercises personalize and reinforce the information. The course, which requires minimal teacher involvement, may be assigned as an outside project or completed in a school's computer lab.

Students can progress through the program at their own pace. Although students have unlimited access to the course materials throughout the academic year, schools are encouraged to tie the course to something that is meaningful to the students, such as a test or project grade, access to a school event, or participation in extracurricular activities. The three lessons are typically completed within 1 to 3 weeks. Students may use their accounts throughout the academic year to access alcohol-related web links or revisit any of the different interactive exercises.

Strengthening Families Program (SFP): For Parents and Youth 10–14 (SFP 10–14)

Program serves specific or general population	General population
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Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: SFP 10–14 is a family skills training intervention designed to enhance school success and reduce youth substance use and aggression among 10- to 14-year-olds. It is theoretically based on several etiological and intervention models including the biopsychosocial vulnerability, resiliency, and family process models. The program includes seven 2-hour sessions and four optional booster sessions in which parents and youth meet separately for instruction during the first hour and together for family activities during the second hour. The sessions provide instruction for parents on understanding the risk factors for substance use, enhancing parent–child bonding, monitoring compliance with parental guidelines and imposing appropriate consequences, managing anger and family conflict, and fostering positive child involvement in family tasks. Children receive instruction on resisting peer influences to use substances. Sessions, typically held once a week, can be taught effectively by a wide variety of staff.

Saturation Patrols

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: These patrols are designed to saturate an area with officers in order to send a message to the community. This high-visibility effect is a very good method when coupled with a strong media campaign.

Community Trials Intervention To Reduce High-Risk Drinking

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: This is a multicomponent, community-based program developed to alter the alcohol use patterns and related problems of people of all ages. The program incorporates a set of environmental interventions that assist communities in (1) using zoning and municipal regulations to restrict alcohol access through alcohol outlet density control; (2) enhancing responsible beverage service by training, testing, and assisting beverage servers and retailers in the development of policies and procedures to reduce intoxication and driving after drinking; (3) increasing law enforcement and sobriety checkpoints to raise actual and perceived risk of arrest for driving after drinking; (4) reducing youth access to alcohol by training alcohol retailers to avoid selling to minors and those who provide alcohol to minors; and (5) forming the coalitions needed to implement and support the interventions that address each of these prevention components.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Prairie Band Potawatomi Nation was awarded SPF-PFS II subrecipient funding to address underage drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): CSAP Yes

Agency(ies) within your state: Kansas Department for Aging and Disability Services (KDADS)/ Behavioral Health Services (BHS) Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Kansas Criteria for Evidence-Based Prevention Strategy Selection—Included in a federal list or registry of evidence-based intervention strategies, or reported in a peer-reviewed journal to have produced positive results, or documented as effective based on all three of the following guidelines: (1) The intervention is based on a solid theory or theoretical perspective that has validated research, (2) the intervention is supported by a documented body of knowledge—a converging of empirical evidence of effectiveness—generated from similar or related interventions that indicate effectiveness, and (3) the intervention is judged by a consensus of informed experts to be effective based on their combined knowledge of theory and their research and practice experience. “Informed experts” may include key community leaders and elders or other respected leaders within indigenous cultures.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Sarah Fischer

Email: sarah.fischer@kdads.ks.gov

Address: 503 South Kansas Avenue, Topeka, KS 66603

Phone: 785-291-3359

Agencies/organizations represented on the committee:

Kansas Department for Aging and Disability Services

Kansas Department for Children and Families

Kansas Department of Transportation

Kansas Department of Health and Environment

Kansas Department of Education

Kansas Department of Revenue

University of Kansas

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.kdads.ks.gov/commissions/csp/behavioral-health/gbhspc>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Kansas Department for Aging and Disability Services

Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Kansas EPI Core Team and Kansas Department for Aging and Disability Services

Plan can be accessed via: No data

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$394,000
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Estimate based on the 12 months ending	6/30/2014
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K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Other programs:

Programs or strategies included	Data not available
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Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
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Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
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Fines	No
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Fees	No
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Other	Not applicable
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Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Kentucky

State Population: 4,413,457

Population Ages 12–20: 513,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	20.0	102,000
Past-Month Binge Alcohol Use	13.3	68,000
Ages 12–14		
Past-Month Alcohol Use	2.3	4,000
Past-Month Binge Alcohol Use	1.6	3,000
Ages 15–17		
Past-Month Alcohol Use	14.9	24,000
Past-Month Binge Alcohol Use	9.0	14,000
Ages 18–20		
Past-Month Alcohol Use	43.1	74,000
Past-Month Binge Alcohol Use	29.5	51,000
Alcohol-Attributable Deaths (under 21)		65
Years of Potential Life Lost (under 21)		3,921
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	25	23

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	60 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No more than one unrelated passenger under 20, unless accompanied by instructor
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Age-appropriate appearance and character
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Not specified
What is the penalty for the first offense?	\$1,750 fine and/or 35-day suspension
What is the penalty for the second offense?	\$3,500 fine and/or 70-day license suspension
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A

Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	20
Spirits	20
Does a manager or supervisor have to be present?	Yes
<i>Note:</i> Although 20 is the minimum age requirement to sell alcoholic beverages at both off-sale and on-sale establishments, 18-year-olds may stock, arrange displays, accept payment for, and sack malt beverages by the package, under the supervision of a person age 20 or older.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	20
Wine	20
Spirits	20
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	20
Wine	20
Spirits	20
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A

Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No

Keg Registration

How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law

Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$1.92
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	11%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

Kentucky State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Kentucky Department of Alcoholic Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Kentucky Department of Alcoholic Beverage Control

Such laws are also enforced by local law enforcement agencies Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,000

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 12,866

Number of licensees checked for compliance by state agencies (including random checks) 1,477

Number of licensees that failed state compliance checks 161

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 500

Number of licensees that failed **random** state compliance checks 60

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Don't know/No answer

Data are collected on these activities Not applicable

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors No

Number of fines imposed by the state⁴ Not applicable

Total amount in fines across all licensees Not applicable

Smallest fine imposed Not applicable

Largest fine imposed Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	6
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

I Won't Be the One

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: I Won't Be the One is a media campaign that seeks to limit social access of alcohol to underage youth. I Won't Be the One raises awareness of the consequences of underage drinking and of the legal consequences of providing alcohol to underage youth. It seeks to change the norm that underage drinking is a rite of passage and is okay as long as youth don't drive and drink.

Alcohol Compliance Checks

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Alcohol compliance checks are a type of environmental prevention that aims at reducing retail availability of alcohol to underage youth. Law enforcement officials supervise undercover youth who attempt to purchase alcohol; if the attempt is successful, the establishment is cited and penalized.

Responsible Beverage Server (RBS) Training

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: RBS training teaches alcohol retail clerks, bartenders, and servers of alcoholic beverages how to verify authenticity of an ID and how to refuse service if the client appears intoxicated. It also educates participants on the health consequences of excessive alcohol consumption. We are currently conducting a readiness assessment to gauge the level of support for a statewide RBS law.

Social Host Ordinance

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Social host liability is created by a statute or case law that imposes liability on social hosts as a result of their serving alcohol to adults or minors. Persons subject to social host liability in criminal and civil actions are frequently those who provided alcohol to the obviously intoxicated or to minors who subsequently are involved in vehicle crashes or other activities causing death or injury to third parties, but these are not necessary conditions. A social host is most often a private individual who serves alcohol in a noncommercial setting.

Party Patrols

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Party patrols are enforcement initiatives conducted by law enforcement officers who, acting on tips, break up parties and cite youth for possession of alcohol.

Social Norms Campaign

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	
http://www.jsad.com/doi/abs/10.15288/jas.2006.67.880	

Program Description: Underage drinking social norms campaigns are media campaigns that seek to change the preconceptions and beliefs of the target population with regard to underage drinking. Local data are used to craft messages that illustrate the actual extent of underage drinking, as opposed to the commonly assumed level of consumption, thereby deflating the commonly held belief that everybody does it.

Alcohol Prevention Enhancement Site (PES)

Program serves specific or general population	No data
Number of youth served	No data

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.kyprevention.com

Program Description: The Alcohol PES is more of a resource than a program but it helps communities implement environmental strategies such as RBS, keg registration, and social host liability. The Alcohol PES has been in existence for over 15 years.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No data
Description of program:	No data
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No data
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No data
Agency(ies) within your state:	No data
Nongovernmental agency(ies):	No data
Other:	No data
Best practice standards description:	No data

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Don't know/ No answer
<i>Committee contact information:</i> Not applicable	
<i>Agencies/organizations represented on the committee:</i>	Not applicable
<i>A website or other public source exists to describe committee activities</i>	Not applicable
URL or other means of access:	Not applicable

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Not sure
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Not sure
Prepared by:	Not applicable
Plan can be accessed via:	Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included	Data not available
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No data
Fines	No data
Fees	No data
Other	No data

Description of funding streams and how they are used:

No data

Additional Clarification

No data



Louisiana

State Population: 4,649,676

Population Ages 12–20: 575,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	24.6	141,000
Past-Month Binge Alcohol Use	15.2	88,000
Ages 12–14		
Past-Month Alcohol Use	5.7	10,000
Past-Month Binge Alcohol Use	2.4	4,000
Ages 15–17		
Past-Month Alcohol Use	22.6	43,000
Past-Month Binge Alcohol Use	13.2	25,000
Ages 18–20		
Past-Month Alcohol Use	42.6	88,000
Past-Month Binge Alcohol Use	28.2	58,000
Alcohol-Attributable Deaths (under 21)		113
Years of Potential Life Lost (under 21)		6,832
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	23	20

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in private residence

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in private residence

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<i>Note:</i> Special ID cards issued to applicants under 21 contain a highly visible distinctive color to clearly indicate that the card is issued to an applicant under 21. Special ID cards are to be accepted as valid identification of the person to whom they are issued but do not enable that person to operate a motor vehicle.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	365
<i>Note:</i> In Louisiana, a person between 13 and 18 years old who is adjudicated delinquent of a crime or offense involving alcohol shall lose his or her driving privileges for no fewer than 90 days but no more than 1 year or until he or she has reached age 18, whichever is longer.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (15 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11 pm

Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No more than one passenger under 21 who is not an immediate family member between 6 pm and 5 am, unless accompanied by a licensed driver at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	

• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
<i>Note:</i> Louisiana allows for localities to control outlet siting with a minimum of a 300-foot restriction.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No

Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes – Active (requires action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	Yes (no more than 144 [750ml] bottles per year per person per household)
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.32
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	\$0.32/gallon for alcohol content of 6% or less
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	
Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No

Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No
<i>Note:</i> On-premises retailers may not offer an unlimited number of drinks for a fixed price after 10 pm.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (15 days)

Louisiana State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Louisiana Office of Alcohol and Tobacco Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Louisiana Office of Alcohol and Tobacco Control
Such laws are also enforced by local law enforcement agencies	Don't know

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	85
Number pertains to the 12 months ending	6/30/2014
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
Number of retail licensees in state ³	8,567
Number of licensees checked for compliance by state agencies (including random checks)	6,454
Number of licensees that failed state compliance checks	1,271
Numbers pertain to the 12 months ending	6/30/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations* No

Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Don't know/No answer

Data are collected on these activities	Not applicable
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Don't know

Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	161
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Project Northland

Program serves specific or general population	Specific population
Number of youth served	8,340
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	
http://www.hazelden.org/web/go/projectnorthland	

Program Description: Project Northland is a multilevel intervention involving students, peers, parents, and communities in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered weekly to adolescents in grades 6–8, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use through student-parent homework assignments, in-class group discussions, and a communitywide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use and is implemented through discussions, games, problem-solving tasks, and role plays. During the first half of the 8th-grade Powerlines peer-led program, students learn about community dynamics related to alcohol use prevention through small-group and classroom interactive activities. During the second half, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Protecting You/Protecting Me (PY/PM)

Program serves specific or general population	Specific population
Number of youth served	3,246
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data

URL for more program information: <http://www.hazelden.org/web/go/pypm>

Program Description: PY/PM is a 5-year classroom-based alcohol use prevention and vehicle safety program for elementary school students in grades 1–5 (ages 6–11) and high school students in grades 11 and 12. The program aims to reduce alcohol-related injuries and death among children and youth due to underage alcohol use and riding in vehicles with drivers who are not alcohol free. PY/PM consists of a series of 40 science- and health-based lessons, with eight lessons per year for grades 1–5. All lessons are correlated with educational achievement objectives. PY/PM lessons and activities focus on teaching children about:

1. The brain—how it continues to develop throughout childhood and adolescence, what alcohol does to the developing brain, and why it is important for children to protect their brains.
2. Vehicle safety, particularly what children can do to protect themselves if they have to ride with someone who is not alcohol free.
3. Life skills, including decisionmaking, stress management, media awareness, resistance strategies, and communication.

Lessons are taught weekly and last 20–25 minutes or 45–50 minutes, depending on the grade level. A variety of ownership activities promote students' ownership of the information and reinforce the skills taught during each lesson. Parent take-home activities are offered for all 40 lessons. PY/PM's interactive and effective teaching processes include role playing, small group and classroom discussions, reading, writing, storytelling, art, and music. The curriculum can be taught by school staff or prevention specialists. PY/PM also has a high school component for students in grades 11 and 12. The youth-led implementation model involves delivery of the PY/PM curriculum to elementary students by trained high school students who are enrolled in a peer mentoring, family and consumer science, or leadership course for credit. The program's benefits to high school students are derived from learning about the brain and how alcohol use can affect adolescents, serving as role models to the elementary school participants, and taking coursework in preparation for delivering the curriculum.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

The state funds only two programs (Project Northland and Protecting You/Protecting Me) that are specific to underage drinking. The state funds additional prevention programs that are broader in scope as they address alcohol, tobacco, and other drugs. From July 1, 2013, to June 30, 2014, these additional programs served 71,731 youth across the state and are listed below:

- Life Skills Training
- Kids Don't Gamble...Wanna Bet?
- Second Step
- Coping Skills
- Too Good for Drugs
- Al's Pal
- Positive Action
- Guided Imagery Program
- Project Alert
- Project Toward No Tobacco Use
- Keep A Clear Mind
- Strengthening Families
- Insight Class Program

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No

Description of program:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA	Yes
Agency(ies) within your state: Office of Behavioral Health - SAPT Block Grant	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description:	No data
Additional Clarification	
The state looks to SAMSHA for guidance on best practices.	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Dawn Diez	
Email: Dawn.Diez@la.gov	
Address: 628 N. 4th Street, Baton Rouge, LA 70802	
Phone: 225-342-1836 or 225-342-7722	
<i>Agencies/organizations represented on the committee:</i>	
Office of the Governor—Drug Policy Board	
Department of Health and Hospitals (DHH)/Office of Behavioral Health	
Louisiana Highway Safety Commission	
Department of Education	
Louisiana State Police	
Attorney General's Office	
Louisiana Army National Guard	
Louisiana Commission on Law Enforcement	
Office of Juvenile Justice	
Louisiana Sheriff's Association	
Hispanic Community Representative	
Office of the Governor Elderly Affairs	
Historically Black Colleges & Universities	
Louisiana Commission on Addictive Disorders	
Private Organization Involved in Substance Abuse Prevention	
Louisiana Center Addressing Substance use in Collegiate Communities	
Louisiana State University School of Public Health	
DHH/Office of Public Health Chronic Disease Prevention and Control Unit	
Department of Public Safety and Corrections	
Louisiana Addictive Disorders Regulatory Authority	
MADD (Mothers Against Drunk Driving)	
District Attorney's Association	
Louisiana Department of Veteran Affairs	
Children's Cabinet	
Office of the Governor—Disability Affairs	
Supreme Court Drug Court Program	
State Epidemiology Workgroup	
<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access:	
http://www.gov.state.la.us/index.cfm?md=pagebuilder&tmp=home&navID=252&parentNavID=93&cplID=124&catID=0	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes

Prepared by: Prevention Systems Committee, a standing subcommittee of the

Louisiana Drug Policy board

Plan can be accessed via:

<http://www.gov.state.la.us/index.cfm?md=pagebuilder&tmp=home&navID=252&parentNavID=93&cplID=124&catID=0>

State has prepared a report on preventing underage drinking in the last 3 years

Yes

Prepared by: State Epidemiology Workgroup

Plan can be accessed via: <http://www.bach-harrison.com/lasocialindicators>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended

No data

Estimate based on the 12 months ending

No data

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended

\$358,050

Estimate based on the 12 months ending

6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Other programs:

Programs or strategies included

Data not available

Estimate of state funds expended

Data not available

Estimate based on the 12 months ending

Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes

No

Fines

No

Fees

No

Other

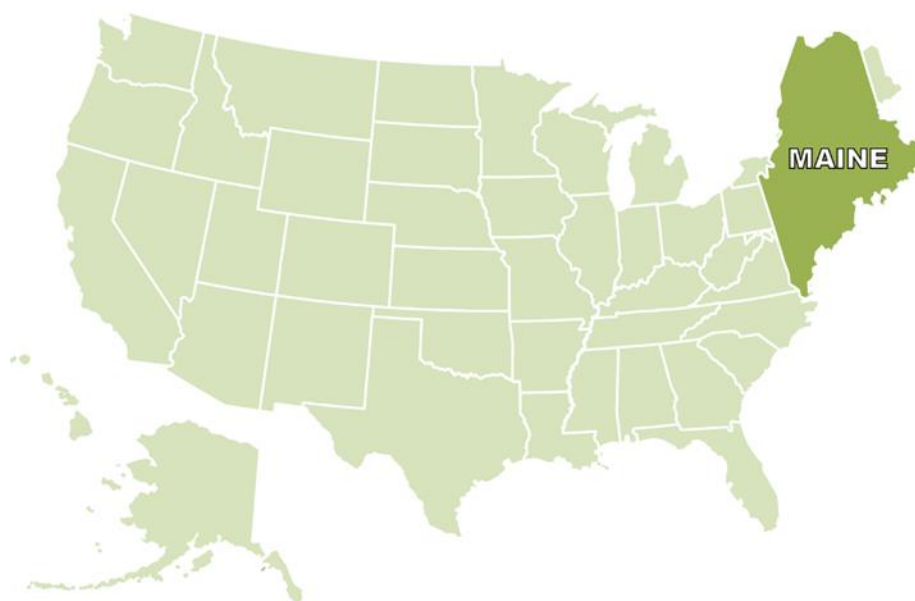
No data

Description of funding streams and how they are used:

Expenditures included in K–12 school-based programs to prevent underage drinking are funds from the 20 percent prevention set-aside from the Substance Abuse Treatment and Prevention Block Grant. Funding expenditures included costs associated with Project Northland and Protecting You/Protecting Me.

Additional Clarification

No data



Maine

State Population: 1,330,089

Population Ages 12–20: 147,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	24.7	36,000
Past-Month Binge Alcohol Use	15.1	22,000
Ages 12–14		
Past-Month Alcohol Use	2.8	1,000
Past-Month Binge Alcohol Use	1.2	1,000
Ages 15–17		
Past-Month Alcohol Use	19.7	9,000
Past-Month Binge Alcohol Use	11.4	5,000
Ages 18–20		
Past-Month Alcohol Use	49.5	26,000
Past-Month Binge Alcohol Use	31.2	16,000
Alcohol-Attributable Deaths (under 21)		13
Years of Potential Life Lost (under 21)		808
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	22	4

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes, in specified locations – See below
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes, in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<i>Note:</i> In Maine, Provisions Targeting Suppliers apply to acts prohibited for minors. The more general laws addressing adults are not included here as they are not, for purposes of this report, specific to lending, transfer, sale, or production of false ID for a minor's obtaining alcoholic beverages.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	70 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am

Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – Immediate family members only, unless accompanied by licensed driver who is at least 20
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 9 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private residence if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Dressed in a manner consistent with age. No sunglasses or baseball caps. Male: No facial hair. Female: Little or no makeup.
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Not specified

What is the penalty for the first offense?	Age 16, \$1,500 fine and/or 14-day suspension; age 17, \$1,200 fine and/or 7-day suspension; age 18, \$750 fine; age 19, \$600 fine; age 20, \$450 fine
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	17
Wine	17
Spirits	17
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	17
Wine	17
Spirits	17
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	17
Wine	17
Spirits	17
Does a manager or supervisor have to be present?	Yes

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exception is downtown location	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$350,000 limit for all claims per occurrence; medical care and treatment costs excluded from limit)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<i>Note:</i> Maine law includes a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$350,000 limit for all claims per occurrence; medical care and treatment costs excluded from limit)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine

Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$500)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes – Passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Maine is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.35
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.50%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Maine State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

No one state agency has primary responsibility. The Maine Department of Public Safety's State Police, as well as county and local law enforcement agencies, are responsible for civil and criminal law violations (i.e., illegal possession, illegal transportation, social host, furnishing). The Bureau of Alcoholic Beverages and Lottery Operations' Liquor Licensing Division enforces administrative violations at liquor licensees (sales/service).

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Not applicable

Such laws are also enforced by local law enforcement agencies

Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

Data not readily accessible

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

4,000

Number of licensees checked for compliance by state agencies

800

(including random checks)

Number of licensees that failed state compliance checks

75

Numbers pertain to the 12 months ending

9/30/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Off-sale establishments only

*State conducts **random** underage compliance checks/decoy operations*

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

800

Number of licensees that failed **random** state compliance checks

75

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

1,469

Number of licensees that failed local compliance checks

145

Numbers pertain to the 12 months ending

12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

No data

Total amount in fines across all licensees	No data
Smallest fine imposed	No data
Largest fine imposed	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	No data
Numbers pertain to the 12 months ending	No data

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Maine's Enforcing the Underage Drinking Laws Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: http://www.maine.gov/dhhs/samhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/ASPII%20Grant.htm	
URL for more program information: http://www.maine.gov/dhhs/samhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/index.htm	

Program Description: This program supports efforts to reduce youth access to alcohol in communities through training, TA, resource development, and funding to each public health district in Maine. Specific types of activities include enforcement, media advocacy, public awareness and education, and environmental management strategies to reduce illegal youth access to alcohol and/or attitudes and norms that play a role in underage drinking and youth access to alcohol or places to consume illegally.

Healthy Maine Partnerships (HMPs)—

Underage Drinking Prevention Initiatives

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable

URL for more program information:

http://www.healthymainepartnerships.org/Local_Partnerships.aspx

Program Description: Twenty-six HMPs blanket the state with environmental management strategies to reduce underage drinking by enhancing community stakeholders' engagement, capacity, and readiness. Strategies include improving policies and their enforcement, addressing attitudes/norms that contribute to underage drinking, addressing sales/pricing/promotions of alcohol, education and training to support responsible alcohol sales/service, and supporting early detection and evidence-based intervention in underage drinking behaviors.

Maine's Higher Education Alcohol Prevention Partnership (HEAPP)

Program serves specific or general population

General population

Number of youth served

Not applicable

Number of parents served

Not applicable

Number of caregivers served

Not applicable

Program has been evaluated

No

Evaluation report is available

Not applicable

URL for evaluation report:

<http://www.maine.gov/dhhs/samhs/osa/prevention/schoolcollege/heapp/index.htm>

URL for more program information:

No data

Program Description: Begun in 2003, HEAPP is a program collaboratively developed between the Maine Office of Substance Abuse and Mental Health Services and many of Maine's colleges and universities to bring about long-term, systemic change in how high-risk drinking and other substance abuse issues among Maine college/university students are addressed at the state and local levels. In order to address these multiple levels of the environment, HEAPP's program plan includes strategies and tactics for both the local level and the state level. Strategies and activities of the statewide initiative aim to engage all colleges and universities in Maine that are interested in addressing high-risk student drinking so that the non-campus-specific environmental factors and capacity for evidence-based prevention may be improved. The Partnership's part-time staff person coordinates statewide trainings, provides technical assistance, and disseminates information and materials for college students, parents, faculty/staff, and local law enforcement statewide.

Find Out More, Do More

Program serves specific or general population

Specific population

Number of youth served

No data

Number of parents served

No data

Number of caregivers served

No data

Program has been evaluated

Yes

Evaluation report is available

No

URL for evaluation report:

Not applicable

URL for more program information:

<http://maineparents.net/index.html>

Program Description: This education and awareness campaign aims to improve adults' knowledge, skills, and behavioral intentions around addressing underage drinking. The campaign specifically targets parents but is applicable to other adults with youth in their lives. Information is disseminated through the web, social media, radio, and print materials. The campaign addresses data on underage drinking behaviors/perceptions/attitudes, signs of underage drinking, things parents can do to prevent underage drinking, and parent modeling and monitoring behaviors that relate to underage alcohol use.

Student Intervention and Reintegration Program (SIRP)

Program serves specific or general population

Specific population

Number of youth served

No data

Number of parents served

No data

Number of caregivers served

No data

Program has been evaluated

Yes

Evaluation report is available

Yes

URL for evaluation report:

<http://sirp.adcareme.org>

URL for more program information:

<http://sirp.adcareme.org>

Program Description: SIRP is an education-based program for youth experimenting with alcohol or other drugs. The program empowers youth to make healthy decisions and reduce risk. A community champion conducts an informational meeting with parents and follows up with families to encourage success. It is based on the Prime For Life program (listed on NREPP) and is used to intervene primarily with students who violated school alcohol and other drug policies, but is also used sometimes with youth referred by self or parent or law enforcement/court/juvenile justice systems because of concerning choices around alcohol use.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

Maine's comprehensive and collaborative approach to reducing underage drinking statewide makes it challenging to attribute reduction in underage drinking rates specifically to one of the numerous programs the state coordinates and/or funds. As a result, program evaluation results are typically more focused on output and process measures specific to one program and how those changes may have contributed to some of the factors related to underage drinking. Maine's surveillance data, specifically youth self-report survey data, have shown reductions in underage drinking. More information on Maine's alcohol and other drug use and related factor trends may be found at <http://www.maine.gov/dhhs/samhs/osa/data/index.htm>

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
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Description of collaboration: The Wabanaki Public Health District implements health and safety promotion and underage drinking prevention efforts that they feel are most needed and most fitting to the district and Maine's tribes. Maine's Office of Substance Abuse and Mental Health Services (SAMHS) and its Enforcing the Underage Drinking Laws (EUDL) program provide funding and other support to the district to support them as they increase their capacity, readiness, and engagement in underage drinking prevention efforts. SAMHS offers information, tools/materials, training, and technical assistance to the district contacts relevant to underage drinking that they may access when needed. Tribal public health and prevention contacts participate in numerous statewide coordinating or planning groups so that they may help ensure that tribal perspective and needs are taken into consideration at all stages of program development and implementation. Tribal law enforcement has its own Enforcing the Underage Drinking Laws district task force supported by Maine's EUDL program.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program:	Not applicable
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<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): Surgeon General's Report, SAMHSA/CSAP's CAPT, OJJDP's EUDL Program/DETC, U.S. Dept. of Ed. Office of Safe and Drug Free Schools, NIAAA's Taskforce on College Drinking	Yes
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Agency(ies) within your state: Maine's Substance Abuse and Mental Health Services, Maine's EUDL program, Maine's state-level Underage Drinking Enforcement Taskforce, Maine's Bureau of Alcoholic Beverages and Lottery Operations, Maine's Criminal Justice Academy, and Maine Chiefs of Police Association	Yes
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Nongovernmental agency(ies): IOM Report on Underage Drinking, CADCA	Yes
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Other:	No
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Best practice standards description: Best practice standards align with research and evidence-based practices so that efforts must:

- Be based on programs or practices with documented evidence of effectiveness based on published research and/or theory and/or rigorous program evaluation and/or included on NREPP
- Align with published best practices or approaches such as those documented in federal reports

- Integrate Strategic Prevention Framework to ensure data-driven assessment and planning support implementation that is appropriate based on capacity, culturally competent, sustainable, and monitored for impact and ongoing improvement.

Additional Clarification

Maine's approach to underage drinking efforts aims to have a comprehensive web of evidence-based and data-driven efforts implemented at the state, regional, community, and organizational levels.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Becky Ireland

Email: Rebecca.Ireland@maine.gov

Address: SAMHS, SHS #11, 41 Anthony Ave., Augusta, ME 04333-0011

Phone: 207-287-6479

Agencies/organizations represented on the committee:

Maine Department of Health and Human Services,

Office of Substance Abuse and Mental Health Services

Maine Department of Transportation

Maine Bureau of Highway Safety

Maine Department of Education

Maine Secretary of State's Bureau of Motor Vehicles

Maine Bureau of Alcoholic Beverages and Lottery Operations

Maine Department of Correction's Juvenile Justice Advisory Group

Liquor/Alcohol-related industry representatives (restaurant association, grocers' association, beer and wine distributors, associations, liquor licensees)

State/county/local law enforcement agency reps

Community coalition reps from Drug Free Community Coalitions and Healthy Maine Partnerships

Maine Criminal Justice Academy

Maine Chiefs of Police Association

Maine Sheriffs Association

Maine Alliance to Prevent Substance Abuse

Training and professional development providers for prevention, law enforcement, and liquor licensees

A website or other public source exists to describe committee activities Yes

URL or other means of access:

<http://www.maine.gov/dhhs/samhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/UADETF.htm>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Maine's Underage Drinking Enforcement Taskforce, lead by Maine's Office of Substance Abuse and Mental Health Services' Prevention Services Team and its Enforcing the Underage Drinking Laws Program with expert input from SAMHSA's CSAP and CAPT and OJJDP's EUDL program

Plan can be accessed via: <http://www.maine.gov/dhhs/samhs/osa/pubs/prev/2012/StrategicPrevPlan.pdf>;

<http://www.maine.gov/dhhs/samhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/UADETF.htm>

State has prepared a report on preventing underage drinking in the last 3 years Not sure

Prepared by:

Not applicable

Plan can be accessed via:

Not applicable

Additional Clarification

Maine has integrated underage drinking into numerous plans and reports report to underage drinking; they may be found through the Maine Office of Substance Abuse and Mental Health Services' website at:

<http://www.maine.gov/dhhs/samhs/osa>

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$57,000
Estimate based on the 12 months ending	6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$50,000
Estimate based on the 12 months ending	6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$60,000
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Programs that target youth in the child welfare system:

Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Other programs:

Programs or strategies included: Underage drinking—statewide media-based awareness campaign for web, radio, print	
Estimate of state funds expended	\$75,000
Estimate based on the 12 months ending	6/30/2014

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

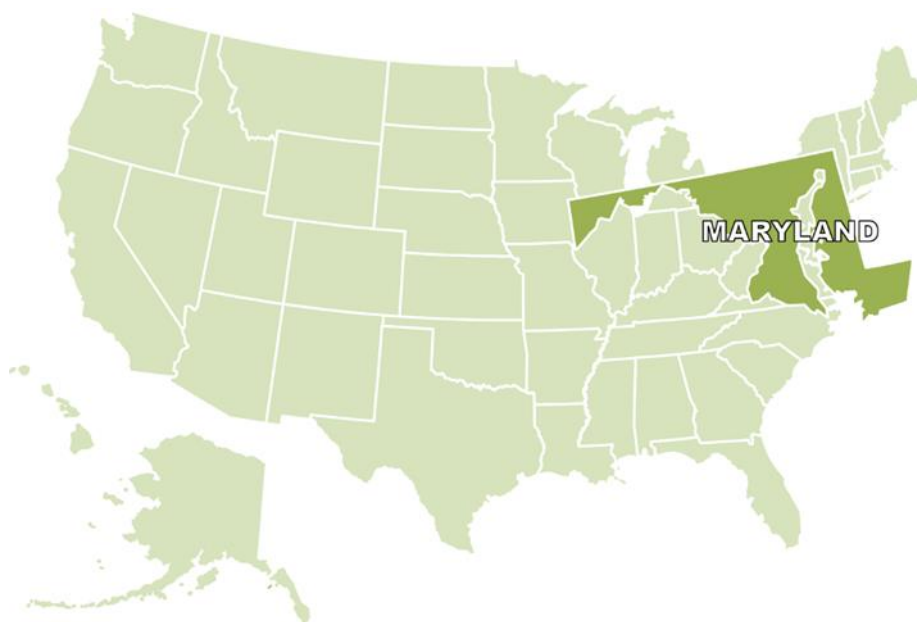
Taxes	Yes
Fines	No data
Fees	No data
Other Fund for Healthy Maine	Yes

Description of funding streams and how they are used:

Some limited state general funds and funds from The Fund for Healthy Maine (a tobacco-settlement-funded source that supports programs that aim to protect the health of Maine citizens) are put into contracts for underage drinking prevention service implementation for statewide programs/initiatives. Specifically, they support underage drinking enforcement and youth alcohol access prevention efforts, a statewide underage drinking media campaign (web, radio, print), and an evidence-based educational intervention program for youth who violate school substance use or possession policies, with alcohol being the predominate substance of violation.

Additional Clarification

No data



Maryland

State Population: 5,976,407

Population Ages 12–20: 663,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.7	157,000
Past-Month Binge Alcohol Use	13.4	89,000
Ages 12–14		
Past-Month Alcohol Use	3.0	6,000
Past-Month Binge Alcohol Use	1.1	2,000
Ages 15–17		
Past-Month Alcohol Use	23.9	59,000
Past-Month Binge Alcohol Use	12.9	32,000
Ages 18–20		
Past-Month Alcohol Use	44.7	92,000
Past-Month Binge Alcohol Use	26.8	55,000
Alcohol-Attributable Deaths (under 21)		86
Years of Potential Life Lost (under 21)		5,174
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	17	7

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes in specified locations – See below
• Is possession allowed if spouse is present or consents?	Yes in specified locations – See below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
<i>Note:</i> Maryland's exception includes members of an individual's "immediate family" when the alcoholic beverage is furnished and possessed at a private residence. For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes in specified locations – See below
• Is consumption allowed if the spouse is present or consents?	Yes in specified locations – See below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
<i>Note:</i> Maryland's exception includes members of an individual's "immediate family" when the alcoholic beverage is furnished and consumed at a private residence. For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No

Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	90
Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 9 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9

What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	60 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes - Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – No passengers under 18 who are not immediate family members, or relatives living with driver, unless accompanied by licensed driver over 21.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 years (passenger restrictions expire 151 days after issuance of intermediate license)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<i>Note:</i> Maryland's exception allows furnishing of alcohol to minors by members of their "immediate family" when the alcoholic beverage is furnished and consumed at a private residence. For the purpose of this report, the phrase "immediate family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Licensees, managers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No
<i>Note:</i> Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such “local options” are not addressed by this report.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No
<i>Note:</i> Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such “local options” are not addressed by this report.	

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, distance restrictions vary by county and municipality.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, distance restrictions vary by county and municipality.
To which alcohol products does requirement apply?	Beer, wine, spirits (product restrictions vary by county and municipality)
Note: Exceptions vary by county and municipality.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$500 [or maximum fine/jail, \$1,000 if repeat violation])
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500 [or maximum fine/jail, \$1,000 if repeat violation])
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	Yes
<i>Note:</i> Although Maryland does not require a retailer to record the number of a keg purchaser's ID, it does require that the purchaser's name and address be recorded as they appear on the purchaser's ID.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (written approval from the county or city required)
Wine	Yes (written approval from the county or city required)
Spirits	Yes (written approval from the county or city required)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (95% or more)
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.09
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Additional taxes for 3.2 –6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.40
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Additional taxes for 6–14% alcohol wine (if applicable)	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$1.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3%
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No. All counties require payment on delivery except Worcester County, where 10 days of credit may be extended.
Wine	
Are volume discounts to retailers allowed?	Uncertain due to case law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Uncertain due to case law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Unrestricted. The Alcohol and Tobacco Tax (MATT) Regulatory Division posts a list of purchase periods and due dates that is accessible only to Maryland wholesalers and retail licensees.
Spirits	
Are volume discounts to retailers allowed?	Uncertain due to case law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Uncertain due to case law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Unrestricted. The Alcohol and Tobacco Tax (MATT) Regulatory Division posts a list of purchase periods and due dates that is accessible only to Maryland wholesalers and retail licensees.
<i>Note:</i> The U.S. Court of Appeals for the 4th Circuit held that Maryland's wholesaler volume discounting and post-and-hold provisions, considered together, violate the Sherman Act's ban on price fixing and are not protected by the 21st Amendment. The court did not rule on whether either provision, if enacted separately, violated federal law.	

Maryland State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

None

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state ³	No data
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts random underage compliance checks/decoy operations	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	No data
Total amount in fines across all licensees	No data
Smallest fine imposed	No data
Largest fine imposed	No data
Numbers pertain to the 12 months ending	No data

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	No data
Numbers pertain to the 12 months ending	No data

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Maryland Strategic Prevention Framework (MSPF) Initiative

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: Email: fpradel@rx.umaryland.edu	
URL for more program information:	http://dhmh.maryland.gov/adaa/mspf

Program Description: MSPF has provided funding to Maryland's 24 local jurisdictions to implement evidence-based programs and strategies that will assist in reducing the misuse of alcohol by youth and young adults as measured by the following indicators:

1. Reduced number of youth ages 12–20 reporting past-month alcohol use
2. Reduced number of young persons ages 18–25 reporting past-month binge drinking
3. Reduced number of alcohol-related crashes involving youth ages 16–25

To receive MSPF funding, Maryland's jurisdictions were required to implement the five-step SPF process (assessment; capacity building; planning; implementation of evidence-based programs; evaluation of effectiveness). In FY 2015, 21 Maryland jurisdictions have formed their SPF coalitions, completed their MSPF Strategic Plans, and are implementing evidence-based youth alcohol prevention strategies in their MSPF communities. Three jurisdictions had not finished their needs assessment process when the program ended on June 30, 2015.

Intensive training has been provided throughout the 5+ years of the initiative to approximately 600 local community coalition members to assist them in this process. The initiative serves a general population of youth, young adults, and parents. The initiative primarily provides environmental prevention strategies to address retail availability, social availability, enforcement of underage and high-risk drinking laws, perception of harm and risk of drinking, and community and social norms regarding youth drinking. It is impossible to accurately determine the number of youth reached through these environmental approaches across so many jurisdictions. Process evaluation activities began in FY 2012, and FY 2013 and FY 2014 MSPF Evaluation Reports have been completed by our evaluator, the University of Maryland School of Pharmacy. They are available by contacting Dr. Francoise

Pradel, Lead Evaluator, at fpradel@rx.umaryland.edu. The FY 2015 Evaluation Report is currently being completed and will be available in December 2015. Dr. Pradel can also be contacted for that report.

Maryland Alcohol and Drug Abuse Administration (ADAA)—Maryland Prevention Block Grant Program

Program serves specific or general population	General population
Number of youth served	356,421
Number of parents served	45,310
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://bha.dhmh.maryland.gov

Program Description: The Maryland ADAA provides grant funding to Maryland's 24 local jurisdictions for a comprehensive range of AOD prevention services for youth. These prevention activities primarily target alcohol, marijuana, and prescription drugs, but include approaches that address risk and resiliency factors associated with misuse of all substances. All jurisdictions provide alcohol prevention activities, the state prevention priority as established through its most recent statewide needs assessment.

Activities funded through this grant program fall under each of the six CSAP Prevention Strategies: Information Dissemination, Prevention Education, Alternative Activities, Community Based Processes, Environmental Approaches, and Problem Identification and Referral. Our grant program guidance has prioritized provision of environmental and community-based processes in FY 2014, two approaches that we believe are best suited for reducing youth alcohol use and consequences at the population level, but for which it is difficult to do more than approximate specific numbers served.

Maryland ADAA—College ATOD Prevention Centers

Program serves specific or general population	Specific population
Number of youth served	21,235
Number of parents served	No data
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://bha.dhmh.maryland.gov

Program Description: ADAA provides funding to four Maryland universities to develop and maintain programs/activities that prevent and reduce substance use and risk-taking behaviors associated with use of alcohol, tobacco, and drugs. Alcohol, Tobacco, and Drug Prevention Centers have been established at Frostburg State University, Towson University, Bowie State University, and the University of Maryland Eastern Shore. The centers promote and assist in design and implementation of campus policies, evidence-based practices, and prevention/wellness education programs for their institutions. They also collaborate with agencies and organizations in communities surrounding the campuses. Center directors have working relationships with local health department prevention coordinators, local drug and alcohol councils, and other colleges/universities in the region.

Maryland Collaborative to Reduce College Drinking and Related Problems

Program serves specific or general population	Specific, population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://marylandcollaborative.org

Program Description: ADAA provides funding to the University of Maryland College Park and Johns Hopkins University to bring together state colleges and universities to (1) reduce the current level of excessive alcohol use and related harm on college campuses in Maryland and (2) mobilize and sustain the commitment of campus and community leaders to reducing excessive alcohol use and related harm on the state's campuses. These goals will be attained through an initial assessment of current challenges to campuses and surrounding communities with respect to college drinking problems; forming a collaboration of committed colleges and universities; instituting a common data collection system; and providing training and technical assistance to the participants in understanding and implementing evidence-based best practices. Several documents have been produced by the Collaborative, including the Report on College Drinking in Maryland, Guide to best Practices to Reduce Underage Drinking, and Results of 1st Annual Maryland College Alcohol Survey.

Washington Regional Alcohol Program (WRAP) Outreach and Education Program

Program serves specific or general population	General population
Number of youth served	5,019
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: WRAP completed its 32nd year fighting drunk driving and underage drinking in the Washington, DC, metropolitan area. WRAP's Alcohol Awareness for Students program educated teens and young adults about the dangers and consequences of underage drinking and drunk driving with multimedia-based, in-school presentations. In FFY 2014, 144 presentations were conducted by WRAP's staff, reaching 5,019 Maryland students in Prince George's and Montgomery counties. The number of students reached exceeded the goal of 3,000 students as set forth in the grant proposal by 67%.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No data Yes

Agency(ies) within your state: Maryland Alcohol and Drug Abuse Administration Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Recipients of ADAA's MSPF grants must follow the structured Maryland Strategic Prevention Framework process as described in our written guidance documents in order to receive funding. This includes the requirement that all programs implemented to reduce underage and problem youth drinking be evidence based. A set of approved evidence-based strategies and best practices is included in our written guidance documents and on our website.

Recipients of our SAPT Block Grant funds that implement direct services programs are required to implement at least one evidence-based (NREPP) program. Recipients that implement environmental strategies must implement strategies from the list of evidence-based strategies included in our written guidance documents and on our website. We are incrementally requiring SAPT Block grantees to infuse the five-step SPF process in their program planning and implementation.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Larry Dawson
 Email: larry.dawson@maryland.gov
 Address: 55 Wade Avenue, Catonsville, MD 21228
 Phone: 410-402-8622

Agencies/organizations represented on the committee:

University of Maryland School of Pharmacy
 MD Alcohol and Drug Abuse Administration
 Governor's Office of Crime Control & Prevention
 Maryland State Department of Education
 MD Department of Juvenile Services
 College of Southern Maryland
 MD State Highway Administration
 Montgomery County Dept. of Liquor Control
 MD Dept. of Public Safety & Corrections
 MD State's Attorney's Office
 Talbot Partnership
 Wicomico County Health Dept.
 Dorchester County Health Dept.
 Substance Abuse Prevention offices in: Charles County, Allegany County, Wicomico County, Cecil County, Queen Anne's County, Caroline County, Dorchester County, Carroll County, Garrett County, St. Mary's County, Kent County, Prince George's County, Frederick County, and Harford County

Committee currently on hiatus while being re-formed and restructured based on the merger of the Maryland Alcohol and Drug Abuse Administration and the Mental Health Administration into the Behavioral Health Administration. Re-formed committee will begin its activities in late 2015.

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

The state underage drinking plan was developed 6 years ago for Maryland's Strategic Prevention Framework application. The plan has been implemented since then through the ADAA-funded Maryland Strategic Prevention Framework (MSPF) grant program and the ADAA-funded SAPT Block Grant program.

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Massachusetts

State Population: 6,745,408

Population Ages 12–20: 792,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	31.6	250,000
Past-Month Binge Alcohol Use	18.9	150,000
Ages 12–14		
Past-Month Alcohol Use	2.8	6,000
Past-Month Binge Alcohol Use	1	2,000
Ages 15–17		
Past-Month Alcohol Use	24.8	65,000
Past-Month Binge Alcohol Use	15.2	40,000
Ages 18–20		
Past-Month Alcohol Use	59.4	179,000
Past-Month Binge Alcohol Use	35.8	108,000
Alcohol-Attributable Deaths (under 21)		54
Years of Potential Life Lost (under 21)		3,244
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	49	13

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws) – For Possession	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws) – For Purchase	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6

What is the minimum number of hours of driving with parents, guardians,, or adults before advancing to intermediate stage?	40 (30 hours of supervised driving if applicant completes driver skills program)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12:30 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes (exception: secondary enforcement 12:30–1 am and 4–5 am)
Are there restrictions on passengers?	Yes – No passengers under 18 who are not immediate family members, unless accompanied by licensed driver over 21
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 6 months after issuance of intermediate license; unsupervised night-driving restrictions remain until full licensure)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	Not specified
What are the decoy's appearance requirements?	Age-appropriate appearance
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A

What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet. Local government has authority to override state restrictions.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions are (1) inns and parts of buildings located 10 or more floors above street level and (2) extension of licensed premises that do not exceed 50 feet.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No

Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 2.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$5
Does law cover disposable kegs?	No
<p><i>Note:</i> Deposit provisions in Massachusetts require a purchaser to pay: (1) a container fee of no less than \$10 for each keg with a capacity of 6 or more gallons and of no less than \$1 for each keg with a capacity of less than 6 gallons; and (2) a registration fee of \$10 for each keg with a capacity of 6 or more gallons and of \$4 for each keg with a capacity of less than 6 gallons. \$5 is the minimum required amount.</p>	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes (each vehicle used for transportation and delivery must be covered by a permit issued by the commission)
Wine	Yes (each vehicle used for transportation and delivery must be covered by a permit issued by the commission)
Spirits	Yes (each vehicle used for transportation and delivery must be covered by a permit issued by the commission)

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.55
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$4.05
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (60 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (60 days)

Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (60 days)

Massachusetts State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Massachusetts Alcoholic Beverages Control Commission (ABCC)

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Massachusetts ABCC

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 791

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 11,400

Number of licensees checked for compliance by state agencies (including random checks) 2,178

Number of licensees that failed state compliance checks 63

Numbers pertain to the 12 months ending 12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 2,178

Number of licensees that failed **random** state compliance checks 63

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending 12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 21

Total amount in fines across all licensees \$117,385

Smallest fine imposed \$54

Largest fine imposed \$68,323

Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	114
Total days of suspensions across all licensees	Data not available
Shortest period of suspension imposed (in days)	Data not available
Longest period of suspension imposed (in days)	Data not available
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

In 2014, the Massachusetts ABCC Investigation and Enforcement Division conducted compliance checks in 230 municipalities in the commonwealth. There were 2,178 licensed establishments checked, of which 63 failed (3%). Of these licensees, 897 off-premise licensees were checked and 39 failed (4%), and 1,281 on-premise licensees checked of which 24 failed (2%). Licensees found in violation of selling or furnishing alcohol to underage individuals are brought before an adjudicatory hearing before the Alcoholic Beverages Control Commission. If found guilty, they are issued a penalty, which can range from a warning to a license suspension. Most are allowed to pay a fine in lieu of suspension, which is statutorily based on their alcohol sales.

Massachusetts has one of the lowest ratios of enforcement agents to licensees in the country. Accordingly, the Division has developed Enhanced Liquor Enforcement Programs that are scheduled to address specific geographic and seasonal challenges relating to underage drinking and impaired driving. The primary objective is to prevent procurement of alcoholic beverages by and for underage individuals as well the sale or delivery of alcoholic beverages to intoxicated individuals and potential impaired drivers.

Operation Safe Campus is conducted at bars and liquor stores in college communities over a 6-week period at the beginning of each school year. Operation Safe Prom and Graduation is conducted at liquor stores throughout the commonwealth over an 8-week period during May and June. Operation Safe Summer is conducted at bars and liquor stores in summer communities over a 6-week period during July and August. Operation Safe Holidays is conducted at bars that have been identified in the highest number of 24J reports, from Thanksgiving through December 31.

To encourage family involvement and intervention in addressing the problem of underage drinking, the Division has implemented a parent notification program to inform parents, at the time of the incident, of the situation in which their child is involved. Investigators have found this intervention to be very effective.

In 2014, these programs produced the following results: 721 minors in possession or transporting alcoholic beverages; 176 adults procuring alcohol for minors; 29 individuals in possession of false identification; and 382 cases of beer and 342 bottles of alcohol confiscated by Investigators, preventing delivery to approximately 4,948 underage individuals. Since 2005, these programs have resulted in the following cumulative results: 5,704 minors in possession or transporting alcoholic beverages; 2,557 adults procuring alcohol for minors; 598 individuals in possession of false identification; and 3,133 cases of beer and 2,305 bottles of alcohol confiscated by investigators, preventing delivery to approximately 40,234 underage individuals.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Bureau of Substance Abuse Services (BSAS) Underage Drinking Prevention Programs; Statewide Technical Assistance Center

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: This project involves 31 underage drinking prevention programs in collaboration with the Massachusetts Technical Assistance Partnership for Prevention (MasTAPP), our statewide technical assistance provider. The programs are coalition/community focused; require city/town participation; use the SAMHSA Strategic Prevention Framework (SPF); and are required to use evidence-based environmental strategies that relate directly to assessment-identified problems.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Description of collaboration: BSAS shared Native American data from the Youth Health Survey with representatives who collaborated with us on a prevention of substance abuse project. Native American outreach included the Wampanoag of Mashpee and Martha's Vineyard, as well as all other Tribal Councils and Inter-Tribal Councils that we could identify. We worked with the UMASS Boston Institute for New England Native American Studies to identify representatives to collaborate with us on the prevention of substance abuse. Together we co-ran four Discussion Groups and created "Coming Home," a culturally specific guide for parents that can be found here: <http://massclearinghouse.ehs.state.ma.us/BSASYTH/SA3528.html>.

In FY 2015, BSAS continued to distribute "Coming Home" and discuss how it could be used in communities. The well-received guide was distributed at pow-wows, tribal gatherings, art shows, health centers, and other venues. The four Roundtable groups identified the need for an effective prevention curriculum to use with youth.

Consultants from various tribes recommended that we create a locally based, yet effective, curriculum. Native American adults were invited to an evidenced-based training on prevention of substance abuse in FY 2015 (2/28/15). Prior to the training, native Culture Keepers and elders were invited to meet with the trainer and offer input. Twenty-six Native Americans were trained in the curriculum. A subset of that group is now advising BSAS on a cultural adaptation during weekly conference calls. We are seeking to incorporate the best information from other Native curricula, as well as local culture, stories, and illustrations. We are working with the developers of "Life Skills Training" to ensure that the cultural adaptation remains highly effective in preventing substance abuse. We are now beginning to meet with youth for concept testing of the draft curriculum. When it is complete, the plan is that tribal members will offer the curriculum to groups of middle school youth and BSAS will assist in the dissemination. The adapted curriculum will also be shared with other youth-serving professionals. The BSAS representative and members of our team will attend a 2-day Wampanoag Nation strategic planning session co-sponsored by the federal Tribal Training and TA Center of SAMHSA.

Our Treatment Unit invited tribal members to the 5-day Recovery Coach Training. Two Wampanoag tribe-specific trainings are planned for this fiscal year. Adaptations to the curriculum will be made to ensure that it is culturally sensitive. Experts will be available to provide TA throughout the coming years. Recovery coaches will be invited to

attend the 2-day training, Ethical Considerations for Recovery Coaches. Tribal members will continue to be offered scholarships to a range of general prevention and treatment trainings throughout the year.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP	Yes
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Agency(ies) within your state: Massachusetts Department of Public Health/Bureau of Substance Abuse Services	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: BSAS implements best practices through (1) a competitive request-for-response (RFR) process, (2) strategy meetings, and (3) regular site visits. The RFR requires the selection of an evidence-based model. Regular meetings provide technical assistance to ensure implementation of Strategic Prevention Framework (SPF) sustainability as well as cultural competence. The community's logic model, action plan, accomplishments, and challenges are reviewed throughout the year

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
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Committee contact information:

Name: Lydie Ultimo (other primary person)

Email: lydie.ultimo@state.ma.us

Address: 250 Washington Street, Boston, MA 02108

Phone: 617-624-5151

Agencies/organizations represented on the committee:

Massachusetts Department of Children and Families

Massachusetts Department of Mental Health

Massachusetts Department of Transitional Services

Massachusetts Department of Elementary and Secondary Education

Massachusetts Department of Corrections

Massachusetts Health Care Finance and Policy

Massachusetts Department of Youth Services

Massachusetts Health and Human Services

Massachusetts Department of Public Health

Massachusetts District Court

<i>A website or other public source exists to describe committee activities</i>	Yes
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URL or other means of access: <http://appointments.state.ma.us/BoardDetail.aspx?brdid=160194>

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: Massachusetts Department of Public Health/Bureau of Substance Abuse Services

Plan can be accessed via: <http://www.mass.gov/eohhs/docs/dph/substance-abuse/bsas-spe-strategic-plan.pdf>

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	

Additional Clarification

The Massachusetts Interagency Council is currently undergoing some changes. Will Luzier, who was the Executive Director of this council, recently retired from state services and this position has been vacant. The Commonwealth will be reactivating this council soon, and we will be able to provide an update about it soon.

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$4,000,000
Estimate based on the 12 months ending	6/30/2015
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	

Additional Clarification

No data



Michigan

State Population: 9,909,877
Population Ages 12–20: 1,241,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	24.5	304,000
Past-Month Binge Alcohol Use	15.7	195,000
Ages 12–14		
Past-Month Alcohol Use	3.6	14,000
Past-Month Binge Alcohol Use	1.2	5,000
Ages 15–17		
Past-Month Alcohol Use	20.1	84,000
Past-Month Binge Alcohol Use	11.8	49,000
Ages 18–20		
Past-Month Alcohol Use	47.3	206,000
Past-Month Binge Alcohol Use	32.4	141,000
Alcohol-Attributable Deaths (under 21)		144
Years of Potential Life Lost (under 21)		8,752
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	20	25

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 9 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes - No more than one passenger under age 21 who is not an immediate family member unless accompanied by driver's parent or designated adult 21 or older

Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	Yes

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy's appearance requirements?	Not specified
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	2 years
What is the penalty for the first offense?	Not more than \$1,000
What is the penalty for the second offense?	Not more than \$1,000
What is the penalty for the third offense?	Not more than \$1,000 and license suspension or revocation
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A

• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	New
<i>Note:</i> Michigan provides for a liability insurance discount as an incentive for retailers to implement beverage service training.	

Responsible Beverage Service (RBS) – Voluntary	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	Yes
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Existing

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<i>Note:</i> Michigan law includes a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes – Family members and residents of household
<i>Note:</i> Michigan's social host statute does not apply if all individuals attending the social gathering are members of the same household or immediate family, or if a minor's use, consumption, or possession of an alcoholic beverage is for religious purposes. The "preventive action" provision in Michigan allows the prosecution to establish guilt by proving that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Prohibited
<i>Note:</i> The beer or wine must be delivered by the retailer's employee and not by an agent or third-party delivery service. A retailer that holds a specially designated merchant license or an out-of-state retailer that holds its state's substantial equivalent license may use a third party that provides delivery service to Michigan municipalities surrounded by water and inaccessible by motor vehicle.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500/93 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$30
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Michigan is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.51
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (180 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)

Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (90 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
<p><i>Note:</i> With respect to beer, prices may be decreased during the 180-day minimum hold period to meet a competing wholesaler's price. The price reduction must not exceed the competition's price and must continue for the balance of the 180 days filed by the competition. Credit may be extended for a maximum of 30 days for on-sale retailers; no credit may be extended to off-sale retailers. With respect to wine, prices filed shall not be changed during a quarterly period unless by written order of the commission. Approval for a price change shall not be granted for periods of less than 14 days. Credit may be extended for a maximum of 30 days for on-sale retailers; no credit may be extended to off-sale retailers.</p>	

Michigan State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Michigan Liquor Control Commission (MLCC)

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

MLCC

Such laws are also enforced by local law enforcement agencies

Don't know

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

Approx. 17,000 licensees that hold approx. 22,000 licenses

Number of licensees checked for compliance by state agencies

2,526

(including random checks)

Number of licensees that failed state compliance checks

360

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

Data not available; not maintained separately

Number of licensees that failed **random** state compliance checks

Data not available; not maintained separately

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

Data submitted to MLCC voluntarily only; full data not available

Number of licensees that failed local compliance checks

238

Numbers pertain to the 12 months ending

12/31/2014

Sanctions

<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	631
Total amount in fines across all licensees	\$379,702
Smallest fine imposed	\$0; waived
Largest fine imposed	\$3,250
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	7
Total days of suspensions across all licensees	12
Shortest period of suspension imposed (in days)	0; waived
Longest period of suspension imposed (in days)	3
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State***Michigan Coalition to Reduce Underage Drinking (MCRUD)***

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mcrud.org

Program Description: MCRUD provides training, technical assistance, and networking opportunities for local volunteer and professional groups working to address specific issues related to reducing underage drinking at the local level. Constituents include other statewide organizations, local professional prevention agencies, local public health departments, hospital staff, local teen centers, and volunteer groups (e.g., high school leadership groups, parent groups, and community coalitions).

Office of Recovery Oriented Systems of Care (OROSC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	http://www.michigan.gov/bhrecovery
URL for more program information:	No data

Program Description: The Michigan Department of Health and Human Services (MDHHS) OROSC currently allocates Substance Abuse Prevention and Treatment (SAPT) Block Grant funding and other state general fund dollars to 10 Prepaid Inpatient Health Plans (PIHPs) substate regional entities. The PIHPs are responsible for planning, administering, funding, and maintaining the provision of substance abuse recovery, treatment, and prevention services for 83 counties in Michigan in accordance with MDHHS and OROSC priorities. A current OROSC priority is reducing underage drinking, and each PIHP employs a Prevention Coordinator. Programs are based on regional need, and are a mix of targeted programs aimed at specific populations (selective and indicated) as well as those aimed at the general population (universal).

Prevention Network (PN) and Parenting Awareness Michigan (PAM)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.preventionnetwork.org

Program Description: PN is a private, nonprofit partner funded in part by OROSC involved in the established statewide infrastructure that works to coordinate and allocate funding to high-need communities. PN provides support, training, and technical assistance to grassroots community groups addressing underage drinking to offer a full continuum of substance abuse prevention services. As part of PN, PAM assists professionals, volunteers, and communities with local initiatives focused on effective parenting as a key factor in the prevention of underage drinking and other risk behaviors in children and youth.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: At the state level, OROSC collaborates with both the Grand Traverse Band of Ottawa and Chippewa Indians (GTB) and the Little Traverse Bay Band of Odawa Indians by offering technical assistance as appropriate or requested. Both tribes have been members of the State Epidemiology Outcomes Workgroup (SEOW) in the past, and there is also a partnership with the Inter-Tribal Council. In addition, sub-state regional Prepaid Inpatient Health Plan (PIHP) entities may partner with these same or other tribes in the geographic area.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA National Registry of Effective Prevention Programs (NREPP)	Yes
Agency(ies) within your state: Michigan Department of Health and Human Services/Office of Recovery-Oriented Systems of Care (MDHHS/OROSC)	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: MDHHS/OROSC has adopted overarching principles of effective prevention based on the SAMHSA NREPP, as well as the <i>Surgeon General's Call to Action to Prevent and Reduce Underage Drinking</i> (2007). OROSC requires that at least 90% of prevention programming within a sub-state	

PIHP region is evidence based. In addition, specific guidelines for safe prom and graduation initiatives have been adopted and promoted through Prevention Network (PN), Michigan Coalition to Reduce Underage Drinking (MCRUD), and other avenues at the local level.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Mike Tobias

Email: mike@preventionnetwork.org

Address: PO Box 4458, East Lansing, MI 48826-4458

Phone: 517-393-6890

Agencies/organizations represented on the committee:

Berrien County Health Department

CARE of Southeastern Michigan

Cass Alcohol Safety Solutions/Woodlands Behavioral Health

Catholic Charities of Genesee and Shiawassee Counties

Genesee Health Systems

Huron County Health Department

Little Traverse Bay Band of Odawa Indians

Marquette County Health Department

Michigan Council on Alcohol Problems

Michigan Department of Health and Human Services

Prevention Network

Royal Oak Community Coalition

Sacred Heart Rehabilitation (Bay County)

St. Clair County Community Mental Health

St. Joseph Substance Abuse Task Force

YOUTHINK Montcalm

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.mcrud.org>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: OROSC as part of overall strategic plan. In addition, via work plan contract with Prevention Network, a more detailed UAD-specific plan is in the final stages of development and will be available via the website below once completed and approved for distribution.

Plan can be accessed via: <http://www.michigan.gov/bhrecovery>

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: As part of the State Epidemiology Profile, completed on annual basis.

Plan can be accessed via: <http://www.michigan.gov/bhrecovery>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Approx. \$130,000

Estimate based on the 12 months ending 12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended \$0; not conducted in Michigan

Estimate based on the 12 months ending 12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$676,354
Estimate based on the 12 months ending	9/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$1,847,649
Estimate based on the 12 months ending	9/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$195,058
Estimate based on the 12 months ending	9/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$86,457
Estimate based on the 12 months ending	9/30/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included: Compliance checks in retail outlets (\$101,564), problem identification and referral (\$24,677), and vendor education (\$10,390)	
Estimate of state funds expended	\$136,631
Estimate based on the 12 months ending	9/30/2014

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

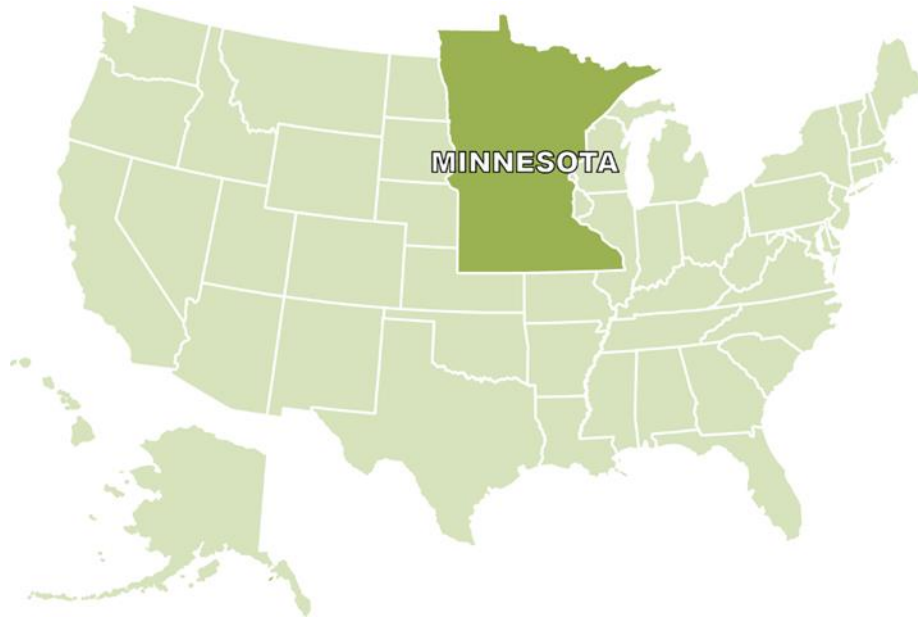
Taxes	Yes
Fines	Yes
Fees	Yes
Other	Not applicable

Description of funding streams and how they are used:

Fines for violations as well as license and renewal fees are used to fund controlled buy operations through the MLCC. Taxes are also used in this manner. In addition, a portion of Public Act 2 tax dollars are returned to counties to fund substance abuse prevention and treatment.

Additional Clarification

No data



Minnesota

State Population: 5,457,173

Population Ages 12–20: 632,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	22.1	140,000
Past-Month Binge Alcohol Use	13.8	87,000
Ages 12–14		
Past-Month Alcohol Use	2.6	5,000
Past-Month Binge Alcohol Use	0.7	1,000
Ages 15–17		
Past-Month Alcohol Use	17.6	39,000
Past-Month Binge Alcohol Use	9.5	21,000
Ages 18–20		
Past-Month Alcohol Use	46.2	96,000
Past-Month Binge Alcohol Use	31.4	65,000
Alcohol-Attributable Deaths (under 21)		50
Years of Potential Life Lost (under 21)		3,037
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	16	7

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes in specified locations – see below
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<p><i>Note:</i> Although Minnesota does not prohibit internal possession as defined in this report, it has a statutory provision that makes it unlawful "[f]or any person under the age of 21 years to consume any alcoholic beverages" and further defines "consume" to "[include] the ingestion of an alcoholic beverage and the physical condition of having ingested an alcoholic beverage." Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardian, or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians,, or adults before advancing to intermediate stage?	40 (15 of which must be at night)

Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, no more than one passenger under 20 who is not an immediate family member, unless accompanied by driver's parent or guardian. Second 6 months, no more than three passengers under 20, unless accompanied by driver's parent or guardian.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 (passenger restrictions expire 12 months after obtaining intermediate license; unsupervised night-driving restrictions expire 6 months after issuance of intermediate license)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy's appearance requirements?	Dress normally; no disguises or special makeup

Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	Yes
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified
<i>Note:</i> Minnesota provides for a reduced license fee as an incentive for retailers to implement beverage service training, among other programs.	

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
<i>Note:</i> In Minnesota, the minimum permitted age to sell 3.2% malt liquors for off-premises consumption is not specified.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18

Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1,500 feet of a public school, if not within a city
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1,500 feet of a public school, if not within a city
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
<p><i>Note:</i> Minn. Stat. § 340A.801(6) states that nothing in Chapter 340A, Minnesota's alcohol beverage control law, "precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years." The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for dram shop common law liability.</p>	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes (social host must be 21 or older)
Does the statute limit elements or standards of proof?	Yes (knowingly or recklessly furnishing alcohol to a minor or permitting consumption by a minor)
Does common law social host liability exist?	No
<p><i>Note:</i> Minnesota law states that nothing in Minnesota's alcohol beverage control law precludes common law tort claims against any person age 21 or older who knowingly provides or furnishes alcoholic beverages to a person under 21. The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for social host common law liability.</p>	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No

Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration

How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/90 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)

Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (80% or more)
Are there exceptions to restrictions?	Yes (spirits aged in wood casks for no fewer than 2 years)

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.15
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 3.2–6% alcohol beer (if applicable)	\$0.08 per gallon for beverages containing an alcohol content of 4% or less
<i>Note:</i> For malt liquor containing 4% alcohol or less, the 2.5% retail tax is applied only when sold at an on-sale or off-sale municipal liquor store or other establishment licensed to sell any type of intoxicating liquor.	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$5.03
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	2.50%
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No

Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
<i>Note: For wine, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases. For spirits, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases.</i>	

Minnesota State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Minnesota Department of Public Safety

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of retail licensees in state³ No data

Number of licensees checked for compliance by state agencies (including random checks) Not applicable

Number of licensees that failed state compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Not applicable

*State conducts **random** underage compliance checks/decoy operations* No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors No

Number of fines imposed by the state⁴ Not applicable

Total amount in fines across all licensees Not applicable

Smallest fine imposed Not applicable

Largest fine imposed Not applicable

Numbers pertain to the 12 months ending Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

The Alcohol & Gambling Enforcement Division of the Minnesota Department of Public Safety had a federal grant to administer funds for compliance checks and to maintain records of the outcome of the checks. The funding for the program was directed elsewhere, so the information contained in prior reports is not maintained by our division. Recent bills at the Minnesota legislature specifically targeting funding for underage enforcement left the Alcohol & Gambling Enforcement Division out of the language, meaning we receive no state funding specific to enforcement/compliance issues related to underage access to alcohol.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Planning and Implementation Programs (P&I)

(Minnesota Department of Human Services)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Email: Phyllis.bengtson@state.mn.us
URL for more program information:	Email: Phyllis.bengtson@state.mn.us

Program Description: These programs are funded using SAPT Block Grant prevention setaside dollars. Each P&I program contains the following set of strategies, programs, and services.

1. Community coalitions with a primary focus on reducing youth alcohol use. Each coalition meets monthly and has a vision and mission statement and written by-laws. Each has representation from the following 14 community sectors:
 - a. Youth (18 or younger)
 - b. Parents
 - c. High-risk subpopulations
 - d. Business
 - e. Media
 - f. School
 - g. Youth-serving organization
 - h. Law enforcement
 - i. Justice/Corrections
 - j. Religious or fraternal organization

- k. Civic/volunteer group (i.e., local organizations committed to volunteering; not a coalition member designated as "volunteer")
 - l. Healthcare professional
 - m. State/local/tribal government agency with expertise in substance abuse
 - n. Other organization involved in reducing substance abuse
2. Alcohol compliance checks at every establishment that sells alcoholic beverages within each community's geographic area at least twice annually.
 3. Responsible beverage server training in each community at least twice a year.
 4. Provide Project Northland to all 6th-, 7th-, and 8th-grade students in funded communities.
 5. Provide Class Action in all high schools in the geographic area of the funded communities.
 6. Provide capacity building in the form of specific training and technical assistance around effective coalitions, data collection, use of data, developing strategic plans, evaluation, cultural competency, sustainability, and other prevention topics identified as a need by the community.
 7. Implement environmental strategies within each funded community, such as passing social host ordinances, having alcohol compliance checks routinely conducted by law enforcement, banning advertisements for alcohol at local community events.
 8. A Positive Community Norms campaign to provide information and education around the true community norms of alcohol use and related behaviors and beliefs of underage youth, of the adult community population, and of the parent population.
 9. Work with a group of youth to identify and implement environmental changes chosen by the youth as ones that will reduce youth alcohol use.

Regional Prevention Coordinators (RPCs)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Email: Al.fredrickson@state.mn.us

Program Description: Minnesota is divided into seven prevention regions. Each RPC is responsible for providing technical assistance for those who request help in initiating, implementing, and sustaining ATOD prevention efforts in their region. The RPCs are trained in many aspects of community coalition work: evidence-based programming, community assessment, strategic planning, evaluation, and so on. Each RPC also provides at least one regional training on a topic determined by a training assessment survey. RPC programs are funded using SAPT Block Grant prevention funds from the required 20% setaside.

Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: Overview of the SPF SIG program:

- Funding from SAMHSA/CSAP
- Approximately \$2.1 million/year for 5 years (July 2009 through June 2014—85% must go to communities)
- Minnesota's no-cost extension ended on June 30, 2015
- Minnesota is part of Cohort IV nationally
- SPF SIG is a Cooperative Agreement at both state and community levels

National SPF SIG Program Goals:

- Prevent onset and reduce progression of substance abuse, including childhood and underage drinking
- Reduce substance abuse–related problems in communities
- Build prevention capacity and infrastructure at state and community levels

Summary of the No-Cost Extension:

- Continue the implementation of selected strategies
- Strengthen local capacity and prevention infrastructure for sustainability
- Lay the groundwork for the community coalitions to continue past June 30, 2015, and grant funding
- Create the Prevention Request Support Application (PRSA) to build prevention capacity among diverse populations
- Process and outcome evaluation
- Administer data collection activities to compare with baseline data

Strategic Prevention Framework Partnerships for Success Grant (SPF PFS)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Overview of the SPF PFS Program:

- Funding from SAMHSA/CSAP
- Approximately \$1.6 million/year for 5 years (September 30, 2014, through September 29, 2019)—85% must go to communities
- Seven 2-year and 4-year colleges/universities funded
- Minnesota is part of Cohort IV
- SPF PFS is a Cooperation Agreement at both the state and community levels.

Equally important, the SPF PFS program promotes the alignment and leveraging of prevention resources and priorities at the federal, state, and community levels.

Summary of Phase One Work:

- Assess community readiness, prevention capacity, and local campus data
- Strengthen local capacity and prevention infrastructure
- Lay the groundwork for future prevention efforts
- Analyze campus data to better serve high-risk subpopulations and groups that require culturally specific programming
- Administer baseline data collection activities

MN Prevention Resource Center (MPRC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mnprc.org

Program Description: The program is funded using SAPT Block Grant prevention set-aside dollars. MPRC provides resources, information, education, support, and technical assistance to Minnesota's prevention network to prevent problems caused by alcohol, tobacco, and other drug use. This is accomplished by:

1. Providing a state-of-the-art website
2. Providing current and accurate prevention research

3. Providing FREE print materials (posters, brochures, DVDs)
4. Providing FREE and easy access to their research library
5. Providing a printed newsletter (IMPACT) twice annually to over 3,000 prevention practitioners
6. Providing an electronic news update (MNPREV) twice monthly to over 400 prevention professionals
7. Coordinating Minnesota's annual prevention conference
8. Coordinating seven Spring Forums annually (one per region) on timely and compelling prevention topics
9. Collaborating with the Alcohol and Drug Abuse Division and other state agencies to promote effective and coordinated prevention services throughout Minnesota

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Minnesota Department of Human Services, Alcohol and Drug Abuse Division, uses state-appropriated dollars to fund prevention programming on the following American Indian Tribal Reservations: Red Lake, White Earth, Leech Lake, Bois Forte, and Fond du Lac. In addition, the Division uses SAPT Block Grant dollars to fund some prevention programming in urban American Indian communities. Funding is funneled through the American Indian Program Section, a subunit within the Division. This unit functions as the conduit to the Indian tribes in Minnesota and local American Indian communities, providing training and technical assistance as requested by the tribes and American Indian Urban Prevention Programs. In addition, the Division's legislation requires it to create and maintain an American Indian Advisory Council consisting of representatives from the 11 federally recognized tribes in Minnesota, as well as Urban Prevention Programs located in Minneapolis, St. Paul, and International Falls. The advisory council advises the Division in matters related to substance abuse and addiction, treatment, and recovery services in the American Indian communities within the tribal reservations as well as local communities.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: No data

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP Yes

Agency(ies) within your state: Minnesota Department of Human Services, Alcohol and Drug Abuse Division Yes

Nongovernmental agency(ies): Community organizations Yes

Other: Minnesota Youth Yes

Best practice standards description: Fund programs that use the following:

- Data-driven assessment and decisions
- Evidence -based
- Follow the five-step SPF process
- Include cultural competency and sustainability planning from the beginning
- Comprehensive (multiple settings)
- Localized - Community-based
- Includes a community coalition whose main focus is the reduction of underage alcohol use
- Focuses on environmental strategies
- Includes a Positive Community Norms media campaign, works to change community norms

- Includes working with a youth group to identify from their view what community changes are needed to reduce youth alcohol use and then working with youth to make those changes
- Sustained over time

Additional Clarification

For the purpose of this survey, two primary state agencies provided feedback. The first is the Minnesota Department of Human Services, Alcohol and Drug Abuse Division, which also functions as the Single State Agency (SSA) for the federal Substance Abuse Prevention and Treatment Block Grant Award. This agency reports using best practices developed from information from SAMHSA/CSAP and evaluation results from MN's Cohort-1 P&I grants, whose past 30-day youth alcohol use rate was almost 10% higher than the state average at the start of the 5-year grant, and 2 years after funding ended, were below the state average. The second is the Department of Public Safety, Alcohol and Gambling Enforcement.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No data

Committee contact information: No data

Agencies/organizations represented on the committee: No data

A website or other public source exists to describe committee activities No data

URL or other means of access: No data

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Not sure

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended: P&I Grant program funding for community-based programs (\$1,940,000); RPC grant program funding for community-based programs (although it covers alcohol, tobacco, and other drugs, the majority of services are alcohol related (\$715,478); MPRC funding for prevention programming, including community-based, and covers all substances (\$520,000)

Estimate based on the 12 months ending 6/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending

Data not available

Other programs:

Programs or strategies included

No data

Estimate of state funds expended

No data

Estimate based on the 12 months ending

No data

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes

No data

Fines

No data

Fees

No data

Other

No data

Description of funding streams and how they are used:

No data

Additional Clarification

No data



Mississippi

State Population: 2,994,079

Population Ages 12–20: 371,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.0	85,000
Past-Month Binge Alcohol Use	13.5	50,000
Ages 12–14		
Past-Month Alcohol Use	5.1	6,000
Past-Month Binge Alcohol Use	1.3	1,000
Ages 15–17		
Past-Month Alcohol Use	19	25,000
Past-Month Binge Alcohol Use	10.9	14,000
Ages 18–20		
Past-Month Alcohol Use	43.8	54,000
Past-Month Binge Alcohol Use	27.7	34,000
Alcohol-Attributable Deaths (under 21)		65
Years of Potential Life Lost (under 21)		3,926
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	17	15

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in any private location
<i>Note:</i> Mississippi's parent/guardian exception applies to persons at least age 18 and only for possession of light wine or beer. The location exception is not limited to persons between 18 and 21, and applies only to alcoholic beverages, not including light wine or beer.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
<i>Note:</i> Mississippi's parent/guardian exception applies to persons at least age 18 and only for consumption of light wine or beer.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
<i>Note:</i> Although it appears the Mississippi Department of Public Safety currently issues distinctive licenses for persons under 21, no codified statute or regulation requiring issuance of such licenses has been found.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

MS-Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	Not specified
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years
For night driving, when does adult supervision requirement begin?	10 pm Sun–Thur; 11:30 pm Fri–Sat

Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No – Officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<i>Note: Mississippi's parent/guardian and spouse exceptions apply to persons at least age 18 and only for furnishing of light wine or beer.</i>	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
What are the decoy's appearance requirements?	Male: No facial hair; youthful looking
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Not specified
What is the penalty for the first offense?	\$500 to \$1,000 fine
What is the penalty for the second offense?	\$1,000 to \$2,000 fine plus license revocation
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes – No permits on campus
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes – No permits on campus
To which alcohol products does requirement apply?	Wine, spirits (“alcoholic beverage” does not include wine containing 6.25% ABV or less)
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet; within 100 feet in areas zoned commercial or industrial
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet; within 100 feet in areas zoned commercial or industrial

To which alcohol products does requirement apply?	Wine, spirits ("alcoholic beverage" does not include wine containing 6.25% ABV or less)
<i>Note:</i> Exceptions are (1) bed and breakfast inn or historic district listed in the National Register of Historic Places; (2) qualified resort area located in a municipality with population more than 100,000.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A

Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Mississippi is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.43
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Mississippi State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

State of Mississippi Department of Revenue, Office of Alcohol Beverage Control Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Mississippi Dept. of Revenue, Office of Alcohol Beverage Control Enforcement

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

6,700 (beer and liquor retailers) approx.

Number of licensees checked for compliance by state agencies

78

(including random checks)

Number of licensees that failed state compliance checks

78

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

*State conducts **random** underage compliance checks/decoy operations*

No

Number of licensees subject to **random** state compliance checks/decoy operations

Not applicable

Number of licensees that failed **random** state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Unknown

Number of licensees that failed local compliance checks

Unknown

Numbers pertain to the 12 months ending

12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

No

Number of fines imposed by the state⁴

N/A – Suspensions only
0

Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	26
Total days of suspensions across all licensees	2
Shortest period of suspension imposed (in days)	7
Longest period of suspension imposed (in days)	7
Numbers pertain to the 12 months ending	12/31/2013
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2013

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Region 1—Community Mental Health Center (CMHC)

Program serves specific or general population	General population
Number of youth served	850
Number of parents served	200
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Region 1 CMHC utilizes All Stars, Project Towards No Drug Use, Life Skills, and Project Alert. All of these curriculums focus on motivating nonuse of alcohol and drugs. They also teach refusal and resistance skills.

Region 2—Community Mental Health Center

Program serves specific or general population	Specific population
Number of youth served	341
Number of parents served	47
Number of caregivers served	11
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.commuinicarems.org/preventionhome.html

Program Description: The Region 2 CMHC utilizes PATHS, Class Action, and Too Good For Drugs evidence-based programs. These are all designed to delay the onset of alcohol use, reduce use among youth who have already tried alcohol, and limit the number of alcohol-related problems. They also target major social and psychological factors that provide initiation of substance use and other risky behaviors.

Region 3—Community Mental Health Center

Program serves specific or general population	Specific population
Number of youth served	875
Number of parents served	875
Number of caregivers served	No
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.lifeskillstraining.com

Program Description: The Region 3 CMHC utilizes Life Skills and Protecting You/Protecting Me evidence-based programs. Life skills is a school-based prevention program for youth grades 7–12 (ages 13–18). This program helps students gain the skills and confidence to make healthy decisions, strengthen relationships with family members and peers, and resist the pressure to use drugs, tobacco, and alcohol. Protecting You/Protecting Me is an alcohol use prevention curriculum for children in grades 1–5 that gives students essential knowledge and skills that increase their non-use attitudes and decisions, increase their intentions not to ride with an impaired driver, and improve their ability to protect themselves when they have no option but to ride with a driver who is not alcohol free.

Region 4—Community Mental Health Center

Program serves specific or general population	Specific population
Number of youth served	335
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.lifeskillstraining.com

Program Description: The Region 4 CMHC utilizes the Life Skills training program. This is a school-based prevention program for youth in grades 7–12 (ages 13–18). This program helps students gain the skills and confidence to make healthy decisions, strengthen relationships with family members and peers, and resist the pressure to use alcohol, tobacco, and drugs.

Region 6—Community Mental Health Center

Program serves specific or general population	Specific population
Number of youth served	3,600
Number of parents served	200
Number of caregivers served	60
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not Applicable
URL for more program information:	No data

Program Description: The Region 6 CMHC utilizes the Creating Lasting Family Connections program, a school-based program for youth ages 5–11 and 6–18. It is a family-focused program that aims to build resiliency and reduce the frequency of alcohol and other drug use.

Region 7—Community Mental Health Center

Program serves specific or general population	Specific population
Number of youth served	900
Number of parents served	400
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.projectalert.com

Program Description: The Region 7 CMHC utilizes Project Alert, a substance abuse prevention program for middle school students. It address the pro-drug mindset of today's teens and effectively increases the likelihood to remain drug free.

Region 12—Pine Belt Healthcare Resources

Program serves specific or general population	Specific population
Number of youth served	3,000
Number of parents served	1,050
Number of caregivers served	1,050
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Pine Belt Healthcare Resources prevention services utilize Project Alert and Project Northland for their evidence-based curricula. These are both school-based prevention programs designed to delay the age when adolescents begin drinking and reduce alcohol use among those already drinking. They both target middle school youth (grades 6–8).

Region 14—Singing River Services

Program serves specific or general population	General population
Number of youth served	5,500
Number of parents served	600
Number of caregivers served	350
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Region 14 utilizes Project Northland by Hazelden Publishing, a nationally recognized alcohol use prevention program. The curriculum invites participation and experiential learning at home, in the classroom, and in the local community. Parents and caregivers are enlisted to support no-use, alcohol-free messages.

Region 15—Warren Yazoo

Program serves specific or general population	Specific population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: Region 15 utilizes the Too Good for Drugs/Too Good for Violence series. Too Good for Drugs is a school-based prevention program for K5–12th grades that builds on students' resiliency by teaching them how to be socially competent and autonomous problem solvers. Too Good for Violence is a school-based violence prevention and charter education program for students in K5–12th grades. It is designed to enhance pro-social behaviors and skills as well as improve protective factors related to conflict and violence.

Mississippi Band Of Choctaw Indians, Choctaw Health Department

Program serves specific or general population	Specific population
Number of youth served	5,400
Number of parents served	0
Number of caregivers served	0
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Mississippi Band of Choctaw Indians utilizes the Reconnecting Youth (RY) Prevention Program. This is a school-based prevention program for youth in grades 9–12 (ages 14–18) who are at risk for school dropout and who may exhibit multiple behavior problems such as substance abuse, aggression, depression, or a higher suicide potential.

Mallory Community Health Center (TOP-Teens/Tots program)

Program serves specific or general population	Specific population
Number of youth served	100
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The TOP-Teens/Tots (Taking Opportunity in Prevention-Teens/Tots) program is a substance abuse prevention program that targets youth ages 5–18. The program uses evidence-based strategies to impact positive changes in targeted youth and their families. The program promotes constructive lifestyles and norms that discourage alcohol, tobacco, and other drug use.

Dream, Inc., of Jackson

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: Drug-Free Resources for Education and Alternatives in Mississippi (Dream, Inc.) of Jackson provides evidenced-based programs (EBPs) in their RADAR Center for prevention specialists to review before purchase. They also host trainings that reference the use of EBPs, but do not provide EBPs to schools.

Alcorn State University Prevention Program (ASAPP)

Program serves specific or general population	Specific population
Number of youth served	100
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: ASAPP currently utilizes both Project Alert and Training for Intervention Procedures (TIPS). Both prevention programs seek to provide skills-based training that is designed to prevent intoxication, underage drinking, and drunk driving.

Dream, Inc., of Hattiesburg

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Dream, Inc., of Hattiesburg provides prevention programs that seek to reduce teen access to alcohol by changing community policies and practices. The programs include Positive Actions, Project Towards No Drugs, Project Northland, Life Skills, Class Action, and Communities Mobilizing for Change on Alcohol (CMCA). CMCA seeks both to limit youths' access to alcohol and to communicate a clear message to the community that underage drinking is inappropriate and unacceptable. It employs a range of social-organizing techniques to address legal, institutional, social, and health issues related to underage drinking. The goals of these organizing efforts are to eliminate illegal alcohol sales to minors, obstruct the provision of alcohol to youth, and ultimately reduce alcohol use by teens

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Additional clarification: Mississippians Advocating Against Unhealthy Decisions (MAAUD)

Program Description: MAAUD is a task force designed to improve the health and well-being of youth in Mississippi by preventing and reducing unhealthy/risky behaviors. MAAUD is represented by several agencies/organizations:

Mississippi Department of Mental Health

Mississippi Department of Public Safety

Mississippi Department of Education

DREAM of Hattiesburg

DREAM of Jackson

Mississippi National Guard

National Council on Alcoholism & Drug Dependence

The Mississippi Department of Mental Health certifies and funds the Mississippi Band of Choctaw Indians to provide prevention services within their community.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The Mississippi Prevention Partnership for Success (MPP) Grant and MAAUD strive to improve the health and well-being of youth in Mississippi by preventing and reducing unhealthy/risky behaviors. Members include representatives from state and local agencies with strong participation from local coalitions and organizations across the state. Both entities strive to reduce unhealthy decisions in Mississippi through social media, community action, public policy, education, and advocacy. The goals include the following:

- Engage youth in local prevention awareness actions
- Work in partnership with businesses in the community
- Cooperate with state government and local enforcement
- Put youth-friendly programs into communities where prevention awareness counts most

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency: SAMHSA (CSAP; Project Officer, Kevin Chapman)

Agency within your state: MS Department of Mental Health

Nongovernmental agencies

Other: MAAUD, 14 Community Mental Health Centers, Free-Standing Prevention Programs

Best practice standards description: Mississippi works closely with SAMHSA-CSAP, a massively funded federal agency that promotes the reduction of consumption approach to reduce alcohol problems: "Less Alcohol Is Always Still Too Much." The Mississippi Department of Mental Health administers the public system of alcohol and drug prevention and treatment services in Mississippi through the Bureau of Alcohol & Drug Services. These services

are provided through a statewide network, which includes state-operated facilities, regional community mental health centers, and other nonprofit community-based programs.

Additional Clarification

All necessary information has been reported.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Daisy Carter
 Email: daisy@ncaddms.org
 Address: 875 Northpark Drive, Suite 600, Ridgeland, MS 39157
 Phone: 601-899-5880

Agencies/organizations represented on the committee:

Community Striving to Prevent Underage Drinking
 Gateway MAP Coalition
 Gulf Coast Substance Abuse Task Force
 MS Underage Drinking Prevention Coalition of Hinds County
 Make A Promise Coalition for a Drug-Free Warren County
 MS Underage Drinking Prevention Coalition of Madison & Rankin Counties
 MS Southern Coalition
 Warren County Underage Drinking Coalition
 Dream Community Planning Coalition
 Gulf Coast Mental Health
 Jackson County Children's Services Coalition
 MS State Legislature
 MADD
 Metro Jackson CPC
 MS Army National Guard
 National Council on Alcoholism and Drug Dependence

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.maaud.org>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Mississippi Department of Mental Health

Plan can be accessed via: <http://www.dmh.ms.gov>

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended No data available

Estimate based on the 12 months ending No data

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended Mississippi receives no prevention funding from the state.

Estimate based on the 12 months ending 6/30/2014

<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014
<i>Other programs:</i>	
Programs or strategies included	Not applicable
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used: Not applicable

Additional Clarification

No data



Missouri

State Population: 6,063,589

Population Ages 12–20: 706,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	21.9	155,000
Past-Month Binge Alcohol Use	14.0	99,000
Ages 12–14		
Past-Month Alcohol Use	3.8	9,000
Past-Month Binge Alcohol Use	2.2	5,000
Ages 15–17		
Past-Month Alcohol Use	19.8	50,000
Past-Month Binge Alcohol Use	11.5	29,000
Ages 18–20		
Past-Month Alcohol Use	41.3	96,000
Past-Month Binge Alcohol Use	27.9	65,000
Alcohol-Attributable Deaths (under 21)		116
Years of Potential Life Lost (under 21)		7,008
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	14	16

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30
<i>Note:</i> Although Missouri does not authorize a use/lose penalty for all underage consumption, the mandatory license sanction is imposed on an underage person who "has a detectable blood alcohol content of more than two-hundredths of one percent or more by weight of alcohol in such person's blood."	

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	1 am
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – First 6 months, no more than one passenger under 19 who is not

	an immediate family member. After 6 months, no more than three passengers under 19 who are not immediate family members.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 11 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
What are the decoy's appearance requirements?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 feet. Local government has authority to override state restrictions.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 feet. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
<i>Note:</i> Exceptions include a school that has obtained an exemption from payment of federal taxes.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes (retailers that furnish alcohol for off-premises consumption exempt)

Does the statute limit elements or standards of proof?	Yes (clear and convincing evidence required to show that retailer knew or should have known underage status)
Does common law dram shop liability exist?	No

Social Host Liability

Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption
Property type covered by the law?	Residential/Outdoor/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
<i>Note:</i> A holder of a retailer alcoholic beverage license in a state that affords Missouri licensees equal reciprocal shipping privilege may ship, for personal use and not for resale, no more than two cases of wine (no more than 9 liters each case) per year to any adult resident of the state. Delivery of a shipment pursuant to this section shall not be deemed to constitute a sale in Missouri.	

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No

Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$50
Does law cover disposable kegs?	Yes
<i>Note:</i> Although Missouri does not require a retailer to record the number of a keg purchaser's ID, it does require the retailer to record the form of ID presented by the purchaser, as well as the purchaser's name, address, and date of birth.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.06
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.42
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$2.00
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Wine	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
Spirits	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (30 days)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days)
<i>Note: Wholesalers may not sell below cost. For sales of wine and spirits, no discounts in excess of 1% for quantity or 1% for time of payment.</i>	

Missouri State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Missouri Department of Public Safety, Division of Alcohol and Tobacco Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Dept. of Public Safety,
Div. of Alcohol and
Tobacco Control

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

6,436

Number pertains to the 12 months ending

12/31/2014

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities

No

Number of retail licensees in state³

No data

Number of licensees checked for compliance by state agencies

Not applicable

(including random checks)

Number of licensees that failed state compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Not applicable

*State conducts **random** underage compliance checks/decoy operations*

No

Number of licensees subject to **random** state compliance checks/decoy operations

Not applicable

Number of licensees that failed **random** state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

728

Number of licensees that failed local compliance checks

69

Numbers pertain to the 12 months ending

2/28/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

266

Total amount in fines across all licensees

\$64,600

Smallest fine imposed

\$200

Largest fine imposed	\$1,000
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	20
Total days of suspensions across all licensees	37
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	5
Numbers pertain to the 12 months ending	6/30/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2014

Additional Clarification

Part 1, Section A—Underage Persons in Possession: Data reported in this section were taken from the Missouri Uniform Crime Reporting Program (MULES).

Part 1, Section B—Compliance Checks, Local Level: The Enforcing Underage Drinking Laws (EUDL) grant in Missouri provides funds enforcing underage drinking laws through the Department of Public Safety (DPS). DPS also collects and reviews compliance check reports from the subgrantees and refers violations to the Supervisor of Alcohol and Tobacco Control for administrative action if warranted. (The data presented in questions 1.B.2.a-c were taken from the activity reported to EUDL from local law enforcement subgrantees.)

Part 1, Section C—Sanctions: Data reported in this section were taken from the administrative actions imposed by the Supervisor of Alcohol and Tobacco Control on violations referred from the local law enforcement agencies.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Partners in Prevention (PIP)

Program serves specific or general population	Specific population
Number of youth served	122,000
Number of parents served	30,000
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Upon request
URL for more program information:	No data

Program Description: Missouri PIP is an established statewide substance abuse prevention coalition of Missouri universities implementing evidence-based strategies to reduce binge and underage drinking among students at participating institutions. PIP is a consortium of 21 public and private colleges and universities. Since 2001, PIP has effectively reduced binge drinking and underage drinking behavior on campuses throughout the state and has been nationally recognized for its efforts.

Missouri's Youth Adult Alliance (MYAA)

Program serves specific or general population	Specific population
Number of youth served	697
Number of parents served	915
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available	Yes
URL for evaluation report:	Upon request
URL for more program information:	http://www.actmissouri.org/about-myaa

Program Description: Missouri's MYAA is a statewide coalition that assists local community efforts in addressing underage drinking. Its mission is to encourage advocates to reduce youth access to alcohol by implementing environmental and social change in their communities. Membership in MYAA includes other agencies as well as other adults and youth interested in reducing underage drinking.

**Missouri School-based Substance Abuse Prevention
Intervention and Resources Initiative (SPIRIT)**

Program serves specific or general population	Specific population
Number of youth served	7,855
Number of parents served	7,855
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://dmh.mo.gov/ada/progs/spiritreports.html
URL for more program information:	http://dmh.mo.gov/ada/progs/spirit.html

Program Description: In 2002, the Missouri Department of Mental Health (DMH), Division of Alcohol and Drug Abuse (ADA), launched SPIRIT. This project proposes to delay the onset and decrease the use of substances, improve overall school performance, and reduce incidents of violence. To achieve these goals, prevention agencies are paired with participating school districts to provide technical assistance in implementing evidence-based substance abuse prevention programming and referral and assessment services as needed. The project offers a variety of evidence-based prevention programs selected by the districts.

**Regional Support Center (RSC) Network and Community
Coalitions**

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: RSCs are the primary sources of technical assistance support for community coalitions. The RSCs' goal is to facilitate development of teams capable of making changes in substance use patterns in their communities. Each RSC has a prevention specialist who works directly with the teams in his/her area and assists with developing teams and task forces in communities that want them. The coalitions make up a network of volunteer community teams that focus solely on alcohol, tobacco, and drug issues as part of a broad mission and/or array of services. The coalitions were organized and developed in 1987 and are composed of community volunteers from the areas served. Each coalition receives technical assistance and training from the RSC on a variety of topics related to organization, development, and implementation of prevention strategies. The RSC and community coalitions implement various evidence-based strategies and programs.

Direct Prevention Services for High-Risk Youth

Program serves specific or general population	Specific population
Number of youth served	14,801
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Direct programs/services for high-risk youth are prevention education and early intervention activities provided to designated children, youth, and families. These services involve structured programming or a curriculum, have multiple sessions, include pre- and post-testing, and address identified risk and protective factors. Direct programs/services may also involve a variety of activities, including informational sessions and training and technical assistance activities with groups.

St. Louis ARC Fetal Alcohol Syndrome Prevention Project

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.slarc.org

Program Description: The St. Louis ARC is a nonprofit, United Way agency that provides support and services to more than 3,000 adults and children with intellectual and developmental disabilities, and their families, throughout the St. Louis metropolitan area.

Drug Abuse Resistance Education (DARE) Officer Training

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mopca.com

Program Description: Police officers are trained in the DARE curriculum.

State of Missouri Alcohol Responsibility Training (SMART)

Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The SMART program is an interactive, web-based course available free of charge to those who own or work for any Missouri establishment licensed to sell alcohol.

CHEERS to the Designated Driver Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://wellness.missouri.edu/CHEERS

Program Description: CHEERS was designed to increase the number of designated drivers throughout Missouri. Bars, restaurants, and nightclubs participating in CHEERS provide free nonalcoholic beverages to the acknowledged designated driver in a group of two or more. It's a way of saying thanks for caring about the safety of your friends

and community. Establishment owners across the state have been invited to join CHEERS and play an active role in ensuring the health and safety of their patrons.

Statewide Training and Resource Center (STRC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://actmissouri.org

Program Description: The STRC contract, currently held by ACT Missouri, conducts a variety of activities and programs on behalf of the Missouri Division of Behavioral Health and the overall state prevention system. The STRC provides resources, training, and technical assistance for the Regional Support Centers and direct prevention providers. The STRC presents a number of statewide workshops throughout the year and also holds a statewide prevention conference. The STRC also operates a consultant resource bank with resources available to the prevention community and administers the Mini-Grant program for community coalitions.

Team Spirit Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.savemolives.com

Program Description: This is a Highway Safety program.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration:	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Description of program: Missouri has many coalitions around the state that provide training and activities addressing youth exposure to alcohol advertising and marketing.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA	Yes
Agency(ies) within your state: Missouri Division of Behavioral Health	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: The Division requires providers to use evidence-based programs and environmental strategies. SAMHSA's publication, <i>Identifying and Selecting Evidence-Based Interventions for</i>	

Substance Abuse Prevention, serves as a guide that provides the following definition for evidence-based programs:

- Inclusion in a federal list or registry of evidence-based interventions
- Being reported (with positive effects) in a peer-reviewed journal
- Documentation of effectiveness based on the following guidelines:
 - The intervention is based on a theory of change that is documented in a clear logic or conceptual model.
 - The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
 - The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to Identifying and selecting evidence-based interventions, scientific standards of evidence, and with results that show a consistent pattern of credible and positive effects.
 - The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review, local prevention practitioners, and key community leaders as appropriate (e.g., officials from law enforcement and education sectors or elders within indigenous cultures).

Missouri uses the Strategic Prevention Framework model to implement the four guidelines. The process includes:

- Assessment of the community's needs and readiness.
- Capacity building to mobilize and address the needs of the community.
- Development of a prevention plan to identify the activities, programs, and strategies necessary to address the needs.
- Implementation of the prevention plan.
- Evaluation of the results to achieve sustainability and cultural competence.

Missouri identifies appropriate strategies based on validated research, empirical evidence of effectiveness, and the use of local, state, and federal key community prevention leaders such as National Prevention Network, Southwest Regional Expert Team, and SAMHSA's Center for Substance Abuse Prevention.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Alicia Ozenberger, Deputy Director, ACT Missouri
 Email: aozenberger@actmissouri.org
 Address: 428 E. Capitol, 2nd Floor, Jefferson City, MO 65101
 Phone: 573-635-6669

Agencies/organizations represented on the committee:

Division of Behavioral Health
 Division of Alcohol and Tobacco Control
 ACT Missouri
 Department of Health and Senior Services
 Division of Highway Safety
 Prevention Regional Support Centers across the state
 MO National Guard
 Local coalition leaders

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://www.actmissouri.org>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Missouri Division of Behavioral Health

Plan can be accessed via: <http://www.dmh.mo.gov/docs/ada/prevention/strategicplanforprevention2010.pdf>

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Missouri Institute of Mental Health	
Plan can be accessed via:	No data

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$617,266
Estimate based on the 12 months ending	6/30/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used: Not applicable

Additional Clarification

No data



Montana

State Population: 1,023,579

Population Ages 12–20: 116,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	27.5	32,000
Past-Month Binge Alcohol Use	18.2	21,000
Ages 12–14		
Past-Month Alcohol Use	4.6	2,000
Past-Month Binge Alcohol Use	1.7	1,000
Ages 15–17		
Past-Month Alcohol Use	24	9,000
Past-Month Binge Alcohol Use	14.4	5,000
Ages 18–20		
Past-Month Alcohol Use	51	22,000
Past-Month Binge Alcohol Use	36.3	15,000
Alcohol-Attributable Deaths (under 21)		17
Years of Potential Life Lost (under 21)		1,050
	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	29	8

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
<i>Note:</i> The parental exception to Montana's possession and consumption statute applies only to alcohol supplied and consumed in a "nonintoxicating quantity." In Montana, "intoxicating quantity" is defined as a quantity "sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment."	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
<i>Note:</i> The parental exception to Montana's possession and consumption statute only applies to alcohol supplied and consumed in a "nonintoxicating quantity." In Montana, "intoxicating quantity" is defined as a quantity "sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment."	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	50 (10 of which must be at night)

Intermediate Stage	
What is the minimum age for driving without adult supervision?	15
For night driving, when does adult supervision requirement begin?	11 pm
Can law enforcement stop a driver for night-driving violation as a primary offense?	Yes – Officer may stop driver for night-driving violation
Are there restrictions on passengers?	Yes – For first 6 months, no more than one nonfamily passenger under 18 unless accompanied by a driver at least 18; for second 6 months, no more than three nonfamily passengers under 18 unless accompanied by a driver at least 18
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes – Officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
<p><i>Note:</i> The parental exception applies to provision of alcohol in a “nonintoxicating quantity.” In Montana, “intoxicating quantity” is defined as a quantity “sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment.”</p>	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
What are the decoy’s appearance requirements?	Males and females: casual, age-appropriate clothing. Females: no makeup or anything else that makes them appear older. Males: no facial hair.
Does decoy carry ID during compliance check?	Not specified

May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	3 years
What is the penalty for the first offense?	\$250 fine
What is the penalty for the second offense?	\$1,000 fine
What is the penalty for the third offense?	\$1,500 fine and/or 20-day license suspension
What is the penalty for the fourth offense?	License revocation
Note: List of aggravating and mitigating factors provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes – Mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both
Note: In addition to managers and servers/sellers, Montana’s “responsible alcohol sales and service act” also applies to licensees or owners who personally engage in the role of selling or serving alcoholic beverages.	

Minimum Age for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18

What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Alcohol Outlet Siting Near Schools and Universities	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 600 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Note: Exception for commercially operated schools.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$250,000 noneconomic damages per person and \$250,000 punitive damages per person)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$250,000 noneconomic damages per person and \$250,000 punitive damages per person)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Yes
Wine	Yes
Spirits	Yes

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
<p><i>Note:</i> An out-of-state brewer desiring to ship beer to an individual in Montana shall register with the Montana Department of Revenue. An individual seeking to receive such a shipment for personal consumption must obtain a Connoisseur's License. The licensee must forward to the out-of-state brewer a distinctive address label, provided by the Department, clearly identifying any package that is shipped as a legal direct-shipment package to the holder of a Connoisseur's License.</p>	

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine/jail, \$500/6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No. However, Montana is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.14
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2–6% alcohol beer (if applicable)	
Note: Reported tax rate is the rate for brewers who produce more than 20,000 barrels of beer per year.	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6–14% alcohol wine (if applicable)	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15–50% alcohol spirits (if applicable)	

Low-Price, High-Volume Drink Specials	
What types of drink specials are prohibited by on-premises retailers?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (7 days)
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (7 days)
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Montana State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Responsibilities are at the local level with municipalities and counties. At the state level, there is a limited amount of funding through the Department of Public Health and Human Services, Addictive and Mental Disorders Division/Chemical Dependency Bureau.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Not applicable

Such laws are also enforced by local law enforcement agencies

Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

1,933

Number pertains to the 12 months ending

12/31/2014

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities

No

Number of retail licensees in state³

4,700

Number of licensees checked for compliance by state agencies

0

(including random checks)

Number of licensees that failed state compliance checks

0

Numbers pertain to the 12 months ending

12/31/2014

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Not applicable

*State conducts **random** underage compliance checks/decoy operations*

No

Number of licensees subject to **random** state compliance checks/decoy operations

Not applicable

Number of licensees that failed **random** state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of licensees checked for compliance by local agencies

Data not available

Number of licensees that failed local compliance checks

48

Numbers pertain to the 12 months ending

12/31/2014

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

48

Total amount in fines across all licensees

\$76,999.65

Smallest fine imposed	\$150
Largest fine imposed	\$1,500
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	3
Total days of suspensions across all licensees	32
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	14
Numbers pertain to the 12 months ending	12/31/2014
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	3
Numbers pertain to the 12 months ending	12/31/2014

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Office of Public Instruction (OPI) Traffic Education Curriculum

Program serves specific or general population	Specific population
Number of youth served	8,486
Number of parents served	8,000
Number of caregivers served	0
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://opi.mt.gov/Programs/DriverEd/Index.htm

Program Description: Montana has redesigned the Traffic Education curriculum in alignment with Montana's Graduated Driver's Licensing requirements. Parent meetings and alcohol and drug prevention education are required in Montana's driver education programs that are provided through public high schools. The curriculum can be found at: <http://opi.mt.gov/Programs/DriverEd/Index.htm>. In April 2015, 160 traffic educators were trained in the updated curriculum at the annual Traffic Educator Association Conference. Graduated Driver Licensing requires 50 hours of supervised driving practice and parent/legal guardian certification that the teen driver has no convictions or pending citation for traffic, alcohol, or drug violations. The Alive at 25 program is offered through the Montana Highway Patrol, <https://dojmt.gov/highwaypatrol/alive-at-25>.

Montana DUI Task Forces

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mdt.gov/visionzero/plans/dui-taskforces.shtml

Program Description: Through the Montana Department of Transportation, DUI Task Forces are provided funds to coordinate local multifaceted coalitions that invite participation from a cross-section of community representatives to maximize their reach and effectiveness. The task forces operate at the county level to reduce and prevent impaired driving. They may engage the community in a variety of activities such as implementing Responsible Alcohol Sales and Service Training, retail compliance checks, party and keg patrols, overtime traffic patrols, education and media advocacy, public service announcements, victim impact panels, support for prosecution and adjudication of DUI cases, and designated-driver and safe ride home programs. The work is aimed at the general population.

Let's Control It

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://revenue.mt.gov/home/liquor/liquor_education

Program Description: This is a Montana-designed curriculum and program for alcohol sales and service training for those who sell/serve alcoholic beverages. Each year this curriculum is updated with Montana-specific data and any law or administrative rule changes. The primary objective of the curriculum is to train servers on how to keep from overserving obviously intoxicated patrons, how to identify underage patrons, and so forth.

Prevention Resource Center—Montana Department of Public Health and Human Services

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.prevention.mt.gov and http://www.parentpower.mt.gov

Program Description: The Prevention Resource Center connects state and federal resources to Montana communities in addressing underage drinking, and substance use prevention in general. The Prevention Resource Center maintains two websites and is a clearinghouse for information about programs, services, data, best practices, training, and educational opportunities, on prevention efforts.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Montana has recruited and trained tribal members to become state-certified trainers to teach the Let's Control It alcohol server training program and the MADD (Mothers Against Drunk Driving) Power of Parents curriculum. Tribal law enforcement personnel are also recruited and trained for POST (Peace Officers Standards and Training) liquor law classes. Through the Prevention for Success, Cohort III grant from SAMHSA, all

seven federally recognized tribes are sub-grant recipients. The focus is to curb underage drinking and address prescription drug misuse and abuse for youth ages 12–25.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program:	Not applicable
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<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): National Registry of Evidence-based Programs and Practices (NREPP)	Yes
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Agency(ies) within your state: Montana Evidence-Based Work Group	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: The Montana Evidence-Based Work Group is just forming with the purpose of establishing a set of guidelines to help communities select the most appropriate and "best fit" prevention strategies in their community to achieve the greatest outcomes. The work group will also develop guidelines for using evidence-informed strategies when an evidence-based practice might not be available for rural and frontier areas, or within the diverse cultural or geographic characteristics or community.

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
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Committee contact information:

Name: Vicki Turner

Email: vturner@mt.gov

Address: PO Box 4210, Helena, MT 59604-4210

Phone: 406-444-3484

Agencies/organizations represented on the committee:

Department of Public Health and Human Services

Department of Corrections

Department of Labor and Industry

Department of Transportation

Department of Revenue

Montana Board of Crime Control

Montana Children's Trust Fund

Montana Office of Public Instruction

Montana Attorney General's Office

Governor's Office of Indian Affairs

Montana Department of Military Affairs

Montana Office of the Commissioner of Higher Education

Two Governor-appointed community members

<i>A website or other public source exists to describe committee activities</i>	Yes
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URL or other means of access: <http://www.prevention.mt.gov> Click on ICC.

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: The Montana Interagency Coordinating Council for State Prevention Programs and the Prevention Resource Center.

Plan can be accessed via: <http://prevention.mt.gov/Portals/22/Oct%203%20handout%209132013.pdf> and <http://prevention.mt.gov/Portals/22/Oct%203%20handout%20page%202%209132013.pdf>

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: The Prevention Resource Center - Montana State of the State on Prevention Update PowerPoint and includes underage drinking prevention information.

Plan can be accessed via: <http://prevention.mt.gov/Portals/22/SOS13.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Checkpoints and saturation patrols:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$50,000
Estimate based on the 12 months ending	12/31/2014

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Other programs:

Programs or strategies included	No data
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2014

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	Not applicable

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data