

## **State Report**

# **Utah**

This document is excerpted from:

**The December 2015 Report to Congress on the Prevention and Reduction of Underage Drinking**



# Utah

## State Profile and Underage Drinking Facts\*

State Population: 2,900,872  
 Population Ages 12–20: 389,000

	Percentage	Number
<b>Ages 12–20</b>		
Past-Month Alcohol Use	14.5	56,000
Past-Month Binge Alcohol Use	10.2	40,000
<b>Ages 12–14</b>		
Past-Month Alcohol Use	2.9	4,000
Past-Month Binge Alcohol Use	1.5	2,000
<b>Ages 15–17</b>		
Past-Month Alcohol Use	11.8	16,000
Past-Month Binge Alcohol Use	8.1	11,000
<b>Ages 18–20</b>		
Past-Month Alcohol Use	30.3	37,000
Past-Month Binge Alcohol Use	22.2	27,000
<b>Alcohol-Attributable Deaths (under 21)</b>		32
<b>Years of Potential Life Lost (under 21)</b>		1,954
	<b>Percentage of All Traffic Fatalities</b>	<b>Number</b>
<b>Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC &gt; 0.01</b>	22	2

\* See Appendix C for data sources.

## Laws Addressing Minors in Possession of Alcohol

### **Underage Possession of Alcohol**

Possession is prohibited—no explicit exceptions noted in the law.

### **Underage Consumption of Alcohol**

Consumption is prohibited—no explicit exceptions noted in the law.

### **Internal Possession by Minors**

Internal possession is prohibited—no explicit exceptions noted in the law.

### **Underage Purchase of Alcohol**

Purchase is prohibited, but youth may purchase for law enforcement purposes.

### **False Identification for Obtaining Alcohol**

#### *Provision(s) targeting minors*

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure

#### *Provision(s) targeting suppliers*

- It is a criminal offense to lend, transfer, or sell a false ID.

#### *Provision(s) targeting retailers*

- State provides incentives to retailers who use electronic scanners that read birthdate and other information digitally encoded on valid identification cards.
- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.
- Retailer has the statutory right to sue a minor who uses a false ID to purchase alcohol for any losses or fines suffered by the retailer as a result of the illegal sale.
- Retailer has the authority to detain a minor suspected of using a false ID in connection with the purchase of alcohol.

## Laws Targeting Underage Drinking and Driving

### **BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)**

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

### **Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws“)**

Use/lose penalties apply to minors under age 21.

#### *Type(s) of violation leading to driver’s license suspension, revocation, or denial*

- Underage purchase
- Underage possession
- Underage consumption

***Authority to impose driver’s license sanction***

- Mandatory

***Length of suspension/revocation***

- 365 days

**Graduated Driver’s License**

***Learner stage***

- Minimum entry age: 15
- Minimum learner stage period: 6 months
- Minimum supervised driving requirement: 40 hours, of which 10 must be at night

***Intermediate stage***

- Minimum age: 16
- Unsupervised night driving
  - Prohibited after: 12 a.m.
  - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No passengers who are not immediate family members, unless accompanied by driver over 21
  - No primary enforcement of the passenger-restriction rule

***License stage***

- Minimum age to lift restrictions: 17. Passenger restrictions are lifted at age 16 years, 6 months; unsupervised night-driving restrictions remain until age 17

**Laws Targeting Alcohol Suppliers**

**Furnishing of Alcohol to Minors**

Furnishing is prohibited—no explicit exceptions noted in the law.

**Compliance Check Protocols**

***Age of decoy***

- Minimum: 18
- Maximum: 19

***Appearance requirements***

- Age-appropriate appearance with no age enhancements
- Males: clean shaven
- Females: no excessive makeup or provocative attire
- No jewelry on hands
- Clothing consistent with casual attire worn by peer group

***ID possession***

- Required

***Verbal exaggeration of age***

- Prohibited

***Decoy training***

- Mandated

**Penalty Guidelines for Sales to Minors**

- Time period/conditions: Not specified
- First offense: 5- to 30-day suspension and/or \$500 to \$3,000 fine
- Second offense: 10- to 90-day suspension and/or \$1,000 to \$9,000 fine
- Third offense: 15–120 day suspension up to revocation and/or \$9,000 to \$25,000 fine

*Note:* List of mitigating and aggravating factors provided to retailers.

**Responsible Beverage Service*****Mandatory beverage service training for managers, servers***

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

*Note:* In Utah, the off-premises establishments subject to mandatory training are “off-premise beer retailers.” “Off-premise beer retailers” are licensed to sell “beer,” which in Utah is any product that contains not more than 3.2 percent alcohol by weight (ABW) and is obtained by fermentation, infusion, or decoction of any malted grain.

**Minimum Ages for Off-Premises Sellers**

- Beer: 21
- Wine: 21
- Spirits: 21

*Note:* Although employees must be at least 21 years old to sell “liquor” at off-sale establishments in Utah, persons between 16 and 21 years old may sell “beer” (defined as containing not more than 4 percent ABV or 3.2 percent ABW) on the premises of a beer retailer for off-premise consumption if under the supervision of a person 21 years old or older who is on the premises.

**Minimum Ages for On-Premises Sellers**

- Beer: 21 for both servers and bartenders
- Wine: 21 for both servers and bartenders
- Spirits: 21 for both servers and bartenders

**Distance Limitations for New Alcohol Outlets near Universities and Schools*****Colleges and universities***

- No distance limitation

***Primary and secondary schools***

- Prohibitions against outlet siting:
  - Off-premises outlets: Yes—within 200 feet
  - On-premises outlets: Yes—within 200 feet
  - Alcohol products: Beer, wine, spirits

**Dram Shop Liability**

- Limitations on damages: \$1 million limit for one person and \$2 million limit for all injured parties per occurrence
- Limitations on who may sue: Retailers that furnish beer only for off-premises consumption are exempt.

*Note:* Liability is strictly imposed for furnishing alcohol to an underage drinker. Evidence of retailer’s negligence is not required.

### **Social Host Liability Laws**

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$1 million limit for one person and \$2 million limit for all injured parties per occurrence
- Limitations on who may be sued: Social host must be 21 years old or older.

### **Host Party Laws**

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Not specified
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts’ knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence

*Note:* In Utah, an individual may not knowingly conduct, aid, or allow an “underage drinking gathering.” An “underage drinking gathering” means a gathering of two or more individuals: (a) at which an individual knowingly serves, aids in the service of, or allows the service of an alcoholic beverage to an underage person; and (b) to which an emergency response provider is required to respond, except for a response related solely to providing medical care at the location of the gathering. The definition does not otherwise specify a property type or an action by underage guest.

### **Retailer Interstate Shipments of Alcohol**

Retailer interstate shipments are prohibited for all types of beverages.

### **Direct Sales/Shipments of Alcohol by Producers**

Direct sales/shipments from producers to consumers are not permitted.

### **Keg Registration**

- Keg definition: Keg sales prohibited
- Provisions do not specifically address disposable kegs.

### **Home Delivery**

- Beer: Prohibited
- Wine: Prohibited
- Spirits: Prohibited

## **Alcohol Pricing Policies**

### **Alcohol Tax**

#### ***Beer (5 percent alcohol)***

- Control state

#### ***Wine (12 percent alcohol)***

- Control state
- Spirits (40 percent alcohol)
- Control state

### **Drink Specials**

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price, specified day or time: Prohibited
- Unlimited beverages: Prohibited
- Increased volume: Prohibited

### **Wholesale Pricing**

Pricing restrictions exist.

#### ***Beer (5 percent alcohol)***

- Control state

#### ***Wine (12 percent alcohol)***

- Control state

#### ***Spirits (40 percent alcohol)***

- Control state

## Utah State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Utah Department of Public Safety, State Bureau of Investigations, Alcohol Enforcement Team	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
	Yes
Number of minors found in possession by state law enforcement agencies	301
Number pertains to the 12 months ending	12/31/2013
Data include arrests/citations issued by local law enforcement agencies	Don't know
<i>State conducts underage compliance checks/decoy operations<sup>2</sup> to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
	Yes
Data are collected on these activities	Yes
Number of retail licensees in state <sup>3</sup>	1,830
Number of licensees checked for compliance by state agencies (including random checks)	1,295
Number of licensees that failed state compliance checks	198
Numbers pertain to the 12 months ending	6/30/2014
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	On-sale establishments only
<i>State conducts <b>random</b> underage compliance checks/decoy operations</i>	
	Yes
Number of licensees subject to <b>random</b> state compliance checks/decoy operations	1,830
Number of licensees that failed <b>random</b> state compliance checks	198
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,307
Number of licensees that failed local compliance checks	120
Numbers pertain to the 12 months ending	12/31/2013
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
	Yes
Number of fines imposed by the state <sup>4</sup>	132
Total amount in fines across all licensees	\$185,600

Smallest fine imposed	\$150
Largest fine imposed	\$18,000
Numbers pertain to the 12 months ending	12/31/2013
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state <sup>5</sup>	106
Total days of suspensions across all licensees	772
Shortest period of suspension imposed (in days)	5
Longest period of suspension imposed (in days)	45
Numbers pertain to the 12 months ending	12/31/2013
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed <sup>6</sup>	0
Numbers pertain to the 12 months ending	12/31/2013
<b>Additional Clarification</b>	
<p>In the past, funding was provided for underage alcohol enforcement through a grant from the federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) in the form of an Enforcing Underage Drinking Laws (EUDL) Block Grant. The Utah Department of Public Safety, Highway Safety Office (UHSO) administered the grant with local and state law enforcement agencies. The funding was used to create 25 multiagency task forces throughout the state that conducted party patrols, enforcement of social host laws, shoulder-tap operations, parking lot surveillance, sobriety checkpoints with a focus on youth, fake ID enforcement, and source investigations. Funding was also used to support Youth Court Programs/Conferences, purchase equipment for law enforcement such as passive breath testers, surveillance cameras/equipment, training for law enforcement geared toward youth alcohol enforcement, and public information and education for the community as well as students in colleges and universities.</p> <p>With the minimal funding left, the UHSO created a “UHSO Youth Alcohol Enforcement Challenge.” The challenge is a competition between law enforcement agencies. It recognizes and rewards the best overall youth alcohol enforcement programs in Utah. The areas of concentration are party patrol/enforcement of social host laws, shoulder-tap operations, parking lot surveillance, sobriety checkpoints with a focus on youth, fake ID enforcement, and source investigations. Agencies may have also participated in the EASY (Eliminate Alcohol Sales to Youth) statewide compliance check program. Departments were required to submit an application that documented and/or summarized their agency’s efforts and effectiveness in these areas. The winning programs were those that combined officer training, public information, and enforcement to reduce underage drinking within their respective jurisdictions.</p> <p>This program is financed through remaining funding awarded through the OJJDP EUDL block grant. The challenge hopes to increase state and local community effectiveness in their efforts to enforce underage drinking laws, prevent underage drinking, and eliminate the devastating consequences associated with alcohol use by underage youth. This competition is a way for departments to increase their attention on youth alcohol enforcement. It provides an incentive for continuing youth alcohol enforcement activities, and documentation of agency effectiveness that can be used for accountability to their community leaders. This demonstrates the value of having a strong reputation of a department that prioritizes and makes a commitment to ensure the success and future of their youth.</p> <p>As a reward for this challenge, the UHSO provided a scholarship for officer(s) to attend the Northwest Alcohol Conference held July 16-18, 2014, in Boise, Idaho. The cost covered travel, hotel, conference registration, and per diem. See <a href="http://www.northwestalcoholconference.org">http://www.northwestalcoholconference.org</a> for conference information. Agencies applied by submitting department contact information beginning with agency contact, complete mailing address, phone, fax, address, email, and number of sworn officers. They were asked to include a detailed description of their agency’s prior, current, and future plans/solutions for youth alcohol enforcement activities/enforcement in their community. Applications were due April 1, 2014. Because of the response, UHSO was able to provide 26 scholarships for law enforcement officers to attend the conference.</p>	

<sup>1</sup>Or having consumed or purchased per state statutes

<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses

<sup>4</sup> Does not include fines imposed by local agencies

<sup>5</sup> Does not include suspensions imposed by local agencies

<sup>6</sup> Does not include revocations imposed by local agencies

<b>Underage Drinking Prevention Programs Operated or Funded by the State</b>	
<b>Protecting You Protecting Me (PYPM)</b>	
Program serves specific or general population	Specific population
Number of youth served	2,000–3,000
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No URL available
<b>Program Description:</b> PYPM is an alcohol use prevention curriculum for children in grades 1–5 PYPM is an educational program best used in a classroom environment. Curriculum is presented for 40–55 minutes, once a week for 8 weeks.	
<b>Parents Empowered</b>	
Program serves specific or general population	General population
Number of youth served	No data
Number of parents served	Approx. 750,000
Number of caregivers served	Approx. 750,000
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://parentsempowered.org">http://parentsempowered.org</a>
<b>Program Description:</b> This statewide media program targets parents with teenagers ages 10–16 to teach skills relating to talking points and recognizing alcohol use behavior. Media for dissemination include a website, newspaper, prevention bulletins, and radio in English and Spanish. Press releases are sent out on a quarterly basis to various media outlets. Collateral information is distributed at each event.	
<b>Prevention Dimensions Teacher Training</b>	
Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	Approximately 390 teachers annually
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://www.utahpd.org">http://www.utahpd.org</a>
<b>Program Description:</b> Prevention Dimensions is an educational program designed to prevent alcohol and other drug use among students K–12. Teachers and/or prevention professionals teach curriculum to students in health classes in various schools in Utah. Opportunities are provided to train teachers onsite or at an afterschool facility to meet prevention objectives for reducing underage drinking.	
<b>All Stars</b>	
Program serves specific or general population	General population
Number of youth served	Approx. 200/year
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available	Yes
URL for evaluation report: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
URL for more program information: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
<b>Program Description:</b> All Stars is a school-based program for middle school students (11–14 years old) designed to prevent or delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity.	
<b>Prime For Life Under 21</b>	
Program serves specific or general population	General population
Number of youth served	Approximately 768
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information: <a href="http://www.primeforlife.org/">http://www.primeforlife.org/</a>	
<b>Program Description:</b> This program is designed to address and reduce underage drinking in at-risk youth who have been referred for drinking offenses. It addresses risk factors such as having favorable attitudes toward drug use and having access to alcohol.	
<b>Peer Court</b>	
Program serves specific or general population	Specific population
Number of youth served	Approximately 120
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data
<b>Program Description:</b> This program is designed to advise all youth who come through several courts throughout Utah. It assists youth offenders in gaining life skills and resources through prevention programs, so they can get out of the criminal justice system as soon as possible.	
<b>Strengthening Families (SFP)</b>	
Program serves specific or general population	General population
Number of youth served	Approximately 150
Number of parents served	Approximately 120
Number of caregivers served	Approximately 120
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
URL for more program information: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
<b>Program Description:</b> SFP is a family skills training program designed to increase resilience and reduce risk factors for behavioral, emotional, academic, and social problems in children 3–16 years old.	
<b>Parenting with Love &amp; Logic</b>	
Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	Approximately 200
Number of caregivers served	Approximately 200
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

<p><b>Program Description:</b> This program is designed to target parents who may need to improve family management and parenting skills. Classes are taught in elementary schools, local substance abuse authorities, and other locations as needed.</p>	
<p><b>Guiding Good Choices (GGC)</b></p>	
Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	Approximately 200
Number of caregivers served	Same as above
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
URL for more program information: <a href="http://www.nrepp.samhsa.gov">http://www.nrepp.samhsa.gov</a>	
<p><b>Program Description:</b> GGC is a drug use prevention program that provides parents of children in grades 4–8 (9–14 years old) with the knowledge and skills needed to guide their children through early adolescence. Parents are referred or volunteer.</p>	
<p><b>High Risk Skill Building – Supportive Education for Children of Addicted Parents</b></p>	
Program serves specific or general population	Specific population
Number of youth served	Approx. 50/year
Number of parents served	35-40
Number of caregivers served	35–40
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report: Not applicable	
URL for more program information: <a href="http://store.samhsa.gov/shin/content/MS939/MS939.pdf">http://store.samhsa.gov/shin/content/MS939/MS939.pdf</a>	
<p><b>Program Description:</b> This program is designed for youth ages 4–17 from homes with parental substance abuse and their kinship or foster caregivers. Youth will attend a support group 1 hour once a week for 8 weeks. The program is supplemented with the <i>Children’s Program Kit</i> from the Substance Abuse and Mental Health Services Administration (SAMHSA, 2002).</p>	
<p><b>Communities That Care (CTC)</b></p>	
Program serves specific or general population	General population
Number of youth served	Approx. 250,000/year
Number of parents served	Approx. 250,000
Number of caregivers served	Approx. 250,000
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: <a href="http://www.communitiesthatcare.net">http://www.communitiesthatcare.net</a>	
URL for more program information: <a href="http://www.communitiesthatcare.net">http://www.communitiesthatcare.net</a>	
<p><b>Program Description:</b> CTC employs a proven, community-change process for reducing youth violence, alcohol and tobacco use, and delinquency, through tested and effective programs and policies. CTC uses prevention science to promote healthy youth development. We guide local coalitions through a tested five-phase process. CTC fosters young people’s well-being using a Social Development Strategy that promotes opportunities, skills, and recognition. A rigorous scientific trial demonstrated that CTC showed reductions in rates of youth violence, crime, alcohol, and tobacco use.</p>	
<p><b>Parent and Teen Alternative Program – Adolescents</b></p>	
Program serves specific or general population	Specific population
Number of youth served	35-40 annually
Number of parents served	20-25
Number of caregivers served	20–25
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report:	Not applicable
URL for more program information:	No data
<b>Program Description:</b> This program is designed to target youth ages 12–17 who have been referred by the juvenile court or local school as a result of a substance use violation. It is held once a week for 2.5 hours over 6 weeks. It is an educational group held at Weber Human Services on topics such as communication, addiction, stress management, goal setting, and prescription drugs.	
<b>Governing Youth Council (GYC)</b>	
Program serves specific or general population	General population
Number of youth served	Approximately 8,100
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data
<b>Program Description:</b> This peer leadership program targets youth ages 12–18 from each secondary school in more than 15 of the 29 Utah counties. The program focuses on leadership training, training on prevention science, presenting and/or speaking to peers about substance abuse–related issues, and development and implementation of alcohol, tobacco, and other drug use (ATOD) prevention activities through GYC groups.	
<b>Prevention Dimensions</b>	
Program serves specific or general population	Specific population
Number of youth served	502,425
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: <a href="http://www.utahpd.org">http://www.utahpd.org</a>	
URL for more program information: <a href="http://www.utahpd.org">http://www.utahpd.org</a>	
<b>Program Description:</b> This is a classroom-based curriculum delivered by trained health teachers to teach knowledge and skill-based practices for preventing and resisting ATOD. The curriculum is designed to reach K through 6th-grade students throughout the state.	
<b>Additional Underage Drinking Prevention Programs Operated or Funded by the State</b>	
<b>No data</b>	
URL for more program information: No data	
Program description: No data	
<b>Additional Clarification</b>	
No data	

**Additional Information Related to Underage Drinking Prevention Programs**

*State collaborates with federally recognized tribal governments in the prevention of underage drinking* Yes

Description of collaboration: The Division of Substance Abuse and Mental Health (DSAMH) meets with the Tribal Indian Issues Committee (TIIC) at their bimonthly meeting. Communication remains open as to how DSAMH can collaborate with the state's nine tribes in participating in their prevention efforts to reduce substance abuse (particularly underage drinking) and mental health risks. DSAMH is also engaged in planning and discussing ways to develop a shared purpose and mission for TIIC and participating in several Native American conferences and celebrations, such as the annual Native American Summit.

DSAMH also offered scholarships to the TIIC for the Utah Substance Abuse Fall Conference, which has been an ongoing partnership to help maintain traditional Native American breakouts and

presentations relating to prevention, treatment, and justice. Vital prevention information on underage drinking and family conflict regarding alcohol use and abuse is presented during these breakouts. DSAMH maintains a willingness and commitment to work with the nine Utah tribes in collaborating on resources to reduce substance abuse in Native American communities as well as increasing community resources. The tribes are identified as sovereign nations; therefore, we are able to collaborate only to the extent we're allowed. Annually, we take opportunities to participate in the Governor's Native American Summit, other Native American conferences, and Indian Health Services trainings and assist our LSAA network in providing resources and statistics on risk related to underage drinking.

Some of these objectives are addressed during annual site visits and monitoring of the state's 13 Local Substance Abuse Authorities (LSAAs), which collaborate on prevention and treatment resources where necessary.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
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Program description: Parents Empowered Media Campaign

ParentsEmpowered.org is a media and education campaign funded by the Utah Legislature and designed to prevent and reduce underage drinking in Utah by providing parents and guardians with information about the harmful effects of alcohol on the developing teenage brain, along with proven skills for preventing underage alcohol use.

The Utah Department of Alcoholic Beverage Control is the lead agency for the campaign and is working in partnership with other state agencies and organizations, including the following: Attorney General's Office, Department of Health, Department of Public Safety/Highway Patrol and Highway Safety Office, DSAMH, Juvenile Court, State Office of Education, Mothers Against Drunk Driving (MADD) Utah Chapter, Utah Prevention Network, and Utah Substance Abuse and Anti-Violence Coordinating Council.

How was ParentsEmpowered.org formed? In 2006, in response to the alarming new research compiled by the National Institutes of Health on how alcohol affects the developing teenage brain (causing early addiction and brain impairment), the U.S. Department of Health and Human Services began an underage drinking initiative. They asked each state to form an eight-person Underage Drinking Prevention Team with a representative from each of the state agencies affected by the problems caused by underage drinking (e.g., the juvenile courts, DSAMH, schools, Department of Health, Department of Alcoholic Beverage Control).

The president of MADD-Utah joined this team when they were summoned back to Washington for a round-table discussion. Teams from each of the 50 states met to discuss the problem of underage drinking and come up with solutions. Their first task was to hold town hall meetings in schools and community centers across their states, talk about the problems of underage drinking, and try to come up with strategies to prevent it. To our credit, Utah held more town hall meetings than any other state, and had more attendees.

The objective of ParentsEmpowered.org is to eliminate underage drinking in Utah, along with the devastating effects that alcohol has on children. We do this by motivating and enabling parents to take a more active role in keeping their children alcohol-free. Research shows parental disapproval of underage drinking is the number one reason youth choose not to drink (SAMSHA, U.S. Department of Health and Human Services, 2005).

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA and Center for Substance Abuse Prevention (CSAP)	Yes
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Agency(ies) within your state: DSAMH and Department of Alcohol and Beverage Control, The 13 LSAAs that are funded by the 29 counties and state and federal funding	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: We have adopted the National Institute on Drug Abuse (NIDA) Guiding Principles document and created the Utah Guiding Principles, which outlines principles and guidelines for substance abuse prevention programs, strategies, and policies in Utah, and is designed to ensure prevention resources being used are effective and appropriate. The document was created in partnership with the DSAMH, Utah Behavioral Healthcare Committee’s Prevention Network, and Utah State Office of Education.

Much of this information has been taken from research cited by SAMHSA and the publication *Preventing Drug Abuse among Children and Adolescents* (NIDA, 2003).

Before the implementation of any prevention program or activity, it is imperative to contact the substance abuse prevention coordinator in each area. Consulting with these experts will help make sure an appropriate, effective, and consistent message is delivered to each community.

We also follow CSAP’s (2009) evidence-based guidelines for implementing evidence-based policies, programs, and strategies.

To ensure that all interventions are evidence-based, the DSAMH has convened an Evidence-Based Workgroup to review proposed interventions. CSAP (2009) has created a guidance document with criteria for evaluating whether an intervention is evidence-based. Interventions may be considered evidence-based if they meet one of the following definitions:

*Definition 1:* It is included on DSAMH-approved federal lists or registries of evidence-based interventions.

*Definition 2:* It is reported (with positive effects) in peer-reviewed journals.

*Definition 3:* Documented effectiveness supported by other sources of information and the consensus judgment of informed experts, as described in the following set of guidelines, all of which must be met. (Please note that all four criteria must be met.):

- a. The intervention is based on a theory of change that is documented in a clear logic or conceptual model.
- b. The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
- c. The intervention is supported by documentation that it has been effectively implemented multiple times in a manner attentive to scientific standards of evidence and with results that show a consistent pattern or credible and positive effects.
- d. The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review; local prevention practitioners; and key community leaders as appropriate (e.g., officials from law enforcement and education sectors or elders from indigenous cultures).

The Evidence-Based Workgroup will serve as the informed experts for Utah.

**Additional Clarification**

No data

**State Interagency Collaboration**

*A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities* Yes

*Committee contact information:*

Name: Mary Lou Emerson  
 E-mail: memerson@utah.gov  
 Address: Utah Substance Abuse Advisory Council, 350 N State Street, Salt Lake City, UT 84114  
 Phone: 801-538-1921

*Agencies/organizations represented on the committee:*

Utah Department of Health  
 Weber Human Services

Utah State Office of Education Department of Alcoholic Beverage Control Indian Walk In Center Department of Public Safety DSAMH Department of Human Services, Executive Director Office Salt Lake City Mayor's Office Bach-Harrison Research Company Utah Council for Crime Prevention Tooele County Substance Abuse South Salt Lake Coalition	
A website or other public source exists to describe committee activities URL or other means of access: Not applicable	No

<b>Underage Drinking Reports</b>	
State has prepared a plan for preventing underage drinking in the last 3 years Prepared by: Not applicable Plan can be accessed via: Not applicable	No
State has prepared a report on preventing underage drinking in the last 3 years Prepared by: DSAMH, Department of Alcohol and Beverage Control, Utah Prevention Advisory Council Plan can be accessed via: No data	Yes
<b>Additional Clarification</b>	
No data	

<b>State Expenditures for the Prevention of Underage Drinking</b>	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$36,714
Estimate based on the 12 months ending	12/31/2013
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013
<i>Other programs:</i>	
Programs or strategies included: None at this time	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2013

<b>Funds Dedicated to Underage Drinking</b>	
State derives funds dedicated to underage drinking from the following revenue streams:	

Taxes	No
Fines	No
Fees	No
Other: Allocation from General Fund	Yes
<p><i>Description of funding streams and how they are used:</i></p> <p>The funding stream is from the general fund that is allocated to the Department of Alcohol and Beverage Control and Department of Public Safety by the Utah Legislature each year to fund the ParentsEmpowered.org media and education campaign, which is designed to prevent and reduce underage drinking in Utah by providing parents and guardians with information about the harmful effects of alcohol on the developing teenage brain and proven skills for preventing underage alcohol use.</p> <p>The Utah Department of Alcoholic Beverage Control is the lead agency for the campaign and is working in partnership with other state agencies and organizations, including the Attorney General's Office, Department of Health, Department of Public Safety/Highway Patrol and Highway Safety Office, DSAMH, Juvenile Court, State Office of Education, MADD Utah Chapter, Utah Prevention Network, and Utah Substance Abuse and Anti-Violence Coordinating Council.</p>	

**Additional Clarification**