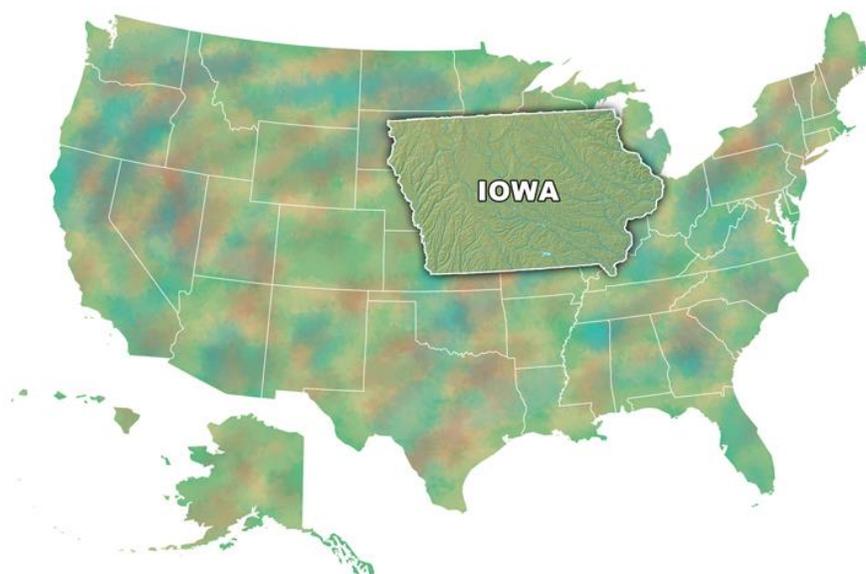


## State Report

# Iowa

This document is excerpted from:

**The December 2015 Report to Congress on the Prevention and Reduction of Underage Drinking**



# Iowa

## State Profile and Underage Drinking Facts\*

State Population: 3,090,416  
 Population Ages 12–20: 368,000

|  | Percentage                                  | Number        |
|--|---|---------------|
| <b>Ages 12–20</b>  |   |               |
| Past-Month Alcohol Use   | 26.9  | 99,000        |
| Past-Month Binge Alcohol Use   | 19.3  | 71,000        |
| <b>Ages 12–14</b>  |   |               |
| Past-Month Alcohol Use   | 5.7   | 7,000         |
| Past-Month Binge Alcohol Use   | 1.7   | 2,000         |
| <b>Ages 15–17</b>  |   |               |
| Past-Month Alcohol Use   | 21.6  | 27,000        |
| Past-Month Binge Alcohol Use   | 14.7  | 18,000        |
| <b>Ages 18–20</b>  |   |               |
| Past-Month Alcohol Use   | 51  | 65,000        |
| Past-Month Binge Alcohol Use   | 39.5  | 51,000        |
| <b>Alcohol-Attributable Deaths (under 21)</b>                            |   | 32            |
| <b>Years of Potential Life Lost (under 21)</b>                           |   | 1,962         |
|  | <b>Percentage of All Traffic Fatalities</b> | <b>Number</b> |
| <b>Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC &gt; 0.01</b> | 17  | 3             |

\* See Appendix C for data sources.

## Laws Addressing Minors in Possession of Alcohol

### Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

### Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

*Note:* Iowa law does not specifically prohibit consumption of alcohol by persons under 21. Iowa does, however, have a general statute prohibiting the use or consumption of alcohol by any person in a public place. In addition, Iowa law provides that if a child, defined as a person under 18 years old, is found to have violated the general prohibition against consumption of alcohol in a public place, the child's driver's license or operating privilege may be suspended or revoked for a period of 1 year. For more information, see Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws).

### Internal Possession by Minors

Internal possession is not explicitly prohibited.

### Underage Purchase of Alcohol

Purchase is prohibited and there is no allowance for youth purchase for law enforcement purposes.

### False Identification for Obtaining Alcohol

#### *Provision(s) targeting minors*

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial or administrative procedure.

#### *Provision(s) targeting retailers*

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- General affirmative defense—the retailer came to a good faith or reasonable decision that the purchaser was 21 years or older; inspection of an identification card not required.

## Laws Targeting Underage Drinking and Driving

### BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

### Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose Laws")

Use/lose penalties apply to minors under age 18.

#### *Type(s) of violation leading to driver's license suspension, revocation, or denial*

- Underage purchase

- Underage consumption

***Authority to impose driver’s license sanction***

- Discretionary

***Length of suspension/revocation***

- 365 days

**Graduated Driver’s License**

***Learner stage***

- Minimum entry age: 14
- Minimum learner stage period: 12 months
- Minimum supervised driving requirement: 20 hours, of which 2 must be at night

***Intermediate stage***

- Minimum age: 16
- Unsupervised night driving
  - Prohibited after: 12:30 a.m.
  - Primary enforcement of the night-driving rule
  - Passenger restrictions exist: No more than one unrelated minor passenger unless waived by the licensee’s parent at time intermediate license is issued
  - Primary enforcement of the passenger-restriction rule

***License stage***

- Minimum age to lift restrictions: 17

*Note:* In addition to the supervised driving requirement at the learner’s stage, Iowa requires an intermediate license holder to complete 10 hours of supervised driving, with two of these hours at night.

## **Laws Targeting Alcohol Suppliers**

**Furnishing of Alcohol to Minors**

Furnishing is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

**Compliance Check Protocols**

No data

**Penalty Guidelines for Sales to Minors**

- Time period/conditions: Second offense, 2 years; third and subsequent offenses, 3 years
- First offense: \$500 fine
- Second offense: \$1,500 fine
- Third offense: \$1,500 fine
- Fourth offense: License revocation

*Note:* Affirmative defense possible for licensees when the employee guilty of the violation has successfully completed the Iowa Program for Alcohol Compliance Training prior to the violation occurring. A violation involving a sale to a person under age 18 does not qualify for affirmative defense. A licensee may use affirmative defense only once in a 4-year time period.

## **Responsible Beverage Service**

### ***Voluntary beverage service training***

- Applies to both on-sale and off-sale establishments
- The law does not specify new or existing outlets
- Mitigation of fines or other administrative penalties for sales to minors
- Protection against license revocation for sales to minors

*Note:* Mitigation of penalties incentive does not apply if a sale is made to a minor under age 18.

## **Minimum Ages for Off-Premises Sellers**

- Beer: 16
- Wine: 16
- Spirits: 18

## **Minimum Ages for On-Premises Sellers**

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

## **Distance Limitations for New Alcohol Outlets near Universities and Schools**

### ***Colleges and universities***

- No distance limitation

### ***Primary and secondary schools***

- No distance limitation

## **Dram Shop Liability**

Statutory liability exists subject to the following conditions:

- Limitations on who may sue: Retailers that furnish alcohol for off-premises consumption are exempt.
- Limitations on elements/standards of proof: Retailer should have known that minor was intoxicated or was going to become intoxicated.

## **Social Host Liability Laws**

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Social host should have known that minor was intoxicated or was going to become intoxicated.

## **Host Party Laws**

No state-imposed liability for hosting underage drinking parties

## **Retailer Interstate Shipments of Alcohol**

Retailer interstate shipments are prohibited for all types of beverages.

## **Direct Sales/Shipments of Alcohol by Producers**

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

### ***Age verification requirements***

- Common carrier must verify age of recipient.

***State approval/permit requirements***

- Producer/shipper must obtain state permit.
- State must approve common carrier.

***Reporting requirements***

- Common carrier must record/report purchaser’s name.

***Shipping label statement requirements***

- Contains alcohol
- Recipient must be 21

**Keg Registration**

- Keg definition: 5 or more gallons
- Prohibited: Destroying the label on a keg—maximum fine/jail \$625/30 days
- Purchaser information collected:
  - Purchaser’s name and address
  - Verified by a government-issued ID
- Warning information to purchaser: passive—no purchaser action required
- Deposit required
- Provisions specifically address disposable kegs

**Home Delivery**

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

**Alcohol Pricing Policies**

**Alcohol Tax**

***Beer (5 percent alcohol)***

- Specific excise tax: \$0.19 per gallon

***Wine (12 percent alcohol)***

- Specific excise tax: \$1.75 per gallon

***Spirits (40 percent alcohol)***

- Control state

**Drink Specials**

No law

### **Wholesale Pricing**

Pricing restrictions exist.

#### ***Beer (5 percent alcohol)***

- Retailer credit: Not permitted

#### ***Wine (12 percent alcohol)***

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

#### ***Spirits (40 percent alcohol)***

- Control state

## Iowa State Survey Responses

| <b>State Agency Information</b>  |            |
|--|------------|
| <i>Agency with primary responsibility for enforcing underage drinking laws:</i>  |            |
| <p>The Iowa State Patrol within the Iowa Department of Public Safety is the agency primarily responsible for statewide enforcing of underage drinking laws. The Iowa State Patrol works closely with local law enforcement agencies to conduct projects involving underage drinking. Strong working relationships have enabled the program to succeed and prosper into something that is making a difference in communities across Iowa. The Division of Criminal and Juvenile Justice Planning in the Iowa Department of Human Rights receives Enforcing Underage Drinking Laws (EUDL) grant funds, and part of those funds are used by the State Patrol and other local law enforcement agencies to conduct compliance checks and other underage drinking education efforts. Some community coalitions also fund compliance checks. The Alcoholic Beverages Division (ABD) of the Iowa Department of Commerce also partners with local law enforcement when following up on a complaint or an investigation.</p> |            |
| <b>Enforcement Strategies</b>  |            |
| <i>State law enforcement agencies use:</i>   |            |
| Cops in Shops  | No         |
| Shoulder Tap Operations  | No         |
| Party Patrol Operations or Programs  | Yes        |
| Underage Alcohol-Related Fatality Investigations   | Yes        |
| <i>Local law enforcement agencies use:</i>   |            |
| Cops in Shops  | Yes        |
| Shoulder Tap Operations  | Yes        |
| Party Patrol Operations or Programs  | Yes        |
| Underage Alcohol-Related Fatality Investigations   | Yes        |
| <i>State has a program to investigate and enforce direct sales/shipment laws</i>   | Yes        |
| <p>ABD is the agency responsible for investigating direct sales/shipments of alcohol to minors, but the agency does not have law enforcement powers over any person or entity shipping alcoholic beverages into Iowa. This activity within ABD does not have a specific program name. ABD compliance investigators contact entities shipping wine into the state and follow up with them to ensure they either cease the illegal activity or obtain a proper license to do so. When these instances occur, ABD reviews shipping logs provided from FedEx and UPS to determine who is in violation of direct shipping without a license.</p>  |            |
| Such laws are also enforced by local law enforcement agencies  | Don't know |
| <b>Enforcement Statistics</b>  |            |
| <i>State collects data on the number of minors found in possession</i>   | Yes        |
| Number of minors found in possession by state law enforcement agencies   | 2,523      |
| Number pertains to the 12 months ending  | 12/31/2013 |
| Data include arrests/citations issued by local law enforcement agencies  | No         |
| <i>State conducts underage compliance checks/decoy operations<sup>2</sup> to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>  | Yes        |
| Data are collected on these activities   | Yes        |
| Number of retail licensees in state <sup>3</sup>   | 9,788      |
| Number of licensees checked for compliance by state agencies (including random checks)   | 868        |
| Number of licensees that failed state compliance checks  | 112        |
| Numbers pertain to the 12 months ending  | 12/31/2013 |

| Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments   | Both on- and off-sale establishments |
|--|--------------------------------------|
| <i>State conducts <b>random</b> underage compliance checks/decoy operations</i>  | Yes                                  |
| Number of licensees subject to <b>random</b> state compliance checks/decoy operations  | 868                                  |
| Number of licensees that failed <b>random</b> state compliance checks  | 112                                  |
| <i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>  | Yes                                  |
| Data are collected on these activities   | No                                   |
| Number of licensees checked for compliance by local agencies   | Not applicable                       |
| Number of licensees that failed local compliance checks  | Not applicable                       |
| Numbers pertain to the 12 months ending  | Not applicable                       |
| <b>Sanctions</b>   |                                      |
| <i>State collects data on fines imposed on retail establishments that furnish minors</i>   | Yes                                  |
| Number of fines imposed by the state <sup>4</sup>  | 256                                  |
| Total amount in fines across all licensees   | No data                              |
| Smallest fine imposed  | \$500                                |
| Largest fine imposed   | \$1,500                              |
| Numbers pertain to the 12 months ending  | 12/31/2013                           |
| <i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>  | Yes                                  |
| Number of suspensions imposed by the state <sup>5</sup>  | 124                                  |
| Total days of suspensions across all licensees   | No data                              |
| Shortest period of suspension imposed (in days)  | 30                                   |
| Longest period of suspension imposed (in days)   | 60                                   |
| Numbers pertain to the 12 months ending  | 12/31/2013                           |
| <i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>  | Yes                                  |
| Number of license revocations imposed <sup>6</sup>   | 0                                    |
| Numbers pertain to the 12 months ending  | 12/31/2013                           |
| <b>Additional Clarification</b>  |                                      |
| Alcohol compliance check data are based on the EUDL-funded checks through the Department of Human Rights, Division of Criminal and Juvenile Justice Planning, which provides funding to the Iowa State Patrol within the Iowa Department of Public Safety. Some of the EUDL funding is provided to the Juvenile Anti-Alcohol Group Task Force that encompasses 14 counties and conducts local compliance checks. ABD conducts compliance checks but does not have law enforcement authority. These checks are for more issues than underage drinking so the data were not included in the total. Through community coalitions and community-based agencies, more compliance checks are occurring, but Iowa does not have a centralized reporting system. |                                      |

<sup>1</sup>Or having consumed or purchased per state statutes.

<sup>2</sup>Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

<sup>3</sup>Excluding special licenses such as temporary, seasonal, and common carrier licenses.

<sup>4</sup>Does not include fines imposed by local agencies.

<sup>5</sup>Does not include suspensions imposed by local agencies.

<sup>6</sup>Does not include revocations imposed by local agencies.

| <b>Underage Drinking Prevention Programs Operated or Funded by the State</b>  |                    |
|---|--------------------|
| <b><i>Enforcing Underage Drinking Laws (EUDL) through the Office of Juvenile Justice and Delinquency Prevention</i></b> |                    |
| Program serves specific or general population   | General population |
| Number of youth served  | Not applicable     |

|  |   |
|--|---|
| Number of parents served   | Not applicable  |
| Number of caregivers served  | Not applicable  |
| Program has been evaluated   | Yes   |
| Evaluation report is available   | No  |
| URL for evaluation report:   | Not applicable  |
| URL for more program information:  | <a href="http://www.ojdp-dctat.org">http://www.ojdp-dctat.org</a> and <a href="http://www.ialert.iowa.gov">http://www.ialert.iowa.gov</a> |
| <p><b>Program Description:</b> The Iowa Department of Human Rights, Division of Criminal and Juvenile Justice Planning, awarded the EUDL funding to these entities:</p> <ul style="list-style-type: none"> <li>• Iowa State Patrol: Efforts include compliance checks, saturation and party patrols, and education.</li> <li>• Dallas County Sheriff Juvenile Anti-Alcohol Group (JAAG) task force: JAAG consists of 14 law enforcement agencies, juvenile court, and prevention specialists. The focus is retailer checks, saturation and party patrols, safety check points, and festival/special events.</li> <li>• Helping Services for Northeast Iowa, Inc.: The purpose is to develop community support for prevention of underage drinking to change community norms.</li> <li>• I-Alert website: Assists retail licensees in developing a guide for their business to stay compliant with Iowa liquor laws.</li> </ul> |   |
| <p><b>Iowa Program for Alcohol Compliance Training (I-PACT)</b></p>  |   |
| Program serves specific or general population  | General population  |
| Number of youth served   | Not applicable  |
| Number of parents served   | Not applicable  |
| Number of caregivers served  | Not applicable  |
| Program has been evaluated   | Yes   |
| Evaluation report is available   | Yes   |
| URL for evaluation report:   | No data   |
| URL for more program information:  | <a href="http://www.I-PACT.com">http://www.I-PACT.com</a>   |
| <p><b>Program Description:</b> I-PACT has been available online from ABD for the last 2 years starting in 2012. Within the first 2 years of implementation, 25,659 users logged on and took the training to receive their certification. The overall goal of I-PACT is increased voluntary compliance with the state's alcohol laws through education prior to penalty. The core objective is to prevent illegal alcohol sales by educating sellers and servers on current state liquor law as outlined in Iowa Code 123. I-PACT asks that a PACT is made by Iowa youth not to consume alcohol, Iowa retailers not to sell alcohol to underage, Iowa licensees not to serve alcohol to patrons under 21, and Iowa's law enforcement to enforce liquor laws.</p>  |   |
| <p><b>D.A.R.E. Iowa</b></p>  |   |
| Program serves specific or general population  | General population  |
| Number of youth served   | 27,696  |
| Number of parents served   | 1043  |
| Number of caregivers served  | No data   |
| Program has been evaluated   | Yes   |
| Evaluation report is available   | Yes   |
| URL for evaluation report:   | <a href="http://www.dareiowa.org">http://www.dareiowa.org</a>   |
| URL for more program information:  | <a href="http://www.dareiowa.org">http://www.dareiowa.org</a>   |
| <p><b>Program Description:</b> The mission of D.A.R.E. Iowa is to improve the quality of life for the youth of the state by helping them to avoid harmful choices through developing and coordinating the resources and training necessary to permit local D.A.R.E. officers, schools, and communities to effectively provide D.A.R.E. instruction to the young people of Iowa. The program has been in Iowa since 1988 and currently has about 82 agencies and about 118 instructors serving 150 school districts and 277 schools.</p>  |   |
| <p><b>Iowa Department of Education: Prime for Life Operating While Intoxicated (OWI) Program</b></p>   |   |
| Program serves specific or general population  | Specific population   |
| Number of youth served   | 1,087   |
| Number of parents served   | No data   |
| Number of caregivers served  | No data   |
| Program has been evaluated   | Yes   |

|   |                     |
|---|---------------------|
| Evaluation report is available  | Yes                 |
| URL for evaluation report:  | No data             |
| URL for more program information: <a href="http://www.educateiowa.gov/adult-career-community-college/owi-education">http://www.educateiowa.gov/adult-career-community-college/owi-education</a>   |                     |
| <p><b>Program Description:</b> PRIME for Life is an alcohol and drug program designed to challenge common beliefs and attitudes that directly contribute to high-risk use of alcohol and other drugs. This state-mandated program is required for all people (regardless of age) convicted of OWI in Iowa. Program goals are to reduce the risk for health problems and impairment problems. PRIME for Life’s intervention component focuses on self-assessment to help people understand and accept the need for change. PRIME for Life is recognized as an evidence-based program on the Substance Abuse and Mental Health Services Administration’s (SAMHSA’s) National Registry of Evidence-Based Programs and Practices (NREPP). During FY2013, 13,715 offenders took PRIME for Life courses from one of 51 agencies statewide. About 1,087 recipients were age 20 years or younger. The program is for offenders only; parents and caregivers are not included.</p> |                     |
| <p><b>Iowa Department of Public Health (IDPH): Youth Diversion Programs</b></p>   |                     |
| Program serves specific or general population   | Specific population |
| Number of youth served  | 1,182               |
| Number of parents served  | 252                 |
| Number of caregivers served   | No data             |
| Program has been evaluated  | Yes                 |
| Evaluation report is available  | Yes                 |
| URL for evaluation report: Available from Iowa Dept. of Public Health   |                     |
| URL for more program information: No data   |                     |
| <p><b>Program Description:</b> IDPH funds 18 community-based agencies for 23 service areas, which collectively cover all 99 Iowa counties. These comprehensive substance abuse prevention contracts are funded by the prevention portion of the Substance Abuse Prevention and Treatment (SAPT) Block Grant and some state appropriations. Among the services provided are diversion programs in many of the 23 service areas. A diversion program is for youth who have received a minor-in-possession charge or other alcohol offense (except OWI). If the youth successfully completes the program, then he or she may be diverted from the court system. The programs have different names, such as “Rethinking Drinking” or “Juvenile Education Group (JEG),” and vary as to the number of sessions and whether a parent or guardian is required to attend. Not all the programs require a parent or guardian to attend.</p>   |                     |
| <p><b>Iowa Department of Public Health (IDPH): Alcohol, Tobacco, and Other Drug Education Programs included in the Comprehensive Substance Abuse Prevention contracts</b></p>   |                     |
| Program serves specific or general population   | General population  |
| Number of youth served  | Not applicable      |
| Number of parents served  | Not applicable      |
| Number of caregivers served   | Not applicable      |
| Program has been evaluated  | Yes                 |
| Evaluation report is available  | Yes                 |
| <p><a href="http://iconsortium.subst-abuse.uiowa.edu/downloads/IDPH/Comprehensive%20Prevention%20Project%20Evaluation%20Annual%20Report%202013.pdf">http://iconsortium.subst-abuse.uiowa.edu/downloads/IDPH/Comprehensive%20Prevention%20Project%20Evaluation%20Annual%20Report%202013.pdf</a></p>  |                     |
| <p>URL for more program information: <a href="http://www.idph.ia.us/bh/sa_comprehensive_prevention.asp">http://www.idph.ia.us/bh/sa_comprehensive_prevention.asp</a></p>  |                     |
| <p><b>Program Description:</b> Through the SAPT Block Grant, IDPH funds Comprehensive Substance Abuse Prevention contracts, which collectively cover all 99 Iowa counties. In FY2012 some state funds and some other funds from fees were included in the contracts. A main service provided is alcohol, tobacco, and other drug education programs in school and community settings. Many are small-group, recurring-service (multiple sessions), evidence-based programs. The evidence-based programs include LifeSkills Training Program, Project ALERT, Project Towards No Tobacco Use, Project Towards No Drug Abuse, All Stars, and Too Good For Drugs. Other prevention strategies are included under this funding as well.</p>  |                     |

Since the funding covers alcohol and other drugs, data on youth served specific to underage drinking are not available. For all the funding combined, 93,729 youths were served.

**Iowa Department of Public Health: Youth Mentoring and Prevention Through Mentoring Programs**

|   |   |
|---|---|
| Program serves specific or general population | Specific population   |
| Number of youth served                        | 1,287   |
| Number of parents served                      | No data   |
| Number of caregivers served                   | No data   |
| Program has been evaluated                    | Yes   |
| Evaluation report is available                | No  |
| URL for evaluation report:                    | Not applicable  |
| URL for more program information:             | <a href="http://www.idph.state.ia.us/bh/sa_youth_development.asp">http://www.idph.state.ia.us/bh/sa_youth_development.asp</a> |

**Program Description:** IDPH receives state appropriations to fund the Prevention Through Mentoring contracts, which create new and support existing community youth mentoring programs. The program supports the state's goals of primary prevention of the use or abuse of alcohol, tobacco, and other drugs. Other funding from fees from Sunday liquor permits is used by IDPH for the Youth Mentoring contracts. These also establish or sustain mentoring programs that promote relationship building and social skills development, use elements of effective practice as established by the National Mentoring Partnership, and promote a positive perception of caring adults in the community.

**Iowa Department of Public Health: Youth Development Program**

|   |   |
|---|---|
| Program serves specific or general population | General population  |
| Number of youth served                        | 5,186   |
| Number of parents served                      | No data   |
| Number of caregivers served                   | No data   |
| Program has been evaluated                    | Yes   |
| Evaluation report is available                | Yes   |
| URL for evaluation report:                    | Report available from IDPH  |
| URL for more program information:             | <a href="http://www.idph.state.ia.us/bh/sa_youth_development.asp">http://www.idph.state.ia.us/bh/sa_youth_development.asp</a> |

**Program Description:** The program provides evidence-based substance abuse prevention programming for youth (ages 5 to 18) that includes out-of-school time activities and opportunities for character development, youth development, leadership, and community service. The youth development approach is a way of working with youth to provide developmental experiences shown to promote healthy transition toward adulthood. Objectives are to provide (1) evidence-based youth development programming effective in reducing substance abuse in children and (2) specific out-of-school youth development and service opportunities in the community. Funding is from state appropriations.

**Iowa Department of Public Health: Strategic Prevention Framework State Incentive Grant (SPF SIG)**

|   |   |
|---|---|
| Program serves specific or general population | General population  |
| Number of youth served                        | Not applicable  |
| Number of parents served                      | Not applicable  |
| Number of caregivers served                   | Not applicable  |
| Program has been evaluated                    | Yes   |
| Evaluation report is available                | No  |
| URL for evaluation report:                    | Not applicable  |
| URL for more program information:             | <a href="http://www.iowaspfsig.org">http://www.iowaspfsig.org</a> |

**Program Description:** In 2009, IDPH received the SAMHSA SPF SIG, a 5-year grant to prevent the onset and reduce the progression of substance abuse including childhood and underage drinking, reduce substance abuse-related problems in communities, and build prevention capacity and infrastructure at the state, tribal, and community levels. SPF is a five-step process that assists states in developing a comprehensive plan and supports selected communities in implementing effective programs, policies, and practices. One of the two data-driven priorities for Iowa is underage drinking. Environmental strategies have been implemented in 23 Iowa counties selected based on needs data. No local service data are available at this time, and data on youth, parents, and caregivers served are not collected.

|   |                    |
|---|--------------------|
| <b>Iowa Department of Public Health: Community Coalition Grant Program</b>  |                    |
| Program serves specific or general population   | General population |
| Number of youth served  | Not applicable     |
| Number of parents served  | Not applicable     |
| Number of caregivers served   | Not applicable     |
| Program has been evaluated  | No                 |
| Evaluation report is available  | Not applicable     |
| URL for evaluation report:  | Not applicable     |
| URL for more program information: <a href="http://www.idph.state.ia.us/bh/sa_community_coalition.asp">http://www.idph.state.ia.us/bh/sa_community_coalition.asp</a>   |                    |
| <p><b>Program Description:</b> The program funds community coalitions to provide environmental substance abuse prevention strategies to reduce underage alcohol use in communities. Coalitions receive up to \$3,000 from state appropriations for 1 year. Coalitions currently receiving funding from any state or federal agency in a cumulative amount of greater than \$10,000 are not eligible. In state FY2013, IDPH funded four coalitions. No numbers of youth or adults served were required in the year-end report. Additionally, 13 Iowa communities receive Drug-Free Communities (DFC) Support Program Grants or DFC Mentoring Grants from the Office of National Drug Control Policy (ONDCP) and SAMHSA. Much of their work is to prevent and reduce underage drinking, and it affects overall underage drinking efforts in Iowa. Several Substance Abuse Prevention and Treatment (SAPT) Block Grant-funded agencies work very closely with them. Also, Iowa has an Alliance of Coalitions for Change (AC4C), a network of substance abuse prevention coalitions that have quarterly retreats and share strategies to reduce underage drinking. Information about DFCs is available from SAMHSA and ONDCP.</p> |                    |

|   |
|---|
| <b>Additional Underage Drinking Prevention Programs Operated or Funded by the State</b> |
| <b>No data</b>  |
| URL for more program information: No data   |
| Program description: No data  |
| <b>Additional Clarification</b>   |
| No data   |

|  |     |
|--|-----|
| <b>Additional Information Related to Underage Drinking Prevention Programs</b>   |     |
| <i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>  | No  |
| Description of collaboration: Not applicable   |     |
| <i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>   | No  |
| Program description: Not applicable  |     |
| <i>State has adopted or developed best practice standards for underage drinking prevention programs</i>  | Yes |
| Agencies/organizations that established best practices standards:  |     |
| Federal agency(ies): SAMHSA Center for Substance Abuse Prevention  | Yes |
| Agency(ies) within your state: Iowa Department of Public Health (IDPH) SPF SIG Advisory Council members, see list under 2.C.1.b  | Yes |
| Nongovernmental agency(ies): SPF SIG Advisory Council members, see list under 2.C.1.b  | Yes |
| Other:   | No  |
| Best practice standards description: The best practice standards relate specifically to selecting and implementing evidence-based interventions following the Strategic Prevention Framework. SPF definitions of “evidence-based” include interventions in one or more of the following three categories: (a) Included in federal registries, (b) reported (with positive effects on the primary targeted outcome) in peer-reviewed journals, or (c) documented effectiveness supported by other sources of information and the consensus judgment of informed experts as specified in the guidance document U.S. Department of Health and Human Services, Identifying and Selecting Evidence-Based Interventions. |     |

**Additional Clarification**

Additional information about best practice standards: The IDPH-sponsored Evidence-Based Practice Workgroup, a subcommittee for the SPF SIG Advisory Council, made the recommendation to the Council to adopt the SAMHSA CSAP Guidance document (cited above) to define Evidence-Based Interventions. The Council supported the recommendation. The guidance is used for SPF SIG contracts and also for other state and federal funding administered by IDPH. Not all other agencies may specify using evidence-based programs and practices when funding contracts for prevention, but because underage drinking is a priority for the SPF SIG counties and for other funding, the guidance serves the majority of prevention contracts.

**State Interagency Collaboration**

*A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities* Yes

*Committee contact information:*

Name: Kathy Stone, Chair  
 E-mail: [Kathy.stone@idph.iowa.gov](mailto:Kathy.stone@idph.iowa.gov)  
 Address: IDPH, 321 East 12th Street, Des Moines, IA 50319  
 Phone: 515-281-4417

*Agencies/organizations represented on the committee:*

Iowa Department of Public Health, Division of Behavioral Health  
 Iowa Department of Commerce, Alcoholic Beverages Division  
 Iowa Department of Education  
 Iowa Department of Human Services  
 Iowa Department of Human Rights, Division of Criminal Juvenile Justice Planning  
 Iowa Department of Public Safety, Governor's Traffic Safety Bureau  
 Iowa National Guard  
 Iowa Consortium for Substance Abuse Research and Evaluation, University of Iowa  
 Alliance of Coalitions for Change (AC4C)  
 Iowa Behavioral Health Association  
 CSAP State Project Officer  
 Iowa Board of Certification  
 Partnerships in Prevention Science Institute (PROSPER) at Iowa State University  
 A parent  
 Community-based agencies  
 Community college  
 Law enforcement agency  
 Faith community  
 Youth are involved through the State of Iowa Youth Action Committee (SIYAC)  
 Others involved on subcommittees

*A website or other public source exists to describe committee activities* Yes  
 URL or other means of access: <http://idph.state.ia.us/spfsig>

**Underage Drinking Reports**

*State has prepared a plan for preventing underage drinking in the last 3 years* Yes  
 Prepared by: SPF SIG Advisory Council with staff support from the Iowa Department of Public Health  
 Plan can be accessed via: <http://www.idph.state.ia.us/spfsig/counties>

*State has prepared a report on preventing underage drinking in the last 3 years* Yes  
 Prepared by: State Epidemiological Workgroup  
 Plan can be accessed via: [http://www.idph.state.ia.us/bh/sa\\_epi\\_workgroup.asp](http://www.idph.state.ia.us/bh/sa_epi_workgroup.asp) and [www.iowa.gov/odcp/docs/2014StrategyFinal.pdf](http://www.iowa.gov/odcp/docs/2014StrategyFinal.pdf)

**Additional Clarification**

The previous state-level Underage Drinking Task Force voted to combine with the SPF SIG Advisory Council at least for the remainder of the SPF SIG Project period, which was extended until January 31,

2015. Representation on the two groups had started to overlap. The Iowa Governor’s Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The strategy describes substance abuse and related issues and includes underage alcohol use but does not report separately about underage drinking services. The State Epidemiological Workgroup produces an Epidemiological Profile every 2 years that includes data about underage alcohol use, but does not include services data.

**State Expenditures for the Prevention of Underage Drinking**

|  |                    |
|--|--------------------|
| <i>Compliance checks in retail outlets:</i>  |                    |
| Estimate of state funds expended   | \$0                |
| Estimate based on the 12 months ending   | 12/31/2013         |
| <i>Checkpoints and saturation patrols:</i>   |                    |
| Estimate of state funds expended   | \$0                |
| Estimate based on the 12 months ending   | 12/31/2013         |
| <i>Community-based programs to prevent underage drinking:</i>  |                    |
| Estimate of state funds expended   | \$420,851          |
| Estimate based on the 12 months ending   | 6/30/2013          |
| <i>K–12 school-based programs to prevent underage drinking:</i>  |                    |
| Estimate of state funds expended   | Data not available |
| Estimate based on the 12 months ending   | 6/30/2013          |
| <i>Programs targeted to institutes of higher learning:</i>   |                    |
| Estimate of state funds expended   | \$16,070           |
| Estimate based on the 12 months ending   | 6/30/2013          |
| <i>Programs that target youth in the juvenile justice system:</i>  |                    |
| Estimate of state funds expended   | \$0                |
| Estimate based on the 12 months ending   | 12/31/2013         |
| <i>Programs that target youth in the child welfare system:</i>   |                    |
| Estimate of state funds expended   | \$0                |
| Estimate based on the 12 months ending   | 6/30/2013          |
| <i>Other programs:</i>   |                    |
| Programs or strategies included: Fees generated from Sunday beer and liquor permits fund the Iowa Department of Public Health Community Coalitions contracts, 4 percent of the Comprehensive Substance Abuse Prevention contracts (predominately funded by the SAPT Block Grant), and a portion of the Youth Mentoring Program contracts |                    |
| Estimate of state funds expended   | \$277,018          |
| Estimate based on the 12 months ending   | 6/30/2013          |

**Funds Dedicated to Underage Drinking**

|   |     |
|---|-----|
| <i>State derives funds dedicated to underage drinking from the following revenue streams:</i> |     |
| Taxes   | No  |
| Fines   | No  |
| Fees  | Yes |
| Other:  | No  |

*Description of funding streams and how they are used:*  
Some Iowa Department of Public Health prevention contracts are funded by fees generated from Sunday beer and liquor permits.

**Additional Clarification**

The Governor’s Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The Strategy describes funding, but allocations are not broken out specifically for underage drinking services. The Strategy is available at [http://www.iowa.gov/odcp/drug\\_control\\_strategy/strategy.pdf](http://www.iowa.gov/odcp/drug_control_strategy/strategy.pdf). The amount of funding provided for higher education was only from one state university. With more specific substance abuse prevention services added to the Mentoring and Youth Development programs, a portion of the funding for these programs was included in the State Expenditures Section this year. The additional funding is not new funding.