

REPORT TO CONGRESS ON THE PREVENTION AND REDUCTION OF UNDERAGE DRINKING

State Reports: Alabama—Montana

2017



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Substance Abuse and Mental Health Services Administration
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Time period covered by this version of the Report to Congress: The 2017 version of the Report to Congress on the Prevention and Reduction of Underage Drinking primarily includes data from calendar year 2016. Epidemiological data in Chapters 1 and 2 draw from the most recently available federal survey data as of 2016. Chapter 3 includes data on ICCPUD member agency underage drinking activities in calendar year 2016. The state legal data reported in Chapter 4 reflects the state of the law as of January 1, 2016. The state survey data presented in Chapter 4 was collected in 2016, and is drawn from the most recent 12-month period in which the states maintained the data. Chapter 5 describes 2016 activities conducted by the Underage Drinking Prevention National Media Campaign.

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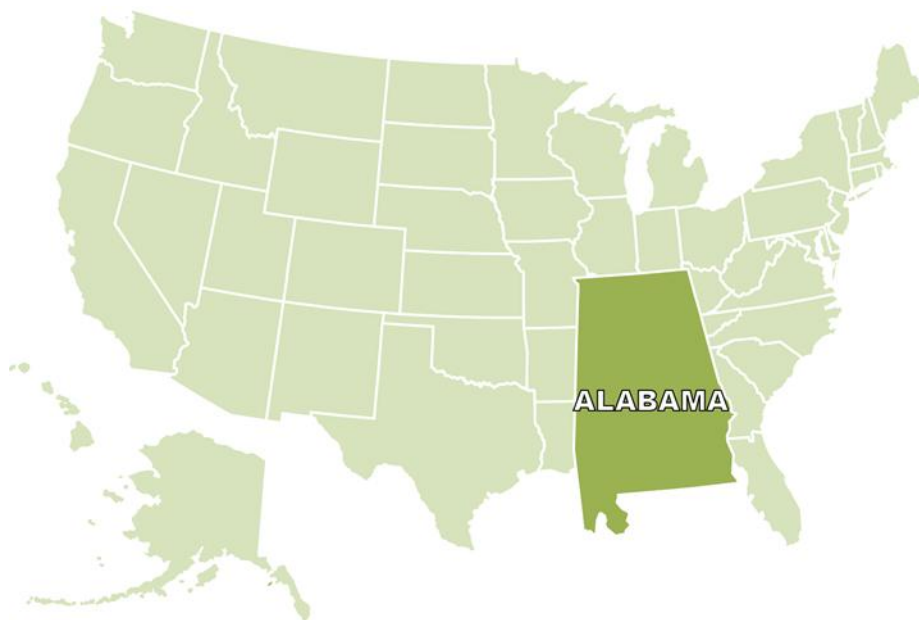
REPORT TO CONGRESS ON THE PREVENTION AND REDUCTION OF UNDERAGE DRINKING, 2017

State Reports: Alabama—Montana

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STATE REPORTS: Alabama—Montana



Alabama

State Population: 4,858,979

Population Ages 12–20: 584,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	109,000 (18.7%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	10,000 (5.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	29,000 (15.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	70,000 (34.6%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	94
Years of Potential Life Lost (under 21)	5,662
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	27
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	20%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians, or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0 (with driver education; 30 hours without)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger who is not a parent, guardian, family member, or person at least 21 years of age
Can law enforcement stop driver for violation of passenger	No, officer must stop driver for another

restrictions as a primary offense?	offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes–Voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to	Yes

intoxicated persons	
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	21
Does a manager or supervisor have to be present?	Yes
Notes: A minor employee of an off-premises retail licensee may handle, transport transport, or sell beer or table wine, provided there is an adult employee in attendance at all times.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/ Consumption
Property type covered by the law?	Residential/Other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Notes: Alabama's provision requires that the adult social host be in attendance at the gathering or party in order for a violation to occur. The "preventive action" provision in Alabama requires the prosecution to prove that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license, or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Alabama is a control state and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.05
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer?	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

where there is an exemption from the general sales tax)	
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (Permitted 10:00 AM – 9:00 PM)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Alabama State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Alabama Law Enforcement Agency	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	165
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	9,857
Number of licensees checked for compliance by state agencies (including random checks)	3,367
Number of licensees that failed state compliance checks	217
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	Unknown
Number of licensees that failed random state compliance checks	Unknown
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	217
Total amount in fines across all licensees	Unknown
Smallest fine imposed	\$375
Largest fine imposed	\$1,000
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	2
Total days of suspensions across all licensees	544
Shortest period of suspension imposed (in days)	180
Longest period of suspension imposed (in days)	364
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Drug Education Council (DEC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://drugeducation.org/

Program Description: Drug Education Council (DEC) is a voluntary, nonprofit organization dedicated to promoting a drug-free society, preventing chemical dependency, and providing quality education, information, and intervention programs. DEC is located in Mobile, Alabama, and is certified in prevention services through the Alabama Department of Mental Health (ADMH). DEC uses four of the six Center for Substance Abuse Prevention (CSAP) strategies, including education, alternatives, community-based processes, and environmental. Their target focus is high-risk youth in rural, urban and suburban communities. DEC provides an array of prevention services and activities to these youth through evidenced-based programming for indicated, selected, and universal populations. DEC offers alternative activities promoting positive family connections and community service activities. It promotes community-based processes by working with community members and stakeholders to promote events such as Red Ribbon Week, collaborations to promote a year-long Red Ribbon Leadership Program, and promoting awareness in the community about underage drinking through community forums/town hall meetings. DEC's environmental strategy includes the promotion of reduced access and availability to minors by the implementation of the "Parents Who Host Lose The Most: Don't be a Party to Teenage Drinking" campaign. DEC anticipates serving approximately 1,700 youth (to include 1-2 parents per student) through multiple strategies/programming/community initiatives.

Alcohol and Drug Abuse Treatment Center, Inc. (ADATC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report:	Not applicable
URL for more program information:	https://adatc.org/

Program Description: Alcohol and Drug Abuse Treatment Center, Inc. (ADATC) is a certified prevention provider located in Birmingham, Alabama. ADATC utilizes four of the six CSAP strategies—information dissemination, environmental, and community-based processes and alternatives—to implement prevention services to high-risk youth to include coordinated efforts with the YMCA, law enforcement, education, and faith-based community. Strategies are implemented in a variety of mediums at various venues in the community, including Too Good for Drugs (an evidence-based curriculum), recreational and social events, town hall meetings, maintaining a youth coalition, and community service projects, among others.

Aletheia House (AH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.specialkindofcaring.org/

Program Description: Aletheia House (AH) is a community-based organization committed to empowering individuals and the communities in which they live with the skills and services they need to become responsible for their own well-being. AH is certified as a substance abuse prevention provider by ADMH and provides prevention services to youth in the Birmingham area (considered urban), as well as Macon County (considered rural). AH utilizes education, alternative, and environmental strategies to implement its prevention programs. AH uses the evidence-based Positive Action curriculum to deliver prevention programs in some middle and high schools. Alternative strategies are implemented by providing a summer camp for youth, recognition events, culturally based activities, and intergenerational events that promote positive family and community interaction. AH's environmental strategy focuses on reducing access and availability of alcohol to minors by providing training to neighborhood residents, community mapping to collect data, and use of social media to mobilize community members to promote the implementation of a portable cooler relocation program among convenience store owners. AH anticipates serving approximately 200 youth through these efforts. Signature youth prevention programming includes "Kids who Care."

Aliceville Housing Authority

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://thecityofaliceville.com/residents/housing-authority/

Program Description: Aliceville Housing Authority is located in Aliceville, Alabama (Pickens County), and is certified by ADMH to provide prevention services. Primary prevention efforts are focused on high-risk youth and include providing education and alternatives to youth in the community. Additionally, Aliceville has sought to establish a formal coalition within the community to promote awareness and enhance the ability of the community to provide more effective prevention and treatment services.

Cherokee-Etowah-DeKalb (CED) Mental Health

Program serves specific or general population	Specific population
Number of youth served	No data

Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://cedmentalhealth.org/

Program Description: Cherokee-Etowah-DeKalb (CED) Mental Health provides prevention services through the implementation of five of the six CSAP strategies. CED is certified by ADMH to provide prevention services. Information dissemination is implemented through youth-designed billboard advertising, community health fairs, pamphlets, public service announcements, and television commercials. Education and alternatives are provided to 6th and 7th graders in various schools throughout its three-county service area as well as summer camps. CED guides leadership in strengthening the organization structure and function of each of three coalitions for the purpose of enhancing policies and by-laws, in an effort to ensure the sustainability of the coalition membership and the community services they provide. Environmental services focus on access and availability of alcohol to youth. CED works with law enforcement and local government to support an ordinance for removal of portable coolers containing alcoholic beverages in storefronts of licensed alcohol retail vendors.

Mountain Lakes Behavioral Healthcare (MLBH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://mlbhc-web.com/

Program Description: The Mountain Lakes Behavioral Healthcare (MLBH) Substance Abuse Prevention Program focuses on teaching life skills to both children and adults. MLBH is certified by ADMH to deliver prevention services. Children's workshops focus on using a variety of activities to encourage children to develop self-respect and respect for others. Adult workshops include parenting classes, stress-management, and general workshops on alcoholism and addiction. MLBH implements five of the six CSAP strategies, with the exception of problem identification and referral. MLBH implements information dissemination by providing youth and parents/guardians with information on ATOD in coordination with local schools and businesses. Additionally, they disseminate information at local sporting events and health fairs. Education is implemented using the Brain Power evidence-based curriculum to 5th graders in Jackson county. Too Good for Drugs is implemented at the local Boys and Girls club in Jackson County. Alternative strategies are implemented with students receiving prevention education through MLBH. The community-based process strategy focuses on underage drinking, social hosting, and prescription drug abuse in Jackson and Marshall counties. MLBH provides workshops on those topics and works with local churches, civic groups, and other stakeholders to promote MLBH prevention programs. The environmental strategy focuses on coordinated efforts with law enforcement in efforts to decrease drinking and driving and to ensure businesses are in compliance with rules, laws, and ordinances to prevent underage drinking.

Mental Healthcare of Cullman

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mentalhealthcareofcullman.org/index.html

Program Description: Mental Healthcare of Cullman prevention programming is located on the campus of Wallace

State Community College in Hanceville, Alabama. Prevention resources are available to all students and faculty on a daily basis, Monday through Friday. The office provides a relaxed environment within the college setting to promote healthy peer interactions while receiving information about risks of alcohol/drug use and abuse. Through this program, the prevention coordinator has the opportunity to build and establish healthy relationships with students and coordinate efforts with campus police. The overall goal is to reduce underage drinking. The prevention coordinator works with campus law enforcement and the administration to promote dorm checks, collect data on related incidents, and assist in reviewing infractions with campus police to help identify areas to increase or enhance, or to implement further prevention efforts.

Agency for Substance Abuse Prevention (ASAP)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://asaprev.com/

Program Description: Agency for Substance Abuse Prevention (ASAP) is dedicated to strengthening community awareness through substance abuse education and prevention services to individuals, schools, industry, affected individuals and families residing in the State of Alabama. ASAP implements five of the six CSAP strategies to include information dissemination, environmental, community based processes, education and alternatives. ASAP utilizes information dissemination to provide monthly newsletters and mail-outs to targeted schools and parents/guardians. Additional forums such as community events are also utilized. The evidence-based program, Mendez Too Good for Drugs, targets 5th-8th graders in the Anniston City and Calhoun County area, as an educational strategy, and, based on FY16 reports, reaches approximately 1,100 youth. Utilizing the environmental strategy, ASAP coordinates with law enforcement to identify "hot spots" in targeted geographic areas to initiate prevention initiatives and activities to prevent underage drinking and raise community awareness.

Riverbend Center for Mental Health (RCMH)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.rcmh.org/programs.htm

Program Description: Riverbend Center for Mental Health (RCMH) is certified by ADMH to provide prevention services. RCMH uses a community-centered approach utilizing all six CSAP strategies: information dissemination, education, alternatives, community-based processes, problem identification and referral, and environmental. Information on alcohol, tobacco, and other drugs is provided to youth at local schools through bi-annual assemblies, dissemination at various venues, and speaking engagements. The Life Skills Training curriculum is implemented in 11 area schools and the SPAN (Special Program Achievement Network) is provided as required for students in Lauderdale County and Florence city schools by the juvenile court system. Riverbend provides a variety of alternative activities for youth enrolled in the afterschool educational programs and during the summer. These activities help youth build resilience and promote social skills. Community-based process strategies focus on interagency collaborations among the children's policy council and local agencies to increase collaborations and partnerships among agencies. Environmental activities include the development of a partnership with the University of North Alabama to establish increased coordinated efforts to reduce underage drinking and incidences of minors in possessions and DUI infractions, as well as local schools to review current policies and obtain support of the institution of policies that will enhance the progression of youth.

Northwest Alabama Mental Health Center (NWAMHC)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.nwamhc.com

Program Description: Northwest Alabama Mental Health Center (NWAMHC) is certified by ADMH to provide prevention services. NWAMHC uses five of the six CSAP strategies, including education, community based processes, alternatives, information dissemination, and environmental. NWAMHC uses various community events and venues to provide information, including student-designed materials such as health fairs, Safe Prom activities, Jasper mall, and kids promotional week. Too Good For Drugs is the evidence-based curriculum used in nine schools, along with afterschool and summer programs. Youth participating in educational programs are provided opportunities to participate in alternative activities in classroom sessions as well as after school and during the summer. Environmental strategies consist of working with the ABC board in Walker County to increase compliance checks. Past efforts in this area resulted in significant reduction in purchase of alcohol by minors as reported to them by the ABC Board.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program descriptions:

East Alabama Mental Health Center (EAMHC)—is certified by ADMH to provide prevention services. It is located in Opelika, Alabama, and provides an array of prevention services through information dissemination, problem identification and referral, and environmental prevention strategies. The evidence-based life skills training program is used to deliver education to high-risk students, some of which include a selected population where small groups are utilized. EAMHC provides several alternative opportunities for youth who participate in the education programs. Those activities include but are not limited to afterschool programs and youth summer camps. EAMHC is active and present in the community and assists with multiple community service projects. The primary focus of EAMHC’s environmental strategy is to reduce access and availability of alcohol to minors through the use of fake IDs. The primary risk factor is community norms (practices and policies; attitudes and beliefs) that perpetuate the use of fake IDs by underage youth to attain alcohol. (<http://www.eastalabamamhc.org>)

Altapointe Health Systems—is certified by ADMH, serving Baldwin County. Altapointe implements two of the six CSAP strategies to include community-based processes and environmental. *Community-based processes:* Altapointe leads and works in collaboration with the Baldwin County Community Alliance to promote programs such as underage drinking initiatives and prescription drug take-back events and installation of permanent prescription drug drop boxes. Environmental strategies include: displaying billboards with a focus on access and availability of alcohol and prescription drug misuse; hosting a county-wide talent show for all high school students where PSA’s are run and community members send anti-drug and alcohol messages to youth to show students that they do not need alcohol and drugs to have fun; producing and airing written commercials focusing on underage drinking and prescription drug misuse. (<https://www.facebook.com/BaldwinCountyCommunityAlliance?fref=ts>)

MHC of North Alabama/Quest Recovery Center—is certified by ADMH to provide prevention services and located in Decatur, Alabama. MHC implements five of the six CSAP strategies with the exception of problem identification and referral. Target priorities for information dissemination are prescription drugs and underage drinking. Primary venues may include, but not limited to, health fairs, Child Safety Conference, Calhoun Community College, school events, media (media campaigns and media outlets and social media websites, newspaper articles), speaking engagements, businesses, and community agencies/organizations. Media campaigns include information about prescription and over-the-counter drug misuse, Prescription Drug Take-Back Day and Lock Your Meds campaigns, and information about substance abuse and unhealthy behaviors. Underage drinking campaigns

include materials from Parents Who Host Lose the Most and information to support compliance with the Decatur City Alcoholic Beverage Ordinance including the Responsible Vendor Program. Prevention education is provided during and after school, and during the summer at different sites throughout Morgan and Limestone counties, including PAWS After School program and Boys and Girls Clubs (St. Paul's, Ardmore, Limestone County/Athens). The evidence-based curriculum includes Too Good for Drugs, Too Good for Violence; Too Good for Drugs & Violence—HS, Safe Dates, Girls Circle, and PATHS. The after school education programs at the alternative sites and summer program are Too Good for Drugs, Too Good for Violence, Too Good for Drugs & Violence—after school, Safe Dates, Girls Circle, and PATHS. The alternative activities after school and in the summer at the community sites include community service activities. Participants are referred by schools, Boys and Girls clubs, after school programs, summer programs, and daycare programs. The target priority for this strategy is to increase participation in Morgan County Substance Abuse Network (MCSAN) coalition activities and events. MCSAN is a community anti-drug coalition with more than 20 active members representing numerous community sectors. Additional community members support the coalition as volunteers and committee members. Target priorities for this strategy are: (a) to conduct activities in Morgan County designed to reduce access and availability of alcohol to minors and to raise community awareness of the dangers of underage drinking, and (b) conduct activities in Morgan County designed to reduce and/or prevent prescription and over-the-counter drug misuse and abuse and raise community awareness of the dangers of diverting medication for unintended use. (<https://www.facebook.com/QuestRecoveryCenter?fref=ts>)

Council on Substance Abuse - NCADD (COSA)—is a private non-profit organization founded in 1973 to promote the understanding that alcoholism and other drug dependence are preventable and treatable diseases. COSA is the state affiliate of the National Council on Alcoholism and Drug Dependency (NCADD), and a member of the Community Anti-Drug Coalition of America (CADCA). COSA is certified by ADMH, serving Montgomery (considered urban) and primary Black Belt areas (considered rural). COSA implements five of the six CSAP strategies to include community-based processes, information dissemination, alternatives, education and environmental. Environmental strategies include coordinated efforts with educational officials to review current policies and strategize newly implemented policies that will enhance progression of youth while simultaneously preventing underage drinking. (cosa.ncadd.org)

SpectraCare Health Systems—is comprised of a group of dynamic individuals who take pride in promoting physical and emotional health and wellness. SpectraCare is certified by ADMH to provide prevention services and implements four of the six CSAP strategies. Prevention activities are designed to provide education and increase awareness among individuals and communities alike, in an effort to promote choices that lead to healthy living. Comprehensive, evidence-based prevention activities are available to provide the tools necessary for healthy living. Services are provided in Barbour, Dale, Geneva, Henry, Houston, Butler, Crenshaw, Coffee counties. Prevention services include:

- Youth Mental Health First Aid
- Alcohol and Drug Prevention Education
- Mental Health Awareness Programs
- The Coalition for a Drug Free Dale County
- Houston County Truancy Prevention Project
- Henry County Truancy Prevention Project
- Covington County Truancy Prevention Project
- Think First Program
- Violence and Bullying Prevention
- Parenting Programs
- Coping and Life Skills education

(<http://www.spectracare.org/our-services/community-services/prevention-services/>)

Wellstone—is certified by ADMH to provide prevention services. Located in Huntsville, Alabama, Wellstone primarily serves the residents of Madison County in northern Alabama. It implements two of the six CSAP strategies to include information dissemination and environmental. Wellstone has established partnerships with

the following agencies: Partnership for a Drug Free Community, Huntsville City Schools, Madison City Schools, Madison County Schools Neaves Davis Detention Center, and the faith based community to begin expanding the task force, targeting policy change surrounding the Responsible Vendor Program. (<https://www.wellstone.com/>)

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The Alabama Department of Mental Health currently certifies 35 community organizations to provide substance abuse prevention services and contracts with 22* covering all 67 counties throughout Alabama. Fifteen of those providers currently receive Block Grant funds, three providers are state-funded, nine agencies are subrecipients of SPF SIG (State Prevention Framework State Incentive Grant) funds implementing services in 20 counties and two of those agencies are subrecipients of the PFS (Partnerships for Success) discretionary grant funds implementing services in eight counties.

*Note: Some agencies are multi-funded grant initiative awardees

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP (Substance Abuse and Mental Health Services Administration/ Center for Substance Abuse Prevention/ (SPF Model) State Prevention Framework Yes

Agency(ies) within your state: Alabama Department of Mental Health Substance Abuse Prevention Standards Yes

Nongovernmental agency(ies): No

Other: National Registry of Evidenced Based Programs and Practices Yes

Best practice standards description: To ensure compliance, all certified agencies are provided training on the State Prevention Framework Model and must utilize this model in the development of their prevention plans. All providers must adhere to State Prevention Standards, which include standards for personnel, performance improvement, documentation and prevention records, and community planning and definitions. Strategy implementation must encompass use of evidence based programs and practices.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Beverly Johnson

Email: beverly.johnson@mh.alabama.gov

Address: 100 North Union Street, RSA Suite 420, Montgomery, AL 36130

Phone: (334) 353-8366

Agencies/organizations represented on the committee:

Alabama State Department of Education

Southern Prevention Associates, LLC

Family Guidance Center

Big Lots Distribution Center

Alabama State University

Auburn University at Montgomery

Healthy Sexual Solutions
 Montgomery County Sheriff's Office
 Elmore County District Judge's Office
 Alabama Department of Human Resources
 Alabama Department of Public Health
 Alabama Department of Corrections
 Alabama Alcohol and Drug Abuse Association
 Office of the Attorney General
 Foster Care Family Preservation
 University of Alabama Tuscaloosa
 Substance Abuse and Mental Health Services Administration
 Agency for Substance Abuse Prevention
 Alabama Campaign to Prevent Teen Pregnancy
 AIDS Alabama
 Health Services
 Homewood City Schools
 Drug Education Council
 Germane Solutions

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.mh.alabama.gov/SAPV/?sm=d_d	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: Alabama Department of Mental Health, Office of Prevention, State Prevention Advisory Board,
 Alabama Epidemiological Outcomes Workgroup
 Plan can be accessed via: No data

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: Alabama Epidemiological Outcomes Workgroup
 Plan can be accessed via: <http://www.mh.alabama.gov/Downloads/SAPV/EpidemiologicalProfile.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Complete data on the use of state funds for underage drinking prevention are not available. State funding data are only available for the Division of Mental Health & Substance Abuse Services. Of the state funds received by this agency, \$352,640 goes toward underage drinking prevention efforts through community programming and enforcement.



Alaska

State Population: 738,432

Population Ages 12–20: 92,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	20,000 (22.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (4.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	5,000 (16.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	14,000 (44.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	14
Years of Potential Life Lost (under 21)	843
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	1
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	23%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below Yes, in specified locations – see below
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below Yes, in specified locations – see below
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for	No

information digitally encoded on valid IDs?	
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	No
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	Yes
Does the retailer have the right to sue the minor for use of a false ID?	Yes
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	14
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	N/A
<ul style="list-style-type: none"> Possession of alcohol 	N/A
<ul style="list-style-type: none"> Consumption of alcohol 	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians, or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	40 (10 of which must be at night or in inclement weather)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16

For night driving, when does adult supervision requirement begin?	1:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no passengers under 21 except siblings, unless at least one passenger is parent, guardian, or person at least 21 years of age
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes, in specified locations
Is there an exception based on location?	Yes, in any private location if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Licenseses, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet.

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet.
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No

Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license, or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: All orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 76%)
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.07
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$12.80
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	\$2.50 per gallon for alcohol content of less than 21%

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law

Alaska State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Alcoholic Beverage Control (ABC) Board coordinates efforts with the Alaska Bureau of Alcohol and Drug Enforcement (ABADE) Division of the Alaska State Troopers. The agency also depends on state and local police to enforce alcohol laws (Title 4). With four investigators and one enforcement unit supervisor, the ABC Board must rely on the assistance of local law enforcement and State Troopers to enforce laws across the state.

License fees are refunded to municipalities that have police departments and that enforce Title 4. The Alaska Court System has primary responsibility for enforcing the consequences related to any charges.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,167

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 1,800

Number of licensees checked for compliance by state agencies (including random checks) 0

Number of licensees that failed state compliance checks 0

Numbers pertain to the 12 months ending 6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	0
Total amount in fines across all licensees	\$0
Smallest fine imposed	No Data
Largest fine imposed	No Data
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	7
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

Loss of federal funds to ABC in the past year required revamping the compliance check program. It was previously costly to administer. The numbers are 0 for the past year. They expect to resume compliance checks in September, 2016.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Adult & Juvenile ASAP Programs

Program serves specific or general population	Specific population
Number of youth served	337
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://dhss.alaska.gov/dbh/Pages/Prevention/programs/asap/default.aspx

Program Description: The Alaska Alcohol Safety Action Program (ASAP) provides substance abuse screening, case management, and accountability for driving while intoxicated (DWI) and other alcohol/drug-related misdemeanor cases. This involves screening cases referred from the district court into drinker classification categories, as well as thoroughly monitoring cases throughout education and/or treatment requirements. ASAP operates as a neutral link between the justice and health care delivery systems. This requires a close working relationship among all involved agencies: enforcement, prosecution, judicial, probation, corrections, rehabilitation, licensing, traffic records, and public information/education.

The benefits of ASAP monitoring include:

- Increased accountability of offenders
- Reduced recidivism resulting from successful completion of required education or treatment

- Significant reductions in the amount of resources spent by prosecutors, law enforcement officers, judges, attorneys, and corrections officers enforcing court-ordered conditions
- Increased safety for victims and the larger community; offenders are more likely to receive treatment, make court appearances, and comply with other probation conditions.

Much like the adult program, the Juvenile Alcohol Safety Action Program (JASAP) receives referrals for those under age 18 who have three or more minor possession or consuming offenses, or who have a driving under the influence (DUI) type offense. In Alaska, ASAP is an integral part of the criminal justice and behavioral health care service systems, providing invaluable and necessary monitoring and tracking of clients referred to substance abuse services throughout the state. Five probation officers and five community grantees handle traditional adult misdemeanor ASAP referrals; an additional seven community grantees are funded to handle juvenile cases. In addition to the Anchorage office, adult and juvenile grant programs are located in Fairbanks, Juneau, Kenai/Homer, Kotzebue, and Wasilla/Palmer. Juvenile-only programs are located in Anchorage, Dillingham, Ketchikan, Kodiak, Seward, Nome, and Bethel. The ASAP program provides a standardized statewide network of alcohol screening and case management for cases referred by the criminal justice system. It offers a consistent process to ensure that clients complete required substance abuse education or treatment programs as prescribed by the courts. The ASAP programs, including the Anchorage office, monitor these cases to confirm with the court and the Department of Motor Vehicles (DMV) when clients have completed court-ordered assignments. In Fiscal Year 2010, the ASAP program incorporated motivational interviewing (MI), an evidence-based practice, as a model for increasing the engagement of clients during their first encounter with ASAP staff. Through the use of MI-styled interviews, the expected outcome is that clients will be motivated to change their personal behaviors and attitudes related to alcohol and drug use, thereby increasing their completion and success rates following the receipt of required services. Grantees are also responsible for engaging their community prevention coalition and for being involved in ASAP program outreach and education efforts in schools, community forums, and other appropriate venues.

The number of participants is lower than in previous years, as Title IV laws are being rewritten surrounding enforcement, meaning fewer numbers of referral and services provided.

Alcohol & Drug Information School

Program serves specific or general population	Specific population
Number of youth served	625
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://dhss.alaska.gov/dbh/Pages/Prevention/programs/adis/default.aspx

Program Description: Alcohol Drug Information School (ADIS) programs provide education to first-time DWI and minor consuming offenders, as well as those convicted of other alcohol/drug-related offenses, if that person would not be diagnosed as a substance abuser. ADIS programs aim to reduce subsequent alcohol- and/or drug-related offenses and associated high-risk behaviors. ADIS programs cover the effects of alcohol and drugs on driving and social behaviors, along with health and legal consequences. Each ADIS program conforms to the same standards and is approved and monitored by the Division of Behavioral Health. Programs are designed to be available to all Alaskans involved in alcohol- and/or drug-related offenses. Each adult or youth ADIS program uses an identical core curriculum that combines the most recent research in early intervention and prevention. Each program includes regionally specific information and is designed to be relevant to all segments of Alaska’s diverse population while ensuring uniformity of the core ADIS program content statewide. The adult program uses a core curriculum developed by the Change Company and the State of Alaska. Adult ADIS programs are appropriate for all adults over age 18.

Prime for Life

Program serves specific or general population	Specific population
Number of youth served	518
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.primeforlife.org/

Program Description: Prime For Life, an evidence-based prevention and intervention program, helps people learn to reduce their risks of alcohol and drug related problems throughout life. The youth program, PRIME for Life-Under 21, is similar to the ADIS course but was developed by the Prevention Research Institute (PRI) and is used for individuals between 14 and not yet 21 years old. Numbers reflect the two providers: Volunteers of America Alaska Chapter and the Alaska Native Justice Center. The number of participants is a duplicated count that includes the JASAP participants, as these are almost exclusively from JASAP referrals.

Comprehensive Behavioral Health Prevention & Early Intervention

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://dhss.alaska.gov/dbh/Pages/Prevention/default.aspx

Program Description: The Comprehensive Behavioral Health Prevention & Early Intervention grant program funds a comprehensive array of promotion, prevention, and early intervention approaches that focus on community-designed and community-driven services. Services are based on concepts and program strategies that have proven to be effective in the prevention of behavioral health concerns. Grant dollars “blend, braid, and pool” resources and programming concepts into an integrated approach to behavioral health prevention. The program is aware that substance abuse, mental health, suicide, fetal alcohol spectrum disorders, family violence, juvenile delinquency, and other issues are interrelated. Consequently, one of the program’s goals is for communities to have the freedom to connect these issues, to partner and collaborate with community members working on connected and related issues, and to focus on what it will take to develop overall community health and wellness. Agencies throughout the state receive funding through this grant program in remote or rural, as well as hub and urban, communities. Each community applying for these funds must use the SAMHSA Center for Substance Abuse Prevention’s Strategic Prevention Framework (SPF) planning model to assess, plan, strategize, implement, and evaluate community-based services. Prevention strategies must be identified based on a clear assessment of local/regional data, and use programs or practices that are data-driven. This model promotes a better connection between program selection and the critical issues facing the community, as evidenced by the available data.

University of Alaska Anchorage: Alcohol, Drug and Wellness Education

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Contact UAA Dean of Students Office at 907-

URL for more program information:

786-1214
<http://www.uaa.alaska.edu/deanofstudents/AlcoholDrugAndWellnessEducation/index.cfm>

Program Description: UAA provides a comprehensive alcohol and other drug (AOD) education program for approximately 15,000 UAA students. It provides numerous alcohol-free events and activities for students on an ongoing basis. UAA has created policies to limit alcohol on campus and communicates these policies and normative messages to students on an ongoing basis. UAA consistently enforces AOD policies and assigns developmental sanctions to students who violate UAA's AOD policies. UAA employs an Alcohol, Drug, and Wellness Educator (ADWE) to coordinate its AOD education program. The ADWE is a 10-month, 30 hours-per-week position funded by student health fees. The ADWE uses general fund monies to provide ongoing alcohol, drug, and wellness education programs on campus. The ADWE serves as a consultant to campus groups, meets with students who violate UAA's AOD policies, provides referrals to students seeking treatment for AOD use, and oversees UAA's compliance with the Drug Free Schools and Communities Act.

Enforcing the Underage Drinking Laws (EUDL) Program

Program serves specific or general population	Special population
Number of youth served	71
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: In 1998, Congress acknowledged the seriousness of underage drinking and related problems by appropriating funding to encourage enforcement of underage drinking laws throughout the country. The Enforcing the Underage Drinking Laws (EUDL) program was established to support and enhance the efforts of state and local jurisdictions to prohibit the sale of alcoholic beverages by minors (defined as under age 21). EUDL funding has also helped states promote community awareness of underage drinking, encourage changes in norms regarding underage drinking, and develop organizational structures and relationships to support coordinated efforts. The Alaska Division of Juvenile Justice administers the EUDL program, however federal funding for this program ended in FY14 and no additional EUDL funding was anticipated for FY15. In 2015, EUDL funds were used to implement the comprehensive, evidence-based "Seven Challenges" substance abuse counseling program for adolescents involved in Alaska's juvenile justice system. Seven Challenges provides a framework for helping youth think through their decisions about their lives and their use of alcohol and other drugs. It is a highly effective, culturally sensitive program which is listed in the SAMHSA National Registry of Evidence-Based Programs and Practices. EUDL funding was also used in FY2015 to support the conference "Trauma and Fetal Alcohol Spectrum Disorder in the Matanuska-Susitna Borough." This conference was in partnership with Division of Juvenile Justice's Southcentral Probation Office, the Alaska Mental Health Trust, Frontier Community Services and faculty from the University of Michigan.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The State of Alaska partners with tribal health corporations to fund community-level prevention strategies driven by the needs of individual communities or regions. Currently, the State's prevention partners are Bristol Bay Health Corporation, Fairbanks Native Association, Maniilaq, Akiachak Native Community, Southeast Alaska Regional Health Consortium, Asa'carsarmiut, Association of Village

Council Presidents, Cook Inlet Tribal Council, Copper River Native Association, Ketchikan Indian Corporation, Kodiak Area Native Health Association, Native Village of Gakona, Nulato, Tanana Chiefs Conference, Yukon Kuskokwim Health Corporation, Eastern Aleutian Tribes, and Norton Sound Health Corporation. The State also works in close partnership with the Alaska Native Health Consortium and Cook Inlet Tribal Council, and relies on the input and support of leaders from the Alaska Native community through informal and formal avenues, including participation in the SPF SIG processes. These processes include the Advisory Committee, Epidemiological Committee, and Evidence-Based Work Group. Representatives from the Alaska Native Justice Center serve on the Alaska Committee to Prevent Underage Drinking.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
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Agencies/organizations that established best practices standards:

Federal agency(ies):	No
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Agency(ies) within your state: Alaska Committee to Prevent Underage Drinking	Yes
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Nongovernmental agency(ies):	No
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Other:	No
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Best practice standards description: Recommendations are included in the State of Alaska Plan to Reduce and Prevent Underage Drinking, which can be found at

<http://dhss.alaska.gov/dbh/Documents/Prevention/UnderagedrinkingUpdated.pdf>

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
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Committee contact information:

Name: Sara Clark

Email: sara.clark@alaska.gov

Address: 3601 C Street, Suite 934, Anchorage, AK 99503

Phone: 907-269-3781

Agencies/organizations represented on the committee:

Division of Juvenile Justice

Alcohol Beverage Control Board

University of Alaska College of Health

Department of Education and Early Development

Alcohol Safety Action Program

University of Alaska Justice Center

Alaska Native Justice Center

Alaska Mental Health Board

Alaska Court System

DHSS / Division of Behavioral Health / Prevention & Early Intervention Section

<i>A website or other public source exists to describe committee activities</i>	No
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URL or other means of access: Not applicable

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
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Prepared by: Alaska Committee to Prevent Underage Drinking

Plan can be accessed via: <http://dhss.alaska.gov/dbh/Documents/Prevention/UnderagedrinkingUpdated.pdf>

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
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Prepared by: University of Alaska Anchorage Justice Center (December 2012)

Plan can be accessed via:

http://justice.uaa.alaska.edu/research/2010/1010.voa/1010.04.youth_alcohol_access.update.html

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking*Compliance checks in retail outlets:*

Estimate of state funds expended	\$100,000
Estimate based on the 12 months ending	6/30/2015

Checkpoints and saturation patrols:

Estimate of state funds expended	\$219,642
Estimate based on the 12 months ending	10/1/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$2,625,701
Estimate based on the 12 months ending	6/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended	\$11,475
Estimate based on the 12 months ending	6/30/2015

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$107,781
Estimate based on the 12 months ending	6/30/2015

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	No data
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	Yes
Fines	Yes
Fees	No
Other: The comprehensive behavioral health community grant program represents funding from the State's General Fund (GF), alcohol tax funds, inter-agency receipts, and revenue from fines. Other sources of funding for UAD prevention include the SAPT Block Grant 20% set-aside, the Federal SPF SIG grant, and the Federal SAPT and SPF S	Yes

Description of funding streams and how they are used:

Alcohol tax fund State dollars (approximately \$250,000 in SFY2015) were used to fund a statewide underage drinking prevention media campaign operated by the Alaska Wellness Coalition. The goal of the program is to conduct a coordinated media campaign to reduce underage drinking that accounts for messages and efforts at the local, state, and federal level. The Campaign will focus on evidence-based environmental prevention strategies to change social norms regarding youth alcohol. By using this approach, the Alaska Wellness Coalition will maximize communication, outcomes, and economic use of resources, and reduce duplicate and ineffective approaches. The campaign kicked-off in the Spring of 2015.

Additional Clarification

No data



Arizona

State Population: 6,828,065

Population Ages 12–20: 798,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	173,000 (21.7%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	16,000 (5.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	49,000 (18.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	109,000 (42.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	114
Years of Potential Life Lost (under 21)	6,896
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	18
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	20%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Notes: Arizona has a statutory provision that makes it unlawful "[f]or a person under the age of twenty-one years to have in the person's body any spirituous liquor." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through both judicial and administrative processes
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable	Yes

from licenses for persons 21 and over?	
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	0
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians, or adults before advancing to intermediate stage?	0 (with driver education; 30 hours without [10 of which must be at night])
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for

	night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 18 who is not driver's sibling, unless accompanied by a parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	15
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes; age-appropriate appearance; limited use of cosmetics, no jewelry associated with adulthood (such as wedding or engagement ring); limited facial hair (no full beards or mustaches), no receding hairlines, appropriate height and weight
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	2 years
What is the penalty for the first offense?	\$1,000–\$2,000 fine and/or up to 30-day suspension
What is the penalty for the second offense?	\$2,000–\$3,000 fine and/or up to 30-day suspension
What is the penalty for the third offense?	\$3,000 fine and/or up to 30-day suspension
What is the penalty for the fourth offense?	Not specified
Notes: Mitigating or aggravating factors considered.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	16
Does a manager or supervisor have to be present?	Yes
Notes: Off-premise retailers may employ persons who are at least 16 years of age at check out, if supervised by a person on the premises who is at least 19 years of age, package or carry merchandise, including spirituous liquor, in unbroken packages, for the convenience of the customer of the employer, if the employer sells primarily merchandise other than spirituous liquor.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	

Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 300 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are 1) restaurants; 2) hotels/motels; 3) government; and, 4) golf courses. In addition, case-by-case exemptions may apply for certain licenses within entertainment districts.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/ consumption
Property type covered by the law?	Residential/outdoor/ other
What level of knowledge by the host is required?	Negligence: Host knew or should have known of the party
Does host's preventive action protect him/her from being held liable?	No

Are there any exceptions for underage guests?	Yes, family members and residents of household
Notes: Arizona's social host provision applies to gatherings of two or more underage persons on unlicensed premises, where the person charged knows or should know that one or more of the underage persons is in possession of or consuming spirituous liquor.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	Yes
Notes: A farm winery that produces not more than 20,000 gallons of wine and a craft distillery that produces not more than 1,189 gallons of distilled spirits in a calendar year may make sales and deliveries of their product to consumers who order by telephone, mail, fax, or through the Internet.	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law

Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.84
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.00
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Arizona State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Arizona Department of Liquor

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Not applicable

Such laws are also enforced by local law enforcement agencies

Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

350

Number pertains to the 12 months ending

06/30/2016

Data include arrests/citations issued by local law enforcement agencies

No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

9,950

Number of licensees checked for compliance by state agencies

119

(including random checks)

Number of licensees that failed state compliance checks

49

Numbers pertain to the 12 months ending

06/30/2016

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations

No

Number of licensees subject to **random** state compliance checks/decoy operations

Not applicable

Number of licensees that failed **random** state compliance checks

Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Don't know

Number of fines imposed by the state⁴

Not applicable

Total amount in fines across all licensees

Not applicable

Smallest fine imposed

Not applicable

Largest fine imposed

Not applicable

Numbers pertain to the 12 months ending

Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	0
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	06/30/2016
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	06/30/2016

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Arizona Youth Partnership

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Arizona Youth Partnership has targeted the rural communities of Ajo, Catalina, Marana, and Sahuarita located in Pima County for the Strategic Prevention Framework, Partnership for Success (SPF-FPS). This project focuses on underage drinking and prescription drug misuse for ages 12-24. They are working with a local coalition in each community to address the specific needs of that community. Over the past year, they developed a needs and readiness assessment for each of the communities listed above. Some of the challenges are the isolated nature of these communities and the disparity between the new families with a high median income and the long-standing families with lower incomes, lack of parental involvement in volunteer activities regarding the school, and little or no local resources. In Ajo, the proximity to the U.S./Mexico Border makes this community a high drug-trafficking corridor. The level of poverty also makes becoming part of the drug trade an attractive option, and there is a high perception of availability of drugs and infrequent pro-social activities that are not centered around the school. When school is not in session, there are no activities on campus. An implementation plan has been established to address these issues and others in the coming year.

Casa Grande Alliance, Inc. (CGA)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Casa Grande Alliance, Inc. (CGA) is the fiscal agent for the Pinal County Substance Abuse Council (PCSAC). PCSAC membership entails key stakeholders and leaders from seven prevention coalitions throughout Pinal County. PCSAC’s mission statement is “Through collaboration with key community stakeholders, the Council works to reduce substance abuse across Pinal County through policy development, prevention, and education.” CGA working is with the SPF-FPS Grant. This project focuses on underage drinking and prescription drug misuse for ages 12-24. One of the needs is re-educating the community on medication dropbox locations. They have decided to address inappropriate promotion of use and easy access to help build upon prevention efforts previously addressed through the RX Initiative. The PFS project can build on and strengthen the existing efforts in these areas. Furthermore, they found that overall, youth do not believe substance use is risky or harmful and they are not having conversations with a parent or guardian about the dangers or consequences of substance use. In the coming year, CGA plans to reduce 30-day use for both alcohol and prescription drug use among youth and young adults.

Parker Area Alliance for Community Empowerment (PAACE)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.paace.org

Program Description: Parker Area Alliance for Community Empowerment (PAACE) addresses underage drinking by implementing community development strategies and providing community education and training on the risks, harms, and consequences of underage drinking for youth and adults. Activities include a life skills component to help youth decrease favorable attitudes toward substance (ab)use and increase knowledge of the perceived risks and harms of underage drinking. The number of clients served directly and indirectly (including youth, parents, and caregivers) was 10,033 for the year ending June 2016.

Campešinos Sin Fronteras

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://campesinossinfronteras.org

Program Description: Campešinos Sin Fronteras is implementing the Primero La Familia/Family First Program to address the high rates of alcohol abuse and controlled substance use in the rural areas of Yuma County, Arizona. The program increases and enhances parental involvement in community-based prevention and intervention, targeting the serious risks and public health problems caused by abuse of alcohol and controlled substances. The program uses multiple strategies to increase parental involvement, including peer education groups, parent and caregiver community mobilization, implementation of the South Yuma County Anti-Drug Coalition Subcommittee, youth leadership development, and a family/community theater production. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 39,785 for the year ending June 2016.

La Frontera Center

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.lafronteraaz.org

Program Description: LaFrontera Center provides parent education to refugee families who have relocated in Tucson, Arizona. The goal is to prevent underage drinking among refugee youth. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 38,224 for the year ending June 2016.

MATForce

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.MATForce.org

Program Description: Due to an overwhelming concern for substance abuse and its effects, MATForce, the Yavapai County Substance Abuse Coalition, was formed. The coalition consists of more than 300 volunteers working on various projects to reduce substance abuse in Yavapai County. The coalition's mission statement is: "With determination and integrity, we, the citizens of Yavapai County, commit to working in partnership to build healthier communities by striving to eliminate substance abuse and its effects." The coalition's five goal statements are: (1) support prevention programs for youth and families; (2) increase the capacity to intervene and treat; (3) address the problem of underage drinking; (4) influence public opinion and policy; and (5) reduce prescription drug abuse. MATForce consists of various committees and workgroups, each working toward objectives of the specified goal statements. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 66,937 for the year ending June 2016.

Arizona Youth Partnership (AZYP)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.azyp.org

Program Description: Arizona Youth Partnership (AZYP) is implementing an evidence-based curriculum called Strengthening Families Program for Parents and Youth 10-14. It is also implementing a FamJam curriculum. The programs raise awareness about underage drug and alcohol use and misuse. AZYP looks to reach youth, parents, and families across six Arizona counties. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 8,137 for the year ending June 2016.

Pasadera Behavioral Health Network (formerly Compass Health Care)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.pasaderanetwork.org

Program Description: The goal of the Pasadera Behavioral Health Network (formerly Compass Health Care) project is for the Community Prevention Coalition to target parents in Pima County through the development and implementation of multiple strategies that educate parents on the harms and consequences associated with youth alcohol and prescription drug use. The project teaches effective parenting skills and practices to engage parents in their child’s life as a protective factor, in order to reduce youth risk of alcohol and prescription drug use. Parents become more informed on issues of youth alcohol use and the current prescription drug epidemic while learning to communicate with their youth in a way that positively impacts youth’s choices, which ultimately prevents youth alcohol and prescription drug use. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 397,166 for the year ending June 2016.

Chicanos Por La Causa (CPLC)- Parenting Arizona

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.parentingaz.org

Program Description: Chicanos Por La Causa (CPLC)- Parenting Arizona enhances parental involvement and education regarding the risks involved in alcohol (underage drinking) and synthetic drug use through implementation of the FAST and school-based prevention models. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 21,301 for the year ending June 2016.

Southeastern Arizona Behavioral Health Services (SEABHS)

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.seabhs.org

Program Description: The I Matter Program proposes to continue to encourage parent/student communication by utilizing the evidence-based curriculums Keep A Clear Mind for Graham County 4th grade students and the newly improved Be A Winner program for the 5th grade students. These programs focus on marijuana, underage drinking and prescription drug misuse/abuse. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 11,840 for the year ending June 2016.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description:

CGA Inc. —proposes to implement a multi-tiered program to reduce the risk factors of ineffective parenting, low perception of drug-use risk, and easy access to alcohol/drugs, and enhance the protective factor of strong and positive family bonds. To increase and enhance parental and caregiver involvement, CGA will implement the Strengthening Families Program for Parents of Youth 10-14. This evidence-based program has been shown to be effective with the risk and protective factors identified for this project. In order to increase education about the serious risks and public health problems caused by substance abuse, CGA will provide substance abuse prevention, Play Healthy for coaches and parents, and outreach to military families. CGA will do this by providing information, workshops, community awareness events, and the use of social media. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 856,135 for the year ending June 2016. <http://casagrandealliance.org>

Arizona State University (ASU) Southwest Interdisciplinary Research Center (SIRC)—Keepin’ it REAL, a SAMHSA model that is a proven effective drug prevention and resistance program for 6th, 7th, and 8th grade students, is being implemented in four schools in the Florence School District. A goal of this program is to increase youth

resiliency by protecting against substance use and risky behaviors by increasing use of the REAL strategies. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 3,726 for the year ending June 2016. <https://asu.edu>

CODAC Behavioral Health—in collaboration with the Liberty Partnership, Kino Neighborhood Council, and the Sunnyside Unified School District, CODAC will offer the SFP for Parents and Youth 6-10 with in the Sunnyside District's Schools. To complement the program and further enhance the knowledge of the risks and harms associated with substance abuse/use, supplemental materials will be created and disseminated to the families who attend the SFP program as well as community members through presentations and community events in the Liberty Partnership Council/Kino Neighborhoods. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 6,300 for the year ending June 2016. <http://codac.org>

Community Bridges, Inc. Parents in the Know Program—provides education and training to parents, community members, and professionals. The focus of the workshops and parent education is providing current information about trending youth drug use and experimentation. Parent education includes components that give parents tools about how to communicate with their children on the hazards of drug and alcohol experimentation and use and facilitate trust between parent and child so they can address these issues openly. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 5,508 for the year ending June 2016. <http://communitybridgesaz.org>

Friendly House Drug Prevention Parent Education Program—will utilize the evidence-based Guiding Good Choices and the research-based Parents 360/Padres 360 programs. These are both proven, effective program models. Programs will be offered to provide drug prevention parenting education to families in Central and South Phoenix with children in grades 4-8 in at least 20 schools and community-based settings in Central and South Phoenix. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 5,117 for the year ending June 2016. <http://friendlyhouse.org>

ICAN's Parent Education Program —is designed to increase parents' understanding of the serious risks and public health problems caused by alcohol/substance abuse, and to enhance parent involvement in the lives of their children and in community activities designed to prevent or reduce youth alcohol/substance abuse. The program addresses three primary goals: (1) Increase and enhance parent and caregiver involvement in the lives of their children; (2) Increase parent and caregiver understanding of the risks and problems caused by alcohol and substance abuse; and (3) Increase parent and child involvement in activities to reduce youth drug/alcohol abuse. The strategies provide the necessary drug education for parents and strengthen their understanding of the personal and neighborhood health impact of substance use and abuse. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 1,107 for the year ending June 2016. <http://icanaz.org>

Office of the Arizona Attorney General Guiding Good Choices Program— is an evidence-based program designed to teach skills that can help prevent drug and alcohol abuse in families. This program utilizes lecture, video, interactive activities, and home practice which can be used to create a healthier family environment. The number of clients served directly or indirectly (including youth, parents, and caregivers) was 2,529,535 for the year ending June 2016. <http://azag.gov>

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Arizona has intergovernmental agreements with the Gila River Indian Community and Pascua Yaqui Tribe to provide alcohol-related substance abuse prevention services. Arizona subcontracts to private nonprofit corporations (regional behavioral health authorities) that: (1) provide alcohol-related substance abuse prevention services directly to the San Carlos Apache Tribe, (2) provide alcohol-related prevention services to the Ak-Chin Indian Community and Hopi Nation, and (3) work collaboratively to write grants and develop capacity to deliver alcohol-related substance abuse prevention services to the Hualapai Nation.

<p><i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i></p> <p>Description of program: Develop and support a community campaign that increases compliance with existing statutes that (1) prohibit the sale of alcohol to minors and inebriated patrons, and (2) prohibit excessive alcohol marketing in the form of billboards and vinyl banners.</p>	Yes
<p><i>State has adopted or developed best practice standards for underage drinking prevention programs</i></p> <p>Agencies/organizations that established best practices standards:</p> <p>Federal agency(ies):</p> <p>Agency(ies) within your state: Arizona Department of Health Services/Division of Behavioral Health Services</p> <p>Nongovernmental agency(ies):</p> <p>Other:</p> <p>Best practice standards description: Arizona conducted statewide strategic planning for prevention of underage drinking and prevention of marijuana use in May 2012. More than 200 people attended each meeting, representing various entities including coalitions, education, law enforcement, military, LGBTQ, medical, and community. Attendees developed the statewide goals, objectives, and strategies. Each session culminated in the creation of a statewide strategic plan for prevention of the target substance as well as a work plan, which outlined specific tasks.</p>	<p>Yes</p> <p>No</p> <p>Yes</p> <p>No</p> <p>No</p>

Additional Clarification
No data

State Interagency Collaboration

<p><i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i></p> <p><i>Committee contact information:</i></p> <p>Name: Samuel Burba Email: sburba@az.gov Address: 1700 West Washington Street, Phoenix, AZ 85007 Phone: (602) 542-6004</p>	Yes
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- Agencies/organizations represented on the committee:*
- Maricopa County Attorney
 - Governor's Office for Children, Youth and Families
 - Arizona Department of Liquor Control Technology Solutions
 - Graham County Substance Abuse Coalition
 - Governor's Office of Highway Safety
 - Citizens Against Substance Abuse
 - Apache Junction Police Department
 - Arizona High Intensity Drug Trafficking Area
 - Arizona Supreme Court
 - Arizona Attorney General's Office
 - Arizona State University
 - Phoenix Institute for Psychotherapy
 - AZ Board of Behavioral Health Examiners
 - Arizona Criminal Justice Commission
 - Veteran's Administration Medical Center
 - Arizona Health Care Cost Containment System
 - Arizona Department of Corrections
 - Arizona Department of Public Safety
 - Parker Area Alliance for Community Empowerment
 - Arizona Department of Economic Security

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://goyff.az.gov/CommGroups/ASAP.asp	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Arizona Department of Health Services/Division of Behavioral Health Services	
Plan can be accessed via: No data	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Not sure
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Data not available	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Arkansas

State Population: 2,978,204

Population Ages 12–20: 349,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	65,000 (18.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (2.2%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	22,000 (17.6%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	41,000 (35.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	55
Years of Potential Life Lost (under 21)	3,309
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	17
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	24%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Notes: Arkansas law provides that intoxicating liquor, wine, or beer in the body of a minor will be deemed to be in his or her possession. Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if	No

the ID is ultimately deemed valid?	
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	Yes
Notes: Under Arkansas law, a seller's detention of a person under 21 for use of false identification "shall not include a physical detention."	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)–For Ages 18-20	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	60
Maximum number of days	60

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)–For Ages 17 and Under	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	0
Maximum number of days	Not specified

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no unrelated minor passengers in the motor vehicle unless the driver is accompanied by a licensed driver who is 21 years of age or older.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: Arkansas' statute regarding furnishing alcohol to any person under 21 years old includes an exception for "family" members," but does not specify which family members. For purposes of this report, the phrase "family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, should have a youthful appearance

	and dress; males should be clean-shaven and females should not wear excessive jewelry or makeup
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	\$200 to \$500
What is the penalty for the second offense?	\$400 to \$1,000
What is the penalty for the third offense?	\$800 to \$1,500
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21

Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1000 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Wine, spirits
Notes: There is an exception for small farm wine retail off-premises permit-holders.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members
Notes: Arkansas's social host provision applies only to a person who is present and in control of the private property at the time the consumption occurs.	
Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	

Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	Yes
Age verification requirements	
Must the producer/shipper verify purchaser’s age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser’s name?	No
Must the common carrier (deliverer) record/report recipient’s name?	No
Shipping label requirements	
Must the label state “Package contains alcohol”?	No
Must the label state “Recipient must be 21 years old”?	No

Keg Registration	
How is a keg defined (in gallons)?	More than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 90 days)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 90 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$75
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No
High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No

Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.24
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	1.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	1.00%
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.75
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	3.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	3.00%

Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	14.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	14.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	3.00%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	3.00%
Additional taxes for 15 – 50% alcohol spirits if applicable	\$1.00 per gallon for alcohol content of less than 26.25% but more than 6.25%.

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Arkansas State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Arkansas Alcoholic Beverage Control Enforcement	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	5,100
Number of licensees checked for compliance by state agencies (including random checks)	3,040
Number of licensees that failed state compliance checks	413 14%
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	3,040
Number of licensees that failed random state compliance checks	413 (14%)
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Don't know/No answer
Data are collected on these activities	Don't know/No answer
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	474
Total amount in fines across all licensees	\$196,450
Smallest fine imposed	\$300

Largest fine imposed	\$1000 - fines increase from the \$300 fine each time the same outlet sells to a minor
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes
Number of suspensions imposed by the state ⁵	3
Total days of suspensions across all licensees	20
Shortest period of suspension imposed (in days)	2 days
Longest period of suspension imposed (in days)	14 days
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

All compliance checks are done randomly. The agents have their lists of permitted outlets and they keep a record of all the outlets they have completed a compliance check on.

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Arkansas SPF-PFS Partnership For Success

Program serves specific or general population	Specific population
Number of youth served	101,657
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Evaluation in progress
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Arkansas SPF-PFS will benefit youth/adolescents aged 12-25 who reside in counties that have high prevalence rates of substance abuse, especially those with high rates of underage drinking and prescription drug abuse and misuse. Each year, it is anticipated that at least 85% of the targeted population will be affected and/or reached from the implementation of the proposed strategies. The overall goal of the PFS funding opportunity (DBHS Prevention) is to reduce opportunities for underage drinking and address availability and access to alcohol by 1) increasing the enforcement of existing laws of merchants who sell to youth and people who provide alcohol to underage youth and 2) reducing community tolerance (including that of parents) for underage drinking. The inclusion of youth-led prevention activities will build the capacities and skills of youth and also promote healthy community and social norms against underage drinking. This enhancement will continue the SPF-SIG efforts of awareness in schools and in communities while addressing prescription drug abuse and misuse. With continued efforts and enhanced infrastructure, DHS/DBHS Prevention will have a larger impact in reducing underage drinking and prescription drug misuse and abuse.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program Description: The Arkansas SPF-PFS will benefit youth/adolescents aged 12-25 who reside in counties that have high prevalence rates of substance abuse, especially those with high rates of underage drinking and prescription drug abuse and misuse. Each year, it is anticipated that at least 85 percent of the targeted population will be affected and/or reached from the implementation of the proposed strategies.

The overall goal of the PFS funding opportunity (DBHS Prevention) is to reduce opportunities for underage drinking and to address availability and access to alcohol by 1) increasing the enforcement of existing laws of merchants who sell to youth and people who provide alcohol to underage youth and 2) reducing community tolerance (including that of parents) for underage drinking. The inclusion of youth-led prevention activities will build the capacities and skills of youth and also promote healthy community and social norms against underage drinking. This enhancement will continue the SPF-SIG efforts of awareness in schools and in communities while addressing prescription drug abuse and misuse. With continued efforts and enhanced infrastructure, DHS/DBHS Prevention will have a larger impact in reducing underage drinking and prescription drug misuse and abuse.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: Arkansas Department of Human Services, Division of Behavioral Health Services (DBHS) Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: No data

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Kristy Bondurant
 Email: KBondurant@afmc.org
 Address: 1020 W. 4th St., SUITE 300, Little Rock, AR 72201
 Phone: 501-212-8614

Agencies/organizations represented on the committee:

- Criminal Justice Institute (CJI)
- Regional Prevention Providers (RPP)
- Arkansas Foundation for Medical Care (State Epidemiological Outcome Workgroup) (SEOW)
- Arkansas Department of Health
- Arkansas State Drug Director’s Office
- University of Arkansas at Little Rock Mid-South
- Arkansas Project Launch
- Arkansas Department of Education
- Drug Free Committees
- Arkansas State Police Department
- Arkansas Collegiate Drug Education Committee
- Public School Program Coordinator

AR Youth Leadership Initiative
 ASU Arkansas Biosciences Institute (ABI)
 Coalition for a Tobacco Free Arkansas
 M.A.D.D. (PFS-PSP coalition sub-recipient)

A website or other public source exists to describe committee activities	Yes
URL or other means of access: http://www.preventionworksar.org/	

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: Arkansas Department of Human Services/Division of Behavioral Health Services	
Plan can be accessed via: http://humanservices.arkansas.gov/dbhs/Documents/Arkansas%20Prevention%20Strategic%20Plan%20for%20Five%20Years.pdf	

State has prepared a report on preventing underage drinking in the last 3 years	Not sure
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$26,212
Estimate based on the 12 months ending	12/31/2015
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$1,384,456
Estimate based on the 12 months ending	6/30/2016
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$65,000
Estimate based on the 12 months ending	6/30/2016
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$90,000
Estimate based on the 12 months ending	6/30/2016
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Arkansas SFP-PFS	
Estimate of state funds expended:	\$1.7 Million
Estimate based on the 12 months ending:	6/30/2016

Funds Dedicated to Underage Drinking

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
No data	

Additional Clarification

No data



California

State Population: 39,144,818

Population Ages 12–20: 4,917,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	1,113,000 (22.6%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	48,000 (3.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	289,000 (18.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	776,000 (42.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	503
Years of Potential Life Lost (under 21)	30,247
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	98
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	23%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes Yes
Is there an exception based on location?	Yes, in any private location
Notes: California's "any private location" exception excludes possession in motor vehicles. California's statute regarding possession of alcohol by a person under the age of 21 includes an exception for "responsible adult relative" but does not specify which relatives are included. For purposes of this report, the phrase "responsible adult relative" is interpreted as including a spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.01
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, no passengers under 20,

	unless accompanied by a parent, guardian, instructor or licensed driver over 25
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes; no hats, sunglasses, tattoos, visible body piercing; clothing with college or alcohol verbiage/logos; minimal jewelry; not large in stature; appropriate dress for age; hair that does not obscure facial features. Male: no facial hair, really short hair, balding or receding hairline. Female: minimal makeup, no provocative clothing.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	3 years

What is the penalty for the first offense?	15-day license suspension
What is the penalty for the second offense?	25-day license suspension
What is the penalty for the third offense?	License revocation
What is the penalty for the fourth offense?	Not specified
Notes: Retailer has option to accept fine in lieu of suspension. List of aggravating and mitigating factors provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1½ miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1½ miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds
To which alcohol products does requirement apply?	Wine, spirits (Does not include products of 4% ABV or less.)
Notes: Exceptions to the college restriction exist for numerous individual colleges and universities.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes; minor must be obviously intoxicated at time of alcohol furnishing
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (Knowledge of underage status)
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
Notes: An individual or retail licensee in a state that affords California retail licensees or individuals an equal reciprocal shipping privilege, may ship, for personal use and not for resale, no more than two cases of wine (no more than nine liters each case) per month to any adult resident in this state. Delivery of a shipment under this provision shall not be deemed to constitute a sale in California.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 6
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1000 / 6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes

Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes, more than 60%
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.30
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No
Notes: On-premises retailers may offer a free drink on a case-by-case basis.	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set	Post

period of time?	
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

California State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The California Department of Alcoholic Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

California Department of Alcoholic Beverage Control

Such laws are also enforced by local law enforcement agencies

Yes

Enforcement Statistics

State collects data on the number of minors found in possession

No

Number of minors found in possession by state law enforcement agencies

Not applicable

Number pertains to the 12 months ending

Not applicable

Data include arrests/citations issued by local law enforcement agencies

Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

74,523

Number of licensees checked for compliance by state agencies

2,777 (2014-2015)

(including random checks)

Number of licensees that failed state compliance checks

415 (2014-2015)

Numbers pertain to the 12 months ending

06/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

Don't know

Number of licensees that failed **random** state compliance checks

Don't know

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

No

Number of fines imposed by the state⁴

Not applicable

Total amount in fines across all licensees

Not applicable

Smallest fine imposed	\$750
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

In addition to information provided, the California Highway Patrol also collects specified data related to underage drinking. The following data covers California Highway Patrol enforcement efforts during the period of January 1, 2015, through December 31, 2015:

- 437 citations for a driver under the age of 21 with .01% to less than .05% blood alcohol content
- 506 citations for a driver under the age of 21 driving with .05% blood alcohol content or greater
- 56 citations for a driver under age 21 with alcohol in the vehicle
- 42 citations for a passenger under 21 in possession of alcohol
- 86 citations for a minor in possession of alcohol

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

California Statewide/County Primary Prevention Efforts

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Process measures are reported in California Outcome Measurement Service for Prevention (CalOMS Pv). Level of evaluation provided varies by county. Reports are available upon request (if available).
URL for more program information:	No data

Program Description: California allocates SABG prevention funds through the Department of Health Care Services (DHCS) to counties based on population size. California requires that each county creates a strategic prevention plan utilizing the Strategic Prevention Framework (SPF) to ensure that primary prevention services are

implemented based on local need. DHCS supports local efforts by monitoring contractual requirements with counties, providing training and technical assistance to support counties with their primary prevention efforts, and providing coordinated leadership and guidance to the substance abuse prevention field. As of June 2015, 48 of California's 58 counties identified underage drinking as a priority in their county's strategic prevention plan. The most frequently implemented evidence-based programs in California are Project Alert, LifeSkills Training, Strengthening Families, Project Success, Project Towards No Drug Abuse, Communities Mobilizing for Change on Alcohol, and Guiding Good Choices.

Examples of local innovative programs in California emphasizing underage drinking include:

- *Native American Health Center, Inc., Youth Service Program, Alameda County:* This program is designed to build resiliency by teaching positive health habits, strengthening families through parent involvement, countering peer pressure by developing youth role models, and creating a healthy environment that maximizes participation in positive social activities. Program objectives are to lower the risk of youth involvement in alcohol and other drugs. This program provides a comprehensive, culturally appropriate continuum of care that targets Native American youth as well as a broad spectrum of youth from all ethnic backgrounds.
- *The Harbor Friday Night Live (FNL) Program, Lake County:* The program is designed for high-school-aged young people. Motivated by youth/adult partnerships, it creates powerful opportunities to enhance and improve local communities through community service, social action activities, and participation in advocacy for safe and healthy environments. This program is organized by youth to appeal to youth. Youth work to change norms, promote policies, and educate/engage their communities about what they can do to reduce underage drinking.
- *Cambodian Association of America, Prevention Community Council, Los Angeles County:* Bi-monthly Prevention Community Council meetings, which include community leaders, law enforcement, community members, parents, and youth, seek to support community collaboration in addressing underage drinking and decreasing alcohol accessibility to youth at social settings.
- *Korean Youth & Community Center, Los Angeles County:* The program works with off-sale alcohol outlets to implement the "Card Under 35" merchant pledge campaign, an effort to reduce the availability of, and access to, alcohol by underage youth. Activities include educating merchants on how youth access alcohol; working with local coalitions, neighborhood councils, prevention professionals, and other stakeholders to identify policy initiatives; developing a social media campaign; and working with local media outlets.
- *Parents Who Host Lose The Most, Los Angeles County:* This public awareness program educates communities and parents about the health and safety risks of serving alcohol at teen parties. It concentrates on celebratory times for youth, such as homecoming, holidays, prom, graduation and other times when underage drinking parties are prevalent. The program encourages parents and the community to send a unified message that teen alcohol consumption is unhealthy, unsafe, and unacceptable.
- *Youth Leadership Institute, Thurgood Marshall High School, Sacramento County:* Thurgood Marshall student leaders implemented a positive social norms marketing campaign to reduce underage drinking on their campus. Students collect information about perceived and real rates of drinking and associated consequences. The data are used to highlight positive behaviors through media campaigns that target youth and parents.
- *Youth Prevention Advocates, Placer County:* Youth are engaged as advocates to create policy, systems, and environmental change. Youth learn about underage drinking prevention, skills for community engagement, how to conduct community-based research, and means for influencing policy. Youth are also trained to conduct focus groups with other youth to help in action planning.
- *Mt. Shasta Community Resource Center, Siskiyou County:* This county substance use prevention education and environmental prevention program provides education and leadership opportunities to the general student body in each participating middle and high school. Each chapter has a leadership team, comprised of four student leaders and one adult leader. Weekly chapter meetings focus on activities to reduce underage drinking and other substance use, including building skills and relationships, reducing bullying and harassment, promoting positive school climate, increasing academic achievement and commitment, and promoting environmental prevention change.

Strategic Prevention Framework State Incentive Grant (SPF SIG)

Program serves specific or general population

General population

Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.dhcs.ca.gov/provgovpart/Pages/SPFSIG.aspx

Program Description: Since September 2010, DHCS has implemented a SPF SIG to (1) provide streamlined state and county planning/action/outcomes processes; (2) create a means for communicating statewide prevention priorities at all levels; (3) increase the use of data in local planning; and (4) provide more coordinated and effective evidence-based prevention efforts. Following the SPF SIG five-step process, a statewide needs assessment was conducted, the results of which identified underage and excessive drinking among 12- to 25-year-olds as the priority of the California SPF SIG project. Twenty-four communities were paired and a random selection process determined 12 project communities and 12 control communities. Communities are currently implementing environmental prevention strategies that address both retail and social access aimed at the general population in order to change community norms. Research indicates that by implementing these strategies, underage and young adult use and consequences related to alcohol will be reduced.

Community Prevention Initiative (CPI)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Training evaluations are reported to DHCS to monitor efficacy of TTA. http://www.ca-cpi.org
URL for more program information:	No data

Program Description: Community Prevention Initiative (CPI) provides no-cost training and technical assistance (TTA) in substance use disorder prevention. CPI is administered by the Center for Applied Research Solutions and funded and directed by DHCS. CPI provides TTA support to California agencies and organizations to reduce and manage community-level risks and problems resulting from alcohol, tobacco, and other drug availability, manufacture, distribution, promotion, sale, and/or use. During this project year, training services aimed at mitigating problems associated with underage and excessive alcohol use included:

- Environmental prevention
- Addressing high-risk populations (e.g., foster youth, Native American youth, youth in military families)
- From risk to resilience
- Responsible beverage service training
- Capacity development
- Cultural competency

The CPI project also provides written products to support knowledge transfer of successful, evidence-based underage and binge drinking prevention strategies. These publications are available online and include:

- *Binge Drinking: Community Action to Reduce Binge Drinking*
- *Policy Strategies to Reduce Underage and Binge Drinking*
- *Social Host Ordinances: An Approach to Prevent Underage Drinking*

California Friday Night Live Partnership (CFNLP)

Program serves specific or general population	Specific population
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Number of youth served	40,000 participants and at least 300,000 exposed to campaigns, messages, etc.
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	www.fridaynightlive.org
URL for more program information:	www.fridaynightlive.org

Program Description: California Friday Night Live Partnership (CFNLP) is a youth development program predicated on youth and adults working in partnership to influence underage drinking and other related issues that are relevant to their well-being and that of their community.

CFNLP Programs:

- Encourage young people to develop programs that are meaningful
- Promote messages through shared experiences
- Encourage peer-oriented programming (youth driven and youth led)
- Are goal-directed, action-oriented, and innovative
- Encourage and empower young people as active leaders and community resources
- Have broad appeal to diverse ethnic, racial, and social groups
- Encourage youth to care about each other and their environment
- Offer conferences and trainings for young people to develop leadership skills

Many CFNLP programs engage youth in environmental prevention efforts to reduce underage drinking. Also, every other year, the CFNLP collaborates with the Interagency Prevention Advisory Council to provide support and leadership to Town Hall Meetings on underage drinking.

California Highway Patrol – Every 15 Minutes Program

Program serves specific or general population	Specific population
Number of youth served	128,192
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The California Highway Patrol – Every 15 Minutes Program is a two-day program for high school juniors and seniors. It challenges students to think about drinking, driving, personal safety, the responsibility of making mature decisions, and the impact their decisions have on family, friends, and their community.

California Highway Patrol – Smart Start Program

Program serves specific or general population	Specific population
Number of youth served	1,119 driver safety education classes (classroom youth and parents), and 801 public awareness presentations with a total of 186,046 people impacted.
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No

Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	www.chp.ca.gov/programs-services/programs/youth-programs/start-smart-driving-smart-to-stay-safe
<p>Program Description: The California Highway Patrol Smart Start Program is aimed at helping newly licensed or soon-to-be-licensed teenage drivers (ages 15 through 19) become more aware of the responsibilities that accompany the privilege of being a licensed California driver. Teen drivers and their parents/guardians are invited to attend and participate in a free two-hour Start Smart class usually hosted at a local California Highway Patrol (CHP) office. CHP officers speak directly to the new drivers and their parents/guardians through candid conversations, discussing topics such as collision avoidance techniques along with collision causing elements, which includes excessive speed, driving under the influence, and distracted driving. Start Smart makes teens and parents aware of the responsibilities they face and teaches what precautions to take to stay safe, such as the importance of seat belts, unsafe passengers in the vehicle, and what to do when involved in a collision.</p>	

California State University (CSU) (completed by the Office of the Chancellor for the 23 CSU campuses)

Program serves specific or general population	Specific population
Number of youth served	168,113
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	www.calstate.edu/ATOD - CSU collects and publishes a biennial report; California State University Alcohol Policies and Prevention Programs: Sixth Biennial Report
URL for more program information:	California State University Alcohol, Tobacco & Other Drug www.calstate.edu/ATOD

Program Description: California State University (CSU) alcohol prevention and education programs employ a number of strategies to address college alcohol consumption, including peer education programs; targeted awareness activities (e.g., Alcohol Awareness Week, Safe Spring Break, late nights, etc.); collaboration with campus and community partners (e.g., Mothers Against Drunk Driving, local police departments, Alcohol and Beverage Control, etc.); and increasing the number of online assessments and interactive education tools (e.g., Alcohol.Edu, College Wise, eChug, eToke, National College Health Assessment, Screening, Brief Intervention, Referral to Treatment, etc.). Programs also target specific student populations that may be considered at-risk, as indicated by campus-wide assessments or national trends. Examples may include freshman, student athletes, campus residential housing, and Greek student organizations. It is estimated that 35 percent of the student population (approximately 168,000) are under age 21.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Through the Community Prevention Initiative contract, training and technical assistance (TTA) was provided to the following federally recognized tribes to support prevention efforts which include underage drinking:

1. Hoopa Americorp on Native Lands received TTA on capacity building and empowerment efforts to encourage a healthier and connected community, and to focus on preventing underage drinking through strategies that center on healing and wellness.
2. Intertribal Friendship House received training on the effects of underage drinking in native communities. Staff received training on prevention research and information, along with cultural teaching and traditions that can be used to develop relevant prevention strategies.
3. Native Women Health and Wellness Alliance received training on alcohol prevention strategies.
4. Resources for Indian Student Education received training to promote a peer-based, train-the-trainer model of sustainable alcohol and other drug prevention services across diverse Native youth communities within California. Resources for Indian Student Education also received training on the development of youth peer advocacy programs for Native American girls.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program:

1. Healthy Stores for a Healthy Community is housed in the California Department of Public Health’s California Tobacco Control Program (CTCP). This campaign is a statewide collaboration between tobacco use prevention, alcohol prevention, and nutrition partners. Goals are to improve the health of Californians through changes in community stores, and to educate retailers in how in-store product marketing influences consumption of unhealthy products. This long-term effort explores ways in which the retail environment can be used as a force to build healthier communities and neighborhoods. The campaign offers the opportunity to mobilize public health advocates around one environment and build critical mass to leverage social norm change.
2. California Friday Night Live Partnership (CFNLP) has five programs to measure and/or reduce youth exposure to alcohol advertising and marketing, including:
 - *Trace Responsibility for Alcohol Related Emergencies (TRACE)*—created in partnership with California’s liquor law enforcement agency (ABC) to identify adults who provide alcohol to minors and hold them accountable for consequences. CFNLP participants educate merchants, law enforcement and parents while promoting social host ordinance policies.
 - *Lee Law*—This law refers to California Business and Professions Code § 25612.5, which requires off-sale alcohol retailers (e.g. liquor stores, grocery stores) to abide by public health and safety standards to protect surrounding neighborhoods and communities from problems associated with alcohol sales. Goals are to promote compliance with the Lee Law provisions related to off-sale retail alcohol advertising and window coverage practices, and to enact and implement a local ordinance strengthening Lee Law provisions to reduce youth exposure to alcohol advertising. CFNLP project participants (1) assess resources, including any foundational work already accomplished; (2) conduct research to determine Lee Law compliance rates in specific communities; (3) promote voluntary compliance by alcohol retailers; and (4) implement a local ordinance to strengthen the Lee Law provisions (and/or enforce an existing local ordinance).

<ul style="list-style-type: none"> ▪ <i>Responsible Alcohol Merchant Awards (RAMA)</i>—Youth educate merchants on how to improve the environment of their stores in a way that deters or discourages youth alcohol use and access. ▪ <i>Store Makeovers</i>—A store makeover is an option for merchants who have too many advertisements both inside and/or outside of the store, or if the placement of products should be moved (i.e. a beer pyramid placed right next to the candy aisle or the entryway). The purpose of the store makeover is to restrict youth access to alcohol. ▪ <i>Count Me In!</i>—This campaign uses customizable tools such as a video, print materials, pledges, postcards, bumper stickers, and social media to change underage drinking norms. With this campaign, CFNLP participants reach out to young people, parents, alcohol merchants, and community members to recruit their support in the battle against underage drinking. <p>3. CSU’s Alcohol Sales and Advertising Policy prohibits sale of alcoholic beverages at athletic events, and limits advertising to beer and wine on CSU campuses in compliance with Guidelines for Beverage Alcohol Marketing by the National Inter-Association Task Force on Alcohol Issues. Additionally, the CSU Board of Trustees requested all campuses to adopt policies that require "alcoholic beverage trademarks or logos be clearly subordinate to the sponsored event itself. Similarly, the name of an alcoholic-beverage manufacturer or product may not be connected to the name of the institutional event or facility, but may be promoted as a sponsor of the event" (CSU Alcohol Policy and Prevention Program).</p>	<p>Yes</p>
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No
Agency(ies) within your state: Department of Health Care Services; California State University	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description:	
1. Department of Health Care Services requires counties to use the SPF to (a) ensure data-informed programmatic decision-making; (b) encourage the implementation of evidence-based programs; and (c) evaluate to determine what efforts to sustain. Fidelity of evidence-based programs is maintained by providing individualized and community-based technical assistance and training.	
2. CSU has a systemwide committee, the Alcohol Policy Implementation Steering Committee, a nine-member student council (i.e., Vice President for Student Affairs) that meets regularly to provide guidance to CSU campuses regarding effective policy implementation practices and leadership on systemwide efforts. Campuses also have alcohol, tobacco and other drug advisory committees made up of community and campus constituents. Programs use a variety of assessment tools and best practice standards.	

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Margie L. Hieter

Email: margie.hieter@dhcs.ca.gov

Address: DHCS, SUD-PPFD; MS 2622; P.O. Box 997413; Sacramento, CA 95899-7413

Phone: (916) 327-3514

Agencies/organizations represented on the committee:

Attorney General’s Office

California Community Colleges
 California Conservation Corps
 California Emergency Management Agency
 California Highway Patrol
 California National Guard
 Department of Alcoholic Beverage Control
 Department of Education
 Department of Health Care Services
 Department of Public Health
 Department of Rehabilitation
 Department of Social Services
 California State University, Office of the Chancellor
 University of California, Office of the President
 Office of Traffic Safety
 Department of Motor Vehicles

A website or other public source exists to describe committee activities Yes
 URL or other means of access: <http://www.dhcs.ca.gov/provgovpart/Pages/IPAC.aspx>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Department of Health Care Services
 Plan can be accessed via: <http://www.dhcs.ca.gov/provgovpart/Documents/2015-Statewide-Needs-Assessment-Report-ADA.pdf> - In addition, the Interagency Prevention Advisory Council has identified six prevention priorities, one of which is underage drinking. Please contact Margie L. Hieter for a copy of the Annual Prevention Priorities and Strategies Report.

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Department of Health Care Services
 Plan can be accessed via: <http://www.dhcs.ca.gov/provgovpart/Documents/2015-Statewide-Needs-Assessment-Report-ADA.pdf>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:
 Estimate of state funds expended No data
 Estimate based on the 12 months ending No data

Checkpoints and saturation patrols:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Other programs:

Programs or strategies included: Data not available

Estimate of state funds expended:

Data not available

Estimate based on the 12 months ending:

Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data.



Colorado

State Population: 5,456,574

Population Ages 12–20: 616,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	156,000 (25.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	10,000 (4.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	45,000 (22.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	101,000 (48.5%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	61
Years of Potential Life Lost (under 21)	3,715
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	15
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	21%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
Notes: Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess alcohol (in addition to the consent and presence of a parent or guardian).	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
Notes: Colorado's exception requires the knowledge and consent of the owner of the private property when minors consume alcohol (in addition to the consent and presence of a parent or guardian).	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in any private location if parent/guardian is present or consents
Notes: Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess or consume alcohol (in addition to the consent and presence of a parent or guardian).	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	Yes
Notes: In Colorado, the license revocation period for a first conviction of obtaining or attempting to obtain an alcoholic beverage by misrepresentation of age is 24 hours of public service, if ordered by the court, or three months.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	

Minimum number of days	Not specified
Maximum number of days	90
Notes: In Colorado, the license revocation period for a first conviction of underage purchase, possession, or consumption is 24 hours of public service, if ordered by the court, or three months.	

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, for first 6 months, no passenger under 21 who is not an immediate family member unless accompanied by driver's parent or guardian; second 6 months, only one passenger under 21 who is not an immediate family member unless accompanied by driver's parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private location if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	

Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
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Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20.5
Are there appearance requirements for the decoy?	Yes, age-appropriate appearance with no age enhancements
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	Written warning, assurance of voluntary compliance, or up to 15-day license suspension. Accepting a fine in lieu of actual suspension or holding a portion of the suspension time in abeyance are both at the discretion of the licensing authority.
What is the penalty for the second offense?	5 to 25 day license suspension. At the discretion of the licensing authority, licensee may pay fine in lieu of suspension or suspension may be held in abeyance if no fine was paid or suspension served at time of 1st offense.
What is the penalty for the third offense?	15 to 40 day license suspension
What is the penalty for the fourth offense?	45 day license suspension or license revocation
Notes: List of aggravating and mitigating factors is provided. A two-year time period is allowed for the fourth offense. All penalties are for compliance check violations only.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to	No

intoxicated persons	
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although employees must be at least 21 years of age to sell malt, vinous, or spirituous liquors in a retail liquor store, employees at least 18 years of age may sell fermented malt beverages containing not more than 3.2 percent alcohol by weight in establishments where fermented malt beverages are sold at retail in containers for off-premises consumption.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
Notes: Persons under 21 years of age employed to sell or dispense malt, vinous, or spirituous liquors are required to be supervised by another person who is on premise and has attained 21 years of age.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes, \$280,810 per person

Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes, \$280,810 per person
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of underage status
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes, state permit required
Wine	Yes, state permit required
Spirits	Yes, state permit required

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.32
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.28
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers may not sell below cost.	

Colorado State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Colorado Department of Revenue, Liquor Enforcement Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Colorado Dept. of Revenue, Liquor Enforcement Division

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 5,019

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 10,256

Number of licensees checked for compliance by state agencies (including random checks) 3,669

Number of licensees that failed state compliance checks 431

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 3,000

Number of licensees that failed **random** state compliance checks 305

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies No data

Number of licensees that failed local compliance checks 202

Numbers pertain to the 12 months ending 12/31/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Don't know

Number of fines imposed by the state⁴ Not applicable

Total amount in fines across all licensees Not applicable

Smallest fine imposed Not applicable

Largest fine imposed Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Data on compliance checks by local law enforcement agencies based on reporting by seven local agencies. Reporting is not required by local law enforcement.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Substance Abuse Prevention and Treatment Block Grant – Prevention and Reduction of Under 18 Alcohol, Tobacco and Other Drug Use

Program serves specific or general population	Specific population
Number of youth served	201,529
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Colorado Office of Behavioral Health administers a portion of the federal substance Abuse Prevention and Treatment (SAPT) Block Grant provided by SAMHSA. The Reduction of Under 18 Alcohol, Tobacco and Other Drug Use program is designed to reduce the current alcohol, tobacco, and other drug use rate, prevent early initiation of substance use, promote healthy behavior, and support positive choices in schools and communities by youth under age 18 at the local level. Fourteen agencies across Colorado are provided funding to implement evidence-based programs to reduce underage drinking.

Persistent Drunk Driver (PDD) Prevention Program

Program serves specific or general population	Specific population
Number of youth served	139
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Persistent Drunk Driver (PDD) Prevention Program grants focus on supporting education programs for the general public with particular emphasis on the education of young drivers regarding the dangers of persistent drunk driving at the county and local level. The PDD cash fund was established in 1998 by HB 98-1334, known as the "Persistent Drunk Driver Act of 1998." As a part of the legislation, monies gathered

from penalties and fees associated with being convicted of DUI are designated to support education programs for the general public. Currently, nine agencies across Colorado receive PDD funding from the Office of Behavioral Health. All nine agencies, with the exception of the evaluation contract, focus on providing education to young drivers about drunk driving as well as persistent drunk driving.

Law Enforcement Assistance Fund (LEAF)

Program serves specific or general population	Specific population
Number of youth served	623
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Law Enforcement Assistance Fund (LEAF) consists of state funds (financed by DUI offenses) for community substance abuse prevention programs and projects. Monies allocated to the Office of Behavioral Health Prevention were used to establish a statewide program for the prevention of driving after drinking; training of teachers, health professionals, and law enforcement in the dangers of driving after drinking; preparing and disseminating educational materials dealing with the effects of alcohol and other drugs on driving behavior; and preparing and disseminating education curriculum materials for students of all levels.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

Data on individuals served reflect numbers through the third quarter of fiscal year 2014-2015. Because this was the funding closeout year for all three programs, fourth quarter data was not captured.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Southern Ute Community Action Program (SUCAP), located in Ignacio, CO, is part of the Southern Ute Reservation in southwest Colorado. Ignacio is a multi-ethnic community with a strong Native American population. The Boys and Girls Club of the Southern Ute Indian Tribe and the Ignacio school district use evidence-based practices targeting youth ages 9 to 17. Under the Office of Behavioral Health Block Grant funding, SUCAP operates a teen center that continually offers education and alternative activities aimed at youth underage drinking and other substance use. SUCAP programs are culturally relevant to Southern Ute tribal requirements and combine classroom activities with outdoor excursions developed for Native American communities. Outreach is based on relationship-building with youth in the schools and teen center to create a continuum of contacts and dosage.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: State funds apportioned for community-based underage drinking prevention efforts are intended to prevent and reduce substance use, abuse, and misuse prevalence rates across the state. A combination of all six CSAP primary prevention strategies for programs, approaches, and practices are funded. All funded grantees implement an evidence-based program or practice or approach that can be found on NREPP or other reputable sources.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA	Yes
Agency(ies) within your state:	No
Nongovernmental agency(ies):	No
Other:	No

Best practice standards description: The Colorado Department of Human Services, Office of Behavioral Health has adopted the Strategic Prevention Framework (SPF) as a best practice standard for the prevention of underage drinking. The SPF uses a five-step planning process to guide states, jurisdictions, tribes, and communities in selection, implementation, and evaluation of effective, culturally appropriate, and sustainable prevention activities. The SPF process:

- Promotes youth development
- Reduces risk-taking behaviors
- Builds assets and resilience
- Prevents problem behaviors across the life span of the programs

The idea behind the SPF is to use findings from public health research, along with evidence-based prevention programs, to build capacity and sustainable prevention. This, in turn, promotes resilience and decreases risk factors in individuals, families, and communities. SPF steps require states, territories, federally recognized tribes, tribal organizations, and communities to systematically:

- Assess their prevention needs based on epidemiological data
- Build their prevention capacity
- Develop a strategic plan
- Implement effective community prevention programs, policies, and practices
- Evaluate their efforts for outcomes

The SPF is built on a community-based risk and protective factors approach to prevention. Also, a series of guiding principles can be utilized at the federal, state, tribal, and community levels.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities No

Committee contact information:

Not applicable

Agencies/organizations represented on the committee:

Not applicable

A website or other public source exists to describe committee activities Not applicable

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$5,812,218.78

Estimate based on the 12 months ending 06/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$100,000.00
Estimate based on the 12 months ending	06/30/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	Yes
Fees	Yes
Other: No data	Yes

Description of funding streams and how they are used:

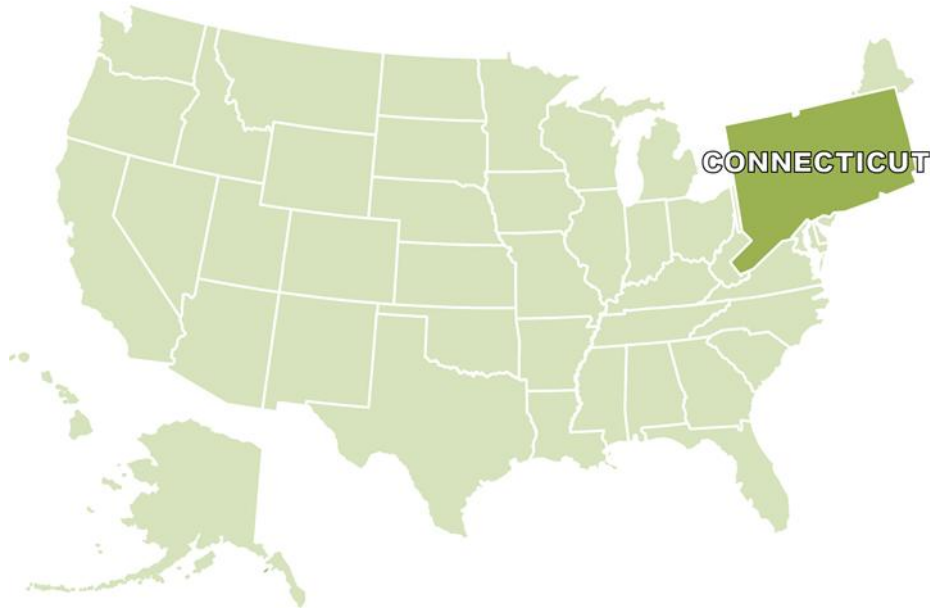
Block Grant funds: A portion of the state Substance Abuse Prevention and Treatment Block Grant funds, awarded by the Substance Abuse and Mental Health Services Administration (SAMHSA), are allocated for the prevention and reduction of under-18 alcohol, tobacco and other drug use. These particular block grant funds are used to reduce the current alcohol, tobacco, and other drug use rate; prevent early initiation of substance use; promote healthy behavior; and support positive choices in school and communities by youth under age 18 at the local level.

Persistent Drunk Driver (PDD) funds: Pursuant to legislation passed in 1998, penalties were increased for high BAC and repeat DUI offenders. Referred to as the Persistent Drunk Driver Act of 1998, this legislation defined the PDD and created the PDD Cash Fund, which is funded by a surcharge imposed on convicted DWAI/DUI offenders. Monies in the PDD fund are subject to annual appropriation by the general assembly with the scope of their use stipulated by statute. Overall, the primary purpose of the fund is to support programs that are intended to deter persistent drunk driving or intended to educate the public, with particular emphasis on the education of young drivers regarding the dangers of persistent drunk driving. In recent years, the scope of the fund was expanded to include assisting indigent DUI offenders with the cost for required treatment or intervention services.

Law Enforcement Assistance Fund (LEAF) funds: Colorado Revised Statute (C.R.S.) 43-4-401 et. seq. allocates a portion of funds to the Colorado Department of Human Services, Office of Behavioral Health. These dollars are used to fund a statewide program for the prevention of driving after drinking, including educating the public in the problems of driving after drinking, preparing and disseminating educational materials dealing with the effects of alcohol and other drugs on driving behavior, and preparing and disseminating education curriculum materials for use at all levels. The LEAF increases the capacity for comprehensive impaired driving education and underage drinking prevention at the local level.

Additional Clarification

No data



Connecticut

State Population: 3,590,886

Population Ages 12–20: 443,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	128,000 (28.9%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (2.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	35,000 (22.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	90,000 (57.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	35
Years of Potential Life Lost (under 21)	2,085
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	9
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	31%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	Yes
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30
Notes: In addition to the 30 day suspension penalty mentioned in the table above, Connecticut imposes a license suspension of 60 days if underage possession occurs on any public street or highway.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	4 months with driver education; 6 months without
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 4 months
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, first 6 months, limited to one parent, instructor or licensed adult who is at least 20 years old; second

	6 months, expands to include immediate family
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18, passenger restrictions expire 12 months after issuance of intermediate license; unsupervised night driving restrictions remain until age 18
Notes: A parent or guardian of any applicant less than 18 to whom a learner's permit is issued shall attend two hours of safe driving instruction with such applicant.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	Not specified
Are there appearance requirements for the decoy?	Yes, minors are not to wear any sweatshirts or clothing that would suggest someone as being of legal age
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A

What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	15
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes, \$250,000 per person
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, minor must be intoxicated at time of furnishing
Does common law dram shop liability exist?	Yes
Notes: A common law cause of action is not precluded by the dram shop statute. Under common law, the limitations on damages may be avoided.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Criminal negligence: Host must fail to perceive a substantial risk that the party is unlawful
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes, family members
Notes: The "preventive action" provision in Connecticut requires the prosecution to prove that the host failed to take preventive action. Connecticut permits prosecution of a person who "knowingly, recklessly, or with criminal negligence" permits a minor to possess alcoholic liquor.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No

Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes, ID check is required at some point prior to delivery
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 6 gallons
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes, maximum fine / jail, \$500 / 3 months
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.24
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: Connecticut imposes a tax of \$7.20 per barrel, defined as “not less than 28 nor more than 31 gallons,” and \$0.24 per wine gallon or fraction thereof on quantities less than a quarter barrel. APIS reports the tax rate on 12 oz. bottles and therefore displays the rate as \$0.24 per gallon.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.72
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant

tax, where there is an exemption from the general sales tax)	
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers may not sell below cost.	

Connecticut State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Department of Consumer Protection, Liquor Control Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Department of Consumer Protection, Liquor Control Division

Such laws are also enforced by local law enforcement agencies Yes

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,209

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 6,450

Number of licensees checked for compliance by state agencies (including random checks) 218

Number of licensees that failed state compliance checks 42

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 101

Total amount in fines across all licensees \$144,350

Smallest fine imposed \$500

Largest fine imposed \$3,000

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	101
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	15
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Partnerships for Success

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ct.gov/dmhasprevention
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: Partnerships for Success (PFS) is a 5-year, \$11.5 million grant awarded to the Connecticut Department of Mental Health & Addiction Services through a competitive bid from CSAP. The PFS funding was to commence on September 30, 2014. However, Connecticut was granted a one-year, no cost extension to continue funding through September 30, 2015. PFS allows Connecticut to continue successful community-based approaches that prevent underage drinking through the use of the Strategic Prevention Framework. This data-driven public health approach builds on existing successes of over 20 community-based coalitions that specifically address underage drinking, including several other state and federally funded coalitions and community-based programs currently in place covering each region of the state. The PFS uses environmental prevention approaches to produce measurable reductions in alcohol consumption patterns and their negative consequences. The University of Connecticut Health Center conducts evaluations at the state and community levels to track performance targets. Goals include:

- Reducing past-month alcohol use rates for individuals ages 12–20.
- Preventing the onset and reducing the progression of childhood/underage drinking.
- Strengthening capacity and infrastructure at the state and community levels to implement data-driven, evidence-based policies, practices, and programs.
- Taking a collaborative approach to align state and community strategies, redirect existing services, and leverage human and fiscal resources to sustain efforts.

Strategy Types: Nineteen funded community coalitions and college campuses utilize the SPF 5-step approach to decrease alcohol consumption in youth ages 12 to 20. Additionally, coalitions build on existing resources to

implement environmental strategies known to be effective in reducing youth alcohol use rates, such as curtailing retail and social access, policy change, enforcement, media advocacy, and parental and merchant education, as well as measure changes in underage drinking that use student survey and social indicator data. Connecticut has completed implementation of the SPF initiative, which identified underage drinking as a state priority. The SPF was a 5-year, \$11 million initiative that brought evidence-based programs, policies, and practices to communities through a coalition approach to regions across the state. Coalitions were charged with conducting needs and resource assessments, building community capacity to address underage drinking, developing strategic plans, implementing evidence-based programs, and evaluating and sustaining efforts once the initiative ended. The majority of the PFS coalitions were continued through SAMHSA's Partnership for Success Grant. Highlights included prioritizing and addressing underage drinking at the state and community levels; leveraging, redirecting, and realigning resources in support of the SPF and the reduction of underage drinking; and strengthening state/local capacity and infrastructure in support of prevention.

The overall goals of Connecticut's PFS initiative are to:

1. Reduce substance abuse-related problems in the State, particularly those related to underage alcohol use.
2. Prevent the onset and reduce the progression of substance abuse, including underage drinking.
3. Strengthen state and community-level capacity and infrastructure in support of substance abuse prevention.
4. Leverage, redirect, and realign statewide funding streams for prevention.

At the end of Year 3 of the PFS, cumulative evidence indicated that the state was successful in reducing and preventing underage drinking. The target performance indicator for Connecticut's PFS project was exceeded. Past-month alcohol use among 12- to 17-year-olds dropped from 19.6 percent in the 2006–2007 baseline year to 17.8 percent in 2009–2010 as measured by the National Survey of Drug Use and Health (NSDUH), surpassing Connecticut's CSAP-approved performance target of 18.1 percent. According to the NSDUH, underage drinking among the state's population ages 12 to 17 decreased 9.2 percent in the 3-year period.

Connecticut Statewide Healthy Campus Initiative (CSHCI)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ctmail.ct.gov

Program Description: The purpose of the Connecticut Statewide Healthy Campus Initiative (CSHCI) is to develop a comprehensive prevention system that is responsive to the needs of young adults ages 18 to 25 who are attending public universities throughout Connecticut. The Initiative is based on a 3-in-1 Framework recommended by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). The goal is to change the culture of drinking and other substance use/abuse using broad-based, comprehensive, integrated programs with multiple complementary components that target individuals, including at-risk or alcohol-dependent drinkers; the student population as a whole; and the college and the surrounding community. Objectives of the initiative are to:

- Address gaps in substance abuse prevention and early intervention services.
- Support culturally responsive, age appropriate, and evidence-based approaches for young adults.
- Further develop Connecticut's prevention data infrastructure and capacity to collect and analyze outcome data and report on key performance measures.

The primary target population is college students ages 18 to 25. Programs may also target family members, peers, schools, and communities at large. This initiative requires that programs use multiple strategies within the 3-in-1 Framework (community, campus, and individual-level strategies known to be effective). The following is a summary list of activities:

1. Monthly meetings of the Connecticut Healthy Campus Initiative, open to all Connecticut institutions of higher education. Meetings include training by national experts, technical assistance, networking, and coalition organizational tasks geared toward sustaining efforts and promoting evidence-based activities on college

campuses. Forty colleges have signed on to participate in the Initiative.

2. Grantee funding opportunities: Following a competitive request-for-proposal process, nine Connecticut colleges received awards to implement evidence-based environmental strategies including policy review and creation, enforcement of underage drinking laws and policies, coalition capacity building, and social marketing. Colleges receiving the awards implement the CORE survey before and after implementation to measure the effectiveness of the strategies at reducing past-month alcohol use and binge drinking.
3. Technical assistance (TA) is provided by the Wheeler Clinic, Connecticut Clearinghouse staff to Connecticut institutions of higher education. TA includes face-to-face, telephone, and electronic consultation as requested by college staff. An electronic listserv of Connecticut colleges will be maintained and used to provide updates on national and state alcohol and drug prevention news and information.

Best Practices Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ct.gov/dmhasprevention
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: The Best Practices Program consists of 14 multifocused Substance Abuse Prevention and Treatment (SAPT) Block Grant-funded programs across Connecticut. They were originally created in the mid-1990s to apply science and research-based innovations to populations across the lifecycle. In 2009, following extensive review of state epidemiological data on underage alcohol use and related consequences, the funded agencies were refocused to apply SPF and related strategies to address underage drinking and other substances that were data-identified as problems in chosen communities. All Best Practice agencies are required to use a portion of their block grant funds to reduce underage drinking and related consequences. Additionally, they are required to hire a local evaluator to assist with evaluation of their grants and development of an evaluation report. The population-level approach requires agencies to use evidenced-based environmental strategies endorsed by CSAP, such as law and policy development and enforcement and media and marketing campaigns.

Governor’s Prevention Partnership (GPP)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.preventionworksct.org

Program Description: The Governor’s Prevention Partnership (GPP), a statewide prevention resource link, serves as a nonprofit entity between state government and businesses with a mission to keep Connecticut’s youth safe, successful, and drug-free. GPP provides leadership and services to help schools, communities, colleges, and businesses create and sustain quality programs in the following areas: mentoring, coalition building, underage drinking prevention, school-based substance abuse and violence prevention, campus community partnerships, parent education, and media. GPP works closely with DMHAS, state agencies, and community-based organizations to maximize prevention efforts and services based on state needs and policy plans. GPP and state and local coalitions have mobilized toward a statewide coalition, the Connecticut Coalition to Stop Underage Drinking (CCSUD). GPP has also been the technical assistance provider to the SPF grantees and provides technical assistance on the SPF 5-step process to the Best Practice Programs and the Partnerships for Success (PFS) grantees.

Regional Action Councils (RAC's)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: The Regional Action Councils (RACs) comprise 13 public/private subregional planning and action councils covering the state that have responsibility for planning, development, and coordination of behavioral health services in their respective regions. RACs are prevention resource links for the Department of Mental Health and Addiction services (DMHAS) and are legislatively mandated to:

1. Determine the extent of substance abuse problems within their subregions
2. Determine the status of resources to address such problems
3. Identify gaps in the substance abuse service continuum
4. Identify changes to the community environment that will reduce substance abuse

This information is used by DMHAS to inform decisions related to service system plans and enhancements. RAC membership consists of diverse members of the community, including the chief elected official, chief of police, school superintendents of each municipality within the subregion, business and professional leaders, General Assembly members, service providers, representatives of minority populations, religious organizations, representatives of private funding organizations, and the media. Every two years, RACs produce Subregional Prevention Priority Reports to describe:

1. The burden of substance abuse, problem gambling, and suicide in the subregions
2. Prioritized prevention needs
3. The capacity of the subregions' communities to address those needs

These reports are based on data-driven analyses of issues in the subregions with assistance from key community members. Reports and accompanying data are used as building blocks for state- and community-level processes, including capacity and readiness building, strategic planning, implementation of evidence-based programs and strategies, and evaluation of efforts to reduce substance abuse and promote mental health. The subregional priority-setting process conducted by the RACs was instrumental in assisting community coalitions with developing strategic plans to address underage drinking in their respective communities. RACs have also received Drug Free Coalition (DFC) and Sober Truth on Preventing (STOP) Underage Drinking Act grants to address underage drinking in their regions.

Connecticut Clearinghouse - Center for Prevention, Wellness & Recovery

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ctclearinghouse.org

Program Description: Connecticut Clearinghouse, a program of Wheeler Clinic's Connecticut Center for Prevention, Wellness and Recovery (CCPWR), is a statewide library and resource center for information on substance use and mental health disorders, prevention and health promotion, treatment and recovery, wellness, and other related topics. The CCPWR serves as a primary prevention resource link for DMHAS. Resources and services are available to anyone who lives or works in the state, including families, teachers, students, professionals, community members, and children. The Clearinghouse has also provided prevention and other

training coordination services for several Connecticut state agencies.

Local Prevention Councils (LPC's)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ct.gov/dmhasprevention

Program Description: Local Prevention Councils (LPCs) support more than 120 local, municipal-based alcohol, tobacco, and drug abuse prevention councils. This grant-funded program facilitates the development of prevention initiatives at the local level with the support of the chief elected officials. The specific goals of LPCs are to increase public awareness of substance use prevention and stimulate the development and implementation of local prevention activities primarily focused on youth.

Multicultural Leadership Institute (MLI)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mli-inc.org

Program Description: The Multicultural Leadership Institute (MLI) is a key prevention resource link in Connecticut. It is a private, nonprofit 501(c)(3) corporation established in 1997 with a mission to provide leadership for positive change through implementing and coordinating multicultural and diversity awareness, education, advocacy, and research programs. The organization is a leader in promoting multicultural transformation, impacting individuals, organizations, and society. MLI works with DMHAS-funded prevention providers to assist in infusing cultural competence into all of their work. Additionally, MLI's current education and training programs and activities address diversity and multiculturalism across a variety of professions and fields. Speaking engagements, training, or consultation services may address general cultural competency or specific topics or populations. Curriculum and other materials are tailored to each group's unique needs.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of Collaboration: Connecticut has two federally recognized tribal nations, the Mashantucket Pequot Nation (population: 227) and the Mohegan Tribe (population: 1,700). Connecticut also has four state-recognized Tribal Nations: the Eastern Pequot Nation, the Golden Hill Paugusset Tribe, the Pawcatuck Eastern Pequot Tribe (population: 150), and the Schaghticoke Indian Tribe (population: 300). A seventh Tribal Nation, Nipmuc Indian Association of Connecticut, is currently seeking federal recognition. The state's two federally recognized Indian tribes are located in the Norwich/New London area of eastern Connecticut. Both have casinos that contribute slot revenues to the state. The casinos have provided a stable economic foundation for the tribes and have allowed for the preservation of culture and the establishment of tribal departments that provide a broad range of health/social benefits to members on the reservations. Coalitions/Regional Action Councils (RACs) in close proximity to Connecticut's two tribes have formal linkages and include tribal communities within their community

interventions. At the state level, the Department of Mental Health and Addiction Services (DMHAS) is currently working with tribal leadership to educate them on the Partnerships for Success initiative and engage tribal representatives to serve in an advisory role, providing advice on issues facing American Indians who wish to participate in underage drinking and related substance abuse prevention programs.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program Description: “SetTheRulesCT” is a statewide media campaign educating parents and adults about Connecticut’s social host law and the impact of alcohol on teenage brain development. The U.S. Department of Justice’s Office of Juvenile Justice and Delinquency Prevention (OJJDP) is addressing the growing problem of underage drinking through numerous initiatives, including public advertising programs. “SetTheRulesCT” was developed by the Office of Policy and Management (OPM) and the JJAC Subcommittee on Combating Underage Drinking, which is composed of representatives from the following state agencies and departments:

- Commission on Children
- Department of Children and Families
- Department of Consumer Protection, Liquor Control
- Department of Education
- Department of Mental Health and Addiction Services
- Department of Motor Vehicles
- Department of Public Safety
- Department of Transportation, Division of Public Defender Services
- Department of Public Health
- Judicial Branch
- Office of Policy and Management
- Juvenile Justice Policy and Planning Division
- Juvenile Justice Advisory Committee
- Office of the Chief State’s Attorney

Additionally, Connecticut DMHAS Partnership for Success and Best Practice grantee agencies will implement social marketing campaigns as a strategy to address priority underage drinking risk factors in local communities throughout the state.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Substance Abuse & Mental Health Services Administration (SAMHSA), Center for Substance Abuse Prevention (CSAP) Yes

Agency(ies) within your state: Department of Mental Health & Addiction Services, Prevention & Health Promotion Yes

Nongovernmental agency(ies): No

Other: No

Best Practice Standards Description: The DMHAS-funded Connecticut PFS grantees use evidence-based programs (EBPs). CT’s Resource Links will continue to provide training/technical assistance on selecting and implementing EBP strategies that will most effectively assist PFS and best practice coalitions with achieving performance target outcomes. DMHAS, in conjunction with Connecticut prevention provider agencies and organizations, developed Cultivating Programs that Work: Operating Standards for Prevention and Health Promotion Programs for prevention programs funded by DMHAS. The standards, guidelines, and supporting documents link state-of-the-art prevention theory to effective, comprehensive, and accountable prevention practice and abide by principles that are divided into eight categories critical for all prevention programs:

1. Human Relationships
2. Program Planning
3. Program Activities
4. Program Settings
5. Health and Safety

- 6. Program Implementation
- 7. Program Administration
- 8. Evaluation

Implementation of the standards should result in positive outcomes for programs, staff, and participants. The purpose of these standards is to provide assurances to the public that alcohol and drug abuse prevention and early intervention programs are regulated under a set of minimum standards established by DMHAS. These standards establish a minimum level of program operation intended to reflect quality substance abuse prevention programs. The operating standards articulate a service philosophy that helps individuals, families, schools, and communities throughout Connecticut prevent the misuse, or abuse of legal or illegal substances. To support prevention staff training and certification, the Prevention Training Collaborative provides a wide range of prevention training across the state.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:
 Name: Carol Meredith, MPA, DMHAS Director of Prevention & Health Promotion
 Email: carol.meredith@ct.gov
 Address: 410 Capitol Avenue, PO Box 341431, MS-PIT, Hartford, CT 06134
 Phone: 860-418-6826

- Agencies/organizations represented on the committee:*
- Department of Consumer Protection
 - Department of Public Health
 - Department of Emergency Services & Public Protection
 - Department of Social Services
 - Department of Transportation
 - Department of Motor Vehicles
 - Judicial - Court Support Services Division
 - Department of Corrections
 - Department of Children & Families
 - Department of Education
 - Department of Veterans Affairs
 - Board of Pardons & Parole
 - Office of Policy & Management
 - CT Youth Services Bureau Association
 - Mashantucket Pequot Tribal Nation
 - Mohegan Tribe
 - True Colors

A website or other public source exists to describe committee activities Yes
 URL or other means of access: <http://www.ct.gov/dmhasprevention>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes
 Prepared by: DMHAS & University of Connecticut (UCHC) Evaluation Team for the PFS
 Plan can be accessed via: <http://www.ct.gov/dmhasprevention>

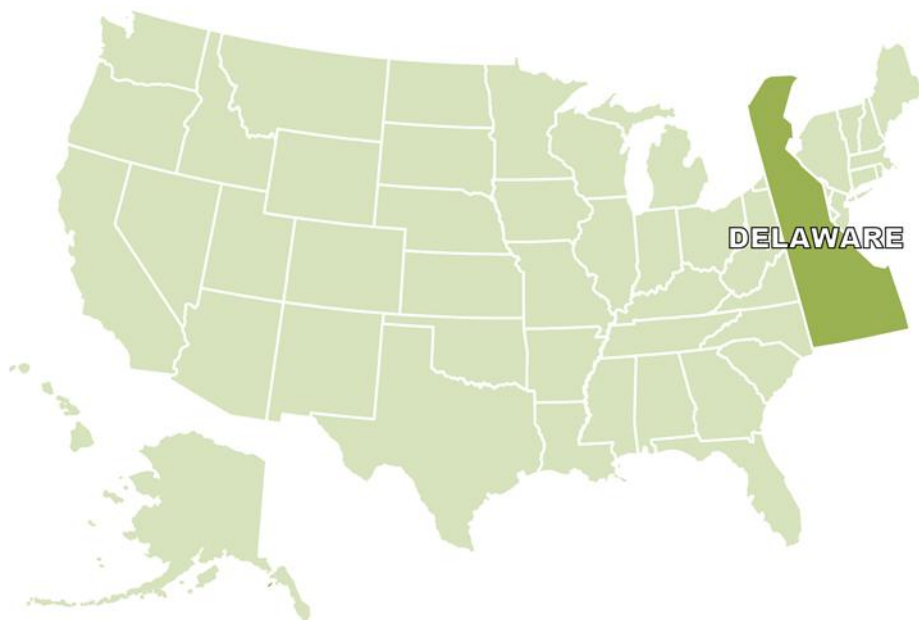
Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Delaware

State Population: 945,934
Population Ages 12–20: 106,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	25,000 (23.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (3.8%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	6,000 (17.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	18,000 (47.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	14
Years of Potential Life Lost (under 21)	845
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	3
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below Yes, in specified locations – see below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: Delaware’s exception includes “members of the same family” and allows possession if in “private home of any of said members.” For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below Yes, in specified locations – see below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: Delaware’s exception includes “members of the same family” and allows consumption if in “private home of any of said members.” For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A
Notes: Delaware has a statutory provision that makes it an offense for “[w]hoever, being under the age of 21 years, has alcoholic liquor in his or her possession at any time, or consumes or is found to have consumed alcoholic liquor.” Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A

Notes: Delaware does not have a statute that specifically prohibits purchase, but it does prohibit “obtaining” alcohol in connection with making a false statement.

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: Although a Delaware regulation states that "persons under 21 years of age have noted on their licenses 'Under 21,'" research revealed no Delaware statute or regulation expressly requiring distinguishing licenses for persons under 21 years of age.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21

Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger, except for immediate family members, when driver is accompanied by a parent, guardian, or licensed driver age 25 or over
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes, in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Notes: Delaware’s exception includes “members of the same family” and allows furnishing if in the “private home of any of said members.” For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes; Males: no facial hair. Females: no excessive makeup. Both: no clothing with vulgar or suggestive language; no shirts displaying college names or college logos; no clothing that displays any branding of an alcohol or tobacco product or licensee; no clothing that is low-cut, revealing of cleavage, excessively tight, revealing of undergarments; no sleeveless shirts or blouses; no excessively short or revealing shorts; no pants that sag to the point of revealing undergarments; no sunglasses or hats of any kind; no raisedhoods on jackets or shirts; no exposed tattoos or body art
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	

• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law

Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.16
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.97
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$3.75 per gallon
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	\$2.50 per gallon for alcohol content of 25% or less

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 5 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 5 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 5 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes
Notes: Delaware law states that the Commissioner shall not control credit transactions to extent they are permitted by federal law.	

Delaware State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Division of Alcohol and Tobacco Enforcement	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Division of Alcohol and Tobacco Enforcement
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	33
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	1,200
Number of licensees checked for compliance by state agencies (including random checks)	200
Number of licensees that failed state compliance checks	36
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	Records don't differentiate between random and complaint driven checks
Number of licensees that failed random state compliance checks	Records don't differentiate between random and complaint driven checks
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	No
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	No Data
Total amount in fines across all licensees	No Data
Smallest fine imposed	\$400
Largest fine imposed	\$2,500
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	0
Total days of suspensions across all licensees	0
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	0
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Lunch 'n' Learn

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Delaware Prevention Coalition's (DPC) Lunch 'n' Learn program is held during scheduled lunch periods within the school's cafeteria. Tables are set up exhibiting and disseminating factual information pertaining to alcohol and other abusive substances. Lunch 'n' Learn is a beneficial program for the schools, as it does not take away from normal classroom time or does not add extracurricular activities that can be costly; it is intended to spread positive prevention messages to the students during their lunch breaks. Interactive exhibit tables provide students with the opportunity to participate in fun games that and learn important facts about the use and misuse of alcohol and drugs. The Lunch 'n' Learn program features "Drunken Goggles," the most influential and mind-changing game played by the students. It simulates an intoxicated person and demonstrates how alcohol affects judgment, decision-making, and vision. Taking part in this game enables students to see how their coordination, reflexes, and vision are impaired when over the legal intoxication limit. The game provides a real-life experience of what an individual's vision is like while under the influence. It also teaches students which parts of the brain are affected by alcohol and how misuse of alcohol and other substances could lead to impairments.

The Lunch 'n' Learn program is informative and interactive. The program ensures that information and brochures concerning the effects of alcohol in the body, the mind, and the family are distributed. Discussions pertaining to

binge drinking, laws, and risky behaviors are just a few of the topics covered. The Lunch ‘n’ Learn program impacts the students, giving them the opportunity to engage in discussions without being embarrassed and to let them know that what they have experienced and/or learned from their friends may not be safe or factual. Program facilitators have the privilege of inspiring and witnessing students realizing that the behavior they thought was harmless is the opposite. This program has made a large impact on the Division of Prevention & Behavioral Health Services (DPBHS) goal of delaying the first onset of use and abuse of alcohol for adolescents in the state.

Drugs Drag You Down

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Youth have unlimited potential, but if not taught how to properly manage stress, turning to drugs can drag them down. That’s the message that the Delaware Children’s Department and DPBHS sent with its campaign ‘Drugs Drag U Down’ or #DDUD. This campaign coincided with back to school activities and was aimed at students and their families. Research shows that returning to school is a time of increased stress, due to peer pressure, changes in routine, sleep schedules, and the return of deadlines and academic angst. This anxiety may cause a spike in substance experimentation. The American Psychological Association found that teens are the most stressed age group, with 31 percent feeling overwhelmed, 30 percent depressed, and 36 percent experiencing fatigue. In addition, 42 percent of teens responded that they did not know how to manage stress. These factors can lead to poor coping skills, including drug use.

Delaware youth have among the highest self-reported rates of marijuana and alcohol use in the country, compared to the other 42 states participating in the National Youth Risk Behavior Survey. Delaware ranks in the 2nd quarter of states whose youth have ever: smoked cigarettes; used ecstasy, heroin, and methamphetamines; or engaged in binge drinking. Research consistently shows that drug use impairs memory, learning, and concentration and is linked to higher drop-out rates.

DPBHS partnered with “Shout B Cause” a popular Jurdy mascot and dance squad that holds performances at substance abuse prevention rallies. The squad brings high energy and substance abuse prevention education statewide. The campaign’s message is that when a student is stressed, they should use coping strategies and not turn to drugs or alcohol. Ads were run on the radio statewide where youth won back to school shopping sprees for their pledge to be drug-free and for answering trivia questions related to drug and alcohol use, self-care, and stress management.

One of the graphics for the zombie-themed campaign displayed a graphic of a hand with long nails being dragged down into a cemetery plot over the words “Drugs Drag U Down.” This graphic was featured on billboards, movie ads, shirts, and posters statewide, in English and Spanish. There was also a 6’ tall zombie cut-out for students to pose with for photos. Posters were hung at schools and neon, glow-in-the-dark wristbands were distributed with the campaign hashtag, #DDUD. Students were encouraged to share the message and post pictures through social media sites like Facebook, Instagram, and Twitter. Press included DE State News, WBOC, WDOV, WILM, WJBR, WAFL & WDSD.

Extended Hours/Safe Haven

Program serves specific or general population	Specific population
Number of youth served	16,487
Number of parents served	16,487
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: DPBHS funded extended community center hours and a curfew center that offers prosocial activities and dinner on a weekend evening. The program provides educational and prevention activities. The Wilmington Police were involved and offered education and resources to youth who were brought to the center for curfew violations, preventing them from being on the streets and at risk for engaging in substance use and delinquent behaviors. These alternative activities and programs had a significant impact on the number of arrests and incidences of violence—and likely substance use. These were possible through unplanned budget windfall monies. As a continuation of this commitment, DPBHS will continue with planned outcome measures regarding substance abuse and violence.

Botvin Life Skills

Program serves specific or general population	Specific population
Number of youth served	434
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	no data

Program Description: Botvin Life Skills is a research-validated substance abuse prevention program proven to reduce the risks of alcohol, tobacco, and drug abuse, as well as violence, by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors. This comprehensive and exciting program provides adolescents and young teens with the confidence and skills necessary to successfully handle challenging situations.

The Strategic Prevention Framework Partnership for Success (SPF PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://dhss.delaware.gov/dhss/dsamh/Prevention

Program Description: SPF-PFS prevention activities and initiatives are federally funded and take place throughout the State of Delaware. Activities are aimed at reducing the nonmedical use of prescription drugs and underage drinking of Delaware youth between ages 12 and 25. The number of impacts made through the SPF-PFS funded activities is 4,803; this represents the reporting period of July 2015 through March 2016; it should be noted that these are duplicated numbers and that these numbers are a conservative estimate due to incomplete data at this time. The federally funded prevention activities are often chosen based on an understanding of the specific community characteristics and what will most likely impact underage drinking and the nonmedical use of prescription drugs among youth and young adults. A variety of activities fall under the 6 CSAP prevention strategies (i.e., prevention education, alternative activities, problem identification and referral, community-based process activities, environmental strategies, and information dissemination activities) and these tend to be appropriate for each target population. The SPF model is data-driven, which allows for different communities to implement these various activities. For example, Storytelling for Empowerment is a school-based, bilingual (English and Spanish) prevention education program for teenagers at risk of substance abuse and other risky behaviors. This program is implemented in Hispanic-focused community centers who serve youth. There are many different prevention education programs implemented through SPF-PFS initiatives, some of which include Smart Moves, Life Skills Training, and Brain Power/Truth About Drugs. Alternative activities provide youth and young adults an alternative to engaging in underage drinking or prescription drug use and associated risky behaviors. Some of the alternative activities include sports activities available at community center drop-ins, basketball programs, and

theater programs. Community based process activities often involve building and sustaining community-based coalitions, building interagency collaboration, as well as providing training and technical support to community groups. Information dissemination activities tend to involve providing individuals with program information pertaining to each community group, knowledge and awareness around the issues of substance abuse prevention, and resources in the community. This is often done through brochures, pamphlets, and radio announcements. Brochures and pamphlets are often provided to community members at tabling events, community health and school fairs, and at other prevention education or alternative activities. Problem identification and referral activities primarily work to identify individuals that are starting to engage in risky behaviors and referring them to the services needed. However, individuals can also be referred to housing services, immigration services, mental health services, and afterschool programs. Finally, environmental strategies seek to change or establish written and unwritten standards, codes, and attitudes in the hopes of reducing underage drinking and the nonmedical use of prescription drugs. SPF-PFS funds have been used to implement environmental strategies that aim to increase compliance and enforcement around proper alcohol sales in collaboration with DATE and other law enforcement agencies.

For the reporting period of July 2015 through March 2016, the numbers below represent the number of participants involved or the number of impacts, as is especially the case for information dissemination and environmental strategies. It should be noted that these numbers do not represent unduplicated numbers as this is not tracked across SPF-PFS activities. These numbers are highly conservative estimates as not all data is complete at this time.

Prevention Education: 942

Alternative Activities: 1,803

Community Based Process: 171

Information Dissemination: 1,798

Problem ID and Referral: 20

Environmental: 69

Drug and Alcohol Tobacco Enforcement (DATE): Delaware Alcohol and Tobacco Enforcement conducts compliance checks throughout the state to ensure that businesses are not selling alcohol to youth under 21 (Cops in Shops and Fake ID Operations). DATE also provides information to merchants regarding laws and penalties for selling alcohol to underage youth; conducts compliance checks at more than 300 alcohol retailers within 39 different zip codes throughout the state; and facilitates a Citizens Police academy to familiarize the public as well as educate stakeholders in the community of police outreach and enforcement actions. DATE's address is: 34 Starlifter Ave. Dover, DE, 19901.

LA Red School Based Health Center in Sussex County: Our School Based Health Center (SBHC) provider at Sussex Technical High School is involved with the SPF PFS project. The activities fall within three of the six prevention strategies: alternative activities, information dissemination, and prevention education. Program activities include: Lunch & Learns, Drug-Free sports, After Prom Drug-Free Events, Student Leadership Focus Groups and the Prime for Life evidence-based program. The Health Center's address is: Sussex Tech: 17099 County Seat Hwy, Georgetown, DE, 19947.

Other SPF PFS Contractors are: 1) Boys and Girls Clubs of Delaware (Statewide), 2) La Esperanza – Sussex County, 3) Kent & Sussex Counseling Services (KSCS) for Sussex and Kent Counties, 4) Delaware Multicultural Community Organization (DEMCO) – Dover, 5) Wesley College – Campus, 6) Open Door, Inc. – Newark, 7) University of Delaware (UDSWHP) – Campus, 8) West End Neighborhood House, Inc. (WENH) – Wilmington, 9) New Castle Prevention Coalition (NCPC) – East Wilmington, and 10) Latin American Community Center (LACC) – West Wilmington.

Additionally, it should be noted that the program is evaluated quarterly but an overall evaluation will be completed at the end of the grant funding.

SPF PFS evaluation is conducted by the University of Delaware's Center for Drug and Health Studies. Evaluation reports are available by contacting: the SPF PFS Project Director, Dr. Cecilia Douthy Willis at Cecilia.Willis@state.de.us.

The SAPT Block Grant Prevention Programs

Program serves specific or general population	No data
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: *Fetal Alcohol Spectrum Disorder (FASD) Program at Brandywine Counseling Community Services (BCCS):* The FASD program targets men and women of childbearing age, ages 18 and up exclusively. FASD priorities are to provide educational presentations that cover the effects that occur in an individual whose mother consumed alcohol during pregnancy. Using a modified version of the FASD Prevention Program titled “Project Choices,” the goal of the program is to provide knowledge and increased awareness of how alcohol and other drug use, abuse, and addiction affect not only their bodies but those of their offspring. BCCS implements prevention services throughout all four sub-state planning regions: the City of Wilmington, New Castle County, Kent County, and Sussex County. BCCS/FASD is funded by Block Grant Funds. The program’s address is: 2500 W 4th St. Alpha, Wilmington, DE, 19805; phone: 302-504-5920.

AOD Brandywine Counseling and Community Services (BCCS): The Alcohol and Other Drugs (AOD) Program at BCCS targets young adults, 18–25 years old. BCCS priorities are: substance abuse prevention, including alcohol, marijuana, and prescription opiate and heroin risk through adaptation of the EBP of Challenging College Alcohol Abuse. Prevention services are delivered via community events, academic institutions, presentations, public service announcements and peer messaging. BCCS implements prevention services throughout all four sub-state planning regions: the City of Wilmington, New Castle County, Kent County, and Sussex County. The program’s address is: 2500 W 4th St. Alpha, Wilmington, DE 19805; phone: 302-504-5920.

AOD Kent and Sussex Community Services (KSCS) Alcohol and Other Drugs Program: KSCS targets individuals ages 12–25 throughout Kent and Sussex Counties. The program emphasizes reducing last month’s alcohol misuse and abuse in an effort to decrease underage drinking. Their focus is also on binge drinking (consumption), consequences of alcohol-related traffic crashes, death, and/or injuries, and alcohol-related family criminal violence. KSCS utilizes an evidence-based program called Prime for Life. The 8-session program focuses on how to prevent future problems related to alcohol and drug use and, most importantly how to protect the things the participant values most. KSCS also collaborates with coalition membership to implement environmental strategies and utilize capacity-building activities. The Kent County Coalition’s address is: 1241 College Park Drive, Dover, DE, 19904; phone: 302-735-7790. The Sussex County Coalition’s main address is: 23134 Meadow Wood, Unit 622, Seaford, DE, 19973.

Latin American Community Center Prevention Promoters Program (LACC): The LACC focuses on serving Latinos 18 years and older. It employs several strategies to prevent drug and alcohol abuse in the community. LACC utilizes the Prime for Life evidence-based program, an 8-week educational workshop where participants learn about what they value and how they can make low-risk choices to protect the things they value in their lives. LACC also provides alternative activities and events for the families in the community to enhance their relationships with each other without the use of alcohol or other substances of abuse. During both strategies, prevention messaging is disseminated. Prevention Promoters staff also participate in community outreach efforts to disseminate and educate the community on the risks involved in using and abusing alcohol and drugs. The program seeks partnerships with local businesses through their Business Initiatives, which allow them to reach their community and familiarize community stakeholders with the Center’s services. Other strategies include Media (social as well as print), and Problem Identification & Referral Services. The program’s address is: 301 N. Harrison Street, Wilmington, DE, 19805; phone: 302 655-7338.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs	
<p><i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i></p> <p>Description of collaboration: Not applicable</p>	No recognized tribal governments
<p><i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i></p> <p>Description of program: Not applicable</p>	No
<p><i>State has adopted or developed best practice standards for underage drinking prevention programs</i></p> <p>Agencies/organizations that established best practices standards:</p> <p>Federal agency(ies): Substance Abuse and Mental Health Services Administration</p> <p>Agency(ies) within your state: Division of Substance Abuse and Mental Health</p> <p>Nongovernmental agency(ies):</p> <p>Other:</p> <p>Best practice standards description: The SPF-PFS implemented evidence-based programs, practices, and policies. Additionally, the data-driven SPF model is implemented.</p>	Yes
Additional Clarification	
No data	
State Interagency Collaboration	
<p><i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i></p> <p><i>Committee contact information:</i></p> <p>Name: Cecilia Douthy Willis</p> <p>Email: Cecilia.Willis@state.de.us</p> <p>Address: 1901 N. Dupont Highway/Springer Building New Castle, DE 19720</p> <p>Phone: 302-584-3840</p> <p><i>Agencies/organizations represented on the committee:</i></p> <p>The Division of Substance Abuse and Mental Health -DSAMH</p> <p>Department of Education - DOE</p> <p>Division of Prevention and Behavioral Health</p> <p>Office of High Safety - OHS</p> <p>Center for Drug and Health Studies - CDHS</p> <p>Division of Public Health – DPH</p> <p>The Division of Alcohol Tobacco Enforcement - DATE</p>	Yes
<p><i>A website or other public source exists to describe committee activities</i></p> <p>URL or other means of access: Not applicable</p>	No
Underage Drinking Reports	
<p><i>State has prepared a plan for preventing underage drinking in the last 3 years</i></p> <p>Prepared by: Not applicable</p> <p>Plan can be accessed via: Not applicable</p>	No
<p><i>State has prepared a report on preventing underage drinking in the last 3 years</i></p> <p>Prepared by: State Epidemiological Outcomes Workgroup (SEOW)</p> <p>Plan can be accessed via: https://www.cdhs.udel.edu/seow/reports-and-products</p>	Yes
Additional Clarification	
n/a	
State Expenditures for the Prevention of Underage Drinking	
<p><i>Compliance checks in retail outlets:</i></p> <p>Estimate of state funds expended</p> <p>Estimate based on the 12 months ending</p>	Data not available Data not available
<p><i>Checkpoints and saturation patrols:</i></p> <p>Estimate of state funds expended</p> <p>Estimate based on the 12 months ending</p>	Data not available Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

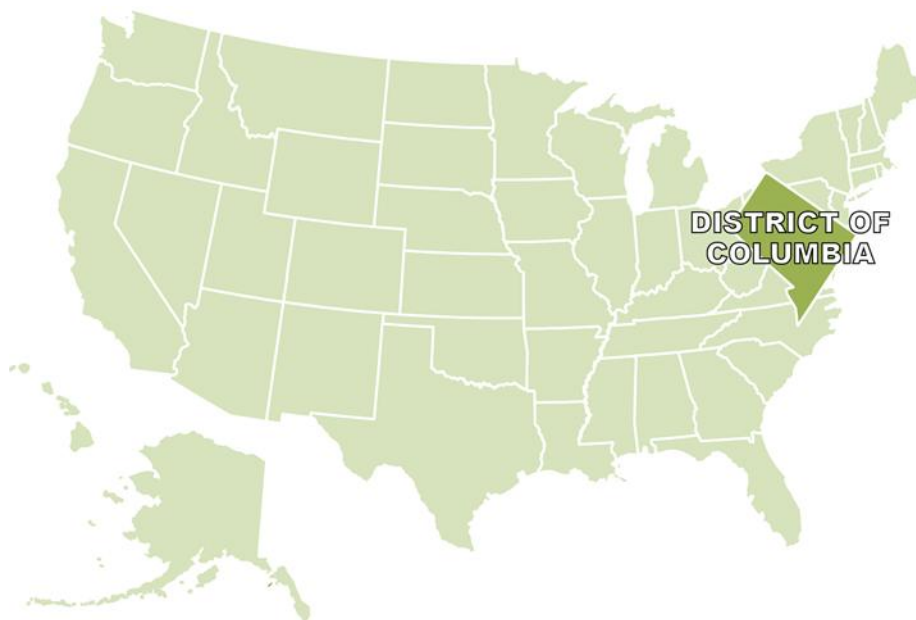
Taxes	No
Fines	No
Fees	No
Other: No data	No data

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Unfortunately, the State of Delaware does not have any information to add to this section, as this section asks for estimates for "state" funds expended for the prevention of underage drinking programs and specifically indicates "not" to include federal or private funding sources in these estimates. However, the State of Delaware can report that the Division of Substance Abuse & Mental Health supports are funded through SPF-PFS funds and those programs were mentioned under this survey's section titled "Underage Drinking Prevention Programs."



District of Columbia

State Population: 672,228

Population Ages 12–20: 57,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	18,000 (32.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (6.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	3,000 (18.6%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	15,000 (54.8%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	17
Years of Potential Life Lost (under 21)	999
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	0
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	N/A

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21
Notes: Section designations in the District of Columbia Code were renumbered in connection with the publication of the D.C. Official Code, 2001 Edition.	

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40, must log additional 10 hours of night driving at intermediate stage with driver over 21
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	11:00 PM (September - June: 11:00 PM on Sunday – Thursday; 12:01 AM on Saturday - Sunday; July - August: 12:01 AM)
Can law enforcement stop a driver for night driving	Yes, officer may stop driver for night

violation as a primary offense?	driving violation
Are there restrictions on passengers?	Yes, first 6 months, one licensed driver at least 21 and any parent or sibling. After 6 months, no more than 2 passengers under 21 (except parents or siblings)
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	None
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	4 years
What is the penalty for the first offense?	Fine of not less than \$2,000 and not more than \$3,000, and license suspension for five consecutive days. The five day suspension may be stayed by the Board for one year if all employees complete an alcohol training program within three months.
What is the penalty for the second offense?	Fine of not less than \$3,000 and not more than \$5,000, and license suspension for 10

	consecutive days. The Board may stay up to six days of the 10-day suspension for one year if all employees complete an alcohol training program within three months.
What is the penalty for the third offense?	Fine of not less than \$5,000 and not more than \$10,000, and license suspension for 15 consecutive days, or license revocation. The Board may stay up to five days of the 15-day suspension for one year if all employees complete an alcohol training program within three months.
What is the penalty for the fourth offense?	Revocation
<p>Notes: The Board may give warnings for first-time sale to minor offenses, excluding "egregious" violations. Egregious is defined as a sale to minor violation where the licensee:</p> <ol style="list-style-type: none"> 1. Sold or served an alcoholic beverage to a minor who was unable to produce a valid ID after a request from the licensee to do so; 2. Intentionally sold an alcoholic beverage to a minor; or 3. Can be established to have had a pattern of prior alcoholic beverage sales or service to minors. 	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Managers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18

What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet but the college or university has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet but the college or university has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: (1) restaurant, hotel, club, caterer, and temporary licenses; (2) if preexisting license of same class is currently operating; (3) grocery stores with only incidental sale of alcoholic beverages; (4) when sale of alcoholic beverages constitutes no more than 15% total sales on annual basis; or (5) when main entrance of college or university occupies ground zoned commercial or industrial.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are (1) restaurant, hotel, club, caterer's, and temporary licenses; (2) if preexisting license of same class is currently operating; (3) grocery stores with only incidental sale of alcoholic beverages; (4) when sale of alcoholic beverages constitutes no more than 15% total sales on annual basis; (5) when nearest property line of school occupies ground zoned commercial or industrial; or (6) for restaurants located inside hotels, apartment houses, clubs, or office buildings provided there are no signs or displays, unless specifically approved, and Board of Education has no objection.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A

Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer, wine, distilled spirits
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes

Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.09
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> General sales tax rate 	5.75%
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> General sales tax rate 	5.75%
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	4.25%
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30

Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	5.75%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	4.25%
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	Yes
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No

Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 45 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 45 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 45 days

District of Columbia State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Metropolitan Police Department/ Alcoholic Beverage Regulation Administration	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	158
Number pertains to the 12 months ending	9/30/2016
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	2,200
Number of licensees checked for compliance by state agencies (including random checks)	1,420
Number of licensees that failed state compliance checks	158
Numbers pertain to the 12 months ending	9/30/2016
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	1,420
Number of licensees that failed random state compliance checks	158
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	7
Total days of suspensions across all licensees	0
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	365
Numbers pertain to the 12 months ending	9/30/2016
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	9/30/2016

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

DC Double Check 101

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Collaboration of all eight universities in Washington DC, Metropolitan Police Department, Department of Behavioral Health Substance Use Disorder Services, and the Alcoholic Beverage Administration. The purpose of this collaboration is to share information regarding underage drinking of college students, emerging trends, and locations.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No recognized tribal governments

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program Description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Substance Abuse and Mental Health Services Administration, NIAA Yes

Agency(ies) within your state: Department of Behavioral Health (DBH) Yes

Nongovernmental agency(ies): International Certification and Reciprocity Consortium Yes

Other: No

Best practice standards description: The International Certification and Reciprocity Consortium/Alcohol and Other Drug Abuse (IC&RC or ICRC) certifies addiction professionals (certification boards in 40 states, 6 federal agencies, and 10 countries outside the United States; more than 35,000 addiction counselors in the United States and foreign countries). In 2015, DBH and several DBH grantees began preparing for the examination to become certified prevention specialists, enabling them to create a culture of best practice standards in the District and grow the District's the prevention workforce.

Additional Clarification

The District of Columbia Department of Behavioral Health (DBH) was formed by the 2013 merger of the former Addiction Prevention and Recovery Administration/ Department of Health (DOH) with the Department of Mental Health. Since the merger, DBH has invested in a sustainable infrastructure and prevention system that supports collaborations and best practices to reduce underage drinking. Thus far, efforts include the creation of four DC Prevention Centers (DCPC) that provide access to universal, selective, and indicated best practices in an effort to prevent alcohol, tobacco, and other drug use across all eight wards. Each DCPC serves two wards and works to strengthen community capacity, address needed community and system changes, reduce risk factors, increase protective factors, and achieve outcomes for youth, families, and the community at large. Center functions are community education, community leadership development, and community changes. In 2015, DCPCs continued to expand their reach through more than 50 community prevention networks that develop data-driven action plans for prevention. In 2016, we trained District youth in a national Strategic Prevention Framework best practice model and will implement community-level action plans to prevent underage drinking in their wards.

DBH funds the DC Epidemiological Outcomes Workgroup (DCEOW) that involves 12 District agency partners and produces city and ward data reports on underage drinking including alcohol consumption, consequences, risk and protective factors, and demographics. The DCEOW has enhanced "community conversation" protocols and prepared a guidance document for collecting information through town hall meetings, focus groups, and group discussions. In addition, DBH supported the District's 2015 Youth Risk Behavior Survey that expanded the size of the random sample and produces data for prevention planning and evaluation.

In 2014, DBH was awarded a five-year, \$10 million Substance Abuse and Mental Health Services Administration (SAMHSA) Strategic Prevention Framework Partnership for Success (PFS) grant targeting prevention of underage drinking and marijuana use among youth. This grant supports a number of our efforts, including an adaptation of the federal campaign "Talk. They Hear You." for high need urban areas with culturally diverse populations. The integrated social marketing campaign "There's A Reason" launched in June 2015 and included educational resources such as brochures, posters, and palm cards; an underage drinking campaign website/social media/digital engagement; print media; radio ads; earned media; bus cards; metro ads; and targeted print ads. The DCPC will continue to collaborate with identified community leaders in their wards to disseminate campaign materials and serve as the catalyst for community action.

SPF PFS will also support a Prevention Partnership Council, a continuation of the DCEOW and an evidence-based work group. In 2015, the grant funded a PFS Coordinator in each of the DC Prevention Centers and four high need community grants. This is a city-wide effort, as all eight wards have been deemed high need.

DBH is continuing the use of technology to increase collaborations and the use of best practices to reduce underage drinking. This includes a new online District Information Resource System (DIRS) with modules that track emerging trends around underage drinking and other drug use through local social media activity. In addition, DBH will continue enhancement of a new prevention website, DRUGFREEYOUTHDC.COM, and social media capacity (e.g. Facebook, Instagram, Twitter).

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:
 Name: Eric Chapman
 Email: eric.chapman@dc.gov
 Address: No data
 Phone: No data

Agencies/organizations represented on the committee: The Prevention Partnership Council purpose and representatives are being revised under the new Strategic Prevention Framework Partnership for Success (PFS) grant. The DCEOW has 12 agency partners and will continue to be connected to the Council.

<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Not sure
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Department of Behavioral Health	
Plan can be accessed via: STOP Act Survey Report was shared with other city and ward representatives	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included: There's A Reason Underage Drinking Campaign	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	9/30/2015

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

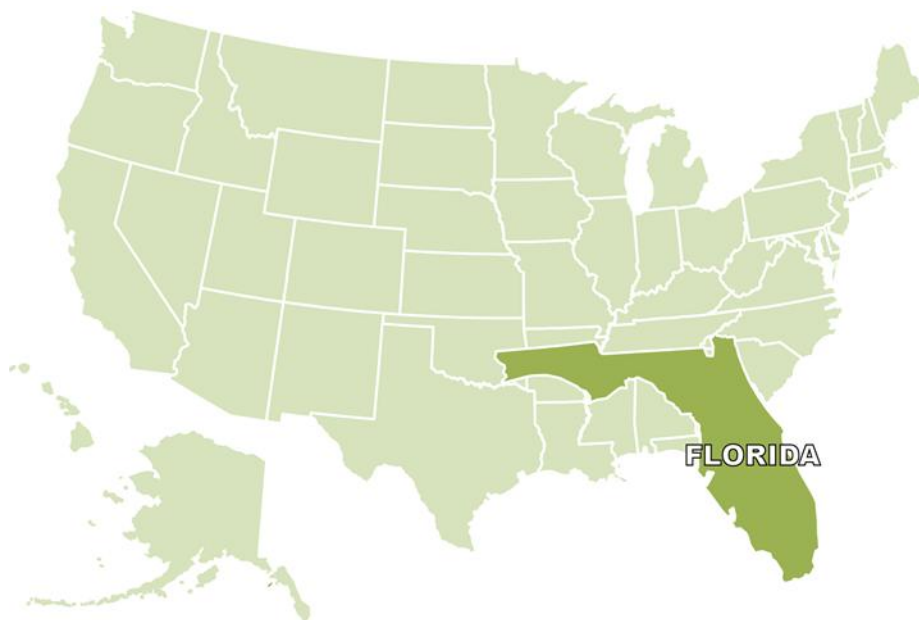
Taxes	No
Fines	No
Fees	No
Other: Federal Funds	Yes

Description of funding streams and how they are used:

Strategic Prevention Framework Partnership For Success Grant administered by Substance Abuse and Mental Health Services Administration.

Additional Clarification

No data



Florida

State Population: 20,271,272

Population Ages 12–20: 2,112,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	474,000 (22.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	29,000 (4.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	135,000 (18.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	310,000 (43.1%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	282
Years of Potential Life Lost (under 21)	16,951
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	78
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	20%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	365

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50, 10 of which must be at night
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM (Age 16: 11 PM; Age 17: 1 AM)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	N/A

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, Must be obviously underage in appearance. Will dress as is the custom of the community standards within the area where they will be conducting compliance checks. Males: no facial hair allowed. Females: May not be "dressed up" to appear older or wear revealing attire.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	3 years
What is the penalty for the first offense?	\$1,000 and a 7-day suspension
What is the penalty for the second offense?	\$3,000 and a 30-day suspension
What is the penalty for the third offense?	Revocation
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet (local government has authority to override state restrictions)

To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exception for restaurants.	
Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, willful and unlawful furnishing to minor
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes
Notes: Common law liability rests on a violation of the criminal social host statute. The criminal social host statute prohibits an adult from allowing an open house party to take place at a residence he/she controls and knowingly allowing a minor to possess or consume alcohol at the residence and failing to take reasonable steps to prevent the possession or consumption of the alcoholic beverage.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/ consumption
Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Notes: The "preventive action" provision in Florida requires the prosecution to prove that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A

Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A
Notes: Although current law suggests that direct shipments of alcoholic beverages are prohibited, the Florida Department of Business and Professional Regulation's informal policy allows out-of-state wineries to make direct shipments of wine to Florida consumers. Florida statutes that purport to ban direct shipments are not being enforced pursuant to a stipulation entered into by the State in a law suit challenging the constitutionality of the law.	

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes, more than 76.5%
Are there exceptions to restrictions?	No
Notes: Statute states "153 proof," which is equivalent to 76.5% alcohol by volume.	

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.48
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$2.25
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$6.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	\$2.25 per gallon for alcohol content of less than 17.259%

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for	No law

each product sold to retailers?	
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days

Florida State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Florida Department of Business and Professional Regulation, Division of Alcoholic Beverages and Tobacco,
Bureau of Law Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not Applicable
--	----------------

Such laws are also enforced by local law enforcement agencies	Not Applicable
---	----------------

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies	1,081
--	-------

Number pertains to the 12 months ending	12/31/2015
---	------------

Data include arrests/citations issued by local law enforcement agencies	No
---	----

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	Yes
--	-----

Number of retail licensees in state ³	48,771
--	--------

Number of licensees checked for compliance by state agencies (including random checks)	2,479
--	-------

Number of licensees that failed state compliance checks	359
---	-----

Numbers pertain to the 12 months ending	12/31/2015
---	------------

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
--	--------------------------------------

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to random state compliance checks/decoy operations	1,574
---	-------

Number of licensees that failed random state compliance checks	217
---	-----

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	No
--	----

Number of licensees checked for compliance by local agencies	Not Applicable
--	----------------

Number of licensees that failed local compliance checks	Not Applicable
---	----------------

Numbers pertain to the 12 months ending	Not Applicable
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Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state ⁴	31
---	----

Total amount in fines across all licensees	\$31,250
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Smallest fine imposed	\$500
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Largest fine imposed	\$3,000
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	27
Total days of suspensions across all licensees	92
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	7
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	3
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Too Good For Drugs (TGFD)

Program serves specific or general population	Specific population
Number of youth served	48,957
Number of parents served	708
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=75
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=75

Program Description: Too Good For Drugs (TGFD) is a school-based prevention program that builds on students' resiliency by teaching them how to be socially competent and autonomous problem-solvers. The program is designed to benefit everyone in the school by providing needed education in social and emotional competencies and by reducing risk factors and building protective factors that affect students in this age group. TGFD focuses on developing personal and interpersonal skills to resist peer pressure, set goals, make decisions, bond with others, have respect for self and others, manage emotions, and improve communication and social interactions. The program also provides information about the negative consequences of drug use and the benefits of a nonviolent, drug-free lifestyle. The Early Intervention & Prevention Coordinator will teach 6th-grade classes once a week for 30–45 minutes for 10 weeks.

Strengthening Families Program (SFP)

Program serves specific or general population	General population
Number of youth served	562
Number of parents served	657
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes

URL for evaluation report:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=63
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=63
<p>Program Description: The Strengthening Families Program (SFP) is a family skills training program designed to increase resilience and reduce risk factors for behavioral, emotional, academic, and social problems in children. SFP comprises three life-skills courses delivered in 14 weekly, 2-hour sessions. Parenting skills sessions are designed to help parents learn to increase desired behaviors in children by using attention and rewards, clear communication, effective discipline, substance use education, problem solving, and limit setting. Children's skills sessions are designed to help children learn effective communication, understand their feelings, improve social and problem-solving skills, resist peer pressure, understand the consequences of substance use, and comply with parental rules. In the family life skills sessions, families engage in structured family activities, practice therapeutic child play, conduct family meetings, learn communication skills, practice effective discipline, reinforce positive behaviors in each other, and plan family activities together.</p>	

Guiding Good Choices (GGC)

Program serves specific or general population	Specific population
Number of youth served	55
Number of parents served	4,152
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=302
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=302

Program Description: Guiding Good Choices (GGC) is a drug use prevention program that provides parents of children in grades 4–8 (9–14 years old) with the knowledge and skills needed to guide their children through early adolescence. It seeks to strengthen and clarify family expectations for behavior, enhance the conditions that promote bonding within the family, and teach skills that allow children to successfully resist drug use. GGC is based on research that shows that consistent, positive parental involvement is important to helping children resist substance use and other antisocial behaviors. Formerly known as Preparing for the Drug Free Years, this program was revised in 2003 with more family activities and exercises. The current intervention is a five-session curriculum that addresses preventing substance abuse in the family, setting clear family expectations regarding drugs and alcohol, avoiding trouble, managing family conflict, and strengthening family bonds. Sessions are interactive and skill-based, with opportunities for parents to practice new skills, receive feedback, and use video-based vignettes that demonstrate parenting skills. Families also receive a family guide containing family activities, discussion topics, skill-building exercises, and information on positive parenting.

Parents Who Host Lose the Most

Program serves specific or general population	General population
Number of youth served	25,499
Number of parents served	17,089
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	https://www.drugfreeactionalliance.org/parents-who-host/evaluation
URL for more program information:	https://www.drugfreeactionalliance.org/parents-who-host/evaluation

ctionalliance.org/parents-who-host/evaluation

Program Description: Parents Who Host Lose the Most is a public awareness program that educates communities and parents about the health and safety risks of serving alcohol at teen parties. The program takes place at state and local levels, concentrating on celebratory times for youth (e.g., homecoming, holidays, prom, graduation, and others) when underage drinking parties are prevalent. This program encourages parents and the entire community to send a unified message that teen alcohol consumption is unhealthy, unsafe, and unacceptable.

Alcohol Literacy Challenge (ALC)

Program serves specific or general population	Specific population
Number of youth served	8,275
Number of parents served	60
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=320
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=320

Program Description: Alcohol Literacy Challenge (ALC) is a brief classroom-based program designed to alter alcohol expectancies and reduce the quantity and frequency of alcohol use among high school and college students. Alcohol expectancies are an individual's beliefs about the anticipated effects of alcohol use, including those that are positive (e.g., increased sociability, reduced tension) and negative (e.g., impairments to mental and behavioral functioning, increased aggressiveness, or risk taking). Some of the most desired effects—the arousing, positive, and pro-social effects are placebo effects rather than pharmacological effects. ALC aims to correct erroneous beliefs about the effects of alcohol, decreasing positive and increasing negative expectancies. These shifts in expectancies have been shown to predict lower levels of alcohol use.

Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students)

Program serves specific or general population	Specific population
Number of youth served	26,660
Number of parents served	369
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=71
URL for more program information:	http://legacy.nreppadmin.net/ViewIntervention.aspx?id=71

Program Description: Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students) is a selective and indicated program designed to prevent and reduce underage drinking and substance abuse.

Prevention Education and Information Dissemination

Program serves specific or general population	Specific population
Number of youth served	588,891
Number of parents served	46,142
Number of caregivers served	Not Applicable
Program has been evaluated	Yes

Evaluation report is available	No
URL for evaluation report:	Not Applicable
URL for more program information:	No data

Program Description: Prevention Education and Information Dissemination is a form of prevention that allows providers and coalitions to share knowledge and resources with the general population regarding prevention activities. These activities include: community resource fairs, community engagement events, health fairs, resource tables, information booths, assemblies, back-to-school fairs, and other opportunities to educate large numbers of participants in alcohol, tobacco, and other drug (ATOD) prevention materials.

Anti-Drug Coalition Activities

Program serves specific or general population	General population
Number of youth served	212,966
Number of parents served	861,704
Number of caregivers served	Not Applicable
Program has been evaluated	No
Evaluation report is available	Not Applicable
URL for evaluation report:	Not Applicable
URL for more program information:	Not Applicable

Program Description: Anti-drug coalitions across the state are active within their communities to promote ATOD education and prevention. These coalitions participate in community events and presentations, compliance check reminders, and town hall meetings, among others.

Life Skills Training (LST)

Program serves specific or general population	Specific population
Number of youth served	53,142
Number of parents served	2,391
Number of caregivers served	Not Applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=109
URL for more program information:	http://legacy.nreppadm.in.net/ViewIntervention.aspx?id=109

Program Description: Life Skills Training (LST) is a classroom-based substance abuse and violence prevention program for grade- and middle-school children. LST is an evidence-based program that is intended to be taught sequentially to build on skills learned in previous lessons. Studies show that this program significantly reduces alcohol, tobacco, and marijuana use.

Educational and Counseling for High School Students (ECHO)

Program serves specific or general population	Specific population
Number of youth served	42,837
Number of parents served	2,530
Number of caregivers served	Not Applicable
Program has been evaluated	No
Evaluation report is available	Not Applicable
URL for evaluation report:	Not Applicable
URL for more program information:	Not Applicable

Program Description: Educational and Counseling for High School Students (ECHO) is an ATOD prevention program offering social support, problem identification and referral, information dissemination, educational presentations, and life skills training.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description:

Active Parenting Now—An evidence-based practice addressing youth underage drinking.

Project Northland—A prevention education program that is outcome-based, with SAMHSA- and NREPP-certified curriculums proven to be effective in the delay of first use of ATOD.

Teen Intervene Group Process—Designed specifically for youth who are experiencing mild to moderate problems associated with alcohol or other drug use. The program provides education, support, and guidance for teens and their parents.

Additional Clarification

Not Applicable

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not Applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Environmental scans are done throughout the state to ensure that vendors who sell alcohol do not target youth or sell alcohol to youth. Additionally, environmental strategies such as media campaigns are held to make parents, educators, and community members aware of the dangers of exposing youth to alcohol.

State has adopted or developed best practice standards for underage drinking prevention programs No

Agencies/organizations that established best practices standards:

Federal agency(ies): Not Applicable

Agency(ies) within your state: Not Applicable

Nongovernmental agency(ies): Not Applicable

Other: Not Applicable

Best practice standards description: Not Applicable

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Walesca Marrero

Email: walesca.marrero@myflfamilies.com

Address: Department of Children and Families, Office of Substance Abuse and Mental Health. 1317 Winewood Blvd., Building 6, Room 257, Tallahassee, FL 32399

Phone: (850) 717-4313

Agencies/organizations represented on the committee:

Department of Children and Families Office of Substance Abuse and Mental Health

Florida Alcohol and Drug Abuse Association

Florida Coalition Alliance

Big Bend Community-Based Care Managing Entity (ME)

Central Florida Behavioral Health Network Managing Entity (ME)

Southeast Florida Behavioral Health Network Managing Entity (ME)

Broward Behavioral Health Coalition Managing Entity (ME)

Central Florida Cares Health System Managing Entity (ME)

Lutheran Services Florida Managing Entity (ME)

South Florida Behavioral Health Network Managing Entity (ME)	
A website or other public source exists to describe committee activities	No
URL or other means of access: Not Applicable	
Underage Drinking Reports	
State has prepared a plan for preventing underage drinking in the last 3 years	Not sure
Prepared by: Not Applicable	
Plan can be accessed via: Not Applicable	
State has prepared a report on preventing underage drinking in the last 3 years	Not sure
Prepared by: Not Applicable	
Plan can be accessed via: Not Applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$2,160,994.00
Estimate based on the 12 months ending	12/31/2015
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$1,271,741.87
Estimate based on the 12 months ending	12/31/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not Applicable	No
<i>Description of funding streams and how they are used:</i>	
Not Applicable	
Additional Clarification	
No data	



Georgia

State Population: 10,214,860

Population Ages 12–20: 1,261,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	243,000 (19.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	13,000 (3.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	71,000 (16.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	159,000 (37.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	149
Years of Potential Life Lost (under 21)	9,041
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	24
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	13%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	Yes, in specified locations – see below
• Is possession allowed if parent or guardian is present or consents?	
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
• Is consumption allowed if the parent or guardian is present or consents?	
• Is consumption allowed if the spouse is present or consents?	
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
• Is internal possession allowed if the parent or guardian is present or consents?	
• Is internal possession allowed if the spouse is present or consents?	
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if	Yes

the ID is ultimately deemed valid?	
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A
Notes: With respect to underage possession, Georgia imposes a license sanction only if the possession occurs while operating a motor vehicle. Provisions that apply only when the minor is the operator or passenger of a motor vehicle are not included in this report.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (6 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision	12:00 AM

requirement begin?	
Can law enforcement stop a driver for night driving violation as a primary offense?	No, officer must stop driver for another offense to cite for night driving violation
Are there restrictions on passengers?	Yes, first 6 months, immediate family only. Second 6 months, no more than one passenger under 21 who is not immediate family. After one year, no more than 3 passengers under 21 who are not immediate family.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18

LAWS TARGETING ALCOHOL SUPPLIERS

FURNISHING ALCOHOL TO MINORS	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

COMPLIANCE CHECK PROTOCOLS	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Not specified
Does decoy carry ID during compliance check?	Prohibited, except to obtain admission to facility or unless authorized in writing by Special Agent in Charge, Assistant Director, or Director
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has the authority to override state restrictions for wine and beer for grocery stores.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: 1) hotels of more than 50 rooms; 2) bona fide private clubs.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has the authority to override state restrictions for wine and beer for grocery stores.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 yards for wine and beer; within 200 yards for spirits. Local government has authority to override state restrictions.
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: 1) hotels of more than 50 rooms; 2) bona fide private clubs.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A

What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Notes: Wineries that hold a federal basic wine manufacturing permit, regardless of whether they are licensed by the state of Georgia, may also ship wines directly to consumers. The consumer must purchase the wine while physically present on the premises of the winery, and the winery must verify that the consumer is of the age to do so.	

Keg Registration	
How is a keg defined (in gallons)?	More than 2.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 12 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 12 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	Yes
Must warning information be given to purchaser?	Yes, active (requires an

	action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No
Notes: Although Georgia does not require a retailer to record the number of a keg purchaser's ID, it does require the retailer to record the form of identification presented by the purchaser, as well as the purchaser's name, address, and date of birth.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.01
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: \$0.32 per gallon for malt beverages sold in barrels or bulk containers containing not more than 31 gallons, and \$0.39 per gallon on barrels or bulk containers of 15.5 gallons or less.	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.42
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	Georgia imposes an additional tax of \$1.10 per gallon on the "importation for use, consumption, or final delivery" into the state of all wines with an alcohol content of 14% or less.
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.89
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant

Additional taxes for 15 – 50% alcohol spirits if applicable	
Notes: Georgia imposes an additional tax of \$1.89 per gallon on the "importation for use, consumption, or final delivery" into the state of all distilled spirits.	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 180 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 180 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Notes: With respect to purchases of beer, if retailer owns more than one business and payment is made from a central office, credit may be extended for a period not to exceed five days after delivery and invoice.	

Georgia State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Georgia Department of Revenue - Alcohol & Tobacco Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors GA Department of Revenue - Alcohol & Tobacco Division

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 20,673

Number of licensees checked for compliance by state agencies (including random checks) 3,686

Number of licensees that failed state compliance checks 329

Numbers pertain to the 12 months ending 6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 3,686

Number of licensees that failed **random** state compliance checks 329

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 329

Total amount in fines across all licensees \$98,700

Smallest fine imposed \$0

Largest fine imposed \$2,800

Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	5
Total days of suspensions across all licensees	46
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	30
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

The Georgia Department of Revenue - Alcohol & Tobacco Division does not collect data or track fines on local enforcement actions.

This agency tracks regulatory fines issued to businesses based on enforcement actions initiated by the agency.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Alcohol Prevention Project (APP)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ga-sps.org

Program Description: To address the negative impact of alcohol use in Georgia, the State Office of Behavioral Health Prevention (OBHP) has developed the Alcohol Prevention Project (APP). This project aims to effect population-level change of behaviors and trends of alcohol use and abuse among youth and young adults ages 9-25. APP will use the SAMHSA/CSAP Strategic Prevention Framework model to develop and implement strategies aimed at population-level change using the public health model approach. The objective of this initiative is to implement statewide primary prevention strategies (programs/ practices/ policies) consistent with needs as identified by epidemiological data, with the following goals:

1. Reduce the early onset of alcohol use among 9- to 20-year-olds.
 2. Reduce access to alcohol and binge drinking among 9- to 20-year-olds.
 3. Reduce binge drinking and heavy drinking among 18- to 25-year-olds.
- There are currently 47 providers across the state completing this initiative.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration:	Not applicable

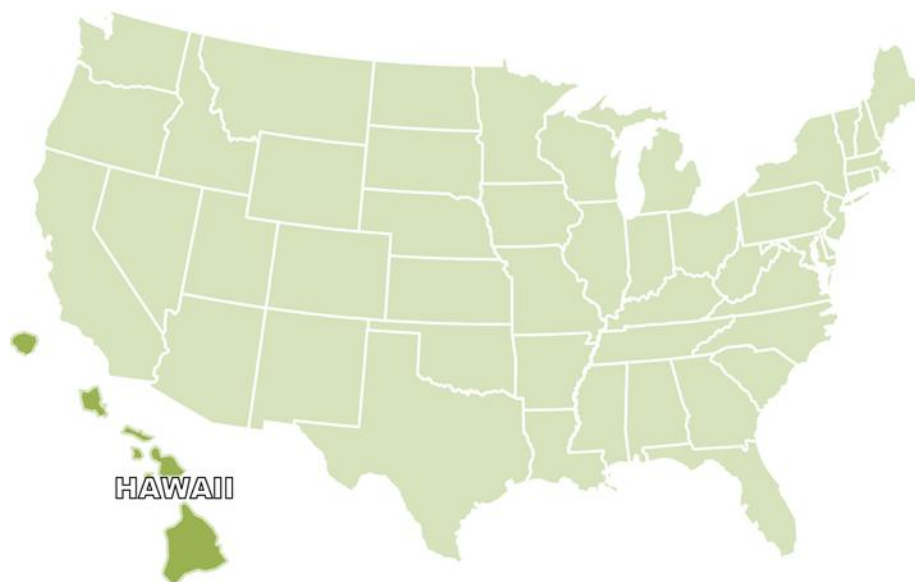
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): U. S. Department of Health and Human Services	Yes
Agency(ies) within your state: Department of Behavioral Health and Developmental Disabilities	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: Through the incorporation of the Strategic Prevention Framework (SPF) into the alcohol initiative, the OBHP became strategic about prevention services and programs being provided in Georgia and increased utilization of evidence-based programs, practices, and policies. This allows OBHP to target our services, show outcomes in our communities, and ensure long-term sustainability of evidence-based substance abuse/use prevention efforts. This model requires target communities to develop and implement strategies aimed at population-level change using the public health model approach. By adopting the SPF process and the public health model, target communities use a comprehensive approach to develop and implement sustainable outcome-based prevention strategies. OBHP also utilizes the <i>Surgeon General's Call To Action To Prevent and Reduce Underage Drinking</i> to assist in continuing to develop best practices standards.	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Amy Benson	
Email: amy.benson@dbhdd.ga.gov	
Address: 2 Peachtree Street NW, 22-493, Atlanta, GA 30303	
Phone: 404-657-8450	
<i>Agencies/organizations represented on the committee:</i>	
Department of Behavioral Health and Developmental Disabilities	
The Council on Alcohol and Drugs	
Maternal Substance Abuse and Child Development Project, Emory University	
Clinic for Education, Treatment, and Prevention of Addiction, Inc. (CETPA)	
Georgia Department of Revenue, Alcohol and Tobacco Division	
Carl Vinson Institute of Government, University of Georgia	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Department of Developmental Disabilities/Office of Behavioral Health Prevention	
Plan can be accessed via: http://www.ga-sps.org	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$147,440
Estimate based on the 12 months ending	6/30/2015

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	9/30/2015
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	\$0
Estimate based on the 12 months ending:	9/30/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	

Additional Clarification

To address the negative impact of alcohol use in Georgia, the OBHP has developed the Alcohol Prevention Project (APP) initiative utilizing SAMHSA Substance Abuse Prevention and Treatment (SAPT) Block Grant funding. This initiative aims to impact population-level change of behaviors and trends of alcohol use and abuse among youth and young adults ages 9-25 years. APP will use the SAMHSA/CSAP Strategic Prevention Framework (SPF) model to develop and implement strategies aimed at population-level change using the public health model approach. The objective of this initiative is to implement statewide primary prevention strategies (program/practices/policies) that are consistent with needs as identified by epidemiological data with the following goals:

- 1) Reduce the early onset of alcohol use among 9-20 year olds.
- 2) Reduce access to alcohol and binge drinking among 9-20 year olds.
- 3) Reduce binge drinking and heavy drinking among 18-25 year olds.



Hawaii

State Population: 1,431,603

Population Ages 12–20: 147,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	32,000 (21.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	2,000 (3.9%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	10,000 (20.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	20,000 (39.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	11
Years of Potential Life Lost (under 21)	680
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	41%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in any private location

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	No No
Is there an exception based on location?	Yes, in any private location

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A
<p>Notes: Hawaii has a statutory provision that states that, "[n]o minor shall consume or purchase liquor and no minor shall consume or have liquor in the minor's possession or custody in any public place, public gathering, or public amusement, at any public beach or public park, or in any motor vehicle on a public highway" and that "'consume' or 'consumption' includes the ingestion of liquor." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.</p>	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for	No

information digitally encoded on valid IDs?	
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: In Hawaii, the retailer has a defense to a charge of furnishing to a minor if, in making the sale or allowing the consumption of liquor by a minor, the retailer was misled by the appearance of the minor and the attending circumstances into honestly believing that the minor was of legal age, and if the retailer can prove that he or she acted in good faith.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)—For Ages 18-21	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	Not specified

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)—For Ages Under 18	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes

The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	Not specified

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 18, except household members, unless accompanied by parent or guardian
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A

What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
Notes: Liquor can be sold by persons 18 to 20 years of age only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is proper supervision of these minor employees to ensure that the minors shall not consume the intoxicating liquor.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18

What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes
Notes: Liquor can be sold or served by persons 18 to 20 years of age only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is proper supervision of these minor employees to ensure that the minors shall not consume the intoxicating liquor. Persons below the age of 18 years may sell or serve liquor in individually specified licensed establishments found to be otherwise suitable by the liquor commission in which an approved program of job training and employment for dining room waiters and waitresses is being conducted in cooperation with the University of Hawaii, the state community college system, or a federally sponsored personnel development and training program, under arrangements that ensure proper control and supervision of employees.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet, if 40% of registered voters or property owners within area protest
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: 1) designated resort areas; 2) hotel or condominium hotel liquor licenses.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes, social host must be 21 years of age or older

Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/outdoor/ other
What level of knowledge by the host is required?	Recklessness: Host must act with intentional disregard for probable consequence of actions
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes – Family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Uncertain
Wine	Uncertain
Spirits	Uncertain
Notes: Any adult may obtain a State permit to receive one shipment of beer, wine, or distilled spirits per year for personal use from outside the State, not to exceed five gallons. Only one permit is allowed per household. It is uncertain whether an out-of-state retailer may ship the alcohol directly to the permittee for his or her personal use.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Notes: Any adult may obtain a state permit to receive one shipment of beer, wine, or distilled spirits per year for personal use from outside the state, not to exceed five gallons. Only one permit is allowed per household.

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.93
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: \$0.54 per gallon for containers of 7 gallons or more.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.38
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.98
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Hawaii State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The State of Hawaii, Department of Health, Alcohol and Drug Abuse Division is a state agency. The Department/Division is not the primary responsible agency for enforcing laws for underage drinking. The primary responsibility for enforcing laws regarding underage drinking is under the jurisdiction of local county police departments and county liquor control boards (Hawaii, Maui, Oahu, and Kauai).

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

In the County of Oahu, Honolulu Liquor Commission compliance checks are limited to volume limits per year and ensuring sales are made for personal use and not for resale. The Department of Taxation regulates business registration of direct ship wineries and collection of general excise tax on sales made in Honolulu County. The primary agency responsible for enforcing laws addressing direct sales/shipments of alcohol to underage persons is the county Department of Liquor Control, a county government agency.

Such laws are also enforced by local law enforcement agencies

Don't know

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

255

Number pertains to the 12 months ending

6/30/2016

Data include arrests/citations issued by local law enforcement agencies

Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

No

Data are collected on these activities

No

Number of retail licensees in state³

1,950

Number of licensees checked for compliance by state agencies

Not applicable

(including random checks)

Number of licensees that failed state compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
<i>State conducts random underage compliance checks/decoy operations</i>	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	28
Total amount in fines across all licensees	\$31,450
Smallest fine imposed	\$1,500
Largest fine imposed	\$2,000
Numbers pertain to the 12 months ending	6/30/2016
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	No data
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

Three of the four county Liquor Control Boards provided information to the Stop Act Survey (Oahu, Kauai, and Hawaii). At the time of this writing, the Maui County Liquor Control Board did not provide information on the enforcement component of this survey. As each county operates differently and data are inconsistent, consolidating data was challenging. The latest (2013) Uniform Crime Report (UCR) has the most recent data. In the UCR, alcohol-related crimes included DUI, liquor law violations, and disorderly conduct. The report mentioned that that the juveniles charged with alcohol-related offenses were arrested. The county's Department of Liquor Control Board, together with each county's police department, minimally conducts compliance checks on an as-needed basis and if funding allows. However, the Honolulu Liquor Commission mentioned that they will resume compliance checks/decoy operations in FY17. Since Enforcing Underage Drinking Laws (EUDL) has not been appropriated to the states since 2011, the State of Hawaii has not conducted any statewide compliance checks since 2013.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Communities Mobilizing for Change on Alcohol (CMCA)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Alcohol and Drug Abuse Division funds the Communities Mobilizing for Change on Alcohol (CMCA) program and the subrecipients are the City and County of Honolulu (C&CH) and the Parents and Children Together (PACT) agencies. Both C&CH and PACT programs are community organizing programs designed to reduce youth access to alcohol by changing community and law enforcement policies, attitudes, and practices. These programs aim to decrease the number of outlets that sell alcohol to youth, lessen the availability of alcohol from noncommercial sources (such as peers or adults), and reduce the community's tolerance for underage drinking. Programs were contracted by the State to provide environmental strategies since 2012. Both programs will end on June 30, 2016. Hawaii is committed to address underage drinking—it is one of the top priorities in the State.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Agencies/organizations that established best practices standards:	
Federal agency(ies):	Not applicable
Agency(ies) within your state:	Not applicable
Nongovernmental agency(ies):	Not applicable
Other:	Not applicable
Best practice standards description: Not applicable	

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Cynthia Okazaki
 Email: kcfccokazaki@pacthawaii.org
 Address: King Intermediate School, 46-155 Kamehameha Hwy, Kaneohe, HI 96744
 Phone: (808) 235-7747

Agencies/organizations represented on the committee:

Parents and Children Together
 Department of the Attorney General
 Honolulu Liquor Commission
 University of Hawaii at Manoa
 Coalition for Drug-Free Hawaii

Department of Health
 Alu Like, Inc.
 Hina Mauka
 Child and Family Services
 City and County of Honolulu

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Not sure
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Not sure
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

K–12 school-based programs to prevent underage drinking:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the child welfare system:
 Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Other programs:
 Programs or strategies included: Data not available
 Estimate of state funds expended: Data not available
 Estimate based on the 12 months ending: Data not available

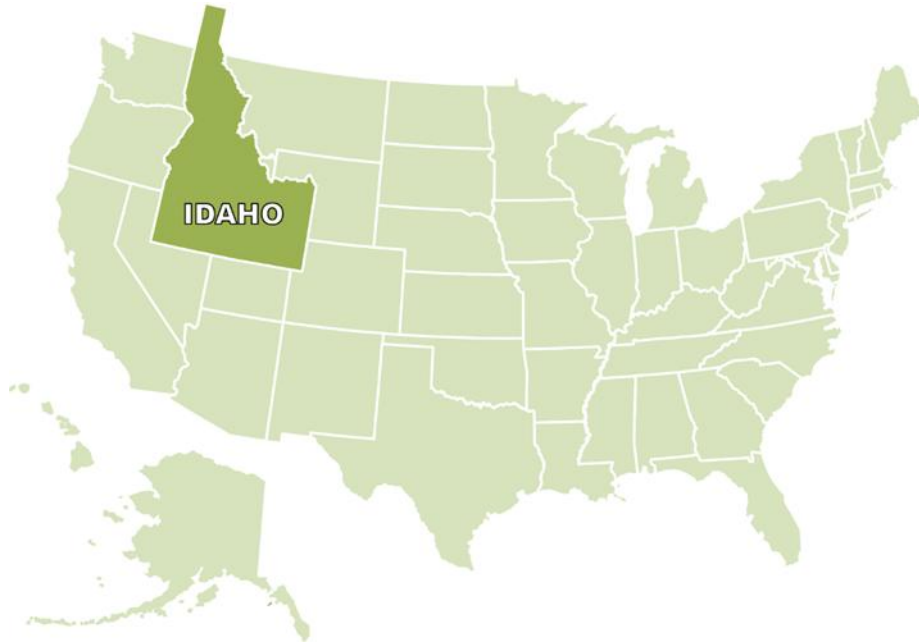
Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:
 Taxes No
 Fines No
 Fees No
 Other: Not applicable No

Description of funding streams and how they are used:
 Not applicable

Additional Clarification

No data



Idaho

State Population: 1,654,930

Population Ages 12–20: 208,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	35,000 (16.9%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (3.5%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	12,000 (17.9%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	20,000 (30.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	22
Years of Potential Life Lost (under 21)	1,376
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	12
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	31%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? 	Yes, in specified locations – see below
<ul style="list-style-type: none"> Is possession allowed if spouse is present or consents? 	No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents
Notes: Idaho's exceptions relate specifically to the possession of beer or wine.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? 	No
<ul style="list-style-type: none"> Is consumption allowed if the spouse is present or consents? 	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? 	N/A
<ul style="list-style-type: none"> Is internal possession allowed if the spouse is present or consents? 	N/A
Is there an exception based on location?	N/A
Notes: Idaho has a statutory provision that makes it unlawful "[f]or any person under the age of twenty-one (21) years to purchase, attempt to purchase, possess, serve, dispense, or consume beer, wine or other alcoholic liquor" such that "[a] person shall also be deemed to "possess alcohol that has been consumed by the person, without regard to the place of consumption." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes

Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	N/A
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: Retailers are only required to deliver documents to law enforcement that have been lost or voluntarily surrendered; however, when presented with identification documents that appear to be mutilated, altered, or fraudulent, they must contact law enforcement and refuse service.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	Not specified
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 6 months, upon completion of driver education, instruction permit signed over to allow driving with adult over 21
What is the minimum number of months driver must hold learner	6

permit before advancing to intermediate stage?	
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15
For night driving, when does adult supervision requirement begin?	12:00 AM; no unsupervised driving half hour after sunset
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one unrelated passenger under 17
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 (Passenger restrictions expire 6 months after issuance of license; unsupervised night driving restrictions remain until age 16)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	20.5
Are there appearance requirements for the decoy?	Yes, age-appropriate appearance. Male: not large in stature and no excessive facial hair. Female: minimal makeup and jewelry.
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Not specified

Is decoy training mandated, recommended, prohibited, or not specified?	Not specified
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Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	10-day suspension of alcohol license
What is the penalty for the second offense?	30-day suspension of alcohol license
What is the penalty for the third offense?	180-day suspension of alcohol license
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	19
Wine	19

Spirits	19
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools

Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, on campus grounds; college or university has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, on campus grounds; college or university has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability

Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability

Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
Notes: A licensee who holds a license for the retail sale of wine for consumption off the licensed premises may ship not more than two cases of wine, containing not more than nine liters per case per shipment, for personal use and not for resale, directly to a resident of another state if the state to which the wine is sent allows residents of this state to receive wine sent from that state without payment of additional state tax, fees or charges. The sale shall be considered to have occurred in Idaho.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Idaho is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.15
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	\$0.45 per gallon applies to beer over 5% alcohol. Beer with 5% or less alcohol is sold by license. Beer greater than 5% but less than 7.5% alcohol is sold by both license and the state.
Wine	
Control system for wine?	Yes

Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No

Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 180 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 180 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Idaho State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Idaho State Police Alcohol Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Idaho State Police Alcohol Beverage Control

Such laws are also enforced by local law enforcement agencies No

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 2,473

Number pertains to the 12 months ending 12/31/2014

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 6,500

Number of licensees checked for compliance by state agencies (including random checks) 525

Number of licensees that failed state compliance checks 110

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations 525

Number of licensees that failed **random** state compliance checks 110

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 38

Total amount in fines across all licensees \$226,750

Smallest fine imposed \$250

Largest fine imposed \$25,000

Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	57
Total days of suspensions across all licensees	703
Shortest period of suspension imposed (in days)	2 days
Longest period of suspension imposed (in days)	90 days
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Idaho can't do checkpoints.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Project ALERT

Program serves specific or general population	Specific population
Number of youth served	2,840
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pubmed?Db=pubmed&Cmd=ShowDetailView&TermToSearch=2180065&ordinalpos=1&itool=EntrezSystem2.PEntrez.Pubmed.Pubmed_ResultsPanel.Pubmed_RVDocSum
URL for more program information:	http://www.projectalert.com/

Program Description: Project ALERT is a school-based prevention program for middle or junior high school students that focuses on alcohol, tobacco, and marijuana use. It seeks to prevent adolescent nonusers from experimenting with these drugs, and to prevent youths who are already experimenting from becoming more regular users or abusers. Based on the social influence model of prevention, the program is designed to help motivate young people to avoid using drugs and to teach them the skills they need to understand and resist pro-drug social influences. The curriculum is comprised of 11 lessons in the first year and 3 lessons in the second year. Lessons involve small-group activities, question-and-answer sessions, role-playing, and the rehearsal of new skills to stimulate students' interest and participation. The content focuses on helping students understand the consequences of drug use, recognize the benefits of non-use, build norms against use, and identify and resist pro-drug pressures. (Source: SAMHSA's National Registry of Evidence-based Programs and Practices [NREPP])

Positive Action	
Program serves specific or general population	Specific population

Number of youth served	No data
Number of parents served	6,931
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3845413/
URL for more program information:	https://www.positiveaction.net/

Program Description: Positive Action is an integrated and comprehensive curriculum-based program that is designed to improve academic achievement, school attendance, and problem behaviors (e.g., substance use, violence, suspensions, disruptive behaviors, dropping out, and sexual behavior). It is also designed to improve parent/child bonding, family cohesion, and family conflict. Positive Action's concepts are universal and effective for all populations and socioeconomic levels and ages. All materials are based on the same unifying broad concept (one feels good about oneself when taking positive actions, and there is a positive way to do everything) with six explanatory sub-concepts (positive actions for the physical, intellectual, social, and emotional areas) that elaborate on the overall theme. These positive actions are skills that one needs to achieve academically and in life. The skills, or positive actions, are taught within six units and are the basis of all materials, providing coherence and consistency within the whole program. Program components include grade-specific toolkits for prekindergarten through 12th grade, with 15-minute scripted lessons. Also available are drug education kits, a conflict resolution kit, site-wide climate development kits for elementary and secondary school levels, a counselor's kit, a family kit, and a community kit. All components and their parts can stand alone or be seamlessly combined with any other component(s). (Source: SAMHSA's NREPP)

LifeSkills Training

Program serves specific or general population	Specific population
Number of youth served	6,333
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pubmed?Db=pubmed&Cmd=ShowDetailView&TermToSearch=18434045&ordinalpos=1&itool=EntrezSystem2.PEntrez.Pubmed.Pubmed_ResultsPanel.Pubmed_RVDocSum
URL for more program information:	https://www.lifeskillstraining.com/

Program Description: LifeSkills Training (LST) is a school-based program that aims to prevent alcohol, tobacco, and marijuana use and violence by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors. LST is based on both the social influence and competence enhancement models of prevention. Consistent with this theoretical framework, LST addresses multiple risk and protective factors and teaches personal and social skills that build resilience and help youth navigate developmental tasks, including the skills necessary to understand and resist pro-drug influences. LST is designed to provide information relevant to the important life transitions facing adolescents and young teens using culturally sensitive and developmentally and age-appropriate language and content. Facilitated discussion, structured small group activities, and role-playing scenarios are used to stimulate participation and promote skills acquisition. Separate LST programs are offered for elementary school (grades 3-6), middle school (grades 6-9), and high school (grades

9-12); the research studies and outcomes reviewed for this summary involved middle school students. (Source: SAMHSA's NREPP)

Second Step

Program serves specific or general population	Specific population
Number of youth served	4,313
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pubmed?Db=pubmed&Cmd=ShowDetailView&TermToSearch=9168290&ordinalpos=5&itool=EntrezSystem2.PEntrez.Pubmed.Pubmed_ResultsPanel.Pubmed_RVDocSum
URL for more program information:	http://www.secondstep.org/

Program Description: Second Step is a classroom-based social-skills program for children 4 to 14 years of age that teaches socio-emotional skills aimed at reducing impulsive and aggressive behavior while increasing social competence. The program builds on cognitive behavioral intervention models integrated with social learning theory, empathy research, and social information-processing theories. The program consists of in-school curricula, parent training, and skills development. Second Step teaches children to identify and understand their own and others' emotions, reduce impulsiveness and choose positive goals, and manage their emotional reactions and decision-making process when emotionally aroused. The curriculum is divided into two age groups: preschool through 5th grade (with 20 to 25 lessons per year) and 6th through 9th grade (with 15 lessons in year 1 and 8 lessons in the following 2 years). Each curriculum contains five teaching kits that build sequentially and cover empathy, impulse control, and anger management in developmentally and age-appropriate ways. Group decision-making, modeling, coaching, and practice are demonstrated in Second Step lessons using interpersonal situations presented in photos or in video format. (Source: SAMHSA's NREPP)

Project Towards No Drug Abuse

Program serves specific or general population	Specific population
Number of youth served	3,015
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.sciencedirect.com/science/article/pii/S0091743505001775
URL for more program information:	http://tnd.usc.edu/

Program Description: Project Towards No Drug Abuse (Project TND) is a drug use prevention program for high school youth. The current version of the curriculum is designed to help students develop self-control and communication skills, acquire resources that help them resist drug use, improve decision-making strategies, and develop the motivation to not use drugs. It is packaged in twelve 40-minute interactive sessions to be taught by teachers or health educators. The TND curriculum was developed for high-risk students in continuation or alternative high schools. It has also been tested among traditional high school students. (Source: SAMHSA's NREPP)

Nurturing Parenting Program

Program serves specific or general population	Special population
Number of youth served	145
Number of parents served	302
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.casey.org/media/EvaluationParentEdLA_FR.pdf
URL for more program information:	http://www.nurturingparenting.com/

Program Description: The Nurturing Parenting Programs (NPP) are family-based programs for the prevention and treatment of child abuse and neglect. Programs were developed to help families who have been identified by child welfare agencies for past child abuse and neglect or who are at high risk for child abuse and neglect. NPP goals are to increase parents' sense of self-worth, personal empowerment, empathy, bonding, and attachment; increase the use of alternative strategies to harsh and abusive disciplinary practices; increase knowledge of age-appropriate developmental expectations; and reduce abuse and neglect rates. NPP instruction is based on psycho-educational and cognitive-behavioral approaches to learning and focuses on "re-parenting," or helping parents learn new patterns of parenting to replace their existing, learned, abusive patterns. By completing questionnaires and participating in discussions, role-plays, and audiovisual exercises, participants learn how to nurture themselves as individuals and in turn build their nurturing family and parenting skills as dads, moms, sons, and daughters. Participants develop their awareness, knowledge, and skills in five areas: (1) age-appropriate expectations; (2) empathy, bonding, and attachment; (3) nonviolent nurturing discipline; (4) self-awareness and self-worth; and (5) empowerment, autonomy, and healthy independence. Participating families attend sessions either at home or in a group format with other families. Group sessions combine concurrent separate experiences for parents and children with shared "family nurturing time." During in home-based sessions, parents and children meet separately and jointly during a 90-minute lesson once per week for 15 weeks.

Active Parenting

Program serves specific or general population	Special population
Number of youth served	167
Number of parents served	169
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pubmed/10920600
URL for more program information:	http://www.activeparenting.com/

Program Description: Active Parenting of Teens: Families in Action is a school- and community-based intervention for middle school-aged youth designed to increase protective factors that prevent and reduce alcohol, tobacco, and other drug use; irresponsible sexual behavior; and violence. Family, school, and peer bonding are important objectives. The program includes a parent and teen component. The parent component uses the curriculum from Active Parenting of Teens. This curriculum is based on Adlerian parenting theory, which advocates mutual respect among family members, parental guidance, and use of an authoritative (or democratic) style of parental leadership that facilitates behavioral correction. A teen component was developed to complement the parent component. Active Parenting of Teens: Families in Action uses a family systems approach in which families attend sessions and learn skills. Each of the sessions includes time for parents and youth to meet in separate groups and time for all family members to meet together. Modules address parent-child communication, positive behavior management, interpersonal relationships for adolescents, ways for families to have fun together, enhancement of the adolescent's self-esteem, and factors that promote school success. Youth are taught about the negative social and

physical effects of substance use, learn general life skills and social resistance skills, and are provided opportunities to practice these skills. Parents are taught skills to help reinforce their teen's skills training. During the sessions when youth and parents meet together, they participate in a family enrichment activity and receive a homework assignment to complete before the next session. The program is offered in six weekly 2-hour sessions. Typical groups consist of 5 to 12 families. Sessions use videos, group discussion, and role-plays, plus high-energy activities for the teens. Two leaders are needed, one for the parent section and one for the teen section, and one of the two leaders also facilitates the combined parents and teens group. (Source: SAMHSA's NREPP)

Strengthening Families Program

Program serves specific or general population	Specific population
Number of youth served	116
Number of parents served	114
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://psycnet.apa.org/journals/gdn/14/3/211/
URL for more program information:	http://www.strengtheningfamiliesprogram.org/

Program Description: The Strengthening Families Program (SFP) is a family skills training program designed to increase resilience and reduce risk factors for behavioral, emotional, academic, and social problems in children 3-16 years old. SFP comprises three life-skills courses delivered in 14 weekly, 2-hour sessions. Parenting skills sessions are designed to help parents learn to increase desired behaviors in children by using attention and rewards, clear communication, effective discipline, substance use education, problem solving, and limit setting. Children's life skills sessions are designed to help children learn effective communication, understand their feelings, improve social and problem-solving skills, resist peer pressure, understand the consequences of substance use, and comply with parental rules. In the family life skills sessions, families engage in structured family activities, practice therapeutic child play, conduct family meetings, learn communication skills, practice effective discipline, reinforce positive behaviors in each other, and plan family activities together. Participation in ongoing family support groups and booster sessions is encouraged to increase generalization and the use of skills learned. (Source: SAMHSA's NREPP)

BeTheParents.org

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://betheparents.org/

Program Description: BeTheParents.org is a multifaceted media campaign delivered by the Governor's Office of Drug Policy. The campaign provides educational resources, stories, and information to parents using positive messaging to decrease underage drinking in Idaho.

Alcohol Compliance Checks

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pmc/articles/PM

URL for more program information:

C3817047/
<http://police.cityofboise.org/home/news-releases/2012/11/encouraging-news-on-alcohol-compliance-checks/>

Program Description: Alcohol compliance checks are a type of environmental prevention that deters alcohol outlets from selling alcohol to underage youth. Law enforcement officials supervise undercover youth who attempt to purchase alcohol; if the attempt is successful, the establishment is penalized. Compliance checks are thought to be most effective when they are frequent, well-publicized, and well-designed; solicit community support; and impose penalties on the licensed establishment rather than just the server. (Source: https://www.stopalcoholabuse.gov/townhallmeetings/pdf/2014/AlcoholComplianceChecks_508.pdf)

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description:

Shoulder Tap Operations—during the “shoulder tap” operation, teens working with police officers asked adults unknown to them to purchase alcohol. Eleven different adults purchased alcohol for the minors whom they had just met. The adults made no attempt to hide their actions, openly purchasing and handing the beer to minors in plain view. Several of the adults were purchasing beer for themselves and mentioned they too had asked adults to purchase alcohol for them when they were young. All of the adults were given misdemeanor citations for providing alcohol to a minor. (Source: <http://police.cityofboise.org/home/news-releases/2014/04/underage-alcohol-enforcement,-education,-just-in-time-for-prom,-graduation-season/>)

Al's Pals: Kids Making Healthy Choices—is a school-based prevention program that seeks to develop social-emotional skills such as self-control, problem-solving, and healthy decisionmaking in children ages 3-8 in preschool, kindergarten, and first grade. The program fosters both the personal traits of resilience and the nurturing environments children need to overcome difficulties and fully develop their talents and capabilities. Through fun lessons, engaging puppets, original music and materials, and appropriate teaching approaches, the Al's Pals curriculum helps young children regulate their own feelings and behavior; creates and maintains a classroom environment of caring, cooperation, respect, and responsibility; teaches conflict resolution and peaceful problem-solving; promotes appreciation of differences and positive social relationships; prevents and addresses bullying behavior; conveys clear messages about the harms of alcohol, tobacco, and other drugs; and builds children's abilities to make healthy choices and cope with life's difficulties. The program consists of a year-long, 46-session interactive curriculum delivered by trained classroom teachers who use Al's Pals teaching approaches to infuse the concepts into daily interactions with the children. Ongoing communication with parents is also part of Al's Pals. Teachers regularly send parents letters to update them about the skills the children are learning, suggest home activities to reinforce these concepts, and inform parents about their child's progress. (Source: SAMHSA's NREPP; <http://wingspanworks.com/>)

Promoting Positive Thinking Strategies (PATHS) and PATHS Preschool— are school-based preventive interventions for children in elementary school or preschool. Interventions are designed to enhance areas of social-emotional development such as self-control, self-esteem, emotional awareness, social skills, friendships, and interpersonal problem-solving skills while reducing aggression and other behavior problems. Skill concepts are presented through direct instruction, discussion, modeling, storytelling, role-playing activities, and video presentations. The elementary school PATHS Curriculum is available in two units: the PATHS Turtle Unit for kindergarten and the PATHS Basic Kit for grades 1-6. The curriculum includes 131 20- to 30-minute lessons designed to be taught by regular classroom teachers approximately 3 times per week over the course of a school year. PATHS Preschool, an adaptation of PATHS for children 3 to 5 years old, is designed to be implemented over a 2-year period. Lessons and activities highlight writing, reading, storytelling, singing, drawing, science, and math concepts and help students build the critical cognitive skills necessary for school readiness and academic success. The PATHS Preschool program can be integrated into existing learning environments and adapted to suit individual classroom

needs. (Source: SAMHSA's NREPP; ational Registry of Evidence-based Programs and Practices)
<http://www.channing-bete.com/prevention-programs/paths/paths.html>

Class Action

Class Action is the second phase of the Project Northland alcohol-use prevention curriculum series. Class Action (for grades 11-12) and Project Northland (for grades 6-8) are designed to delay the onset of alcohol use, reduce use among youths who have already tried alcohol, and limit the number of alcohol-related problems experienced by young drinkers. Class Action draws upon the social influence theory of behavior change, using interactive, peer-led sessions to explore the real-world legal and social consequences of substance abuse. The curriculum consists of 8-10 group sessions in which students divide into teams to research, prepare, and present mock civil cases involving hypothetical persons harmed as a result of underage drinking. Using a casebook along with audiotaped affidavits and depositions, teens review relevant statutes and case law to build legal cases they then present to a jury of their peers. Case topics include drinking and driving, fetal alcohol syndrome, drinking and violence, date rape, drinking and vandalism, and school alcohol policies. Students also research community issues around alcohol use and become involved in local events to support community awareness of the problem of underage drinking. Class Action can be used as a booster session for the Project Northland series or as a stand-alone program. (Source: SAMHSA's NREPP; <http://www.hazelden.org/web/go/projectnorthland>)

Guiding Good Choices(GGC)—is a drug use prevention program that provides parents of children in grades 4 through 8 (9 to 14 years old) with the knowledge and skills needed to guide their children through early adolescence. It seeks to strengthen and clarify family expectations for behavior, enhance the conditions that promote bonding within the family, and teach skills that allow children to resist drug use successfully. GGC is based on research that shows that consistent, positive parental involvement is important to helping children resist substance use and other antisocial behaviors. Formerly known as Preparing for the Drug Free Years, this program was revised in 2003 with more family activities and exercises. The current 5-session intervention addresses preventing substance abuse in the family, setting clear family expectations regarding drugs and alcohol, avoiding trouble, managing family conflict, and strengthening family bonds. Sessions are interactive and skills-based, with opportunities for parents to practice new skills and receive feedback, and use video-based vignettes to demonstrate parenting skills. Families also receive a family guide containing family activities, discussion topics, skill-building exercises, and information on positive parenting. (<http://www.channing-bete.com/prevention-programs/guiding-good-choices/guiding-good-choices.html>)

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: Tribal representation on the Alcohol Workgroup and the SPF Advisory Council. The SABG and SPF-SIG grant directors at the Office of Drug Policy attended and provided travel for representatives from the Nez Perce and Shoshone-Paiute tribes to attend the National Network to Eliminate Disparities in Behavioral Health (NNED) conference. Together, they attended the training track Project Venture: Positive Prevention for American Indian Youth. The Office of Drug Policy is in the planning stages of developing an American Indian Workgroup to help develop culturally competent strategies to prevent negative alcohol related outcomes.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): Center for Substance Abuse Prevention	Yes

Agency(ies) within your state: Evidence-Based Practices Workgroup	Yes
Nongovernmental agency(ies):	No
Other:	No

Best practice standards description: All underage drinking prevention programs funded through the State of Idaho. Block grant funds must be evidence-based. Direct service providers and coalitions implementing evidence-based programs and practices listed on the approved registries have the potential to be funded through federal grants distributed by the Office of Drug Policy. Also, with the addition of SPF-SIG funds to the State, an evidence-based practices workgroup has been formed to begin establishing best practices for the State. This workgroup is composed of various research professionals employed at several State agencies to determine if programs or practices not listed approved registries have enough established evidence of effectiveness to be considered evidence-based. Evidence-based programs are the State's best practice standards.

Additional Clarification

No data

State Interagency Collaboration

<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
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Committee contact information:

Name: Elisha Figueroa
 Email: elisha.figueroa@odp.idaho.gov
 Address: 304 N 8th St., Suite 455, Boise, ID 83720
 Phone: 208-854-3040

Agencies/organizations represented on the committee:

Idaho State Liquor Division
 Alcohol Beverage Control
 Office of Drug Policy
 Risch Pisca
 Idaho State Police
 Idaho Air National Guard
 Nez Perce Tribal Police
 Idaho Department of Health and Welfare
 Idaho Department of Education
 Idaho Commission on Hispanic Affairs
 Duck Valley Tribe
 Tall Cop Says Stop

<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Office of Drug Policy's Alcohol Workgroup	
Plan can be accessed via: Email Elisha Figueroa (elisha.figueroa@odp.idaho.gov)	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Office of Drug Policy	
Plan can be accessed via: Email Stephanie Pustejovsky (stephanie.pustejovsky@odp.idaho.gov)	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	Data not available
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Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Illinois

State Population: 12,859,995

Population Ages 12–20: 1,560,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	349,000 (22.4%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	13,000 (2.5%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	98,000 (19.0%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	238,000 (45.3%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	192
Years of Potential Life Lost (under 21)	11,625
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	34
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	23%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through an administrative process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes

May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10:00 PM (11 PM on Friday and Saturday)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger

	under 20, except for siblings and children
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (passenger restrictions expire 12 months after issuance of license; unsupervised night driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, age-appropriate dress; no disguises; and cannot alter appearance. Males: no facial hair.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Recommended

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	1 year
What is the penalty for the first offense?	\$500 fine or 1 day suspension
What is the penalty for the second offense?	\$1,000 to \$3,500 fine and 1-5 day suspension
What is the penalty for the third offense?	\$5,000 to \$10,000 and 10-30 day suspension
What is the penalty for the fourth offense?	Revocation

Notes: Aggravating and/or mitigating circumstances can be considered. Penalty guidelines are also available for subsequent violations that occur within 2-5 years.

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Not specified

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Off-premises
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18

Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 feet
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: (1) hotels with restaurant service, regularly organized clubs, certain restaurants; (2) food shops and other places where alcohol sales is not principal business and location is not a municipality of more than 500,000 persons; and (3) certain other specified licensees.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (For causes of action involving persons injured, killed, or incurring property damage on or after January 20, 2016, the judgment or recovery under the Liquor Control Act of 1934 for injury to the person or property of any person shall not exceed \$65,990.23)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: The Dram Shop Statute requires the Illinois Comptroller each year to determine the liability limits for causes brought under the statute in accordance with the consumer price index during the preceding 12 months. See Illinois Comptroller, Dram Shop Liability Limits, on State of Illinois website.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No
Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/Consumption

Property type covered by the law?	Residential
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes – Family members and residents of household
Notes: An individual is not in violation of the statute if he or she requests assistance from a law enforcement agency to help end the possession or consumption of alcohol by persons under the age of 21 in a residence that he or she occupies. This assistance must be requested before any other person makes a formal complaint to a law enforcement agency about the activity.	

Prohibitions Against Hosting Underage Drinking Parties—Law Applicable to Hotel or Motel Rooms	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Other
What level of knowledge by the host is required?	Overt act: Host must have actual knowledge and commit act that contributes to party's occurrence
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No
Notes: Under Illinois law, a person commits a social host offense by renting a hotel or motel room for the purpose of or with the knowledge that such room shall be used for the consumption of alcoholic liquor by underage persons.	

Prohibitions Against Hosting Underage Drinking Parties—Law Applicable to Parents/Guardians	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/ other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members
Notes: Under Illinois law, a person commits a social host offense if one is a parent or guardian and permits one's residence, or any other property under one's control, to be used by an underage invitee of one's child or ward in a manner that violates the statute. An offense is deemed to have occurred if a parent or guardian knowingly authorizes or permits the prohibited use to occur. Illinois includes any vehicle, conveyance, or watercraft within this offense.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.23
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.39
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant

<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$8.55
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	\$1.39 per gallon for alcohol content of more than 14% and less than 20%

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (Permitted before 10 p.m.; maximum of 4 hours per day and 15 hours per week)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Illinois State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Illinois Liquor Control Commission (sales to minors only)

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies Not applicable

Number pertains to the 12 months ending Not applicable

Data include arrests/citations issued by local law enforcement agencies Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 23,000

Number of licensees checked for compliance by state agencies (including random checks) 3,496

Number of licensees that failed state compliance checks 546

Numbers pertain to the 12 months ending 6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations No

Number of licensees subject to **random** state compliance checks/decoy operations Not applicable

Number of licensees that failed **random** state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 422

Total amount in fines across all licensees \$340,250

Smallest fine imposed \$150

Largest fine imposed \$5,000

Numbers pertain to the 12 months ending 6/30/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	37
Total days of suspensions across all licensees	201
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	21
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	6
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

Illinois State Police also conducts underage compliance operations.

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Strategic Prevention Framework-Partnerships for Success (SPF-PFS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not Applicable
URL for more program information:	Not Applicable

Program Description: The Strategic Prevention Framework-Partnerships for Success (SPF-PFS) grant supports nine providers and their multi-sector coalitions in using the Strategic Prevention Framework (SPF) process to reduce past 30-day alcohol use rates and negative consequences of underage drinking among 8th to 12th graders.

The SPF is a five-step planning process to guide the selection, implementation, and evaluation of effective, culturally appropriate, and sustainable substance abuse prevention activities. The effectiveness of this process begins with a clear understanding of community needs and depends on the involvement of community members in all stages of the planning process. The SPF components are assessment, capacity building, strategic planning, implementation, and evaluation. Cultural competency and sustainability are expected to be included in every component of the framework. The SPF-PFS program supports providers and their multi-sector coalitions in planning for and delivering services in communities that have higher rates of underage drinking compared to the state average. Providers and their coalitions develop local strategic plans to address underage drinking within their targeted community areas. Subrecipients will use a data-driven process in FY17 to reduce underage drinking at the community level by (1) working with a local, multi-sector coalition, (2) completing a needs assessment process that will inform the development of a strategic plan, (3) developing a local strategic plan, and (4) delivering evidence-based strategies.

Goals of the SPF-PFS project are to:

1. Decrease past 30 day alcohol use among 8th-12th grade youth and among identified vulnerable populations (where applicable).
2. Reduce the number of alcohol-related emergency department visits.
3. Reduce one or more of the following outcomes: perception of parental disapproval/attitude of underage drinking (UAD), perception of peer disapproval/attitude of UAD, perceived risk or harm of use of UAD, and family

communication about alcohol use.

4. Impact one or more contributing factors (also referred to as risk and protective factors) for underage drinking among 8th-12th grade youth:

- Perceived risk of harm associated with daily drinking and binge drinking
- Personal disapproval of youth alcohol use
- Perceived peer attitudes (norms) associated with youth alcohol use (e.g., how “cool” they would be perceived by peers if they used alcohol)
- Perceived parental disapproval of youth alcohol use
- Perceived community (adult) disapproval of underage drinking
- Parental communication regarding their disapproval of youth alcohol use
- Parental monitoring of alcohol-related behavior (likelihood their parents would catch them if they drank alcohol, attended a party where alcohol is served, etc.)
- Family rules about alcohol and drug use
- Perceived ease of access to alcohol
- Access to different alcohol sources among past year alcohol users (e.g., retail, social or parent supply source)

Substance Abuse Prevention Program (SAPP)-Strategic Prevention Framework (SPF)

Program serves specific or general population	Specific population
Number of youth served	160,792
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not Applicable
URL for more program information:	Not Applicable

Program Description: The goal of the SPF grant program is to reduce consumption of, consequences from, and contributing factors to alcohol, tobacco, marijuana, and prescription drug misuse/abuse among 11- to 20-year-olds in a targeted geographic community by following the SPF. This grant is designed to support the use of the SPF, developed by SAMHSA. SPF is a structured planning process that can be applied to prevention systems at both state and local levels. This process is an effective way for coalitions to address substance abuse issues within the community. SPF is intended to provide a structure or mechanism for multisector coalitions and other broadly represented community organizations to identify the most pressing substance abuse problems in their community. SPF uses a data-driven approach to understand what the most pressing problems are, who is affected most by the problems (consumption and consequences), why the problems are happening (contributing factors or intervening variables), and what programs, practices, and policies are most effective in addressing these problems and contributing factors. All SAPP–SPF-funded providers are addressing underage drinking.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): Office of Juvenile Justice and Delinquency, Center for Substance Abuse Prevention and SAMHSA Yes

Abuse Prevention and SAMHSA

Agency(ies) within your state: No
 Nongovernmental agency(ies): Center for Prevention Research and Development, University of Illinois-Champaign/Urbana Yes
 Other: No

Best practice standards description: The State requires the use of evidence-based programs, practices, and policies. In Illinois, evidence-based standards are also promoted and required if a provider decides to develop its own programming in the areas listed below. For example, if a community-based provider proposes an underage drinking communication campaign, the provider is expected to address all of the standards for communication campaigns. Evidence-based standards exist for the following approaches:

- Social norms and communication campaigns
- Mentoring
- Parent/family education
- Youth prevention education

To review standards for communication campaigns and other evidence-based standards, visit <https://www.cprd.illinois.edu/tools/prevresearchbriefs>

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Kim Fornero
 Email: Kim.Fornero@Illinois.gov
 Address: 401 South Clinton, 4th Floor, Chicago, IL 60607
 Phone: 312-793-1628

Agencies/organizations represented on the committee:

- Department of Human Services, Substance Abuse Prevention Program
- Center for Prevention Research and Development
- Operation Snowball
- Prevention First
- Illinois State Police
- Illinois National Guard
- Illinois Department of Public Health
- Illinois State Board of Education
- Chicago Police Department
- Department of Human Services, Division of Alcoholism and Substance Abuse
- Cebrin Goodman Teen Institute
- Students Against Destructive Driving
- Youth Network Council

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes
 Prepared by: Leveraging Work Group support by the Illinois Department of Human Services' Bureau of Positive Youth Development
 Plan can be accessed via: No website

State has prepared a report on preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended	No data
Estimate based on the 12 months ending	No data

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

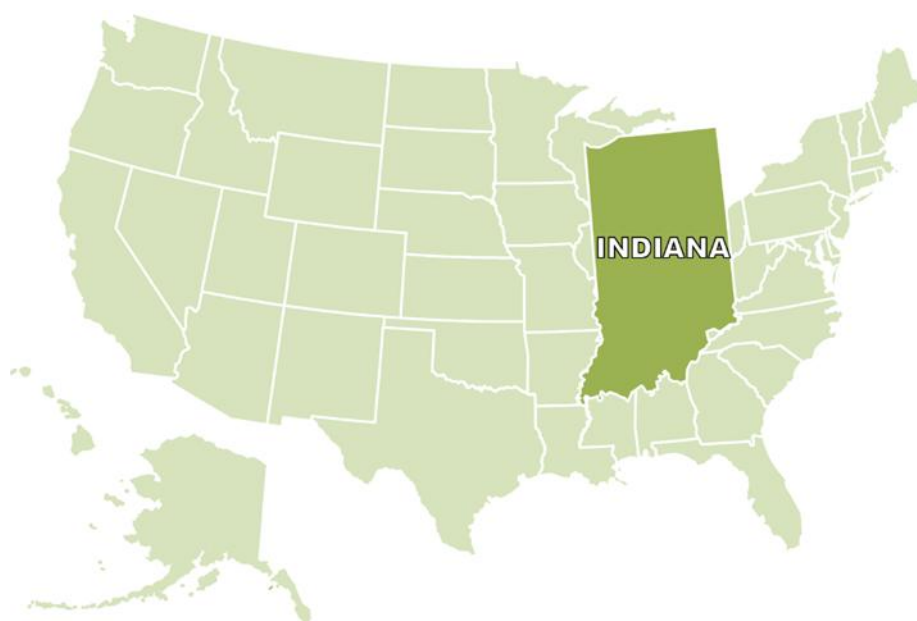
Taxes	No
Fines	No
Fees	Yes
Other: Not applicable	No

Description of funding streams and how they are used:

The Illinois Liquor Control Commission collects alcohol license fees, of which a portion is used to support substance abuse prevention services.

Additional Clarification

No data



Indiana

State Population: 6,619,680

Population Ages 12–20: 803,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	177,000 (22.0%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	7,000 (2.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	50,000 (17.9%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	120,000 (45.6%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	92
Years of Potential Life Lost (under 21)	5,613
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	20
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	15%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No law
May youth purchase for law enforcement purposes?	N/A
Notes: Indiana does not have a statute that specifically prohibits purchase, but it does prohibit purchasing or attempting to purchase alcohol in connection with making a false statement or using false evidence of majority or identity.	

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes

• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	No
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 3 months (16 years, 9 months without driver education)
For night driving, when does adult supervision requirement begin?	10:00 PM (First 180 days, 10 PM; then, 11 PM Sunday through Friday and 1 AM on Saturday and Sunday)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation

Are there restrictions on passengers?	Yes, no passengers except immediate family, unless accompanied by parent or a licensed driver at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (Passenger restrictions expire 180 days after issuance of intermediate license; unsupervised night driving restrictions remain until age 18)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20.75
Are there appearance requirements for the decoy?	Yes, age-appropriate dress and grooming
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	19
Wine	19
Spirits	19
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet; school has authority to override state prohibition for grocery stores, drug stores,

	restaurants, hotels, and catering halls
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet; school has authority to override state prohibition for grocery stores, drug stores, restaurants, hotels, and catering halls
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are: 1) restaurants in historic places or districts; 2) shopping malls and city markets.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of visible intoxication
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, knowledge of visible intoxication
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	No

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine

Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Notes: Brewers who manufacture not more than 90,000 barrels of beer in a single calendar year may ship up to one-half barrel of beer directly to Indiana consumers without being subject to the restrictions placed on wine shipments.	

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes, maximum fine/jail, \$1000 / time in jail
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes, 6.75 to 15.75 gallons in a single transaction depending on the type of retail license
Wine	Yes
Spirits	Yes, 4 to 12 quarts in a single transaction depending on the type of retail license

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.12
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.47
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.68
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	\$0.47 per gallon applies to an alcoholic beverage that contains 15% or less

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Yes, full day price reductions not banned
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 7 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law

Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 7 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 7 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Notes: All prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a period of time to insure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.	

Indiana State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Indiana Alcohol and Tobacco Commission/Indiana State Excise Police	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Indiana Alcohol and Tobacco Commission
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	1,991
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	10,493
Number of licensees checked for compliance by state agencies (including random checks)	10,836
Number of licensees that failed state compliance checks	924
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	On-sale establishments only
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	10,836
Number of licensees that failed random state compliance checks	924
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	No
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	762
Total amount in fines across all licensees	\$466,050
Smallest fine imposed	\$250
Largest fine imposed	\$1,000
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	0
Total days of suspensions across all licensees	0
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	0
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹Or having consumed or purchased per state statutes.

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴Does not include fines imposed by local agencies.

⁵Does not include suspensions imposed by local agencies.

⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Indiana Coalition to Reduce Underage Drinking (ICRUD)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.icrud.org/

Program Description: ICRUD is a statewide advocacy coalition that also provides training and expertise on underage drinking, prevention, and policy (what communities can do to reduce underage drinking). Part of ICRUD is the Indiana Collegiate Action Network (ICAN), a statewide college initiative providing education, training, technical assistance, and mini-grants to address high-risk drinking and other alcohol-related issues on campus.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

ICRUD and ICAN programs (including the ICRUD social media campaign and the various programs funded by ICAN mini-grants) have evaluation components. Programs/efforts must be best practices or promising practices in order to receive funding.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No recognized tribal governments
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): Center for Substance Abuse Prevention (CSAP), SAMHSA	Yes
Agency(ies) within your state:	No

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Indiana relies on guidance from CSAP. Currently DMHA-funded communities use needs assessment data and select an evidence-based program or strategy to address those needs. In 2016, DMHA's Evidence Based Workgroup produced "Indiana's Evidence Based Practice Guide" http://www.in.gov/fssa/dmha/files/Indianas_Evidence_Based_Practice_Guide_Feb_16.pdf which includes evidence-based/best practices for the prevention of underage drinking.

Additional Clarification

The Annual State Epidemiological Profile provides an overview of the state of underage drinking and alcohol use in Indiana:

<https://www.healthpolicy.iupui.edu/PubsPDFs/2015%20State%20Epidemiological%20Profile.pdf>

The Indiana Prevention Resource Center collects and disseminates an annual youth survey which includes information on underage drinking:

http://www.drugs.indiana.edu/publications/survey/indianaSurvey_2015.pdf

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Julie Gries

Email: julie.gries@fssa.in.gov

Address: 402 W. Washington, W353, Indianapolis, IN 46204

Phone: 317-232-7894

Agencies/organizations represented on the committee:

Indiana Department of Education

Indiana Department of Children's Services

Indiana Department of Health-Chronic Diseases Division

Indiana Department of Health-Tobacco Prevention & Cessation

Indiana Criminal Justice Institute

A website or other public source exists to describe committee activities No
URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: The Center for Health Policy prepares an annual epidemiological report that has a chapter on alcohol.

Plan can be accessed via:

<https://www.healthpolicy.iupui.edu/PubsPDFs/2015%20State%20Epidemiological%20Profile.pdf>

Additional Clarification

The interagency collaboration listed is composed of state agencies and has a substance abuse prevention focus.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 12/31/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Compliance checks in retail outlets	
Estimate of state funds expended:	\$250,000
Estimate based on the 12 months ending:	6/30/2016

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

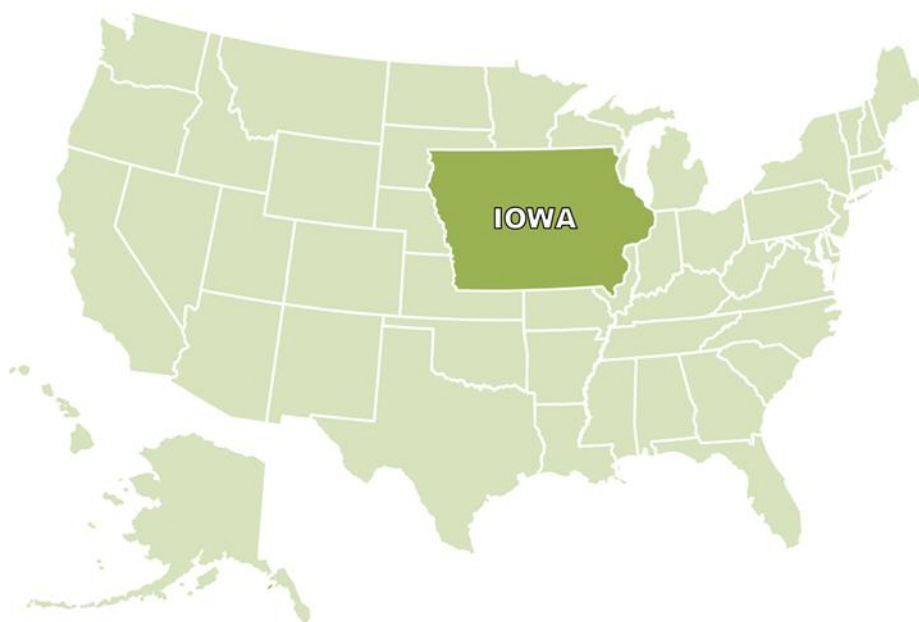
Taxes	No
Fines	Yes
Fees	Yes
Other: No data	No data

Description of funding streams and how they are used:

These funds go directly to the Local Coordinating Councils in all 92 counties in Indiana. These councils decide how these funds are used to address substance abuse treatment and prevention.

Additional Clarification

Other than the funds the Local Coordinating Councils receive from fines and forfeitures and a small sum required for retail compliance checks, all other underage drinking prevention initiatives are funded with federal dollars, either from the SAPT Block Grant or from EUDL funds. No other state dollars are dedicated to the prevention of underage drinking.



Iowa

State Population: 3,123,899

Population Ages 12–20: 366,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	90,000 (24.5%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (3.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	23,000 (18.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	63,000 (51.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	32
Years of Potential Life Lost (under 21)	1,962
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	11
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	16%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through both judicial and administrative processes
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No

Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	No
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	Yes
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	No
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	20 (2 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:30 AM
Can law enforcement stop a driver for night driving violation as a	Yes, officer may stop driver for

primary offense?	night driving violation
Are there restrictions on passengers?	Yes, no more than one unrelated minor passengers unless waived by the licensee's parent at time intermediate license is issued.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17
Notes: In addition to the supervised driving requirement at the learner's stage, Iowa requires an intermediate license holder to complete 10 hours of supervised driving with two of these hours being at night.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Second offense: 2 years; third and subsequent offenses, 3 years
What is the penalty for the first offense?	\$500 fine
What is the penalty for the second offense?	\$1,500 fine and a 30-day suspension
What is the penalty for the third offense?	\$1,500 fine and a 60-day suspension

What is the penalty for the fourth offense?	License revocation
Notes: Affirmative defense possible for licensees when the employee guilty of the violation has successfully completed the Iowa Program for Alcohol Compliance Training prior to the violation occurring and the sale/service was made to someone between the ages of 18 and 20. A violation involving a sale to a person under age 18 does not qualify for affirmative defense. A licensee may only use affirmative defense once in a 4-year time period.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Not specified
Notes: The mitigation of penalties incentive does not apply if a sale is made to a minor under the age of 18.	

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes, retailers that furnish alcohol for off-premises consumption are exempt
Does the statute limit elements or standards of proof?	Yes, retailer should have known that minor was intoxicated or was going to become intoxicated
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes, social host should have known that minor was intoxicated or was going to become intoxicated
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members
Notes: Iowa's social host statute only applies to possession or consumption by persons under the age of 18. This law does not apply to a landlord or manager of the property.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$625 / 30 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Iowa is a control state and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.19
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.75
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
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Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Iowa State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

The Iowa State Patrol within the Iowa Department of Public Safety is the agency primarily responsible for statewide enforcement of underage drinking laws. The Iowa State Patrol works closely with local law enforcement agencies to conduct projects involving underage drinking. Strong working relationships have enabled the program to succeed and prosper into something that is making a difference in communities across Iowa. The Division of Criminal and Juvenile Justice Planning in the Iowa Department of Human Rights has received Enforcing Underage Drinking Laws (EUDL) grant funds, and part of those funds are used by the State Patrol and other local law enforcement agencies to conduct compliance checks and other underage drinking education efforts. Some community coalitions also fund compliance checks. The Alcoholic Beverages Division (ABD) of the Iowa Department of Commerce also partners with local law enforcement when following up on a complaint or an investigation.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Iowa Alcoholic Beverages Division monitors shipment of wine to individual households. This practice is allowed, provided the shipper has a Direct Shippers license issued by this agency. Because Iowa ABD can only enforce those actually holding licenses, affecting the actions of non-licensed entities is a struggle.

Such laws are also enforced by local law enforcement agencies

Don't know

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies 1,702

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 8,944

Number of licensees checked for compliance by state agencies (including random checks) 1,838

Number of licensees that failed state compliance checks 208

Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	1,838
Number of licensees that failed random state compliance checks	208
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	102
Total amount in fines across all licensees	\$86,035
Smallest fine imposed	\$500
Largest fine imposed	\$1,500
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	14
Total days of suspensions across all licensees	570
Shortest period of suspension imposed (in days)	30
Longest period of suspension imposed (in days)	60
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

Alcohol compliance check data are based on the EUDL-funded checks through the Department of Human Rights, Division of Criminal and Juvenile Justice Planning, which provides funding to the Iowa State Patrol within the Iowa Department of Public Safety. Some EUDL funding was provided to the Juvenile Anti-Alcohol Group Task Force that encompasses 14 counties and conducts local compliance checks. EUDL funding was no longer awarded to states after FY 2011. These services were provided using a no-cost extension. This funding expired on 9/30/2015. The Alcoholic Beverages Division of the Department of Commerce conducts compliance checks but does not have law enforcement authority. Checks encompass more issues than underage drinking so the data were not included in the total. Through community coalitions and community-based agencies, more compliance checks are occurring, but Iowa does not have a centralized reporting system.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Iowa Department of Human Rights, Division of Criminal and Juvenile Justice

Planning: Enforcing Underage Drinking Laws (EUDL)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://humanrights.iowa.gov/ojpp/about-ojpp and http://www.ialert.iowa.gov

Program Description: The Iowa Department of Human Rights, Division of Criminal and Juvenile Justice Planning, awarded EUDL funding received from the Federal Office of Juvenile Justice and Delinquency Prevention to these entities:

- Iowa State Patrol: Efforts include compliance checks and education.
- Dallas County Sheriff Juvenile Anti-Alcohol Group (JAAG) Task Force: JAAG consists of 14 law enforcement agencies, juvenile court, and prevention specialists. The focus is retailer checks, saturation and party patrols, safety check points, and festival/special events.
- I-Alert Website: Assists retail licensees in developing a guide for their business to remain compliant with Iowa liquor laws.

EUDL funding was no longer awarded to states after FFY 2011. The services have been provided using a no-cost extension. This funding expires 9/30/2015. The website (www.ialert.iowa.gov) will continue to exist, even though EUDL funds are no longer available. The compliance check data will be posted through June 30, 2016, and then that information will no longer exist online.

Iowa Department of Commerce, Alcoholic Beverages Division: Iowa Program for Alcohol Compliance Training (I-PACT)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	https://i-pact.com/portal

Program Description: I-PACT (Iowa Department of Commerce, Alcoholic Beverages Division), has been available online since February 2012. Within the first two years of implementation, 25,659 users logged-on and took the training to receive their certification. In calendar year 2015, 17,708 individuals were certified. The overall goal of I-PACT is increased voluntary compliance with the state's alcohol laws through education prior to penalty. The core objective of the program is to prevent illegal sales of alcohol by educating sellers and servers on current state liquor law as outlined in Iowa Code 123.

D.A.R.E. Iowa

Program serves specific or general population	Specific population
Number of youth served	21,011
Number of parents served	21,011
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.dareiowa.org
URL for more program information:	http://www.dareiowa.org

Program Description: The mission of D.A.R.E. Iowa is to improve the quality of life for the youth of the state by assisting them to avoid harmful choices by developing and coordinating the resources and training necessary to permit local D. A. R. E. officers, schools, and communities to effectively provide Drug Abuse Resistance Education instruction to the young people of Iowa. The program has been in Iowa since 1988 and currently has about 72 agencies and approximately 95 instructors serving 136 school districts and 224 schools. The Governor's Office of Drug Control Policy provides student education materials for use statewide by certified D.A.R.E. instructors to teach substance abuse prevention techniques and resistance skills.

Iowa Department of Education: Prime for Life OWI Program

Program serves specific or general population	Specific population
Number of youth served	1,073
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.educateiowa.gov/adult-career-community-college/owi-education
URL for more program information:	http://www.educateiowa.gov/adult-career-community-college/owi-education

Program Description: PRIME for Life is an alcohol and drug program designed to challenge common beliefs and attitudes that directly contribute to high-risk use of alcohol and other drug use. This state-mandated program is required for all individuals (regardless of age) convicted of operating while intoxicated (OWI) in Iowa. Program goals are to reduce the risk for health and impairment problems. PRIME for Life's intervention component focuses on self-assessment to help people understand and accept the need for change. PRIME for Life is recognized as an evidence-based program on SAMHSA's National Registry of Evidence-Based Programs and Practices (NREPP). During FY 2015, 12,819 offenders took PRIME for Life courses from one of 51 agencies statewide. Approximately 1,073 recipients were 20 years old or younger. The program is for offenders only; parents and caregivers are not included.

Iowa Department of Public Health (IDPH): Youth Diversion Programs

Program serves specific or general population	Specific population
Number of youth served	419
Number of parents served	122
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://iconsortium.subst-abuse.uiowa.edu/Projects/Comprehensive%20Prevention.html (available this summer)
URL for more program information:	www.idph.iowa.gov/substance-abuse/comprehensive-prevention

Program Description: The Iowa Department of Public Health (IDPH) funds 18 community-based agencies for 23 service areas, which collectively cover all 99 Iowa counties. These comprehensive substance abuse prevention contracts are funded by the prevention portion of the Substance Abuse Prevention and Treatment (SAPT) Block Grant and some state appropriations. Among the services provided are diversion programs in many of the 23 service areas. A diversion program is for youth who have received a minor-in-possession charge or other alcohol offense (except OWI). If the youth successfully completes the program, then he or she may be diverted from the court system. The programs have different names, such as "Rethinking Drinking" or "Juvenile Education Group (JEG)," and vary somewhat as to the number of sessions and whether a parent or guardian is required to attend.

Not all programs require a parent or guardian to attend. From program evaluations, these programs are some of the most effective; in FY 2015 there was a large reduction in referrals from juvenile probation to the programs. Reasons given were turnover in probation officers, option for online courses, quicker completion, and less paperwork. Also, data indicate that liquor law violations for underage possession has decreased over a recent five-year period (2010-2014).

Iowa Department of Public Health: Alcohol, Tobacco, and Other Drug Education Programs included in Comprehensive Substance Abuse Prevention contracts

Program serves specific or general population	General population
Number of youth served	88,672
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://iconsortium.subst-abuse.uiowa.edu/Projects/Comprehensive%20Prevention.html (available this summer)
URL for more program information:	http://idph.iowa.gov/substance-abuse/comprehensive-prevention

Program Description: Through the SAPT Block Grant, IDPH funds comprehensive substance abuse prevention contracts. The contracts collectively cover all 99 Iowa counties. In FY12 some state funds and some other funds from fees were included in the contracts. One of the primary services provided is alcohol, tobacco, and other drug education programs in school and community settings. Many are small-group, recurring-service (multiple sessions), evidence-based programs. The names of the evidence-based programs include LifeSkills Training Program, Project ALERT, Project Towards No Tobacco Use, Project Towards No Drug Abuse, All Stars, and Too Good For Drugs. Other prevention strategies are included under this funding as well. Because the funding covers alcohol and other drugs, data on youth served specific to underage drinking are not available. For all funding combined, 88,672 youths were served.

Iowa Department of Public Health: Youth Mentoring and Prevention Through Mentoring Programs

Program serves specific or general population	Special population
Number of youth served	884
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://iconsortium.subst-abuse.uiowa.edu/Projects/Prevention%20Through%20Mentoring.html (for PTM only)
URL for more program information:	http://idph.iowa.gov/substance-abuse/programs/youth-services

Program Description: IDPH receives state appropriations to fund the Prevention Through Mentoring contracts, which create new and support existing community youth mentoring programs. The program supports the state’s goals of primary prevention of the use or abuse of alcohol, tobacco, and other drugs. Other funding from fees from Sunday liquor permits is used by IDPH for the Youth Mentoring contracts. These also establish or sustain mentoring programs that promote relationship building and social skills development, use elements of effective practice as established by the National Mentoring Partnership, and promote a positive perception of caring adults in the community.

Iowa Department of Public Health: Youth Development Program

Program serves specific or general population	General population
Number of youth served	4,932
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://iconsortium.subst-abuse.uiowa.edu/Projects/Youth%20Development%20Evaluation.html
URL for more program information:	http://idph.iowa.gov/substance-abuse/programs/youth-services

Program Description: The program provides evidence-based substance abuse prevention programming for youth (ages 5–18) that includes out-of-school activities and opportunities for character development, youth development, leadership, and community service. The program provides youth with the developmental experiences shown to promote a healthy transition toward adulthood. Objectives are to provide evidence-based youth development programming that is effective in reducing substance abuse in children, and to provide specific out-of-school youth development and service opportunities in the community. The funding is from state appropriations. Since FY14, the total youth served decreased significantly, mainly due to school districts not allowing as many youth to be served through the in-school curriculum.

Iowa Department of Public Health: Community Coalition Grant Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://idph.iowa.gov/substance-abuse/programs/community-coalition

Program Description: The Community Coalition Grant Program funds community coalitions to provide environmental substance abuse prevention strategies to reduce underage use of alcohol in communities. Coalitions can receive up to \$3,000 if they have \$10,000 or less in state or federal funds or up to \$8,000 for coalitions that have \$10,000 or more in state or federal funds. Grant funds come from state appropriations and cover a one-year timeframe. In Iowa’s FY15, eight coalitions were funded by IDPH. No numbers of youth or adults served were required in the year-end report. Additionally, 12 Iowa communities receive Drug-Free Communities (DFC) Support Program Grants or DFC Mentoring Grants from the Office of National Drug Control Policy (ONDCP) and SAMHSA. Much of their work is to prevent and reduce underage drinking and it affects overall underage drinking efforts in Iowa. Several of the Substance Abuse Prevention and Treatment (SAPT) Block Grant–funded agencies work very closely with them. Also, Iowa has an Alliance of Coalitions for Change (AC4C), a network of substance abuse prevention coalitions that holds quarterly retreats and shares strategies to reduce underage drinking. More information about DFCs is available from SAMHSA and the Office of National Drug Control Policy.

Iowa Department of Public Health: Partnerships for Success (PFS) Grant

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://idph.iowa.gov/substance-abuse/programs/ipfs

Program Description: IDPH received the SAMHSA-funded Partnerships for Success (PFS) grant in 2014. It is a 5-year grant designed to address two of the nation's top substance abuse prevention priorities including underage drinking among persons aged 12 to 20 and prescription drug misuse and abuse among persons aged 12 to 25. The PFS grant is also intended to bring SAMHSA's Strategic Prevention Framework (SPF) to a national scale. Iowa's two data-driven priorities of the grant include underage drinking and youth binge drinking. The first three steps of the SPF process have been completed through 12 highest-need funded counties, but implementation of environmental and individuals strategies has not yet begun. No local service data are available at this time.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA, Center for Substance Abuse Prevention Yes

Agency(ies) within your state: Iowa Department of Public Health, Division of Behavioral Health, Iowa Partnerships for Success (IPFS) Advisory Council members, see list of members under State Interagency Collaboration below. Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Best practice standards related specifically to selecting and implementing evidence-based interventions following the Strategic Prevention Framework (SPF). SPF definitions of "evidence-based" include interventions in one or more of the following three categories: (a) Included in federal registries, (b) reported (with positive effects on the primary targeted outcome) in peer-reviewed journals, or (c) documented effectiveness supported by other sources of information and the consensus judgment of informed experts as specified in the guidance document U.S. Department of Health and Human Services, Identifying and Selecting Evidence-Based Interventions.

Additional Clarification

Additional information about best practice standards: The IDPH-sponsored Evidence-Based Practice Workgroup, a subcommittee for the SPF SIG Advisory Council, made the recommendation to the Council to adopt the SAMHSA CSAP Guidance document (cited above) to define evidence-based interventions. The Council supported the recommendation. Now that the SPF SIG Project has been completed, the Iowa Partnerships for Success (IPFS) Advisory Council has continued with the same best practice standards description. The guidance is used for IPFS contracts and also for other state and federal funding administered by IDPH. Not all other agencies may specify using evidence-based programs and practices when funding contracts for prevention, but since underage drinking is a priority for the IPFS counties and for other funding, the guidance serves the majority of prevention contracts.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Jeanie McCarville Kerber, Co-Chair, and Angie Asa-Lovstad, Co-Chair
 Email: jamccarville1@dmacc.edu; Kossuthconnections@gmail.com
 Address: 2006 S. Ankeny Blvd, Ankeny, IA, 50023; 2300 River Road, Algona, IA 50511
 Phone: 515-964-6596; 515-320-0585

Agencies/organizations represented on the committee:

- Iowa Department of Public Health, Division of Behavioral Health
- Iowa Department of Commerce, Alcoholic Beverages Division
- Iowa Department of Education
- Iowa Department of Human Services
- Iowa Department of Human Rights, Division of Criminal Juvenile Justice Planning
- Iowa Department of Public Safety, Governor's Traffic Safety Bureau
- Iowa National Guard
- Iowa Consortium for Substance Abuse Research and Evaluation, University of Iowa
- Alliance of Coalitions for Change (AC4C)
- Iowa Behavioral Health Association
- CSAP State Project Officer
- Iowa Board of Certification
- Partnerships in Prevention Science Institute (PROSPER) at Iowa State University
- Community-based agencies
- Community college
- Law enforcement agency
- Faith community
- Youth are involved through the State of Iowa Youth Action Committee (SIYAC)
- A parent

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://idph.iowa.gov/substance-abuse/programs>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: SPF SIG Advisory Council with staff support from the Iowa Department of Public Health. The IPFS Advisory Council will be completing a plan in the near future since the SPF SIG Project has ended.
 Plan can be accessed via: Available from the Iowa Department of Public Health, Division of Behavioral Health

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: State Epidemiology Work Group and the Governor's Office of Drug Control Policy
 Plan can be accessed via: https://idph.iowa.gov/Portals/1/Files/SubstanceAbuse/state_epi_profile.pdf and <https://odcp.iowa.gov/2016Strategy>

Additional Clarification

The previous state-level Underage Drinking Task Force voted to combine with the SPF SIG Advisory Council (at least for the remainder of the SPF SIG Project period, which was extended until January 31, 2015). Representation on the two groups had started to overlap. The same is now true for the Iowa Partnerships for Success Advisory Council.

The Iowa Governor's Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The strategy describes substance abuse and related issues and includes underage alcohol use but does not report separately about underage drinking services. The State Epidemiological Workgroup produces an Epidemiological Profile every two years that includes data about underage alcohol use, but does not include services data.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$436,975
Estimate based on the 12 months ending	6/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0 from Iowa Department of Education
Estimate based on the 12 months ending	6/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	6/30/2015

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

Programs that target youth in the child welfare system:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

Other programs:

Programs or strategies included: Fees generated from Sunday beer and liquor permits fund the IDPH Community Coalitions contracts, a portion of the Comprehensive Substance Abuse Prevention contracts (predominately funded by the SAPT Block Grant), and a portion of the Youth Mentoring Program contracts.

Estimate of state funds expended:	\$366,525
Estimate based on the 12 months ending:	6/30/2015

Funds Dedicated to Underage Drinking*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	Yes
Other: Not applicable	No

Description of funding streams and how they are used:

Some IDPH prevention contracts are funded by fees generated from Sunday beer and liquor permits.

Additional Clarification

The Governor's Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. The Strategy describes funding, but allocations are not broken out specifically for underage drinking services. The Strategy is available at <https://odcp.iowa.gov/2016strategy>

With more specific substance abuse prevention services added to the Mentoring and Youth Development programs, a portion of the funding for these programs was included in the State Expenditures Section this year. The additional funding is not new funding.



Kansas

State Population: 2,911,641

Population Ages 12–20: 364,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	79,000 (21.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (3.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	23,000 (18.6%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	52,000 (41.4%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	41
Years of Potential Life Lost (under 21)	2,459
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	12
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	18%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Notes: Kansas has an exception permitting persons under 21 years of age to possess alcohol but the exception only applies to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 3.2 percent ABW).	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Notes: Kansas has an exception permitting persons under 21 years of age to consume alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 3.2 percent ABW).	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Notes: Kansas has an exception permitting persons under 21 years of age to possess or consume alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 3.2 percent ABW).	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales	No

specifically prohibited?	
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	

What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	9:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 18 who is not an immediate family member
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months
Notes: Kansas has a "restricted license" which allows unsupervised 15 year olds to drive to and from school or work using the most direct route possible. They must have completed driver's education, held an instruction permit for 12 months, completed 25 hours of supervised driving with an additional 25 hours of driving prior to age 16, and obtained parental consent. They must not operate the vehicle with nonsibling minor passengers.	

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: Kansas has an exception permitting the furnishing by a parent or legal guardian to a child or ward but the exception only applies to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any liquor which is more than 3.2 percent ABW).	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Although employees must be at least 21 years of age to sell alcoholic liquors at off-sale establishments, employees who are at least 18 years of age may sell cereal malt beverages (defined as containing not more than 3.2 percent alcohol by weight) if the licensee's place of business is licensed only to sell cereal malt beverages at retail in original and unopened containers and not for consumption on the premises.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Beer, wine, spirits
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 200 feet.
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Recklessness: Host must act with intentional disregard for probable consequence of actions
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members and residents of household

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	No

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	Yes

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.18
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	8.00%
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.50%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.50%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	1.50%
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: Sales of beer containing not more than 4% alcohol by retailers holding only a cereal malt beverage (CMB) license are subject only to the applicable state and local sales tax. The 10% Liquor Drink Tax is not due on beer containing not more than 4% alcohol sold by those holding only a CMB license; however, holders of alcoholic liquor licenses must collect and remit the 10% Liquor Drink Tax on sales of beer containing not more than 4% alcohol but are not required to collect sales tax.	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	8.00%
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.50%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5%	

alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.50%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	1.50%
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	8.00%
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.50%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	3.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> • General sales tax rate 	6.50%
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	1.50%
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No

Kansas State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Kansas Department of Revenue, Alcoholic Beverage Control Division

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws

No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Not applicable

Such laws are also enforced by local law enforcement agencies

Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

81

Number pertains to the 12 months ending

6/30/2015

Data include arrests/citations issued by local law enforcement agencies

No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

3,313

Number of licensees checked for compliance by state agencies (including random checks)

1,012

Number of licensees that failed state compliance checks

156

Numbers pertain to the 12 months ending

6/30/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

429

Number of licensees that failed **random** state compliance checks

66

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

79

Total amount in fines across all licensees

\$62,950

Smallest fine imposed

\$250

Largest fine imposed

\$4,000

Numbers pertain to the 12 months ending

6/30/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	2
Total days of suspensions across all licensees	20
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	18
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Regional Media Campaigns

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Regional media-related strategies were implemented to address substance abuse prevalence. These regional campaigns used the state's "It Matters" materials, providing a consistent look, feel, and message throughout the State of Kansas.

Project Sticker Shock

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Project Sticker Shock seeks to educate those persons 21 years of age or older who might illegally purchase alcohol and provide it to minors. Stickers displaying a warning message about the penalties for furnishing alcohol to minors are placed on all multi-packs of beer, wine coolers, and other alcohol products that might appeal to underage drinkers. The impact of the sticker is increased by media coverage of the event(s) and by permanent signs to be displayed by participating licensees.

MADD Power of Parents

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Through the Power of Parents program, parents and caregivers can attend free, 35-minute parent workshops and receive a parent handbook that provides them with the communication tools to talk with their teens about alcohol

Retailer Compliance Checks

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Random, unannounced retailer compliance checks and controlled buy operations were conducted at retail establishments in defined geographic areas to ensure compliance with state alcohol sales laws prohibiting sale of alcohol to minors, and to ensure positive age verification policies and practices by merchants.

AlcoholEdu

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: AlcoholEdu for High School is an online, interactive, alcohol education and prevention course designed to increase alcohol-related knowledge, discourage acceptance of underage drinking, and prevent or decrease alcohol use and its related negative consequences. Although high schools typically administer the course to their entire freshman class each year, the course can be used with other high school populations as well. By implementing the program at the population level, schools expose students to a consistent message, ultimately creating a common body of knowledge and a shared experience that helps establish a social safety net among students. The program includes a precourse assessment measuring knowledge, attitudes, and behaviors, followed by three 30-minute lessons, a postcourse assessment, and a 30-day (or more) follow-up review of key course concepts and follow-up assessment. The three lessons address alcohol's effects on the body and impairments produced at various blood alcohol concentrations; alcohol's effects on the mind (including brain development, blackouts, hangovers, and risk taking); and factors that influence decisions about drinking and strategies for making healthy choices. Brief lecture formats present current research, and interactive exercises personalize and reinforce the information. The course, which requires minimal teacher involvement, may be assigned as an outside project or completed in a school's computer lab. Students can progress through the program at their own pace. Although students have unlimited access to the course materials throughout the academic year, schools are encouraged to tie the course to something that is meaningful to the students, such as a test or project grade, access to a school event, or participation in extracurricular activities. The three lessons are typically completed within 1 to 3 weeks. Students may use their accounts throughout the academic year to access alcohol-related Web links or revisit any of the different interactive exercises.

The Strengthening Families Program: For Parents and Youth 10-14 (SFP 10-14)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable

Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Strengthening Families Program: For Parents and Youth 10-14 (SFP 10-14) is a family skills training intervention designed to enhance school success and reduce youth substance use and aggression among 10- to 14-year-olds. It is theoretically based on several etiological and intervention models, including the biopsychosocial vulnerability, resiliency, and family process models. The program includes seven 2-hour sessions and four optional booster sessions in which parents and youth meet separately for instruction during the first hour and together for family activities during the second hour. The sessions provide instruction for parents on understanding the risk factors for substance use, enhancing parent-child bonding, monitoring compliance with parental guidelines and imposing appropriate consequences, managing anger and family conflict, and fostering positive child involvement in family tasks. Children receive instruction on resisting peer influences to use substances. Sessions, which are typically held once a week, can be taught effectively by a wide variety of staff.

Saturation Patrols

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Saturation patrols are designed to saturate an area with officers in order to send a message to the community. This high visibility program is very effective when coupled with a strong media campaign.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Prairie Band Potawatomi Nation was awarded SPF-PFS II sub recipient funding to address underage drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP Yes

Agency(ies) within your state: KDADS/BHS Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Kansas Criteria for Evidence-Based Prevention Strategy Selection—Included in a federal list or registry of evidence-based intervention strategies, or reported in a peer-reviewed journal to have produced positive results, or documented as effective based on all three of the following guidelines: (1) The intervention is based on a solid theory or theoretical perspective that has validated research, and (2) the intervention is supported by a documented body of knowledge—a converging of empirical evidence of effectiveness—generated from similar or related interventions that indicate

effectiveness, and (3) the intervention is judged by a consensus of informed experts to be effective based on their combined knowledge of theory and their research and practice experience. “Informed experts” may include key community leaders and elders or other respected leaders within indigenous cultures.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Mende Barnett
 Email: Mende.Barnett@kdads.ks.gov
 Address: 503 S Kansas Avenue, Topeka, KS 66603
 Phone: 785-368-7429

Agencies/organizations represented on the committee:

KDADS
 Headquarters Counseling Center
 Sumner County Community Drug Action Team
 DCCCA, Inc
 Wichita State University, Center for Community Engagment
 Kansas VA Hospital
 Suicide Prevention Lifeline
 Finney County Community Health Coalition
 Kansas Department of Health and Environment
 Kickapoo Tribe of Kansas

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No
 Prepared by: Not applicable
 Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes
 Prepared by: KS State Epidemiological Workgroup
 Plan can be accessed via: No data

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$365,000
 Estimate based on the 12 months ending 6/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs targeted to institutes of higher learning:

Estimate of state funds expended Data not available
 Estimate based on the 12 months ending Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	No data
Estimate based on the 12 months ending:	No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Federal Block Grant and Discretionary Grant Programs	Yes

Description of funding streams and how they are used:

No data

Additional Clarification

No data



Kentucky

State Population: 4,425,092

Population Ages 12–20: 510,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	98,000 (19.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	3,000 (1.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	22,000 (13.9%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	73,000 (42.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	65
Years of Potential Life Lost (under 21)	3,921
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	13
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	14%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	60 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one unrelated passenger under age 20, unless accompanied by instructor
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation

License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A

Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	20
Spirits	20
Does a manager or supervisor have to be present?	Yes
Notes: Although 20 years of age is the minimum age requirement to sell alcoholic beverages at both off-sale and on-sale establishments, 18-year-olds may stock, arrange displays, accept payment for, and sack malt beverages by the package, under the supervision of a person 20 years of age or older.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	20
Wine	20
Spirits	20
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	20
Wine	20
Spirits	20
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No

Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties

Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	No
Must the label state "Recipient must be 21 years old"?	No

Keg Registration

How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law

Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.08
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	10.75%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	10.75%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.50
Ad valorem excise tax (for on-premises sales) on total receipts for 12%	

alcohol wine	
Wholesale tax rate (if applicable)	10.75%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	10.75%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.92
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	11.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	11.00%
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No

Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days

Kentucky State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Kentucky Department of Alcoholic Beverage Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Kentucky Department of Alcoholic Beverage Control

Such laws are also enforced by local law enforcement agencies Yes

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies¹ 329

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state³ 6,197

Number of licensees checked for compliance by state agencies (including random checks) 2,207

Number of licensees that failed state compliance checks Not recorded

Numbers pertain to the 12 months ending 12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations No data

Number of licensees that failed **random** state compliance checks No data

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 104

Total amount in fines across all licensees \$296,000

Smallest fine imposed \$1,050

Largest fine imposed	\$7,000
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	10
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	22
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

The state uses OZT (Operation Zero Tolerance), an enforcement program that addresses the problem of licensees selling alcoholic beverages to minors.

Number of fines does not include licenses revoked without option to pay fine.

Number of suspensions does not include suspensions where fines were paid in lieu.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

"I Won't Be the One"

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not applicable

Program Description: "I Won't Be the One" is a media campaign that seeks to limit social access of alcohol to underage youth. "I Won't Be the One" raises awareness of the consequences of UAD and of the legal consequences of providing alcohol to underage youth. It seeks to change the norm that UAD is a rite of passage and is okay as long as youth don't drive and drink.

Alcohol Compliance Checks

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3817047/
URL for more program information:	https://www.stopalcoholabuse.gov/townhallmeetings/pdf/2014/Alcohol

olComplianceChecks_508.pdf

Program Description: Alcohol compliance checks are a type of environmental prevention aimed at reducing retail availability of alcohol to underage youth. Law enforcement officials supervise undercover youth who attempt to purchase alcohol; if the attempt is successful, the establishment is cited and penalized.

Social Host Ordinance

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	https://www.sciencedaily.com/releases/2014/10/141028082634.htm
URL for more program information:	No data

Program Description: Social host liability is created by a statute or case law that imposes liability on social hosts as a result of their serving alcohol to adults or minors. Persons subject to social-host liability in criminal and civil actions are frequently those that provided alcohol to the obviously intoxicated or to minors who subsequently are involved in vehicle crashes or other activities causing death or injury to third parties, but these are not necessary conditions. A social host is most often a private individual who serves alcohol in a non-commercial setting.

Party Patrols

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Party Patrols are enforcement initiatives conducted by law enforcement officers who, acting on tips, break up parties and cite youth for possession of alcohol.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No data

Description of collaboration: No data

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No data

Description of program: No data

State has adopted or developed best practice standards for underage drinking prevention programs No data

Agencies/organizations that established best practices standards:

Federal agency(ies): No data

Agency(ies) within your state:

Nongovernmental agency(ies): No data

Other:	No data
Best practice standards description: No data	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Don't know/No answer
<i>Committee contact information:</i>	
Not applicable	
<i>Agencies/organizations represented on the committee:</i>	
Not applicable	
<i>A website or other public source exists to describe committee activities</i>	Not applicable
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Unknown
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Unknown
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Data not available	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data

Fees

No data

Other: No data

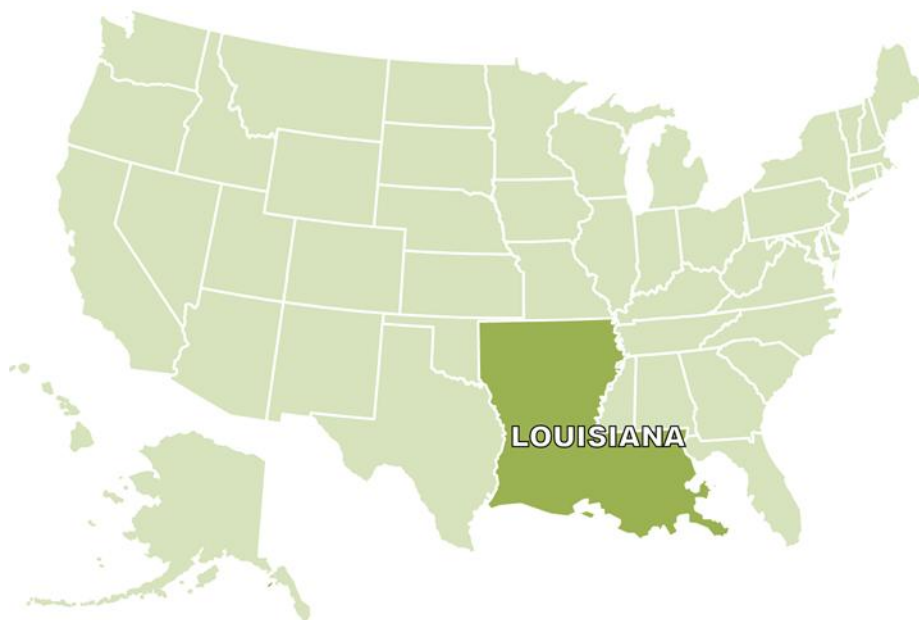
No data

Description of funding streams and how they are used:

No data

Additional Clarification

No data



Louisiana

State Population: 4,670,724

Population Ages 12–20: 574,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	139,000 (24.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	8,000 (4.7%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	43,000 (22.3%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	88,000 (42.7%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	113
Years of Potential Life Lost (under 21)	6,832
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	15
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	19%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in private residence
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	Yes
Is there an exception based on location?	Yes, in private residence
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: Under Louisiana law, special identification cards issued to applicants less than 21 years of age contain a highly visible, distinctive color to clearly indicate that the card has been issued to an applicant less than 21 years of age. Special identification cards are to be accepted as valid identification of the person to whom it was issued but do not enable that person to operate a motor vehicle.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	365
Notes: In Louisiana, a person between the ages of 13 and 18 years old who is adjudicated delinquent of a crime or offense involving alcohol loses his or her driving privileges for not less than 90 days but not more than one year or until he or she has reached the age of 18 years, whichever is longer.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (15 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a	Yes, officer may stop driver for

primary offense?	night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under 21 who is not an immediate family member between the hours of 6:00 PM and 5:00 AM, unless accompanied by a licensed driver at least 21 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Notes: Louisiana allows for localities to control outlet siting with a minimum of a 300 foot restriction.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Permitted
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	

Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	Yes, no more than 144 [750ml] bottles per year per person per household
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.32
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5%	

alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	\$0.32 per gallon for alcohol content of 6% or less.
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No
Notes: On-premises retailers may not offer an unlimited number of drinks for a fixed price after 10 PM	

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days
Spirits	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 15 days

Louisiana State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Louisiana Office of Alcohol and Tobacco Control

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	Yes
Number of minors found in possession by state law enforcement agencies	66
Number pertains to the 12 months ending	6/30/2015
Data include arrests/citations issued by local law enforcement agencies	No
State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	9,694
Number of licensees checked for compliance by state agencies (including random checks)	10,286 alcohol
Number of licensees that failed state compliance checks	1,641
Numbers pertain to the 12 months ending	6/30/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
State conducts random underage compliance checks/decoy operations	No
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable
Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Don't know/no answer
Data are collected on these activities	Don't know/no answer
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Project Northland

Program serves specific or general population	Specific population
Number of youth served	6,618
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	http://www.hazelden.org/web/go/projectnorthland

Program Description: Project Northland is a multilevel intervention involving students, peers, parents, and communities in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered weekly to adolescents in grades 6–8, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use through student-parent homework assignments, in-class group discussions, and a communitywide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use and is implemented through discussions, games, problem-solving tasks, and role plays. During the first half of the 8th-grade Powerlines peer-led program, students learn about community dynamics related to alcohol use prevention through small-group and classroom interactive activities. During the second half, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Protecting You/Protecting Me	
Program serves specific or general population	Specific population
Number of youth served	2,313
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data

URL for more program information:

<http://www.hazelden.org/web/go/pypm>

Program Description: Protecting You/Protecting Me (PY/PM) is a 5-year classroom-based alcohol use prevention and vehicle safety program for elementary school students in grades 1–5 (ages 6–11) and high school students in grades 11 and 12. The program aims to reduce alcohol-related injuries and death among children and youth due to underage alcohol use and riding in vehicles with drivers who are not alcohol-free. PY/PM consists of a series of 40 science- and health-based lessons, with 8 lessons per year for grades 1–5. All lessons are correlated with educational achievement objectives. PY/PM lessons and activities focus on teaching children about:

1. The brain—how it continues to develop throughout childhood and adolescence, what alcohol does to the developing brain, and why it is important for children to protect their brains.
2. Vehicle safety, particularly what children can do to protect themselves if they have to ride with someone who is not alcohol-free.
3. Life skills, including decision-making, stress management, media awareness, resistance strategies, and communication.

Lessons are taught weekly and last 20–25 minutes or 45–50 minutes, depending on the grade level. A variety of ownership activities promote students' ownership of the information and reinforce the skills taught during each lesson. Parent take-home activities are offered for all 40 lessons. PY/PM's interactive and affective teaching processes include role playing, small group and classroom discussions, reading, writing, storytelling, art, and music. The curriculum can be taught by school staff or prevention specialists. PY/PM also has a high school component for students in grades 11 and 12. The youth-led implementation model involves delivery of the PY/PM curriculum to elementary students by trained high school students who are enrolled in a peer mentoring, family and consumer science, or leadership course for credit. The program's benefits to high school students are derived from learning about the brain and how alcohol use can affect adolescents, serving as role models to the elementary school participants, and taking coursework in preparation for delivering the curriculum.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

The state funds only two programs (Project Northland and PY/PM) that are specific to underage drinking. The state funds additional prevention programs that are broader in scope as they address alcohol, tobacco, and other drugs. From July 1, 2014, to June 30, 2015, these additional programs served 80,273 youth across the state and are listed below:

- Life Skills Training
- Kids Don't Gamble...Wanna Bet?
- Second Step
- Coping Skills
- Too Good for Drugs
- Al's Pals
- Positive Action
- Guided Imagery Program
- Project Alert
- Project Toward No Tobacco Use
- Keep A Clear Mind
- Strengthening Families
- Insight Class Program

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
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Description of collaboration: Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA/CSAP	Yes
Agency(ies) within your state: Office of Behavioral Health	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: No data	

Additional Clarification

The state looks to SAMHSA/CSAP for guidance on best practices and currently follows the Revised Guidance Document for the Strategic Prevention Framework State Incentive Grant Program: Identifying and Selecting Evidence-Based Interventions for best practice standards for underage drinking prevention programs.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:
 Name: Quanda Charles
 Email: Quanda.Charles@la.gov
 Address: 628 N. 4th Street, Baton Rouge, LA 70802
 Phone: 225-219-9479

- Agencies/organizations represented on the committee:*
- Office of the Governor, Drug Policy Board
 - DHH-Office of Behavioral Health
 - LA Highway Safety Commission
 - Department of Education
 - LA State Police
 - Attorney General's Office
 - LA Army National Guard
 - LA Commission on Law Enforcement
 - Office of Juvenile Justice
 - LA Sheriff's Association
 - Hispanic Community Representative
 - Office of the Governor Elderly Affairs
 - Historically Black Colleges and Universities
 - Private Organization Involved in Substance Abuse Prevention
 - LA Center Addressing Substance Use in Collegiate Colleges
 - LA State University School of Public Health
 - DHH - Office of Public Health
 - Department of Public Safety and Corrections
 - LA Addictive Disorders Regulatory Authority
 - Mothers Against Drunk Driving
 - District Attorney's Association
 - LA Department of Veteran Affairs
 - Children's Cabinet
 - Office of the Governor, Disability Affairs
 - Supreme Court Drug Court Program
 - State Epidemiology Workgroup

A website or other public source exists to describe committee activities No
 URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes
 Prepared by: Prevention Systems Committee, a standing sub-committee of the Louisiana Drug Policy Board

Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: State Epidemiology Workgroup

Plan can be accessed via: <http://www.bach-harrison.com/lasocialindicators>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended No data

Estimate based on the 12 months ending No data

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended No data

Estimate based on the 12 months ending No data

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended \$196,475

Estimate based on the 12 months ending 6/30/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended No data

Estimate based on the 12 months ending No data

Programs that target youth in the juvenile justice system:

Estimate of state funds expended No data

Estimate based on the 12 months ending No data

Programs that target youth in the child welfare system:

Estimate of state funds expended No data

Estimate based on the 12 months ending No data

Other programs:

Programs or strategies included: No data

Estimate of state funds expended: No data

Estimate based on the 12 months ending: No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes No

Fines No

Fees No

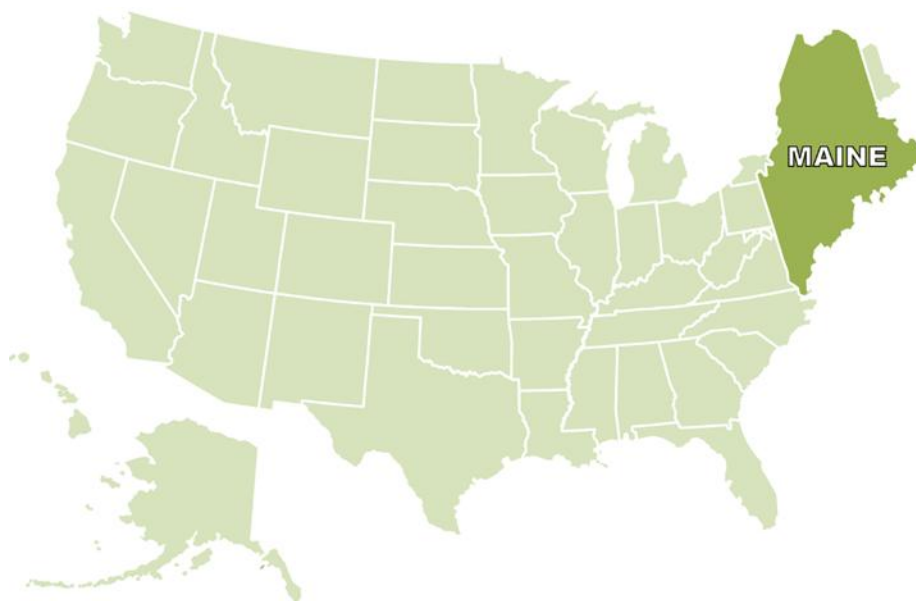
Other: No data No data

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Expenditures involved in K-12 school-based programs to prevent underage drinking are funds from the 20 percent prevention set-aside from the Substance Abuse Treatment and Prevention Block Grant. Funding expenditures included costs associated with Project Northland and Protecting You/Protecting Me.



Maine

State Population: 1,329,328

Population Ages 12–20: 144,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	36,000 (24.8%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	1,000 (2.8%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	10,000 (21.2%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	24,000 (48.0%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	13
Years of Potential Life Lost (under 21)	808
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	4
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	29%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in private residence if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable	Yes

from licenses for persons 21 and over?	
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	No
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	N/A
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: In Maine, the provisions targeting suppliers apply to acts prohibited by minors. The more general laws that address adults are not collected here as they are not, for purposes of this report, specific to the lending, transfer, sale, or production of false identification for a minor's obtaining alcoholic beverages.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	N/A
<ul style="list-style-type: none"> Possession of alcohol 	N/A
<ul style="list-style-type: none"> Consumption of alcohol 	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	70 (10 of which must be at night)
Intermediate Stage	

What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, immediate family members only, unless accompanied by licensed driver who is at least 20 years old
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 9 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is furnishing allowed if the parent or guardian supplies the alcohol? 	Yes, in specified locations
<ul style="list-style-type: none"> Is furnishing allowed if the spouse supplies the alcohol? 	No
Is there an exception based on location?	Yes, in any private residence if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, dressed in a manner consistent with age; no sunglasses or baseball caps. Male: no facial hair. Female: little or no makeup.
Does decoy carry ID during compliance check?	Prohibited
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	16 year old: \$1,500 fine and/or 14 day suspension 17 year old: \$1,200 fine and/or 7 day suspension 18 year old: \$750 fine 19 year old: \$600 fine 20 year old: \$450 fine
What is the penalty for the second offense?	Not specified
What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	17
Wine	17
Spirits	17
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	17
Wine	17
Spirits	17
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	17
Wine	17

Spirits	17
Does a manager or supervisor have to be present?	Yes

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 300 feet
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exception is downtown location.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$350,000 limit for all claims per occurrence. Medical care and treatment costs excluded from limit.)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Maine law provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$350,000 limit for all claims per occurrence. Medical care and treatment costs excluded from limit.)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.75
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$500)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Maine is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.35
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	8.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> General sales tax rate 	5.50%
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Maine State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Maine Department of Public Safety & Maine Department of Administrative and Financial Services

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	3,704
Number of licensees checked for compliance by state agencies (including random checks)	No data
Number of licensees that failed state compliance checks	164
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	No data

State conducts random underage compliance checks/decoy operations	Yes
Number of licensees subject to random state compliance checks/decoy operations	Unknown
Number of licensees that failed random state compliance checks	164

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No data
Number of licensees that failed local compliance checks	No data
Numbers pertain to the 12 months ending	No data

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	96
Total amount in fines across all licensees	\$12,460
Smallest fine imposed	\$130
Largest fine imposed	\$730
Numbers pertain to the 12 months ending	12/31/2015

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Maine Substance Abuse Prevention Services

Program serves specific or general population	Specific population
Number of youth served	302,884
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.maine.gov/dhhs/mecdc/population-health/prevention/

Program Description: Maine Substance Abuse Prevention Services is operated by the Maine Department of Health and Human Services. This program funds local community coalitions who engage community stakeholders in a wide range of substance abuse prevention initiatives. The program uses strategies that focus on access and availability of alcohol; community norms; perception of risk and harm; environmental controls, including policies and enforcement of underage drinking laws; and the pricing and promotion of alcohol products.

Maine Enforcing the Underage Drinking Laws (EUDL) Program

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.maine.gov/dhhs/mecdc/population-health/prevention/law-enforcement/index.htm

Program Description: Maine EUDL is overseen by the Maine Department of Health and Human Services. It funds underage drinking initiatives in each of Maine's 8 prosecutorial districts. The strategic plan for use of funding is

completed by district task forces, which are made up of law enforcement and community substance abuse prevention providers from each district. Allowable use of funding includes: compliance inspections, party patrols, underage drinking details, cops in shops, shoulder tap operations, and surveillance.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: Maine's Substance Abuse Prevention Program works with the state's tribal Public Health District, Wabanaki Public Health, which is made up of the five tribes across the state. Wabanaki Public Health receives funding to implement underage drinking and alcohol abuse prevention strategies in the communities it serves.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Maine uses its CardME program to restrict youth access to alcohol at the point of sale.
<http://www.maine.gov/dhhs/mecdc/populationhealth/prevention/community/licensee/cardme/index.htm>
 Maine also uses its pricing and promotion program to limit the number of alcohol advertisements that target youth. <http://www.maine.gov/dhhs/mecdc/populationhealth/prevention/community/licensee/pricepromo.htm>

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:
 Federal agency(ies): Office of Juvenile Justice and Delinquency Prevention Yes
 Agency(ies) within your state: Maine Department of Health and Human Services, Maine Department of Public Safety Yes
 Nongovernmental agency(ies): No
 Other: No
 Best practice standards description: Maine has developed a list of approved strategies that may be utilized by the programs it funds. This was developed through federal grants from the OJJDP and state level task forces involving state and local law enforcement and prevention professionals.
 The Maine Department of Public Safety has developed a model underage drinking policy for law enforcement agencies through the Maine Chiefs of Police.
 All funding provided by Maine DHHS for underage drinking and alcohol abuse prevention must adhere to the standards developed through the process described above.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Christine Theriault
 Email: christine.theriault@maine.gov
 Address: SHS #11, 286 Water Street, 4th Floor, Augusta, ME 04333
 Phone: 207-287-8917

Agencies/organizations represented on the committee:

- Maine Center for Disease Control and Prevention
- Maine Office of Child and Family Services
- Maine Bureau of Alcoholic Beverages and Lottery Operations

Maine Office of Substance Abuse and Mental Health Services
 State Epidemiological Outcomes Workgroup
 Maine Bureau of Highway Safety
 Maine Department of Transportation
 Healthy Maine Partnerships
 Hornby Zeller and Associates
 AdCare Maine

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.maine.gov/dhhs/mecdc/population-health/prevention/	

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Maine Department of Health and Human Services- ME CDC Substance Abuse Prevention and Control	
Plan can be accessed via: http://www.maine.gov/dhhs/samhs/osa/pubs/prev/2012/StrategicPrevPlan.pdf	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Maine State Epidemiological Outcomes Workgroup (SEOW)	
Plan can be accessed via: http://maineseow.com/#/additionalresources	

Additional Clarification

The Maine SEOW completes yearly reports on Maine's Substance Abuse Trends. Contained within this reports is data and information that pertains to underage drinking.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended	\$25,000
Estimate based on the 12 months ending	12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended	\$27,000
Estimate based on the 12 months ending	12/31/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended	\$135,000
Estimate based on the 12 months ending	9/30/2015

K–12 school-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	12/31/2015

Programs targeted to institutes of higher learning:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the juvenile justice system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Programs that target youth in the child welfare system:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: Premiums	Yes

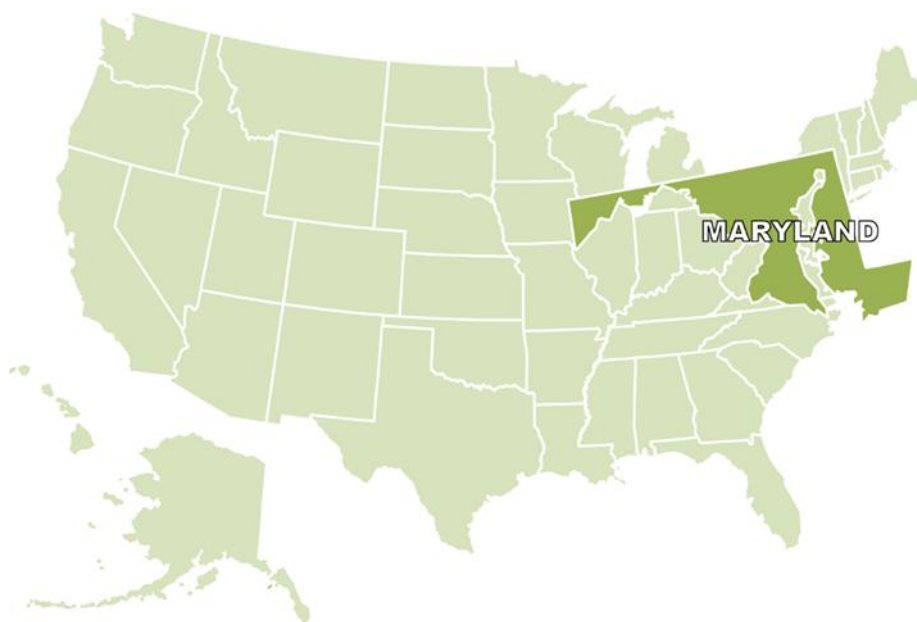
Description of funding streams and how they are used:

Per Maine statute, premiums are applied to certain liquor products sold within the state. These premiums are collected by the Bureau of Alcoholic beverages and Lottery Operations and appropriated from the state general fund to the Department of Health and Human Services.

MRSA, Title 28-A, section 1703 (<http://legislature.maine.gov/legis/statutes/28-A/title28-Asec1703.html>): "The amount of funds appropriated from the General Fund to the Department of Health and Human Services for substance abuse prevention and treatment may not be less than the dollar amount collected or received by the bureau under this section."

Additional Clarification

No data



Maryland

State Population: 6,006,401

Population Ages 12–20: 673,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	158,000 (23.4%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	7,000 (3.2%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	55,000 (22.8%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	96,000 (44.3%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	86
Years of Potential Life Lost (under 21)	5,174
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	11
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	23%

* Note that in previous years, the numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	Yes, in specified locations – see below Yes in specified locations – see below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: Maryland's exception includes members of an individual's "immediate family" when the alcoholic beverage is furnished and possessed "in a private residence or within the curtilage of the residence." For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below Yes, in specified locations – see below
Is there an exception based on location?	Yes, in private residence if parent/guardian/spouse is present or consents
Notes: Maryland's exception includes members of an individual's "immediate family" when the alcoholic beverage is furnished and consumed "in a private residence or within the curtilage of the residence." For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships? <ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor’s driver’s license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	No
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Discretionary
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	90

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 9 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	9
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	60 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no passengers under 18 who are not immediate family members, or relatives living with driver, unless accompanied by licensed driver over 21.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No, officer must stop driver for another offense to cite for passenger restriction violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 years (passenger restrictions expire 151 days after issuance of intermediate license)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	Yes in specified locations
Is there an exception based on location?	Yes, in any private residence if parent/guardian/spouse supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: Maryland's exception allows furnishing of alcohol to minors by members of their "immediate family" when the alcoholic beverage is furnished and consumed "in a private residence or within the curtilage of the residence." For purposes of this report, the phrase "immediate family" is interpreted as including a spouse.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Licensees, managers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such "local options" are not addressed by this report.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18

Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	21
Does a manager or supervisor have to be present?	No
Notes: Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such "local options" are not addressed by this report.	

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, distance restrictions vary by county and municipality
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, distance restrictions vary by county and municipality
To which alcohol products does requirement apply?	Beer, wine, spirits (product restrictions vary by county and municipality)
Notes: Exceptions vary by county and municipality.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition	General

against permitting underage drinking on the property?	
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol

Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales

May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration

How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 (or Maximum fine/jail, \$1000 if repeat violation))
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 (or Maximum fine/jail, \$1000 if repeat violation))
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes

Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	Yes
Notes: Although Maryland does not require a retailer to record the number of a keg purchaser's ID, it does require that the purchaser's name and address be recorded as they appear on the purchaser's identification.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: Written approval from the county or city is required.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes, 95% or more
Are there exceptions to restrictions?	No

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.09
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Additional taxes for 3.2 – 6% alcohol beer if applicable	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.40
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.50
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	9.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
• General sales tax rate	6.00%
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	3.00%
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No

Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No, all counties require payment on delivery except Worcester County where 10 days of credit may be extended.
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
Notes: With respect to purchases of wine and spirits, the Alcohol and Tobacco Tax (MATT) Regulatory Division posts a list of purchase periods and due dates that is accessible only to Maryland wholesalers and retail licensees.	

Maryland State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

No state agency collects this data.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Comptroller of Maryland
Such laws are also enforced by local law enforcement agencies	No

Enforcement Statistics

State collects data on the number of minors found in possession No

Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities	No
Number of retail licensees in state ³	No data
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts **random** underage compliance checks/decoy operations Not applicable

Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Don't know

Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Don't know
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Maryland Strategic Prevention Framework 2 Initiative (MSPF2)

Program serves specific or general population	Specific population
Number of youth served	NA
Number of parents served	NA
Number of caregivers served	NA
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.pharmacy.umaryland.edu/programs/behavioral-health-research-team/resources/#

Program Description: The Maryland Strategic Prevention Framework2 (MSPF2) Project provides grant funds to strengthen the efforts of 10 local jurisdiction coalitions to prevent and reduce underage and youth binge drinking in their communities. These coalitions, with training and technical assistance provided by BHA’s MSPF Technical Assistance and Evaluation Team, are building upon their past successes and addressing the challenges they faced over the first five years of the MSPF initiative. Communities were selected based on a formula that first considered prevalence indicators of youth alcohol use, consequences, and contributing factors. This accounted for 70 percent of their selection score. The remaining 30 percent of the score was determined based on past coalition performance in bringing resources to bear, and each jurisdiction’s contribution to the cultural diversity and geographic balance of the initiative. Underage and youth binge drinking are MSPF priorities, as determined by a recent statewide youth AOD needs assessment. Accordingly, Goal 1 of the initiative is to reduce underage and youth binge drinking in Maryland. Goal 1’s measurable objectives are (1) to reduce past 30 day underage drinking in the 10 selected jurisdictions and statewide and (2) to reduce past 30 day binge drinking by youth ages 18-25, in the 10 jurisdictions and statewide. The interventions to attain this goal will be primarily evidence-based prevention strategies addressing key intervening variables for underage and youth binge drinking, including retail access to alcohol, social access, youth perception of harm and risk, community and social norms, enforcement of alcohol laws, alcohol pricing, and promotions. While it is expected that most strategies implemented will be environmental and community-process strategies, coalitions may augment these strategies with information dissemination and prevention education to strengthen community awareness of and support for their prevention efforts.

Goal 2 of the initiative is to strengthen state and local community prevention capacity and infrastructure. Goal 2’s measurable objectives are (1) to increase the capacity of sub-recipient prevention coalitions through the provision of guidance, training, and technical assistance and (2) to strengthen the state and local prevention infrastructure by leveraging, redirecting, and realigning the Substance Abuse Block Grant (SABG) resources administered by the Behavioral Health Administration (BHA) to exclusively support evidence-based programs and strategies that are determined through the Strategic Prevention Framework (SPF) process, as measured by grant programs and fiscal records.

Substance Abuse Block Grant (SABG) Prevention Program

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: Maryland’s SABG Prevention Program adheres to SAMHSA definitions, policies, and best practices to plan, fund, implement, and evaluate a comprehensive array of data-driven, evidence-based substance abuse prevention practices, strategies, and programs. Only primary prevention activities can be supported through this grant program, i.e., services for those who have not been identified as having a substance use disorder that requires treatment. Through this process, Maryland will support universal, selected, and indicated prevention activities designed to reach a broad and diverse group of Maryland youth at various levels of risk for substance use and abuse, resulting in a reduction of youth substance abuse at the population level. Each jurisdiction develops its own unique SABG Strategic Prevention Plan that lays out the jurisdiction’s specific substance abuse issues, resources, contributing factors, objectives, and strategies.

Jurisdictions, based on their plans, can address the particular substances that are supported by their local data and endorsed by their planning body and may provide universal, selected, or indicated primary prevention strategies. Since the Office of Prevention Wellness (OPW) emphasizes change at the population-level, all jurisdictions must allocate at least 50 percent of their prevention block grant award to strategies that are most likely to result in population-level change. This includes environmental, community process, and information dissemination strategies. As youth problem drinking (underage drinking and youth binge drinking) has been identified as the state's top youth substance abuse priority, the vast majority of our jurisdictions have also made the prevention of youth problem drinking their top priority and allocate the majority of their grant funds to address this issue. Jurisdictions may also provide any of the other SAMHSA strategies which specifically target individuals and families rather than the entire community, with their remaining prevention block grant funds. However, all funds must be used for programs and strategies that research findings/evidence show to be effective or promising. All strategies must be evidence-based and determined through the SPF planning process.

Maryland College Alcohol, Tobacco, and Drug (ATOD) Prevention Centers

Program serves specific or general population	Specific population
Number of youth served	21,235
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: BHA provides funding to four Maryland universities to develop and maintain programs/activities that prevent and reduce substance use and risk-taking behaviors associated with use of alcohol, tobacco, and drugs (ATOD). Currently, Maryland College ATOD Prevention Centers have been established at Frostburg State University, Towson University, Bowie State University, and the University of Maryland Eastern Shore. These centers promote and assist in the design and implementation of campus policies, evidence-based

practices, and prevention/wellness education programs for their institutions. They also collaborate with agencies and organizations in the communities surrounding their campuses. Center directors have working relationships with local health department prevention coordinators, local drug and alcohol councils, and other colleges/universities in the region. Underage drinking and binge drinking by students creates major issues on college campuses and surrounding communities, and preventing and reducing these issues are a primary focus of the prevention activities funded with by BHA grant awards. It is estimated that 21,235 students are served through College ATOD Prevention Center-funded prevention strategies.

Maryland Collaborative to Reduce College Drinking and Related Problems

Program serves specific or general population	No data
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://marylandcollaborative.org

Program Description: BHA provides funding to the University of Maryland College Park and Johns Hopkins University to bring together state colleges and universities to (1) reduce the current level of excessive alcohol use and related harm on college campuses in Maryland, and (2) mobilize and sustain the commitment of campus and community leaders to reducing excessive alcohol use and related harm on these campuses. Goals are attained through an assessment of current challenges to campuses and surrounding communities with respect to college drinking problems; forming a collaborative of committed colleges and universities; instituting a common data collection system; and providing ongoing expert training and technical assistance to the participants in understanding and implementing evidence-based best practices. Fourteen colleges and universities currently participate in the Maryland Collaborative, and several documents have been produced by the Collaborative, including the *Report on College Drinking in Maryland*, *Guide to Best Practices to Reduce Underage Drinking*, and *Results of 1st Annual Maryland College Alcohol Survey*.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Description of program: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): No

Agency(ies) within your state: Behavioral Health Administration Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: Recipients of BHA's MSPF2 and Opioid Misuse Prevention Program (OMPP) grants must follow the structured Maryland SPF process as described in our written guidance documents in order to receive funding. This includes the requirement that all programs implemented to reduce underage and problem youth drinking be evidence-based. A set of approved evidence-based strategies and best practices is included in our written guidance documents and on our website. Recipients of our SAPT Block

Grant funds that implement direct services programs are required to implement at least one evidence-based (NREPP) program. Recipients that implement environmental strategies must implement strategies from the list of evidence-based strategies included in our written guidance documents and on our website. We are incrementally requiring SAPT Block grantees to infuse the five-step SPF process in their program planning and implementation.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Larry Dawson

Email: larry.dawson@maryland.gov

Address: 55 Wade Avenue, Catonsville, MD 21228

Phone: (410) 402-8622

Agencies/organizations represented on the committee:

University of Maryland School of Pharmacy

Maryland Behavioral Health Administration

Governor's Office of Crime Control & Prevention

Maryland State Department of Education

Maryland Department of Juvenile Services

College of Southern Maryland

Maryland State Highway Administration

Montgomery County Dept. of Liquor Control

Maryland Department of Public Safety & Corrections

Maryland State's Attorney's Office

Talbot Partnership

Wicomico County Health Dept.

Dorchester County Health Dept.

Substance Abuse Prevention offices in: Charles County, Allegany County, Wicomico County, Cecil County, Queen Anne's County, Caroline County, Dorchester County, Carroll County, Garrett County, St. Mary's County, Kent County, Prince George's County, Frederick County, and Harford County

A website or other public source exists to describe committee activities No
URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No
Prepared by: Not applicable
Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years No
Prepared by: Not applicable
Plan can be accessed via: Not applicable

Additional Clarification

The state's underage drinking plan was developed seven years ago for Maryland's Strategic Prevention Framework application. The plan has been implemented since then through the BHA-funded Maryland Strategic Prevention Framework (MSPF) grant program and the BHA-funded SAPT Block Grant program.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Checkpoints and saturation patrols:

Estimate of state funds expended Data not available

Estimate based on the 12 months ending Data not available

Community-based programs to prevent underage drinking:

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Massachusetts

State Population: 6,794,422

Population Ages 12–20: 777,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	234,000 (30.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	5,000 (2.4%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	59,000 (21.7%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	170,000 (59.1%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	54
Years of Potential Life Lost (under 21)	3,244
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	9
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	28%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was	Yes

valid after examining it)?	
• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Possession	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	90

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)—For Purchase	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	180
Maximum number of days	180

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must	6

hold learner permit before advancing to intermediate stage?	
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (or 30 hours of supervised driving if applicant completes driver skills program)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	12:30 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes (Exception: secondary enforcement between 12:30 AM and 1:00 AM and between 4:00 AM and 5:00 AM)
Are there restrictions on passengers?	Yes, no passengers under 18 who are not immediate family members, unless accompanied by licensed driver over 21
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	18 (Passenger restrictions expire 6 months after issuance of intermediate license; unsupervised night driving restrictions remain until full licensure is obtained)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	Not specified
Are there appearance requirements for the decoy?	Yes, age-appropriate appearance
Does decoy carry ID during compliance check?	Prohibited

May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 500 feet; local government has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 500 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exceptions are 1) inns and parts of buildings located ten or more floors above street level; 2) extension of licensed premises that do not exceed 50 feet.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	More than 2.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	No
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$5
Does law cover disposable kegs?	No
Notes: The deposit provisions in Massachusetts require that a purchaser pay the following: (a) a container fee of not less than \$10.00 for each keg having a capacity of six or more gallons and of not less than \$1.00 for each container having a capacity of less than six gallons; and (b) a registration fee of \$10.00 for each keg having a capacity of six or more gallons and of \$4.00 for each keg having a capacity of less than six gallons. The minimum required amount is \$5.00.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes
Notes: Each vehicle used for transportation and delivery must be covered by a permit issued by the commission.	

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.55
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$4.05
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law

Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 60 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 60 days
Spirits	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 60 days

Massachusetts State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Massachusetts Alcoholic Beverages Control Commission

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws

Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors

Massachusetts Alcoholic Beverages Control Commission

Such laws are also enforced by local law enforcement agencies

No

Enforcement Statistics

State collects data on the number of minors found in possession

Yes

Number of minors found in possession by state law enforcement agencies

1,125

Number pertains to the 12 months ending

12/31/2015

Data include arrests/citations issued by local law enforcement agencies

No

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

Yes

Number of retail licensees in state³

11,800

Number of licensees checked for compliance by state agencies

2,172

(including random checks)

Number of licensees that failed state compliance checks

37

Numbers pertain to the 12 months ending

12/31/2015

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments

Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations

Yes

Number of licensees subject to **random** state compliance checks/decoy operations

No data

Number of licensees that failed **random** state compliance checks

No data

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors

Yes

Data are collected on these activities

No

Number of licensees checked for compliance by local agencies

Not applicable

Number of licensees that failed local compliance checks

Not applicable

Numbers pertain to the 12 months ending

Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors

Yes

Number of fines imposed by the state⁴

No Data

Total amount in fines across all licensees

\$32,209

Smallest fine imposed

No Data

Largest fine imposed	No Data
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	106
Total days of suspensions across all licensees	No data
Shortest period of suspension imposed (in days)	No data
Longest period of suspension imposed (in days)	No data
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	1
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

In 2015, the Massachusetts Alcoholic Beverages Control Commission (ABCC) conducted compliance checks in 212 municipalities in the Commonwealth, and checked 2172 licensed establishments. Thirty-seven (2%) of these establishments failed.. Of these licensees, 944 off-premise licensees were checked and 24 failed (3%). A total of 1235 on-premise licensees were checked and 13 failed (1%).

Massachusetts has the 5th lowest ratio of enforcement agents to licensees in the country. Accordingly, the ABCC developed enhanced liquor enforcement programs that are scheduled to address specific geographic and seasonal challenges relating to underage drinking. Operation Safe Campus is conducted at bars and liquor stores in college communities over a 6-week period at the beginning of each school year. Operation Safe Prom and Graduation is conducted at liquor stores throughout the Commonwealth over an 8-week period during May and June. Operation Safe Summer is conducted at bars and liquor stores in summer communities over a 6-week period during July and August. Operation Safe Holidays is conducted from Thanksgiving through December 31st.

To encourage family involvement and intervention in addressing the problem of underage drinking, the Division has implemented a parent notification program to inform parents, at the time of the incident, of the situation in which their child is involved. Investigators have found this intervention to be very effective. In 2015, these programs produced the following results: 1065 minors in possession or transporting alcoholic beverages; 226 adults procuring alcohol for minors; 51 individuals in possession of false identification; and 455 cases of beer and 403 bottles of alcohol were confiscated by Investigators, preventing delivery to approximately 6154 underage individuals.

The ABCC works in cooperation with municipal and state law enforcement agencies to obtain optimal enforcement coverage. Most often, these efforts are generated through requests for assistance from municipal police chiefs who have problematic licensees in their communities. Further, when a complaint is received at the ABCC, investigators reach out to police departments to conduct cooperative enforcement operations when feasible.

In 2015, the Division conducted enforcement operations at large concert and sports venues in cooperation with municipal, state, and county law enforcement agencies, as well as with the assistance of venue management. ABCC enforcement includes area liquor stores prior to the event, parking lot enforcement up until the beginning of the event, and then enforcement within the licensed premises. The Division conducted these operations at the Xfinity Center, Gillette Stadium, Blue Hills Pavilion, and Fenway Park, and the results of this enforcement operation included: 584 minors in possession of alcohol; 74 furnishing alcohol to minors; numerous medical, intoxicated, or incapacitated assists as well as the seizure of 173 bottles of alcohol and 221 cases of beer, preventing delivery to approximately 2614 underage individuals.

The ABCC works with Massachusetts Police Academies and Municipal Police Departments to train municipal, campus, and state law enforcement officers in the enforcement of the Liquor Control Act as well as false identification and fraudulent document detection. This training enhances the prevention of underage drinking and impaired driving by developing police department knowledge of and involvement in liquor law enforcement. The

Division conducted training sessions for 1081 officers in 2009; 729 officers in 2010; 601 officers in 2011; 409 officers in 2012, 1460 officers in 2013, 376 officers in 2014 and 487 officers in 2015.

Source investigations are conducted to investigate alcohol-related motor vehicle accidents that result in death or serious bodily injury—along with alcohol-related accidents that involve persons under the legal age who possess or have consumed alcoholic beverages—to discover the source of the alcoholic beverages consumed by the individual(s) involved in the accident and investigate whether the source of the alcohol violated Massachusetts law. By holding accountable licensed establishments, employees, or third-party providers that provide alcohol to minors or over-serve their patrons, our goal is to see a reduction in the number of licensees selling alcohol to minors and intoxicated patrons, and, ultimately, fewer incidents and crashes in Massachusetts.

The ABCC participated in a pilot program initiated by the National Liquor Law Enforcement Association (NLLEA) and the National Highway Transportation Safety Administration (NHTSA) toward the development of “source investigations.” The Division developed standard investigative policies and procedures that address the elements required in these cases; developed communications with state and municipal police departments to establish protocols for notification and initiating timely source investigations and a source investigation report format for officers at the scene of an accident; developed communications with district attorney offices to establish standard protocols for simultaneous criminal and administrative cases; and developed a source investigation training program for state and municipal police officers and implemented it into this agency’s law enforcement training program.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

SUBSTANCE ABUSE PREVENTION COLLABORATIVE (SAPC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://masstapp.edc.org/substance-abuse-prevention-collaborative

Program Description: The purpose of the Substance Abuse Prevention Collaborative (SAPC) grant program is to prevent underage drinking and other drug abuse across the Commonwealth. This grant program serves to increase both the number and capacity of municipalities across the Commonwealth addressing these issues. The Bureau of Substance Abuse Services (BSAS) is funding 28 local municipalities across the Commonwealth to prevent underage drinking and other drug use through local evidence-based policy, practice, systems and environmental change(s). The SAPC program emphasizes the integration of SAMHSA’s Strategic Prevention Framework (SPF) model into overall prevention systems. This comprehensive process informs consistent data-driven planning across the Commonwealth focused on implementing culturally competent and sustainable strategies that will have a measurable effect on preventing and reducing underage drinking and other drug use in Massachusetts.

Additionally, this program provides financial support for groups of municipalities to enter into formal, long-term agreements to share resources and coordinate activities in order to increase the scope of this work and their capacity to address these issues in their combined populations. In implementing these grants, funded programs

(“lead municipalities”) must work in partnership with neighboring municipalities, to form a “prevention cluster.” For example, the local SAPCs create and/or sustain coalitions of municipal leadership, schools, police, preventionists, faith communities, and others to assess their community, build its capacity, and plan, implement and evaluate evidence-based strategies in their prevention clusters.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program Description: Native American Collaboration with the Massachusetts Department of Public Health Bureau of Substance Abuse Services FY15

BSAS shared data from the Youth Health Survey with representatives who have collaborated with us on a prevention of substance use project since 2013. In FY13, our Native American outreach included the Wampanoag of Mashpee and Martha’s Vineyard, as well as all of the other Tribal Councils and Inter-Tribal Councils that we could identify. We worked with UMASS Boston Institute for New England Native American Studies to identify representatives to collaborate with us on the prevention of substance use. Together we co-ran four discussion groups and developed “Coming Home,” a culturally specific guide for parents that can be found here:

<http://massclearinghouse.ehs.state.ma.us/BSASYTH/SA3528.html>. The well-received guide continues to be distributed at pow-wows, tribal gatherings, art shows, health centers, and other venues. Native Americans in recovery were also invited to present at multiple recovery centers across the state. The groups identified the need for an effective prevention curriculum to use with youth.

Native American adults were invited to an evidence-based training on the prevention of substance use in FY15 (2/28/15). Prior to the training, native “culture keepers” were invited to meet with the trainer and to offer input (2/27/15). Twenty-six Native Americans were trained in the curriculum. A subset of that group is now advising BSAS on creating a supplement which includes complementary cultural content through weekly conference calls. We are working with the developers of the evidence-based program to help ensure that the approach remains highly effective in preventing substance use. We are now meeting with youth for concept testing of draft activities. When it is complete, trained tribal members, trained teachers, and others will offer programs to groups of middle school youth. Several tribal members are also slated to attend a Trainer of Trainer Recovery Coach Academy so they can train other tribal personnel.

Our group has had two poster sessions at American Public Health Association Annual Meetings (2014 and 2015).

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
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Description of Collaboration: Native American Collaboration with the Massachusetts Department of Public Health Bureau of Substance Abuse Services FY15

BSAS shared data from the Youth Health Survey with representatives who have collaborated with us on a prevention of substance use project since 2013. In FY13, our Native American outreach included the Wampanoag of Mashpee and Martha’s Vineyard, as well as all of the other Tribal Councils and Inter-Tribal Councils that we could identify. We worked with UMASS Boston Institute for New England Native American Studies to identify representatives to collaborate with us on the prevention of substance use. Together we co-ran four discussion groups and developed “Coming Home,” a culturally specific guide for parents that can be found here: <http://massclearinghouse.ehs.state.ma.us/BSASYTH/SA3528.html>. The well-received guide continues to be distributed at pow-wows, tribal gatherings, art shows, health centers and other venues. Native Americans in recovery were also invited to present at multiple recovery centers across the state. The groups identified the need for an effective prevention curriculum to use with youth.

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Administrator who supported our initiative and we participated in a training related to their Tribal Action Plan. We are now meeting with youth for concept testing of the draft activities. When it is complete, trained tribal members, trained teachers, and others will offer programs to groups of middle school youth. Several tribal members are slated to attend a Trainer of Trainer Recovery Coach Academy so they can train other tribal personnel.

Our group had two poster sessions at American Public Health Association Annual Meetings (2014 and 2015).

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Please see SAPC in the Programs section. Some of the environmental programs choose to work on limiting alcohol advertising.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA Yes

Agency(ies) within your state: MDPH BSAS Yes

Nongovernmental agency(ies): No

Other: No

Best Practice Standards Description: BSAS implements best practices through (1) a competitive request-for-response (RFR) process, (2) trainings and strategy meetings, and (3) regular site visits. The RFR requires the selection of an evidence-based model. A 4-day substance abuse prevention skills training is offered periodically to providers. Regular meetings provide technical assistance to ensure implementation of Strategic Prevention Framework (SPF) sustainability as well as cultural competence aspects. The community’s logic model, action plan, accomplishments, and challenges are reviewed throughout the year.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Lydie Ultimo

Email: lydie.ultimo@state.ma.us

Address: 250 Washington St., 3rd fl., Boston, MA 02108

Phone: 617-624-5151

Agencies/organizations represented on the committee:

The Massachusetts Interagency Council is currently undergoing some changes. Will Luzier, who was the executive director of this council, recently retired from state services and this position remains vacant. The Commonwealth will be reactivating this council soon, and we will provide an update as soon as possible.

A website or other public source exists to describe committee activities No

URL or other means of access: Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: MDPH BSAS Prepares a Five-Year Plan that is still applicable.

Plan can be accessed via: <http://www.mass.gov/eohhs/docs/dph/substance-abuse/bsas-spe-strategic-plan.pdf>

State has prepared a report on preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

Additional Clarification

We have reports that are not limited to underage drinking.

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended \$150,000

Estimate based on the 12 months ending	12/31/2015
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$150,000
Estimate based on the 12 months ending	12/31/2015
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Michigan

State Population: 9,922,576

Population Ages 12–20: 1,213,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	280,000 (23.0%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	11,000 (3.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	81,000 (19.4%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	187,000 (44.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	144
Years of Potential Life Lost (under 21)	8,752
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	25
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	15%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	No
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes
Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 9 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	10:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, no more than one passenger under age 21 who is not an immediate family member unless accompanied by driver's parent or designated adult 21 or older.
Can law enforcement stop driver for violation of passenger	Yes, officer may stop driver for

restrictions as a primary offense?	passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	Yes

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Not specified
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Not specified
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	2 years
What is the penalty for the first offense?	Not more than \$1000
What is the penalty for the second offense?	Not more than \$1000
What is the penalty for the third offense?	Not more than \$1000 and license suspension or revocation
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)–Mandatory	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to	N/A

intoxicated persons	
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	New

Responsible Beverage Service (RBS)–Voluntary	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	Yes
• Discounts in dram shop liability insurance, license fees, or other	Yes
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Existing
Notes: Michigan provides for a liability insurance discount as an incentive for retailers to implement beverage service training.	

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Michigan law provides a responsible beverage service defense.	

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Yes

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/ other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes, family members and residents of household
Notes: Michigan's social host statute does not apply if all individuals attending the social gathering are members of the same household or immediate family, or if a minor's use, consumption, or possession of an alcoholic beverage is for religious purposes. The "preventive action" provision in Michigan allows the prosecution to establish guilt by proving that the host failed to take preventive action.	

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Permitted
Wine	Permitted
Spirits	Prohibited

Notes: The beer or wine must be delivered by the retailer's employee and not by an agent or by a third party delivery service. A retailer that holds a specially designated merchant license or an out-of-state retailer that holds its state's substantial equivalent license may utilize a third party that provides delivery service to municipalities in this state that are surrounded by water and inaccessible by motor vehicle.

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	Yes
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 / 93 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$30
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	No

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Michigan is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.51
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 180 days

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 90 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
<p>Notes: With respect to beer, prices may be decreased during the 180 day minimum hold period to meet a competing wholesaler’s price. The price reduction must not exceed the competition's price and must continue for the balance of the 180 days filed by the competition. Credit may be extended for a maximum of 30 days for on-sale retailers; no credit may be extended to off-sale retailers. With respect to wine, prices filed shall not be changed during a quarterly period unless by written order of the commission. Approval for a price change shall not be granted for periods of less than 14 days. Credit may be extended for a maximum of 30 days for on-sale retailers; no credit may be extended to off-sale retailers.</p>	

Michigan State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Michigan Liquor Control Commission (MLCC)	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Michigan Liquor Control Commission (MLCC)
Such laws are also enforced by local law enforcement agencies	Don't know
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	Approximately 17,000 who hold approximately 27,000 licenses
Number of licensees checked for compliance by state agencies (including random checks)	2,352
Number of licensees that failed state compliance checks	291
Numbers pertain to the 12 months ending	12/31/2015
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	No data
Number of licensees that failed random state compliance checks	No data
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	Data submitted to MLCC voluntarily only, full data not available
Number of licensees that failed local compliance checks	Full data not available
Numbers pertain to the 12 months ending	12/31/2015
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes

Number of fines imposed by the state ⁴	578
Total amount in fines across all licensees	\$333,446.21
Smallest fine imposed	\$7.87
Largest fine imposed	\$2,600
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes
Number of suspensions imposed by the state ⁵	6
Total days of suspensions across all licensees	22
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	7
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

NA

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Michigan Coalition to Reduce Underage Drinking (MCRUD)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mcrud.org

Program Description: The mission of the Michigan Coalition to Reduce Underage Drinking (MCRUD) is to provide leadership on state and national issues and to assist individuals, grassroots groups, and other organizations to reduce underage drinking locally. MCRUD provides training, technical assistance, and networking opportunities for local volunteer and professional groups working to address specific issues related to reducing underage drinking at the local level. Constituents include other statewide organizations, local professional prevention agencies, local public health departments, hospital staff, local teen centers, and volunteer groups (e.g., high school leadership groups, parent groups, and community coalitions).

Office of Recovery Oriented Systems of Care (OROSC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.michigan.gov/bhrecovery

Program Description: The Michigan Department of Health and Human Services (MDHHS) Office of Recovery Oriented Systems of Care (OROSC) currently allocates Substance Abuse Prevention and Treatment (SAPT) Block Grant funding and other state general fund dollars to 10 Prepaid Inpatient Health Plans (PIHPs) substate regional entities. The PIHPs are responsible for planning, administering, funding, and maintaining the provision of substance abuse recovery, treatment, and prevention services for 83 counties in Michigan in accordance with MDHHS and OROSC priorities. A current OROSC priority is reducing underage drinking, and each PIHP employs a Prevention Coordinator. Programs are based on regional need, and are a mix of targeted programs aimed at specific populations (selective and indicated) as well as those aimed at the general population (universal).

Prevention Network (PN) and Parenting Awareness Michigan (PAM)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.preventionnetwork.org

Program Description: Prevention Network (PN) is a private, nonprofit partner funded in part by OROSC involved in the established statewide infrastructure that works to coordinate and allocate funding to high-need communities. PN provides support, training, and technical assistance to grassroots community groups addressing underage drinking to offer a full continuum of substance abuse prevention services. As part of PN, Parenting Awareness Michigan (PAM) assists professionals, volunteers, and communities with local initiatives focused on effective parenting as a key factor in the prevention of underage drinking and other risk behaviors in children and youth.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: At the state level, the OROSC collaborates with both the Grand Traverse Band of Ottawa and Chippewa Indians (GTB) and the Little Traverse Bay Band of Odawa Indians by offering technical assistance as appropriate or requested. Both tribes have been members of the State Epidemiology Outcomes Workgroup (SEOW) in the past, and there is also a partnership with the Inter-Tribal Council. In addition, sub-state regional Prepaid Inpatient Health Plan (PIHP) entities may partner with these same or other tribes in the geographic area.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA National Registry of Effective Prevention Programs (NREPP)	Yes
Agency(ies) within your state: Michigan Department of Health and Human Services/ Office of Recovery-Oriented Systems of Care (MDHHS/OROSC)	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: MDHHS, OROSC has adopted overarching principles of effective prevention based on the SAMHSA NREPP, as well as the <i>Surgeon General's Call to Action to Prevent and Reduce</i>	

Underage Drinking (2007). OROSC requires that at least 90 percent of prevention programming within a sub-state PIHP region is evidence-based. In addition, specific guidelines for safe prom and graduation initiatives have been adopted and promoted through PN, MCRUD, and other avenues at the local level.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Mike Tobias
 Email: mike@preventionnetwork.org
 Address: P.O. Box 4458, East Lansing, MI 48826-4458
 Phone: 517-393-6890

Agencies/organizations represented on the committee:

- Berrien County Health Department
- CARE of Southeastern Michigan
- Cass Alcohol Safety Solutions/Woodlands Behavioral Health
- Catholic Charities of Genesee and Shiawassee Counties
- Detroit/Wayne Mental Health Authority
- Genesee Alcohol & Addiction Prevention
- Genesee Health Systems
- Huron County Health Department
- Kalamazoo County Substance Abuse Task Force
- Little Traverse Bay Band of Odawa Indians
- Marquette County Health Department
- Michigan Council on Alcohol Problems
- Michigan Department of Health and Human Services
- Prevention Network
- Royal Oak Community Coalition
- Sacred Heart Rehabilitation (Bay County)
- St. Clair County Community Mental Health
- St. Joseph Substance Abuse Task Force
- Stop Underage Drinking/Drugs Coalition (SUDDS)
- YOUTHINK Montcalm

A website or other public source exists to describe committee activities Yes
 URL or other means of access: www.mcrud.org

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: OROSC as part of overall strategic plan. In addition, via work plan contract with PN, a more detailed UAD specific plan is in the final stages of development and will be available via website below once completed and approved for distribution.

Plan can be accessed via: www.michigan.gov/bhrecovery

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: As part of the State Epidemiology Profile, completed on annual basis.

Plan can be accessed via: www.michigan.gov/bhrecovery

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

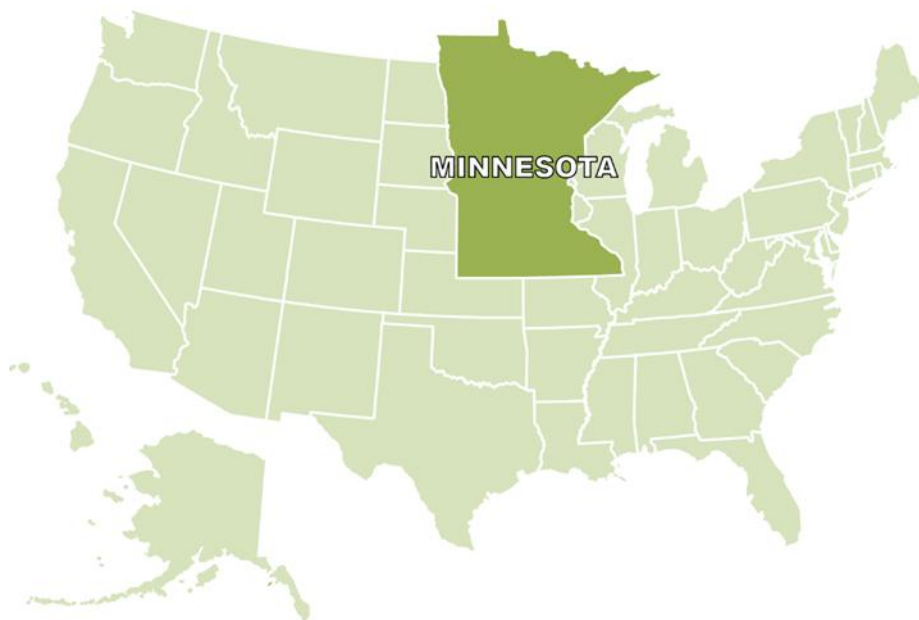
Compliance checks in retail outlets:

Estimate of state funds expended \$109,229
 Estimate based on the 12 months ending 12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended None, not

Estimate based on the 12 months ending	conducted in Michigan 12/31/2015
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$224,878
Estimate based on the 12 months ending	9/30/2015
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$4,824,048
Estimate based on the 12 months ending	9/30/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$10,000
Estimate based on the 12 months ending	9/30/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$296,775
Estimate based on the 12 months ending	9/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$123,000
Estimate based on the 12 months ending	9/30/2015
<i>Other programs:</i>	
Programs or strategies included: Compliance checks in retail outlets	
Estimate of state funds expended:	\$109,229
Estimate based on the 12 months ending:	12/31/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	Yes
Fees	Yes
Other: No data	No data
<i>Description of funding streams and how they are used:</i>	
Fines for violations as well as license and renewal fees are used to fund controlled-buy operations through the MLCC. Taxes are also used in this manner. In addition, a portion of Public Act 2 tax dollars are returned to counties to fund substance abuse prevention and treatment.	
Additional Clarification	
No data	



Minnesota

State Population: 5,489,594

Population Ages 12–20: 632,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	137,000 (21.6%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	4,000 (2.0%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	37,000 (17.1%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	95,000 (46.2%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	50
Years of Potential Life Lost (under 21)	3,037
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	8
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	12%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> Is possession allowed if parent or guardian is present or consents? Is possession allowed if spouse is present or consents? 	No
Is there an exception based on location?	Yes, in parent/guardian's home only

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> Is consumption allowed if the parent or guardian is present or consents? Is consumption allowed if the spouse is present or consents? 	Yes, in specified locations – see below No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian is present or consents

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> Is internal possession allowed if the parent or guardian is present or consents? Is internal possession allowed if the spouse is present or consents? 	N/A
Is there an exception based on location?	N/A
Notes: Minnesota has a statutory provision that makes it unlawful "[f]or any person under the age of 21 years to consume any alcoholic beverages" and further defines "consume" to "[include] the ingestion of an alcoholic beverage and the physical condition of having ingested an alcoholic beverage." Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession for purposes of this report.	

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales	No

specifically prohibited?	
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver’s licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	No
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	N/A
<ul style="list-style-type: none"> Possession of alcohol 	N/A
<ul style="list-style-type: none"> Consumption of alcohol 	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (15 of which must be at night)

Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	12:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, for first 6 months, no more than one passenger under 20 who is not an immediate family member, unless accompanied by driver's parent or guardian. For second 6 months, no more than 3 passengers under 20, unless accompanied by driver's parent or guardian.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 (Passenger restrictions expire 12 months after obtaining intermediate license; unsupervised night driving restrictions expire 6 months after issuance of intermediate license)

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes, in specified locations
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	Yes, in parent/guardian's home only if parent/guardian supplies alcohol
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, dress normally; no disguises and no special makeup
Does decoy carry ID during compliance check?	Prohibited

May decoy verbally exaggerate his or her actual age?	Permitted
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No written guidelines
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	Yes
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	No
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Not specified
Does the RBS law apply to new or existing licensees?	Not specified
Notes: Minnesota provides for a reduced license fee as an incentive for retailers to implement beverage service training, among other programs.	

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No
Notes: In Minnesota, the minimum permitted age to sell 3.2 percent malt liquors for off-premises consumption is not specified.	

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18

Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1,500 feet of a public school, if not within a city
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1,500 feet of a public school, if not within a city
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: Minnesota law states that nothing in Minnesota's alcohol beverage control law "precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years." The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for dram shop common law liability.	

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes, social host must be 21 years of age or older
Does the statute limit elements or standards of proof?	Yes, knowingly or recklessly furnishing alcohol to a minor or permitting consumption by a minor
Does common law social host liability exist?	No
Notes: Minnesota law states that nothing in Minnesota's alcohol beverage control law "precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years." The age limitation applied to the furnisher and the "knowingly" evidentiary requirement results in a "no" coding for dram shop common law liability.	

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	No
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$1000 / 90 days)
What purchaser information is collected?	
Must the retailer collect the name and address?	No
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No

Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Yes
Wine	Yes
Spirits	Yes

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes, 80% or more
Are there exceptions to restrictions?	Yes, spirits aged in wood casks for not less than two years

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.15
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Additional taxes for 3.2 – 6% alcohol beer if applicable	\$0.08 per gallon for beverages containing an alcohol content of 4% or less.
Notes: With respect to malt liquor containing 4% alcohol or less, the 2.5% retail tax is only applied when sold at an on-sale or off-sale municipal liquor store or other establishment licensed to sell any type of intoxicating liquor.	

Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.30
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$5.03
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	2.50%
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	2.50%
Additional taxes for 15 – 50% alcohol spirits if applicable	
Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No

Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: For spirits, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases. For wine, a variable volume price offered by a wholesaler to a retailer may not be for a quantity of more than 25 cases.	

Minnesota State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Minnesota Department of Public Safety

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	No
Number of minors found in possession by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	No
Data are collected on these activities	No
Number of retail licensees in state ³	11,280
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable

State conducts random underage compliance checks/decoy operations	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	No
Number of fines imposed by the state ⁴	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable

Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁶	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Additional Clarification

The Alcohol & Gambling Enforcement Division of the Minnesota Department of Public Safety had a federal grant to administer funds for compliance checks and to maintain records of the outcome of the checks. The funding for the program was directed elsewhere. So the information contained in prior reports is not maintained by our division.

Recent bills at the Minnesota Legislature specifically targeting funding for underage enforcement left the Alcohol & Gambling Enforcement Division out of the language, meaning that we receive no state funding specific to enforcement/compliance issues related to underage access to alcohol.

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Reconnecting Youth at Chisholm High School

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.ckplus.org/school-based-prevention.html

Program Description: Reconnecting Youth is a school-based prevention program for youth in grades 9-12 who are at risk for school drop-out. Reconnecting Youth uses a partnership model involving peers, school personnel, and parents to deliver intervention that address the three central program goals: decreasing drug involvement, increasing school performance, and decreasing emotional distress.

Alcohol Edu for College

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable

URL for more program information: <http://everfi.com/higher-education-old/alcooledu/>

Program Description: AlcoholEdu for College is an interactive online program designed to reduce the negative consequences of alcohol among students. It is the most widely used alcohol prevention program in higher education, and helps schools comply with Education Department General Administrative Regulations.

Planning and Implementation Programs (P & I)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Minnesota has programs that are funded using SAPT Block Grant prevention set-aside dollars. Each Planning and Implementation (P&I) program contains the following set of strategies, programs, and services:

1. Community coalitions with a primary focus on reducing youth alcohol use. Each coalition meets monthly, and has a vision and mission statement and written bylaws. Each has representation from the following 14 community sectors: youth, parents, high-risk subpopulations, business, media, school, youth-serving organizations, law enforcement, justice/corrections, religious or fraternal organizations, civic/volunteer groups, health care professionals, state/local/tribal government agencies with expertise in substance abuse, and other organizations involved in reducing substance abuse.
2. Alcohol compliance checks at every establishment that sells alcoholic beverages within each community's geographic area at least twice annually.
3. Responsible beverage server training in each community at least twice a year.
4. Provide Project Northland to all 6th, 7th and 8th grade students in funded communities.
5. Provide class action in all high schools in the geographic area of funded communities.
6. Provide capacity building in the form of specific training and technical assistance around effective coalitions, data collection, use of data, developing strategic plans, evaluation, cultural competency, sustainability, and other prevention topics identified as a need by the community.
7. Implement environmental strategies within each funded community, such as passing social host ordinances, having alcohol compliance checks routinely conducted by law enforcement, banning advertisements for alcohol at local community events.
8. A Positive Community Norms campaign to provide information and education around the true community norms of alcohol use and related behaviors and beliefs of underage youth, of the adult community population, and of the parent population.

Regional Prevention Coordinator (RPCs)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Minnesota is divided into seven prevention regions. Each regional prevention coordinator (RPC) is responsible for providing technical assistance for those who request help in initiating, implementing, and sustaining alcohol, tobacco, and other drug (ATOD) prevention efforts in their region. RPCs are trained in many aspects of community coalition work on evidence-based programming, community assessment, strategic planning,

evaluation, and so on. Each RPC also provides at least one regional training on a topic determined by a training assessment survey. RPC programs are funded using SAPT Block Grant prevention funds from the required 20 percent set-aside.

Strategic Prevention Partnerships for Success Grant (SPF PFS)

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Funding from SAMHSA’s Center for Substance Abuse Prevention (CSAP) of approximately \$1.6 million/year for 5 years (September 30, 2014 through September 29, 2019), 85 percent must go to communities. Seven 2- and 4-year colleges/universities are funded. Minnesota is part of a Cohort IV. SPF PFS is a cooperative agreement at both the state and community levels.

Summary of Phase One Work:

- Assess community readiness, prevention capacity, and local campus data
- Strengthen local capacity and prevention infrastructure
- Lay the groundwork for future prevention efforts
- Analyze campus data to better serve high-risk populations and groups that require culturally specific programming.
- Administer baseline data collection activities.

Minnesota Prevention Resource Center (MPRC)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	www.mprc.org

Program Description: The program is funded using SAPT Block Grant Prevention set-aside dollars. Minnesota Prevention Resource Center (MPRC) provides resources, information, education, support, and technical assistance to Minnesota's prevention network to prevent problems caused by alcohol, tobacco, and other drug use. This is accomplished by:

1. Providing a state-of-the-art website
2. Providing current and accurate prevention research
3. Providing FREE print materials (posters, brochures, DVDs)
4. Providing FREE and easy access to their research library
5. Providing a printed electronic news update (IMPACT) twice annually to over 3,000 prevention practitioners.
6. Providing an electronic news update (MNPREV) twice monthly to over 400 prevention professionals
7. Coordinating Minnesota's annual prevention conference
8. Coordinating Seven Spring Forums annually (one per region) on timely and compelling prevention topics
9. Collaborating with the Alcohol and Drug Abuse Division and other state agencies to promote effective and coordinated prevention services throughout Minnesota

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The Minnesota Department of Human Services, Alcohol and Drug Abuse Division, uses state-appropriated dollars to fund prevention programming on the following American Indian Tribal Reservations: Red Lake, White Earth, Leech Lake, Bois Forte, and Fond du Lac. In addition, the Division uses SAPT Block Grant dollars to fund some prevention programming in urban American Indian communities. Funding is funneled through the American Indian Program Section, a sub-unit within the Alcohol and Drug Abuse Division, in the Minnesota Department of Human Services. This unit functions as the conduit to the Indian Tribes in Minnesota and local American Indian communities, providing training and technical assistance as requested by the tribes and American Indian communities, and providing training assistance as requested by the tribes and American Indian Tribes in Minnesota and American Indian Prevention Programs. In addition, the Division's legislation requires it to create and maintain an American Indian Advisory Council consisting of representatives from the 11 federally recognized tribes in Minnesota, as well as Urban Prevention Programs located in Minneapolis, St. Paul, and International Falls. The advisory council advises the Division in matters related to substance abuse and addiction, treatment, and recovery services in the American Indian communities within the tribal reservations as well as in local communities.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: No data

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA/CSAP Yes

Agency(ies) within your state: Minnesota Department of Human Services, Alcohol and Drug Abuse Division Yes

Nongovernmental agency(ies): Community Organizations No

Other: No

Best practice standards description: Minnesota's best practice standards ensure that funded programs use the following:

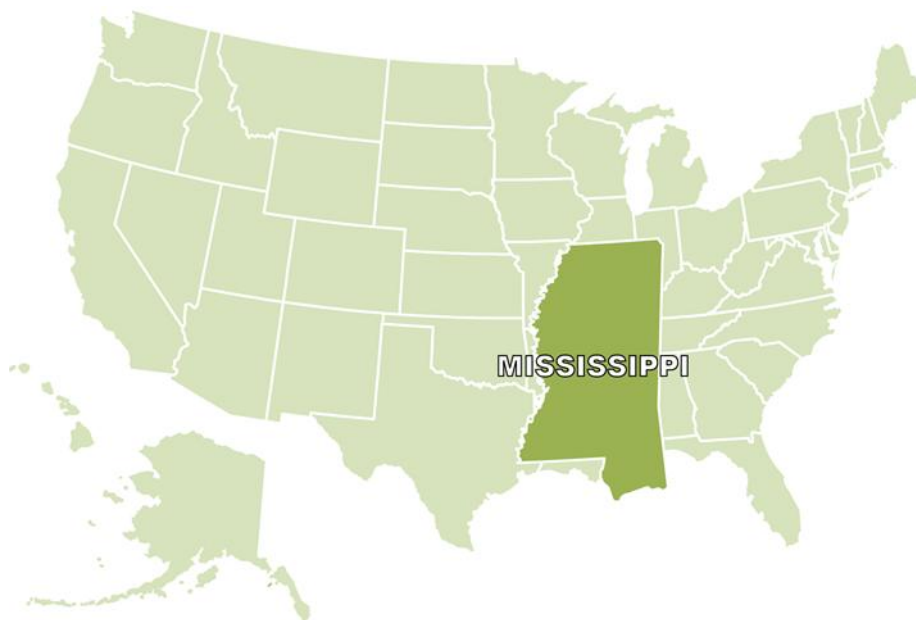
- Data-driven assessment and decisions
- Evidence-based
- Follow the five-step SPF process
- Include cultural competency and sustainability planning from the beginning
- Comprehensive (multiple settings)
- Localized - community-based
- Includes a community coalition whose main focus is the reduction of underage alcohol use
- Focuses on environmental strategies
- Includes a Positive Community Norms media campaign, works to change community norms
- Includes working with a youth group to identify from their view what community changes are needed to reduce youth alcohol use and then working with youth to make those changes
- Sustained over time

Additional Clarification

For the purpose of this survey, two primary state agencies provided feedback. The first agency is the Minnesota Department of Human Services, Alcohol and Drug Abuse Division, which also functions as the Single State Agency (SSA) for the Federal Substance Abuse Prevention and Treatment Block Grant Award.

The second agency is the Minnesota Department of Public Safety, Alcohol and Gambling Enforcement Division.

State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Don't know/No answer
<i>Committee contact information:</i>	
Not applicable	
<i>Agencies/organizations represented on the committee:</i>	
Not applicable	
<i>A website or other public source exists to describe committee activities</i>	Not applicable
URL or other means of access: Not applicable	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Not sure
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Not sure
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$1,235,478
Estimate based on the 12 months ending	06/30/2015
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included: Data not available	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other:	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Mississippi

State Population: 2,992,333

Population Ages 12–20: 372,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	83,000 (22.3%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	6,000 (5.3%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	23,000 (17.4%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	54,000 (42.9%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	65
Years of Potential Life Lost (under 21)	3,926
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	27
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	24%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	Yes
<ul style="list-style-type: none"> • Is possession allowed if parent or guardian is present or consents? • Is possession allowed if spouse is present or consents? 	No
Is there an exception based on location?	Yes, in any private location
Notes: Mississippi's parent/guardian exception applies to those persons at least 18 years old and only for possession of light wine or beer. The location exception is not limited to persons between 18 and 21, and only applies to alcoholic beverages, not including light wine or beer.	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is consumption allowed if the parent or guardian is present or consents? • Is consumption allowed if the spouse is present or consents? 	N/A
Is there an exception based on location?	N/A
Notes: Mississippi's parent/guardian exception applies to those persons at least 18 years old and only for consumption of light wine or beer.	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> • Is internal possession allowed if the parent or guardian is present or consents? • Is internal possession allowed if the spouse is present or consents? 	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from	No

licenses for persons 21 and over?	
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No
Notes: Although it appears the Mississippi Department of Public Safety currently still issues distinctive licenses for persons under 21, no codified statute or regulation requiring the issuance of such licenses has been found.	

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	Yes
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	Not specified
Maximum number of days	90

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16 years
For night driving, when does adult supervision requirement begin?	10:00 PM (Sunday through

	Thursday; 11:30 PM Friday and Saturday)
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	No
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	N/A
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	Yes
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: Mississippi's parent/guardian and spouse exception applies to those persons at least 18 years old and only for furnishing of light wine or beer.	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	16
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes, males—no facial hair and youthful looking
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	Not specified
What is the penalty for the first offense?	\$500 to \$1,000 fine
What is the penalty for the second offense?	\$1,000 to \$2,000 fine plus license revocation

What is the penalty for the third offense?	Not specified
What is the penalty for the fourth offense?	Not specified

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, no permits on campus
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, no permits on campus
To which alcohol products does requirement apply?	Wine, spirits (Does not apply to wine containing 6.25% ABV or less.)

Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 400 feet; within 100 feet in areas zoned commercial or industrial
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 400 feet; within 100 feet in areas zoned commercial or industrial
To which alcohol products does requirement apply?	Wine, spirits (Does not apply to wine containing 6.25% ABV or less.)
Notes: Exceptions are 1) bed and breakfast inn or historic district listed in the National Register of Historic Places; 2) qualified resort area located in a municipality having a population greater than 100,000.	

Dram Shop Liability	
Does a statute create dram shop liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law dram shop liability exist?	Yes

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Mississippi is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation

Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.43
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant

Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
Wine	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A
Spirits	Control System
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Mississippi State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Mississippi Alcoholic Beverage Control, Bureau of Enforcement

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession	Yes
Number of minors found in possession by state law enforcement agencies	456
Number pertains to the 12 months ending	06/30/2016
Data include arrests/citations issued by local law enforcement agencies	No

State conducts underage compliance checks/decoy operations ² to determine if alcohol retailers are complying with laws prohibiting sales to minors	Yes
Data are collected on these activities	Yes
Number of retail licensees in state ³	9,800
Number of licensees checked for compliance by state agencies (including random checks)	145
Number of licensees that failed state compliance checks	59
Numbers pertain to the 12 months ending	06/30/2016
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

State conducts random underage compliance checks/decoy operations	No
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors	Don't know/no answer
Data are collected on these activities	Don't know/no answer
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable

Sanctions

State collects data on fines imposed on retail establishments that furnish minors	Yes
Number of fines imposed by the state ⁴	No data
Total amount in fines across all licensees	\$116,317
Smallest fine imposed	No data
Largest fine imposed	No data
Numbers pertain to the 12 months ending	06/30/2016

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the state ⁵	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	Not available
Numbers pertain to the 12 months ending	06/30/2016
Additional Clarification	06/30/2016

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Region 6 Community Mental Health Center—Guiding Good Choices (GGC)

Program serves specific or general population	Specific population
Number of youth served	72
Number of parents served	23
Number of caregivers served	9
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Not available

Program Description: Region 6 Community Mental Health Center uses Guiding Good Choices (GGC), a program that promotes family competency and is usually provided in 10 sessions. The first three sessions are attended only by parents, so that parents can provide information about themselves and their children, including concerns they have regarding their child/children and also their attitudes regarding the use of addictive substances. Children are allowed to attend after the third session. This program helps identify risk factors for adolescent substance misuse and how to ensure that protective factors are in place. GGC conveys to parents that the program's goal is to enhance parenting skills they already possess. Many participant referrals come from the youth court or mental health therapists. These family meetings are encouraged as a means to involve all family members in the effort to improve family management and positive child involvement.

Dream, Inc., of Hattiesburg—Positive Actions, Project Towards No Drugs, Project Northland, Life Skills, Class Action, and Communities Mobilizing for Change on Alcohol (CMCA)

Program serves specific or general population	General population
Number of youth served	606
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Dream, Inc. of Hattiesburg provides prevention programs that seek to reduce teen access to alcohol by changing community policies and practices. Programs include Positive Actions, Project Towards No

Drugs, Project Northland, Life Skills, Class Action, and Communities Mobilizing for Change on Alcohol (CMCA). CMCA seeks both to limit youths' access to alcohol and to communicate a clear message to the community that underage drinking is inappropriate and unacceptable. It employs a range of social-organizing techniques to address legal, institutional, social, and health issues related to underage drinking. The goals of these efforts are to eliminate illegal alcohol sales to minors, obstruct the provision of alcohol to youth, and ultimately reduce alcohol use by teens.

Region 14 Community Mental Health Center/ Singing River Services—Project Northland

Program serves specific or general population	General population
Number of youth served	5,500
Number of parents served	600
Number of caregivers served	350
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	Unavailable

Program Description: Region 14 utilizes Project Northland by Hazelden Publishing, a nationally recognized alcohol use prevention program. The curriculum invites participation and experiential learning at home, in the classroom, and in the local community. Parents and caregivers are enlisted to support no-use, alcohol-free messages.

National Council on Alcoholism and Drug Dependence (NCADD)—Slick Tracy and Amazing Alternatives (Project Northland)

Program serves specific or general population	Specific population
Number of youth served	8,989
Number of parents served	5,084
Number of caregivers served	3,384
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: National Council on Alcoholism and Drug Dependence (NCADD) uses Slick Tracy and Amazing Alternatives from Project Northland by Hazelden Publishing, a nationally recognized alcohol use prevention program. The curriculum invites participation and experiential learning at home, in the classroom, and in the local community. A vital aspect of Project Northland is this multifaceted approach. Prevention research shows that addressing alcohol use at multiple levels strengthens outcomes. Incorporating best practices for effective prevention, the curriculum engages students as individuals and addresses influences in the family, with peers, at school, and in the local community and broader society. Project Northland addresses these domains more comprehensively than any other prevention program. Slick Tracy is the first curriculum of the Project Northland series for middle school. It is a universal alcohol-use prevention curriculum designed for sixth grade students, both male and female. Slick Tracy fits well into advisory, homeroom, health education, social studies, science, or general life skills classes. Amazing Alternatives focuses on reducing the social acceptability of alcohol use, encouraging alcohol-free alternatives, and teaching students to identify and resist influences to drink. Activities involve peer leaders, new parent materials, and an updated audio drama. It is an engaging, interactive program that has been proven effective in delaying the onset of alcohol use among young people.

Choctaw Behavioral Health—Project Northland

Program serves specific or general population	Specific population
Number of youth served	11,200
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	www.nesonline.com ;

Olweus@psych.uib.no;
<http://pals.wright.edu/>;
<http://www.tnd.usc.edu/>

URL for more program information:

No data

Program Description: Choctaw Behavioral Health uses Project Northland by Hazelden Publishing, a nationally recognized alcohol use prevention program. The curriculum invites participation and experiential learning at home, in the classroom, and in the local community. A vital aspect of Project Northland is this multifaceted approach. Prevention research shows that addressing alcohol use at multiple levels strengthens outcomes. Incorporating best practices for effective prevention, the curriculum engages students as individuals and addresses influences in the family, with peers, at school, and in the local community and broader society. Project Northland addresses these domains more comprehensively than any other prevention program.

Region 1 Community Mental Health Center—All Stars and Project ALERT

Program serves specific or general population	Specific population
Number of youth served	860
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Region 1 uses All Stars and Project ALERT. All Stars programs do more than prevent risky behaviors—they are designed to change lives by helping young people build bright futures. Beginning in the upper grades of elementary school and continuing through high school, All Stars provides consistent and integrated tools for prevention. All Stars programs reach youth during the years of greatest vulnerability to experimenting with substances, fighting, bullying, and initiating sexual activity. All Stars programs match social and cognitive development of students, and programs target things that matter using strategies that engage and inspire students in middle and high school. Project ALERT is an effective drug prevention program for youth that addresses the pro-drug mindset of today’s teens and effectively increases their likelihood to remain drug-free. The program focuses on substance abuse prevention programs for 7th and 8th graders that are proven to reduce the experimental and continued use of drugs through a series of comprehensive lessons. Project ALERT motivates students against drug use, cultivates new non-use attitudes and beliefs, and equips teens with the skills and strategies they need to resist drugs.

Region 3 Community Mental Health Center—Life Skills and Protecting You/Protecting Me

Program serves specific or general population	Specific population
Number of youth served	875
Number of parents served	875
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.lifeskillstraining.com

Program Description: Region 3 uses Life Skills and Protecting You/Protecting Me evidence-based programs. Life Skills is a school-based prevention program for youth grades 7–12 (ages 13–18). This program helps students gain the skills and confidence to make healthy decisions, strengthen relationships with family members and peers, and resist the pressure to use drugs, tobacco, and alcohol. Protecting You/Protecting Me is an alcohol use prevention curriculum for children in grades 1–5 that gives students essential knowledge and skills that increase their non-use attitudes and decisions, increase their intentions not to ride with an impaired driver, and improve their ability to protect themselves when they have no option but to ride with a driver who is not alcohol-free.

Region 4 Community Mental Health Center—Life Skills Training

Program serves specific or general population	Specific population
Number of youth served	335
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.lifeskillstraining.com

Program Description: Region 4 uses the Life Skills Training program. This is a school-based prevention program for youth in grades 7–12 (ages 13–18). This program helps students gain the skills and confidence to make healthy decisions, strengthen relationships with family members and peers, and resist the pressure to use alcohol, tobacco, and drugs.

Region 7 Community Mental Health Center—Project ALERT

Program serves specific or general population	Specific population
Number of youth served	900
Number of parents served	400
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.projectalert.com

Program Description: Region 7 uses Project ALERT, a substance abuse prevention program for middle school students. It address the pro-drug mindset of today's teens and effectively increases their likelihood of remaining drug free. The program focuses on substance abuse prevention program for 7th and 8th graders that is proven to reduce the experimental and continued use of drugs through a series of comprehensive lessons. Project ALERT motivates students against drug use, cultivates new non-use attitudes and beliefs, and equips teens with the skills and strategies they will use to resist drugs.

Alcorn State University Prevention Program (ASAPP)—Project ALERT and Training for Intervention Procedures (TIPS)

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://www.gettips.com/

Program Description: Alcorn State University Prevention Program (ASAPP) currently utilizes Projects ALERT and Training for Intervention Procedures (TIPS). Project ALERT addresses the pro-drug mindset of today's teens and effectively increases their likelihood to remain drug-free. The program focuses on substance abuse prevention for 7th and 8th graders that is proven to reduce the experimental and continued use of drugs through a series of comprehensive lessons. Project ALERT motivates students against drug use, cultivates new non-use attitudes and beliefs, and equips teens with the skills and strategies they will use to resist drugs. TIPS is a skills-based training program that is designed to prevent intoxication, underage drinking, and drunk driving. It reduces the human tragedy resulting from drunkenness and drunk driving.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking Yes

Description of collaboration: The MS Department of Mental Health collaborates with the MS Band of Choctaw. The MS Band of Choctaw has members in Mississippians Advocating Against Unhealthy Decisions (MAAUD), a task force designed to improve the health and well-being of youth in Mississippi by preventing and reducing unhealthy/risky behaviors. MAUDD also works with the following agencies and organizations: MS Department of Mental Health, MS Department of Public Safety, MS Department of Education, DREAM of Hattiesburg, DREAM of Jackson, MS National Guard, and the National Council on Alcoholism & Drug Dependence.

The MS Department of Mental Health certifies and funds the MS Band of Choctaw Indians to provide prevention services within their community.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: The MS Prevention Partnership for Success (MPP) Grant and MAAUD strive to improve the health and well-being of youth in MS by preventing and reducing unhealthy/risky behaviors. Members include representatives from state and local agencies with strong participation from local coalitions and organizations across the state. Both entities strive to reduce unhealthy decisions in MS through social media, community action, public policy, education, and advocacy.

Goals include the following:

1. Engage youth in local prevention awareness actions
2. Work in partnership with businesses in the community
3. Cooperate with state government and local enforcement
4. Put youth-friendly programs into community where prevention awareness counts most

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA-CSAP Yes

Agency(ies) within your state: The MS Department of Mental Health - Bureau of Alcohol & Drug Services Yes

Nongovernmental agency(ies): No

Other: MAAUD, NPN, NCADD Yes

Best practice standards description: Mississippi works closely with SAMHSA-CSAP, a massively funded federal agency that promotes reducing alcohol consumption: "Less Alcohol Is Always Still Too Much." The MS Department of Mental Health administers the public system of alcohol and drug prevention and treatment services in MS through the Bureau of Alcohol & Drug Services. These services are provided through a statewide network, which includes state-operated facilities, regional community mental health centers, and other nonprofit community-based programs.

Additional Clarification

All necessary information has been reported.

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Daisy Carter

Email: daisy@ncaddms.org

Address: 875 Northpark Dr. Ste. 600, Ridgeland, MS 39157

Phone: (601) 899-5880	
<i>Agencies/organizations represented on the committee:</i>	
Community Striving to Prevent Underage Drinking	
Gateway MAP Coalition	
Gulf Coast Substance Abuse Task Force	
MS Underage Drinking Prevention Coalition of Hinds County	
Make A Promise Coalition for a Drug-Free Warren County	
MS Underage Drinking Prevention Coalition of Madison & Rankin Counties	
MS Southern Coalition	
Jackson County Children's Services Coalition	
Dream Community Planning Coalition	
MS State Legislature	
MADD	
Metro Jackson CPC	
MS Army National Guard	
National Council on Alcoholism and Drug Dependence	
<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.maaud.org	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: MS Department of Mental Health	
Plan can be accessed via: MS Department of Mental Health: http://www.dmh.ms.gov/wp-content/uploads/2016/08/2016-2017-State-Plan-FINAL-1.pdf	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2015
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2015
<i>Other programs:</i>	
Programs or strategies included: Data not available	

Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	06/30/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	



Missouri

State Population: 6,083,672

Population Ages 12–20: 704,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	150,000 (21.2%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	7,000 (3.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	46,000 (18.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	97,000 (41.5%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	116
Years of Potential Life Lost (under 21)	7,008
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	27
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	20%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	No
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	N/A
• Is consumption allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	No
• Is internal possession allowed if the spouse is present or consents?	No
Is there an exception based on location?	No

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
• Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?	Yes

• Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30
Notes: Although Missouri does not authorize a use / lose penalty for all underage consumption, the mandatory license sanction is imposed on an underage person who has a detectable blood alcohol content of more than two-hundredths of one percent or more by weight of alcohol in such person's blood.	

Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	1:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, first 6 months, no more than one passenger under 19 who is not

	an immediate family member. After 6 months, no more than 3 passengers under 19 who are not immediate family members.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 11 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> Is furnishing allowed if the parent or guardian supplies the alcohol? 	Yes
<ul style="list-style-type: none"> Is furnishing allowed if the spouse supplies the alcohol? 	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No data
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A

Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	No data
What is the time period for defining second, third and subsequent offenses?	N/A
What is the penalty for the first offense?	N/A
What is the penalty for the second offense?	N/A
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	No law
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
<ul style="list-style-type: none"> Defense in dram shop liability lawsuits 	N/A

• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	N/A
Does the RBS law apply to new or existing licensees?	N/A

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	Yes

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 100 feet; local government has authority to override state restrictions
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 100 feet; local government has authority to override state restrictions
To which alcohol products does requirement apply?	Beer, wine, spirits
Notes: Exemptions include a school which has obtained an exemption from the payment of federal taxes.	

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	Yes (retailers that furnish alcohol for off-premises consumption exempt)
Does the statute limit elements or standards of proof?	Yes (clear and convincing evidence required to show that retailer knew or should have known underage status)
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Possession/consumption
Property type covered by the law?	Residential/outdoor/other
What level of knowledge by the host is required?	Knowledge: Host must have actual knowledge of party
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	Yes, family members

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
Notes: A holder of a retailer alcoholic beverage license in a state which affords Missouri licensees an equal reciprocal shipping privilege may ship, for personal use and not for resale, no more than two cases of wine (no more than nine liters each case) per year to any adult resident of the state. Delivery of a shipment pursuant to this section shall not be deemed to constitute a sale in this state.	

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No

Age verification requirements	
Must the producer/shipper verify purchaser’s age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacturer obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacturer record/report purchaser’s name?	No
Must the common carrier (deliverer) record/report recipient’s name?	Yes
Shipping label requirements	
Must the label state “Package contains alcohol”?	Yes
Must the label state “Recipient must be 21 years old”?	Yes

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 4.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, active (requires an action by purchaser)
Is a deposit required?	Yes, \$50
Does law cover disposable kegs?	Yes
Notes: Although Missouri does not require a retailer to record the number of a keg purchaser's ID, it does require the retailer to record the form of identification presented by the purchaser, as well as the purchaser's name, address, and date of birth.	

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.06
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Wine	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.42
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	

Spirits	
Control system for spirits?	No
Specific excise tax per gallon for 5% alcohol beer	\$2.00
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> • General sales tax rate 	Not relevant
<ul style="list-style-type: none"> • Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Wine	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days

Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Spirits	
Are volume discounts to retailers allowed?	Restricted
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	Yes
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold, 30 days
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 30 days
Notes: Wholesalers may not sell below cost. For sales of wine and spirits, no discounts in excess of 1% for quantity or 1% for time of payment.	

Missouri State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Department of Public Safety, Division of Alcohol and Tobacco Control	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Department of Public Safety, Division of Alcohol and Tobacco Control
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by state law enforcement agencies	6,305
Number pertains to the 12 months ending	12/31/2015
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	No
Data are collected on these activities	No
Number of retail licensees in state ³	13,311
Number of licensees checked for compliance by state agencies (including random checks)	Not applicable
Number of licensees that failed state compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Not applicable
<i>State conducts random underage compliance checks/decoy operations</i>	Not applicable
Number of licensees subject to random state compliance checks/decoy operations	Not applicable
Number of licensees that failed random state compliance checks	Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the state ⁴	302
Total amount in fines across all licensees	\$57,200
Smallest fine imposed	\$200

Largest fine imposed	\$1,000
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	5
Total days of suspensions across all licensees	8
Shortest period of suspension imposed (in days)	1
Longest period of suspension imposed (in days)	5
Numbers pertain to the 12 months ending	6/30/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	6/30/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Partners in Prevention (PIP)

Program serves specific or general population	Specific population
Number of youth served	128,492
Number of parents served	30,000
Number of caregivers served	400
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Upon request
URL for more program information:	No data

Program Description: Missouri Partners in Prevention (PIP) is an established statewide substance abuse prevention coalition of Missouri universities implementing evidence-based strategies to reduce binge and underage drinking among students at participating institutions. PIP is a consortium of 21 public and private colleges and universities. Since 2001, PIP has effectively reduced binge drinking and underage drinking behavior on campuses throughout the state and has been nationally recognized for its efforts.

Missouri's Youth Adult Alliance (MYAA)

Program serves specific or general population	Specific population
Number of youth served	275
Number of parents served	825
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Upon request
URL for more program information:	No data

Program Description: Missouri's Youth Adult Alliance (MYAA) is a statewide coalition that assists local community efforts in addressing underage drinking. Its mission is to encourage advocates to reduce youth access to alcohol by implementing environmental and social change in their communities. Membership in MYAA includes other agencies as well as other adults and youth interested in reducing underage drinking.

Missouri School-based Substance Abuse Prevention Intervention and Resources

Initiative (SPIRIT)

Program serves specific or general population	Specific population
Number of youth served	8,904
Number of parents served	0
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://dmh.mo.gov/ada/progs/spiritreports.html
URL for more program information:	No data

Program Description: In 2002, the Missouri Department of Mental Health (DMH), Division of Alcohol and Drug Abuse (ADA), launched the Missouri School-based Substance Abuse Prevention Intervention and Resources Initiative (SPIRIT). This project proposes to delay the onset and decrease the use of substances, improve overall school performance, and reduce incidents of violence. To achieve these goals, prevention agencies are paired with participating school districts to provide technical assistance in implementing evidence-based substance abuse prevention programming and referral and assessment services as needed. The project offers a variety of evidence-based prevention programs selected by the districts.

Regional Support Center (RSC) Network and Community Coalitions

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: Regional Support Center (RSC) Network and Community Coalitions are the primary sources of technical assistance support for community coalitions. The RSCs’ goal is to facilitate development of teams capable of making changes in substance use patterns in their communities. Each RSC has a prevention specialist who works directly with the teams in his/her area and assists with developing teams and task forces in communities that want them. The coalitions make up a network of volunteer community teams that focus solely on alcohol, tobacco, and drug issues as part of a broad mission and/or array of services. The coalitions were organized and developed in 1987 and are composed of community volunteers from the areas served. Each coalition receives technical assistance and training from the RSC on a variety of topics related to organization, development, and implementation of prevention strategies. The RSC and community coalitions implement various evidence-based strategies and programs.

Direct Prevention Services for High Risk Youth

Program serves specific or general population	Specific population
Number of youth served	26,731
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	No data

Program Description: Direct programs/services for high-risk youth are prevention education and early intervention activities provided to designated children, youth, and families. These services involve structured programming or a curriculum, have multiple sessions, include pre- and post-testing, and address identified risk and protective factors. Direct programs/services may also involve a variety of activities, including informational sessions and training and technical assistance activities with groups.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking No

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Description of program: Missouri has many coalitions across the state that provide training and activities that address youth exposure to alcohol advertising and marketing.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Agencies/organizations that established best practices standards:

Federal agency(ies): SAMHSA Yes

Agency(ies) within your state: Missouri Division of Behavioral Health Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: The Division requires providers to use evidence-based programs and environmental strategies. SAMHSA's publication, *Identifying and Selecting Evidence-Based Interventions for Substance Abuse Prevention*, serves as a guide, which provides the following definition for evidence-based programs:

- Inclusion in a federal list or registry of evidence-based interventions and indicated to be effective.
- Being reported (with positive effects) in a peer-reviewed journal
- Documentation of effectiveness based on the following guidelines:
 - Intervention is based on a theory of change that is documented in a clear logic or conceptual model.
 - Intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature.
 - Intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to identifying and selecting evidence-based interventions, scientific standards of evidence, and with results that show a consistent pattern of credible and positive effects.
 - Intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review, local prevention practitioners, and key community leaders as appropriate (e.g., officials from law enforcement and education sectors or elders within indigenous cultures).

Missouri uses the Strategic Prevention Framework model to implement the four guidelines. The process includes:

- Assessment of the community's needs and readiness.
- Capacity building to mobilize and address the needs of the community.
- Development of a prevention plan to identify the activities, programs, and strategies necessary to address the needs.
- Implementation of the prevention plan.
- Evaluation of the results to achieve sustainability and cultural competence.

Missouri identifies appropriate strategies based on validated research, empirical evidence of effectiveness, and the use of local, state, and federal key community prevention leaders such as National Prevention Network, Southwest Regional Expert Team, and SAMHSA's Center for Substance Abuse Prevention.

Additional Clarification	
No data	
State Interagency Collaboration	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Alicia Ozenberger	
Email: aozenberger@actmissouri.org	
Address: 428 E. Capitol, 2nd Floor, Jefferson City, MO 65101	
Phone: 573-635-6669	
<i>Agencies/organizations represented on the committee:</i>	
Division of Behavioral Health	
Division of Alcohol and Tobacco Control	
ACT Missouri	
Department of Health and Senior Services	
Division of Highway Safety	
Prevention Regional Support Centers across the state	
MO National Guard	
Local coalition leaders	
<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: www.actmissouri.org	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Missouri Division of Behavioral Health	
Plan can be accessed via: www.dmh.mo.gov	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Missouri Institute of Mental Health	
Plan can be accessed via: No data	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$814,907
Estimate based on the 12 months ending	6/30/2015
<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	6/30/2015

Other programs:

Programs or strategies included:	Data not available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other: No data	No data

Description of funding streams and how they are used:

Not applicable

Additional Clarification

No data



Montana

State Population: 1,032,949

Population Ages 12–20: 119,000

Past-Month Alcohol Use Among 12- to 20-Year-Olds	
Ages 12–20*	
Past-Month Alcohol Use – Number (Percentage)	29,000 (24.1%)
Ages 12–14	
Past-Month Alcohol Use – Number (Percentage)	2,000 (4.1%)
Ages 15–17	
Past-Month Alcohol Use – Number (Percentage)	7,000 (19.5%)
Ages 18–20	
Past-Month Alcohol Use – Number (Percentage)	20,000 (45.1%)
Alcohol-Attributable Deaths and Years of Potential Life Lost Under Age 21	
Alcohol-Attributable Deaths (under 21)	17
Years of Potential Life Lost (under 21)	1,050
Fatal Crashes Involving 15- to 20-Year-Old Driver with BAC > 0.01	
Number of Fatalities Involving 15- to 20-Year-Old Driver with BAC > 0.01	6
Percentage of All Fatal Crashes Involving 15- to 20-Year-Old Driver	17%

* Note that in previous years, numbers and percentages for past-month binge drinking by age group were included in these profiles. These data are not included as a separate category this year. The definition of binge drinking used in the National Survey on Drug Use and Health (NSDUH) changed in 2015 and insufficient state-level data using the new criteria exist. As more years of consistent data become available, the state profiles will include past-month binge drinking as a separate sub-group of past-month alcohol use. For more information, see <https://www.samhsa.gov/data/sites/default/files/NSDUH-TrendBreak-2015.pdf>.

Laws Addressing Minors in Possession of Alcohol

Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is possession allowed if parent or guardian is present or consents?	Yes
• Is possession allowed if spouse is present or consents?	No
Is there an exception based on location?	No
Notes: The parental exception to Montana's possession and consumption statute only applies to alcohol supplied and consumed in a "nonintoxicating quantity." In Montana, "intoxicating quantity" is defined as a quantity "sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment."	

Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
• Is consumption allowed if the parent or guardian is present or consents?	Yes
• Is consumption allowed if the spouse is present or consents?	No
Is there an exception based on location?	No
Notes: The parental exception to Montana's possession and consumption statute only applies to alcohol supplied and consumed in a "nonintoxicating quantity." In Montana, "intoxicating quantity" is defined as a quantity "sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment."	

Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
• Is internal possession allowed if the parent or guardian is present or consents?	N/A
• Is internal possession allowed if the spouse is present or consents?	N/A
Is there an exception based on location?	N/A

Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	No

Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for	No

information digitally encoded on valid IDs?	
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	No
May retailers seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)? 	Yes
<ul style="list-style-type: none"> Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)? 	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May a retailer detain a minor who used a false ID?	No

Laws Targeting Underage Drinking and Driving

Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violations lead to license suspension or revocation?	
<ul style="list-style-type: none"> Purchase of alcohol 	No
<ul style="list-style-type: none"> Possession of alcohol 	Yes
<ul style="list-style-type: none"> Consumption of alcohol 	Yes
The law applies to people under what age?	18
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	14 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	15

For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes, officer may stop driver for night driving violation
Are there restrictions on passengers?	Yes, for first 6 months, no more than one non-family passenger under 18 unless accompanied by a driver at least 18 years old. For second 6 months, no more than three non-family passengers under 18 unless accompanied by a driver at least 18 years old.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes, officer may stop driver for passenger restrictions violation
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	Yes
• Is furnishing allowed if the spouse supplies the alcohol?	No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No
Notes: The parental exception applies to the provision of alcohol in a "nonintoxicating quantity." In Montana, "intoxicating quantity" is defined as a quantity "sufficient to produce ... a blood, breath, or urine alcohol concentration in excess of 0.05 ... or substantial or visible mental or physical impairment."	

Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	17
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, all youth, either male or female, should wear casual, age-appropriate clothing. Females should be encouraged not to wear makeup or anything else that would make them appear older, and males should have no facial hair.
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited

Is decoy training mandated, recommended, prohibited, or not specified?	Not specified
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Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third and subsequent offenses?	3 years
What is the penalty for the first offense?	\$250 fine
What is the penalty for the second offense?	\$1,000 fine
What is the penalty for the third offense?	\$1,500 fine and/or 20 day license suspension
What is the penalty for the fourth offense?	License revocation
Notes: List of aggravating and mitigating factors provided.	

Responsible Beverage Service (RBS)	
Is there a state law pertaining to beverage service training?	Yes, mandatory
If training is mandatory, who must participate?	Managers, servers/sellers
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present?	No

Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18

Spirits	18
Does a manager or supervisor have to be present?	No

Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 600 feet
To which alcohol products does requirement apply?	Beer, wine, spirits

Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$250,000 non-economic damages per person and \$250,000 punitive damages per person)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	Yes (\$250,000 non-economic damages per person and \$250,000 punitive damages per person)
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A
Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	Yes
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes
Notes: An out-of-state brewer desiring to ship beer to an individual in Montana shall register with the Montana Department of Revenue. An individual seeking to receive such a shipment for personal consumption must obtain a Connoisseur's License. The licensee must forward to the out-of-state brewer a distinctive address label, provided by the Department, clearly identifying any package that is shipped as a legal direct-shipment package to the holder of a Connoisseur's License.	

Keg Registration	
How is a keg defined (in gallons)?	Equal to or more than 7.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (Maximum fine/jail, \$500 / 6 months)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Yes, passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No

Home Delivery	
Is home delivery of alcohol permitted?	
Beer	No law
Wine	No law
Spirits	No law

High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No, however, Montana is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

Laws Affecting Alcohol Pricing

Alcohol Taxes	
Beer	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.14
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> General sales tax rate 	Not relevant
<ul style="list-style-type: none"> Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax) 	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
Notes: Reported tax rate is the rate for brewers who produce more than 20,000 barrels of beer per year.	
Wine	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	

Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
Spirits	
Control system for spirits?	Yes
Specific excise tax per gallon for 5% alcohol beer	
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Wholesaler Pricing Restrictions	
Beer	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 7 days
Wine	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes, 7 days
Spirits	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

Montana State Survey Responses

State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Responsibilities are at the local level with municipalities and counties. At the state level, there is a limited amount of funding through the Department of Public Health and Human Services, Addictive and Mental Disorders Division/Chemical Dependency Bureau.

Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

Local law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession by state law enforcement agencies 1,050

Number pertains to the 12 months ending 12/31/2015

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of retail licensees in state³ 3,400

Number of licensees checked for compliance by state agencies (including random checks) Not applicable

Number of licensees that failed state compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Not applicable

State conducts random underage compliance checks/decoy operations Not applicable

Number of licensees subject to random state compliance checks/decoy operations Not applicable

Number of licensees that failed random state compliance checks Not applicable

Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of licensees checked for compliance by local agencies Data not available

Number of licensees that failed local compliance checks 47

Numbers pertain to the 12 months ending 12/31/2015

Sanctions

State collects data on fines imposed on retail establishments that furnish minors Yes

Number of fines imposed by the state⁴ 47

Total amount in fines across all licensees \$91,658

Smallest fine imposed	\$150
Largest fine imposed	\$1,500
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the state ⁵	6
Total days of suspensions across all licensees	30
Shortest period of suspension imposed (in days)	2
Longest period of suspension imposed (in days)	12
Numbers pertain to the 12 months ending	12/31/2015
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁶	1
Numbers pertain to the 12 months ending	12/31/2015

Additional Clarification

No data

¹ Or having consumed or purchased per state statutes.

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.

⁴ Does not include fines imposed by local agencies.

⁵ Does not include suspensions imposed by local agencies.

⁶ Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

MADD - Power of Parents

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: "MADD - Power of Parents" is a 20-minute program geared towards parents to encourage discussion with their children regarding alcohol.

Let's Control It

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	www.AlcoholServerTraining.mt.gov

Program Description: "Let's Control It" is Montana's alcohol sales and service training program and it focuses on how to prevent sales to underage persons. Anyone who serves or sells alcohol is required to take this training.

Strategic Prevention Framework Partnership For Success Grant

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.dphhs.mt.gov/amdd/chemicaldependency/services/index.shtml

Program Description: The Chemical Dependency Bureau of the Addictive and Mental Disorders Division of the Department of Public Health and Human Services administers this grant. It supports the implementation of environmental prevention activities to address underage drinking (ages 12–20) and misuse/abuse of prescription drugs (ages 12–25) in 22 identified counties and reservations. It also supports the implementation of alcohol compliance checks, an alcohol reward and reminder survey program, and a statewide social media parent forum.

Substance Abuse Prevention and Treatment Block Grant

Program serves specific or general population	Specific population
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Not applicable
URL for more program information:	No data

Program Description: The Chemical Dependency Bureau of the Addictive and Mental Disorders Division of the Department of Public Health and Human Services administers this grant. It supports the implementation of evidence-based environmental prevention efforts to provide culturally appropriate community-based environmental prevention strategies to reduce underage drinking to include binge drinking with an emphasis on youth and young adults up to age 21. This includes collection of robust data through the administration of the Montana Prevention Needs Assessment. Data is available from the state- to school-level.

Montana Office of Public Instruction Traffic Education Curriculum

Program serves specific or general population	Specific population
Number of youth served	8,630
Number of parents served	8,000
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	http://opi.mt.gov/Programs/DriverEd/Index.html

Program Description: Montana Traffic Education standards and curriculum are aligned with national standards and with Montana's Graduated driver's licensing requirements. Parent meetings and alcohol and drug prevention education are required in Montana's driver education programs that are provided through public high schools. The curriculum can be found at: <http://opi.mt.gov/Programs/DriverEd/Index.htm>. Graduated driver licensing requires 50 hours of supervised driving practice and parent/legal guardian certification that the teen driver has no convictions or pending citation for traffic, alcohol, or drug violations. The Alive at 25 program is offered through the Montana Highway Patrol, <https://dojmt.gov/highwaypatrol/alive-at-25>.

Vision ZeroMT

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable

Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.mdt.mt.gov/visionzero/about.shtml

Program Description: VisionZero is a multipronged initiative with the ultimate goal of eliminating deaths and injuries on Montana highways. It focuses on:

- Education through public information campaigns during the busiest travel periods and local outreach through Buckle Up Montana, DUI Task Force, and Safe On All Roads (SOAR) programs, including teen traffic safety educational programming.
- Enforcement of Montana seatbelt and impaired driving laws by Montana Highway Patrol and local law enforcement agencies, whose presence reminds drivers and occupants to obey traffic laws. In addition to increased enforcement periods during Mobilization and Selective Traffic Enforcement Programs (STEP) periods, when traffic enforcement is increased around the busiest travel times of the year and around events that include an emphasis of alcohol consumption.
- Engineering of Montana roadways to ensure that Montana’s thousands of miles of state roads and highways are built and maintained with safety as the first concern.
- Emergency medical response that is adequately funded and equipped to respond to vehicle crashes with proper emergency response vehicles, training, and medical equipment through the Emergency Medical Services Grant Program.

All four areas of focus for Vision Zero are critical as we move toward zero deaths and serious injuries on Montana roadways.

Montana’s Comprehensive Highway Safety Plan (CHSP)

Program serves specific or general population	Special population
Number of youth served	887
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	http://www.mdt.mt.gov/visionzero/plans/chsp.shtml

Program Description: Montana’s Comprehensive Highway Safety Plan (CHSP) includes affordable, accessible driver education as a strategy to reduce young driver crashes. Parents’ meetings and alcohol and drug prevention education are required in Montana driver education programs, which serve 8,630 teens annually through public high school. Graduated driver licensing requires parent/legal guardian certification that the teen driver has no convictions or pending citations for traffic, alcohol, or drug violations. This was reported previously in the Traffic Education section.

The Alive at 25 Program is offered for youth drivers through the Montana Highway Patrol. Alive at 25 served 887 youth drivers in 2015.

The CSHP also encompasses strategies for developing education campaigns about the dangers of impaired driving and support targeted enforcement for high-risk drivers. Additionally, the CSHP calls for improving the MIP (minor-in-possession) process in Montana.

DUI Task Forces

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data

URL for evaluation report:	No data
URL for more program information:	http://www.mdt.mt.gov/visionzero/plans/dui-taskforces.shtml
Program Description: Montana Department of Transportation: DUI Task Forces are multifaceted coalitions that invite participation from a cross-section of community representatives to maximize their reach and effectiveness. The task forces operate at the county level to reduce and prevent impaired driving. They may engage the community in a variety of activities, including Responsible Alcohol Sales and Service Training, retail compliance checks, party and keg patrols, overtime traffic patrols, education and media advocacy, public service announcements, victim impact panels, support for prosecution and adjudication of DUI cases, and designated-driver and safe ride home programs. It is difficult to estimate the number of youth served or participating in the DUI Task Forces across the state.	

Prevention Resource Center - Montana DPHHS

Program serves specific or general population	General population
Number of youth served	Not applicable
Number of parents served	Not applicable
Number of caregivers served	Not applicable
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report:	No data
URL for more program information:	http://www.prevention.mt.gov and http://www.parentpower.mt.gov

Program Description: The Prevention Resource Center connects state and federal resources to Montana communities in addressing underage drinking, and substance use prevention in general. The Prevention Resource Center maintains two websites and is a clearinghouse for information on prevention efforts, including programs, services, data, best practices, training, and educational opportunities.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Program description: No data

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
Description of collaboration: Montana has recruited and trained tribal members to become state-certified trainers to teach the "Let's Control It" alcohol server training program and the MADD (Mother's Against Drunk Driving) Power of Parents curriculum. Tribal law enforcement personnel are also recruited and trained for POST (Peace Officers Standards and Training) liquor law classes. Through the Prevention for Success, Cohort III grant from SAMHSA, all seven federally recognized tribes are sub-grant recipients. The focus is to curb underage drinking and address prescription drug misuse and abuse for youth ages 12-25.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): National Registry of Evidence-based Programs and Practices (NREPP)	Yes
Agency(ies) within your state: Montana Evidence Based Work Group	Yes

Nongovernmental agency(ies): No

Other: No

Best practice standards description: The Montana Evidence-Based Work Group is establishing a set of guidelines to help communities select the most appropriate and "best fit" prevention strategies in their community to achieve the greatest outcomes. The work group will also develop guidelines for using evidence informed strategies when an evidence-based practice might not be available for rural and frontier areas, or within the diverse cultural or geographic characteristics or community.

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Vicki Turner

Email: vturner@mt.gov

Address: PO Box 4210, Helena, MT 59604-4210

Phone: 406-444-3484

Agencies/organizations represented on the committee:

Department of Corrections

Office of Indian Affairs

Department of Justice - Attorney General's Office

Department of Labor and Industry

Department of Public Health and Human Services

Montana Board of Crime Control

Montana Children's Trust Fund

Office of Public Instruction

Department of Military Affairs

Department of Transportation

Department of Revenue

Montana Governor's Office

A website or other public source exists to describe committee activities Yes

URL or other means of access: <http://prevention.mt.gov/ICC>

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years No

Prepared by: Not applicable

Plan can be accessed via: Not applicable

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Prevention Resource Center - Goals and Benchmark Update 2015

Plan can be accessed via: <http://prevention.mt.gov/ICC>

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks in retail outlets:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 12/31/2015

Checkpoints and saturation patrols:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 12/31/2015

Community-based programs to prevent underage drinking:

Estimate of state funds expended \$0

Estimate based on the 12 months ending 12/31/2015

K-12 school-based programs to prevent underage drinking:

Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$50,000
Estimate based on the 12 months ending	12/31/2015
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2015
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	\$0
Estimate based on the 12 months ending:	12/31/2015
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No
<i>Description of funding streams and how they are used:</i>	
Not applicable	
Additional Clarification	
No data	